

Prevention and treatment of transformation of myeloproliferative neoplasms to acute myeloid leukemia

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Title: Prevention and treatment of transformation of myeloproliferative neoplasms to acute myeloid leukemia

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Abstract

Philadelphia-chromosome negative (Ph-neg) myeloproliferative neoplasms (MPNs) are hematopoietic stem disorders with a risk of progression to the accelerated-phase (AP) or blastphase (BP) that is influenced by clinical, pathologic, cytogenetic, and molecular variables. Overall survival is limited in MPN-AP/BP with current treatment approaches, particularly in those patients that cannot receive an allogeneic hematopoietic stem cell transplant (allo-HCT). In addition, long-term survival with allo-HCT is predominantly seen in chronic-phase MPNs which suggests that the ideal time for intervention may be before MPNs evolve to AP/BP. Over the course of this review we will focus on the risk factors for progression to MPN-AP/BP, identification of high-risk chronic-phase MPNs, potential early-intervention strategies, and considerations around the timing of allo-HCT. We will also summarize current survival outcomes in MPN-AP/BP, discuss the uncertainty around how to best gauge response to therapy, and outline clinical trial considerations for this patient population. Lastly, we will highlight future directions in the management of high-risk MPNs.

Introduction

Philadelphia chromosome negative (Ph-neg) myeloproliferative neoplasms (MPNs) are clonal hematopoietic stem cell disorders characterized by JAK/STAT pathway activation that carry a variable risk of progression to an accelerated (10-19% blasts) or blast-phase (≥20% blasts) of disease (MPN-AP/BP)^{1,2}. This risk is impacted by a number of factors including disease phenotype, clinical factors, cytogenetics, and presence of somatic mutations^{3,4}. Median overall survival is less than 6 months in MPN-BP with durable remissions typically only seen in patients that undergo allogeneic hematopoietic stem cell transplantation (allo-HCT)⁵. Of note, the presence of ≥5% blasts in the bone marrow or peripheral blood is associated with limited OS and may be indicative of a disease in evolution to MPN-AP and therefore treated similarly^{6,7}.

Historical outcomes with intensive chemotherapy in MPN-BP have been quite poor with median overall survival ranging from 4-9 months $8-10$. While there have been therapeutic advances in the treatment of acute myeloid leukemia (AML) over the last several years, these have not translated into the same sort of advancement for MPN-AP/BP. A retrospective analysis of outcomes in patients with MPN-AP/BP that were diagnosed in 2017 or later demonstrated a median OS of under 12 months even with increased use of AML-directed therapies that have been approved¹¹. Furthermore, MPN-AP/BP is a molecularly and morphologically distinct disease from de novo AML^{12-16} . Treatment with venetoclax (VEN) based regimens has demonstrated a median OS of 4-8 months in MPN-AP/BP $17-20$; this may be in part due to the dependence on BCL-XL rather than BCL-2 noted in this disease and the prevalence of *TP53* alterations (which is associated with inferior outcomes in *de novo* AML as well)²¹⁻²⁴. Given the role of JAK inhibitors in chronic-phase MPNs, prospective studies of ruxolitinib-containing regimens have been pursued but there have been similarly limited survival outcomes $25-27$. One promising approach may be IDH inhibition given the relative enrichment of *IDH1* and *IDH2* mutations in MPN-AP/BP; retrospective studies have demonstrated durable remissions with IDH inhibitors although median OS still ranged from 10-15 months²⁸⁻³⁰. **Table 1** summarizes the outcomes of patients treated with these strategies.

While improving the therapeutic armamentarium for MPN-AP/BP is a critical part of advancing care, there are a number of considerations in the management of high-risk MPNs that need to be addressed ranging from the time of intervention to the development of well-validated response criteria. In this review article we aim to review the following: current prognostic tools available to identify patients at high risk of progression of MPN-AP/BP, the rationale for early intervention in patients with chronic-phase MPNs in an effort to reduce risk of progression, timing of allo-HCT in eligible candidates, development of response criteria that better capture the benefit of treatment in MPN-AP/BP, and considerations around trial design to investigate novel therapeutics in this space.

Progression of Disease to MPN-AP/BP

While a number of prognostic tools have been developed for primary myelofibrosis (PMF), currently there is no global risk stratification for chronic-phase MPNs that captures the risk of progression to MPN-AP/BP. Acquisition of high-risk mutations in the chronic-phase of disease are a key event in the progression of MPN but which mutations have prognostic impact varies across polycythemia vera (PV), essential thrombocythemia (ET), and PMF³¹. Table 2 summarizes mutations associated with prognostic impact upon the development of MPN-BP.

In PMF, the predominant influencers of survival outcomes are age, peripheral blood count abnormalities, and cytogenetics. Specific components have greater prognostic value regarding the development of MPN-BP. For example, development of the Dynamic International Prognostic System (DIPSS)-plus score identified thrombocytopenia and unfavorable karyotype as predictors of 10-year risk of MPN-BP 32 . More recent scores have incorporated high-risk molecular mutations as well which can aid in identification of high-risk patient populations. Individual mutations are also associated with inferior outcomes; these have been incorporated into the Mutation-Enhanced International Prognostic Score (MIPSS)70-plus; patients with a very high risk score had a 23% incidence of progression to MPN-BP 33 . A more recent analysis by Loscocco et al incorporated mutational status of *CBL*, *NRAS*, *KRAS*, *RUNX1*, and *TP53* in conjunction with MIPSS-based prognostic scores; multivariate analysis demonstrated significant contribution from *ASXL1*, *SRSF2*, *U2AF1* Q157, and *EZH2* but not from *IDH1*, *IDH2*, *TP53*, *CBL*, *NRAS*, or *KRAS³⁴*. This suggests that even with molecular scores that have been incorporated into clinical practice, we still have not fully identified the mutations that are truly high-risk in the context of PMF. Considerations around the timing of allo-HCT in the context of high-risk PMF mutations will be discussed in a later section.

Prevention of progression to MPN-AP/BP by way of risk-assessment of PMF patients and referral for allo-HCT remains a cornerstone of therapeutic strategy. However, while the potential role of allo-HCT is well-established in PMF, it is less clear how to intervene in patients with PV and ET where there is considerable concern for disease progression. Typically strategies for both entities in the chronic phase center around reduction in thrombotic risk 35 but with little emphasis on assessment (or treatment options) for disease evolution. In addition, the route of progression to MPN-AP/BP for PV and ET does not always have a fibrotic stage; an analysis by Paz et al of 49 patients that developed MPN-BP from underlying PV or ET noted that only 16% of those patients had secondary myelofibrosis (MF) prior to MPN-BP progression³⁶. Time to MPN-BP development can be highly variable based on the mutational profile that is present; mutations in *IDH1*, *IDH2*, *RUNX1*, and *U2AF1* are associated with shorter latency while *TP53*, *NRAS*, and *BCORL1* mutations are associated with longer time to MPN-BP development³⁶. Given the molecular heterogeneity seen in PV and ET that progresses to MPN-BP, therapeutic intervention that has an anti-clonal effect in the chronic phase may be a means of preventing disease progression. The MAJIC-PV trial was a randomized Phase II trial of ruxolitinib compared to best available therapy in patients with hydroxyurea-treated PV; the primary endpoint of complete response (CR) was met in the ruxolitinib arm. The study also analyzed outcomes based on molecular response, which was defined as a >50% reduction in *JAK2* V617F variant allele frequency (VAF). Achievement of a molecular response in patients treated with ruxolitinib was significantly associated with improved event-free survival (EFS) and $OS³⁷$. Of note, those patients with concurrent *ASXL1* mutations that received ruxolitinib were unlikely to achieve a molecular response³⁷. The depth of molecular response also appears to have an impact on outcomes. Guglielmelli et al analyzed 75 *JAK2*-mutated patients PV or ET that

received treatment with ruxolitinib and characterized *JAK2* molecular response as complete (<0.01%), deep (<2%), or partial (50% reduction in VAF). In the 14 patients that achieved a complete or deep response, none had progression to MF or MPN-BP; on the other hand, all 3 patients that had progression to MPN-BP had no molecular response³⁸. Previous studies investigating the use of interferon in PV and ET have demonstrated the potential for achieving sustained molecular responses as well³⁹⁻⁴². As such, clinical trials in ET and PV patients which focus on preventing clonal evolution and progression-free survival remain an area in need of further investigation 43 .

In addition to the molecular drivers of disease progression, the inflammatory micro-environment present in chronic-phase MPNs is a key component of disease progression⁴⁴. For example, Interleukin-8 (IL8) has been implicated in the progression of PMF to MPN-BP 45 . In addition, single-cell multi-omic analyses of MPN identified the contribution of chronic inflammation to providing an advantage to *TP53*-mutated cells and allowing for subsequent development of *TP53*-mutated MPN-BP46. The role of inflammation in myeloid disease progression goes beyond MPNs; inflammation in clonal hematopoiesis of indeterminate potential (CHIP) confers a selective advantage and clonal expansion that ultimately gives rise to overt myeloid malignancy⁴⁷. Studies are investigating the role of anti-inflammatory therapies such as canakinumab in a variety of chronic myeloid diseases from CHIP to lower-risk MDS and chronic myelomonocytic leukemia, as well as MPN (NCT05641831, NCT04239157, NCT05467800) whether such strategies alter clonal progression remains to be determined.

Allo-HCT in high-risk MPNs

When patients with chronic-phase MPN enter the fibrotic stage of disease, considerations toward allo-HCT are primarily driven by patient characteristics and risk profile. In the absence of approved therapies that meaningfully reduce the rate of progression to MPN-BP in MF 48 , allo-HCT is thought to be the only modality that can impact the natural progression of MF with curative potential. Retrospective studies have identified a benefit for allo-HCT in patients with intermediate-2 or high-risk disease by DIPSS; the benefit of allo-HCT in low/intermediate-1 risk

disease is not as clear^{49,50}. Even less clear is how to incorporate high-risk mutations into the decision-making around allo-HCT in MF. Several studies have investigated the impact of highrisk mutations on allo-HCT outcomes in MF with conflicting results as summarized in **Table 3** 51– 56. While *TP53* mutations are not represented in MF prognostic scores, the impact of *TP53* status on allo-HCT outcomes in MF has been analyzed. In a cohort of 349 patients with MF that underwent allo-HCT, 49 patients had a *TP53* mutation. Median OS was 1.5 years in the *TP53* mutated patients compared to 13.5 years for the *TP53* wild-type patients; the worst outcomes were noted in those with multi-hit *TP53* aberrations while those with a single-hit *TP53* aberration had a similar outcome to *TP53* wild-type⁵⁷. Overall, consideration for allo-HCT should be strongly given to eligible patients with intermediate-2/high risk disease by DIPSS; in patients with high-risk disease based on mutational profile it is less clear. We would also strongly consider allo-HCT in patients with single-hit *TP53* mutation. Regardless, the timing of allo-HCT is a key consideration in preventing disease progression to MPN-AP/BP, and optimal decisionmaking regarding the timing of transplant remains a key unresolved issue in MF.

In patients with progression of disease to MPN-AP/BP, allo-HCT is the only modality with curative potential. Historically there has been consideration to reducing blast burden prior to allo-HCT however that may not be necessary in all patients with MPN-AP. Gagelmann et al reported on 35 patients with accelerated-phase MF at time of allo-HCT; although higher rates of relapse in comparison to patients with chronic-phase MF at time of allo-HCT were observed, durable remissions were observed in this population, with 5-year OS rate of 65% ⁵⁸.

Unfortunately, allo-HCT outcomes in MPN-BP are not as robust as those seen in MPN-AP. An analysis by the European Society for Blood and Marrow Transplantation (EBMT) of 663 patients with MPN-BP that underwent allo-HCT reported a 3-year OS of 36%; smaller analyses have reported survival outcomes ranging from 5-year OS of 18% to 4-year OS of $38\%^{59,60}$. Of note, blast reduction below 5% was not associated with improved outcomes related to allo-HCT⁵⁹. Consideration for allo-HCT should be strongly given to eligible patients with MPN-BP; however, the depth of response necessary prior to moving forward with allo-HCT is unclear. These data

suggest that the time to intervene with allo-HCT is during the chronic-phase or acceleratedphase of disease; while long term survival can be seen in some proportion of patients with MPN-BP who undergo allo-HCT the likelihood of this is considerably lower in patients with chronic-phase or accelerated-phase MPN.

Gauging Response to Therapy

There is heterogeneity in the assessment of response to therapy for patients with MPN-AP/BP. While well-established and recently revised response criteria exist for AML and higher-risk myelodysplastic syndrome $(MDS)^{61,62}$, the most recent MPN-AP/BP specific criteria come from 2012^{63} . These criteria were developed to account for two aspects of disease: the AP/BP component and the chronic-phase MPN. For example marrow fibrosis, leukoerythroblastosis, and eradication of molecular markers associated with the MPN clone are part of the 2012 response criteria. In addition, AML specific response criteria do not have the same correlation with survival outcomes in MPN-AP/BP as they do in de novo AML. Blast reduction had no prognostic impact in patients with MPN-BP that received allo-HCT and outcomes of patients with MPN-BP and <5% blasts at time of allo-HCT are considerably worse than those with AML and $<$ 5% blasts at time of allo-HCT 59,60,64 . Potential reasons for discordance between AML-</sup> specific response criteria and MPN-BP criteria include the discrepancy between peripheral blood and bone marrow blasts seen in MPNs, the spleen serving as a site of extramedullary transformation, and clonally distinct hematopoietic stem cell populations found in the spleen compared to the blood^{65,66}. Furthermore, there can be considerable variance between serial peripheral blast counts in patients with MPN-AP/BP that can confound assessment. **Table 4** compares assessment of response between the 2022 European LeukemiaNet (ELN) AML criteria, 2012 MPN-BP criteria, and modified Cheson criteria.

Large analyses to confirm which response criteria best predicts survival in the absence of allo-HCT have not been conducted. This leads to considerable variance in response assessment even when specifically evaluating prospective trials for MPN-AP/BP. As an example, in the three DNMTi + JAKi trials summarized in **Table 1**25–27**,** responses were assessed with MDS-based

criteria, 2012 MPN-BP criteria, standard AML-based criteria, and modified AML-based criteria^{67,68}. As novel therapeutics continue to be investigated specifically in MPN-AP/BP, harmonization of response criteria is vital to characterize benefit. Given the similar nature of disease once blast percentage is ≥10% in MPNs, utilizing the traditional cut-off of 20% to determine what sort of response criteria should be used is unlikely to be helpful. Ultimately, response criteria that capture reduction in blast percentage and improvement in peripheral blood counts may be the most helpful; the addition of cytogenetic and molecular response may offer insight into the depth of remission and how that impacts long-term survival. The utility of incorporating chronic-phase MPN features such as bone marrow fibrosis is less clear given no strong correlation with efficacy outcomes in MF⁶⁹. Analysis of existing response criteria is needed in order to identify clinically meaningful criteria with which to assess novel therapeutics for MPN-AP/BP. In **Table 5** we propose the endpoints that may be most meaningful when evaluating novel therapies in MPN-AP/BP, recognizing that each endpoint has both advantages and disadvantages. In addition, validated MPN patient reported outcome tools should be routinely incorporated into MPN-AP/BP trials to capture impact beyond response and survival outcomes⁷⁰.

Prospective Trial Considerations in MPN-AP/BP

Inclusion of patients with MPN-AP/BP into prospective trials is a uniquely vexing problem; chronic-phase MPN studies will oftentimes have a blast cutoff and trials focused upon MDS and AML will exclude patients with an antecedent MPN. This ultimately leads to treatment data being generated by real-world analyses given the paucity of prospective data available. As an example, CPX-351 was specifically investigated in patients with secondary AML however those with an antecedent MPN were excluded⁷¹. The current available data for CPX-351 in MPN-AP/BP stems from a real world analysis of 12 patients⁷². Furthermore targeted-therapy myeloid disease initiatives such as BEAT AML and MYELOMATCH do not currently have trials specifically designed for MPN-AP/BP^{73,74}. In an effort to identify novel therapeutics with potential efficacy, we propose the inclusion of MPN-AP/BP cohorts in early-phase studies focused on

chronic-phase MPNs. In addition, in targeted therapy protocols the inclusion of MPN-AP/BP with the appropriate molecular marker should be strongly considered.

Conclusion and Future Directions

Despite the expansion of therapies in the management of myeloid malignancies, the treatment of MPN-AP/BP remains challenging. **Figure 1** outlines current management approaches in prevention and management of MPN-AP/BP while also considering novel strategies under investigation. In our estimation, the strategies to meaningfully impact how we approach these disease are as follows: identification of those with chronic-phase MPNs at highest risk of progression to MPN-AP/BP, development of strategies with the potential to halt or delay progression, considerations around timing of allo-HCT, harmonization of MPN-AP/BP response criteria, and inclusion of MPN-AP/BP in early-phase studies focused on myeloid malignancies to identify therapeutics that merit further development in the space. Studies focused on PV and ET are investigating not just the primary endpoints of hematologic control, but also generating data on molecular response and how that may impact disease progression. Similar efforts are underway in myelofibrosis with a call to move beyond spleen response and symptom assessment in an effort to better understand what disease modification means and if it can be achieved without allo-HC T^{75} . Several combination strategies in myelofibrosis are under investigation including Phase III studies looking at the combination of ruxolitinib + navitoclax and ruxolitinib + pelabresib that met their primary endpoints; longer-term follow-up may help to identify the impact of these approaches on the natural history of disease⁷⁶⁻⁷⁸. There are also encouraging pre-clinical data to elucidate progression pathways in MPN-AP/BP that could be targeted such as loss of LKB1/STK11 and aberrant expression of DUSP6 79,80 . In addition, novel strategies such as BET inhibition, LSD1 inhibition, CDK9 inhibition, and combination WEE1/poly(ADP-ribose) polymerase inhibition have pre-clinical data supporting the investigation of these targets in prospective clinical trials 8^{31-84} .

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Tables Table 1: Outcomes of patients with accelerated/blast-phase myeloproliferative neoplasms treated with select novel regimens

Abbreviations: MPN = myeloproliferative neoplasm; $AP =$ accelerated phase; $BP =$ blast phase; $R/R =$ relapsed/refractory; HMA = hypomethylating agent; $VEN =$ venetoclax; $CR =$ complete remission; $CR =$ complete remission with incomplete hematologic recovery; mOS = median overall survival; ALR-C = acute leukemia response - complete; ALR-P = acute leukemia response - partial; CCR = complete cytogenetic response; ORR = overall response rate

Table 2: Prognostic mutations in chronic-phase myeloproliferative neoplasms with a focus on leukemia free survival

Abbreviations: MPN = myeloproliferative neoplasm; PMF = primary myelofibrosis; SMF = secondary myelofibrosis; LFS = leukemia-free survival; PV = polycythemia vera; ET = essential thrombocytosis

Table 3: Molecular impact on outcomes of patients with myelofibrosis that undergo allogeneic hematopoietic stem cell transplantation

Reference	Disease and #	# of	Conditioning	Survival Data	Notes
	of Patients	Genes	Regimen		
		Tested			
Kroger et al	169 MF	$\overline{16}$	MAC: 2%	5-yr $PFS = 48%$	CALR mutation
2017^{54}	patients that				associated with
	underwent		RIC: 98%	$5-yr OS = 52%$	improved OS
	allo-HCT				IDH2 mutation
					associated with
					inferior RFS
					ASXL1 mutation
					associated with
					inferior RFS
Gagelmann et	361 MF	18	MAC: 36%	5-year OS by	ASXL1 mutation
al 2019	patients that		RIC 64%	MTSS risk group	associated with
	underwent			(validation	inferior OS
	allo-HCT (201			cohort):	
	in training			$Low = 83%$	Non-CALR/MPL
	cohort, 156 in				driver mutation
	validation			$Int = 64%$	associated with inferior OS
	cohort)			$High = 37\%$	
				Very High $=$	
				22%	
Tamari et al	101 MF	585	MAC: 18%	5 -year RFS =	U2AF1 mutation
201952	patients that			51%	associated with
	underwent		RIC: 82%		inferior OS and
	allo-HCT			5 -year $OS =$	RFS
				52%	DNMT3A mutation
					associated with
					inferior RFS
					≥3 somatic.
					mutations not
					associated with
					worse OS
					compared to \leq 2
					somatic mutations
					MAC associated
					with improved OS
					High-risk MIPSS70
					not associated with
					inferior OS
					compared to

Abbreviations: allo-HCT = allogeneic hematopoietic stem cell transplant; $MF =$ myelofibrosis; MAC = myeloablative conditioning; RIC = reduced-intensity conditioning; RFS = relapse-free survival; PFS = progression free survival; OS = overall survival; DIPSS = Dynamic International Prognostic Scoring System; int = intermediate; MIPSS = Mutation-Enhanced International Prognostic Score System; MTSS = myelofibrosis transplant scoring system; wt = wild type; $SH = single-hit$; MH = multi-hit

Table 4: Comparison of 2022 European LeukemiaNet Acute Myeloid Leukemia response criteria, 2012 Myeloproliferative Neoplasm-Blast Phase response criteria, and modified Cheson Criteria

2022 ELN AML Criteria ⁶¹	2012 MPN-BP Response Criteria ⁶³	Modified Cheson Criteria from MPN-RC 109 Trial 27
CR: Bone marrow blasts $<$ 5%; absence of circulating blasts; absence of extramedullary disease; ANC ≥ 1.0 x 10 ⁹ /L; platelet count \geq 100 $x 10^9/L$	CMR: 0% peripheral blasts; ANC \geq 4.0 x 10 ⁹ /L, hemoglobin ≥10 g/dL, platelet count ≥ 100 x 10^9 /L; $\leq 5\%$ bone marrow blasts with resolution of abnormal morphology, appropriate cellularity, and Grade ≤1 fibrosis; non-palpable spleen; normal karyotype and no detectable molecular abnormalities associated with leukemic or MPN clone	CR: 0% peripheral blood blasts, WBC \geq 4.0 x 10 ⁹ /L, hemoglobin ≥10 g/dL, and platelet count $\geq 100 \times 10^{9}/L$
	CCR: all criteria of CMR except molecular markers of MPN clone persist	
CRh: ANC $\geq 0.5 \times 10^9$ /L and platelet count $\geq 50 \times 10^9$ /L with all other CR criteria met CRi: all CR criteria except for residual neutropenia or thrombocytopenia	ALR-C: absence of peripheral blasts; ≤5% bone marrow blasts; <25% increase in spleen size by palpation or imaging if baseline spleen <10cm or <50% if baseline spleen ≥ 10cm; loss of cytogenetic or molecular markers associated with leukemic clone (markers associated with chronic-phase MPN can persist)	CRi: fulfilling criteria of CR except for ANC \leq 1.0 x 10 ⁹ /L; or platelet count $\leq 100 \times 10^9$ /L
PR: all hematologic criteria of CR, decrease of bone marrow blast percentage to 5% to 25%, and decrease of pre- treatment bone marrow blast percentage by at least 50%	ALR-P: >50% reduction in peripheral and bone marrow blasts; <25% increase in spleen size by palpation or imaging if baseline spleen <10cm or <50% if baseline spleen ≥ 10cm; no new cytogenetic or molecular abnormalities	$PR: \geq 50\%$ decrease in peripheral blood blasts irrespective of blood counts
MLFS: Bone marrow blasts, 5%; absence of circulating blasts; absence of extramedullary disease; no hematologic recovery required; at least 200 cells should be numerated in aspirate or cellularity $\geq 10\%$		

Abbreviations: ELN = European LeukemiaNet; MPN = myeloproliferative neoplasm; BP = blast phase; MPN-RC = MPN Research Consortium; CR = complete remission; CMR = complete molecular remission; CCR = complete cytogenetic remission; CRh = CR with partial hematologic recovery; CRi = CR with incomplete hematologic recovery; ALR-C = acute leukemia response-complete; PR = partial remission; ALR-P = acute leukemia response-partial; MLFS = morphologic leukemia-free state

Table 5: Proposed Clinical Trial Endpoints in Accelerated/Blast-Phase Myeloproliferative Neoplasms

*Blast response criteria are applicable to peripheral blood and/or bone marrow if there are ≥10% blasts Abbreviations: OS = overall survival; MPN = myeloproliferative neoplasm; allo-HCT = allogeneic hematopoietic stem cell transplant; AP/BP = accelerated-phase/blast-phase; EFS = event free survival; MF = myelofibrosis; CHIP = clonal hematopoiesis of indeterminate potential; MDS = myelodysplastic syndrome; Hgb = hemoglobin; HI = hematologic improvement

Figures

Figure 1: Evolution of accelerated/blast-phase myeloproliferative neoplasms with opportunities for intervention

Abbreviations: $PV = polycy$ themia vera, $ET =$ essential thrombocythemia, $MF =$ myelofibrosis; MPN = myeloproliferative neoplasm; AP/BP = accelerated-phase/blast-phase

• Clonal evolution

• Cytopenias

