Spontaneous remission and loss of monosomy 7: a window of opportunity for young children with SAMD9L syndrome

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Abstract

Monosomy 7 is the most common cytogenetic abnormality in pediatric myelodysplastic syndrome (MDS) and associated with a high risk of disease progression. However, in young children, spontaneous loss of monosomy 7 with concomitant hematologic recovery has been described, especially in the presence of germline mutations in SAMD9 and SAMD9L genes. Here, we report on our experience of close surveillance instead of upfront hematopoietic stem cell transplantation (HSCT) in seven patients diagnosed with SAMD9L syndrome and monosomy 7 at a median age of 0.6 years (range, 0.4-2.9). Within 14 months from diagnosis, three children experienced spontaneous hematological remission accompanied by a decrease in monosomy 7 clone size. Subclones with somatic SAMD9L mutations in cis were identified in five patients, three of whom attained hematological remission. Two patients acquired RUNX1 and EZH2 mutations during the observation period, of whom one progressed to myelodysplastic syndrome with excess of blasts (MDS-EB). Four patients underwent allogeneic HSCT at a median time of 26 months (range, 14-40) from diagnosis for MDS-EB, necrotizing granulomatous lymphadenitis, persistent monosomy 7, and severe neutropenia. At last follow-up, six patients were alive, while one passed away due to transplant-related causes. These data confirm previous observations that monosomy 7 can be transient in young children with SAMD9L syndrome. However, they also indicate that delaying HSCT poses a substantial risk of severe infection and disease progression. Finally, surveillance of patients with SAMD9L syndrome and monosomy 7 is critical to define the evolving genetic landscape and to determine the appropriate timing of HSCT (clinicaltrials gov. Identifier: NCT00662090).

Introduction

Loss of chromosome 7 and partial deletion of its long arm (i.e., monosomy 7 and del(7q)) are frequent non-random cytogenetic aberrations in pediatric patients with myeloid malignancies, including myelodysplastic syndrome (MDS).1-3 Several tumor suppressors and regulators of myeloid differentiation have been identified on chromosome 7. Among them, deletion of EZH2, MLL3/KMT2C, SAMD9L, and CUX1 has been shown to promote malignant transformation in mouse models.4-8 The presence of monosomy 7 is generally associated with a high risk of disease progression and acquisition of oncogenic mutations, and timely allogeneic hematopoietic stem cell transplantation (HSCT) is warranted.^{1,9-11} However, Scheurlen et al. first reported in 1994 on a 14-month-old boy with MDS and monosomy 7 who achieved spontaneous hematologic recovery within 2 years.¹² Subsequently, further case reports and series of transient monosomy 7 in infants and young children with MDS have been published demonstrating spontaneous hematologic recovery upon loss of monosomy 7.13-17

Chromosome 7 aberrations have been associated with several germline conditions predisposing to hematopoietic neoplasia, such as GATA2 deficiency syndrome and Fanconi anemia. In GATA2 deficiency syndrome, 40% of pediatric patients with cytopenia carry monosomy 7, del(7q), or an unbalanced translocation der(1;7), with the highest prevalence in adolescence. Recently, a growing body of research has highlighted the association of monosomy 7 and del(7q) with germline mutations in sterile α -motif domain-containing protein 9 (SAMD9) and SAMD9-like (SAMD9L). SAMD9-like (SAMD9L).

Gain of function (GOF) mutations in SAMD9 and its paralogue SAMD9L were first reported in 2016 in children with MIRAGE (myelodysplasia, infection, restriction of growth, adrenal hypoplasia, genital phenotype, enteropathy) syndrome and ataxia pancytopenia syndrome (ATXPC), respectively.19,23,24 Our recent analysis of pediatric patients with the histopathological phenotype of refractory cytopenia of childhood (RCC) and MDS enrolled in the registry of the European Working Group of MDS in Childhood (EWOG-MDS) revealed that patients with germline SAMD9 and SAMD9L mutations presented with a broad and overlapping phenotypic spectrum. The majority of cases presented with RCC, whereas MDS with excess of blasts (MDS-EB) was diagnosed in only 7% of patients. Furthermore, chromosome 7 aberrations were observed in 55% of all SAMD9and SAMD9L-mutated cases.19

SAMD9 and SAMD9L are located adjacent to each other on chromosome 7. Germline SAMD9/9L GOF mutations result in reduced proliferation and survival of hematopoietic stem and progenitor cells (HSPC). 15,19,25,26 Acquisition of monosomy 7 and del(7q) can be considered somatic rescue events because they result in a non-random loss of the mutant allele, conferring an increased competitive

fitness of HSPC. Yet, concomitant loss of tumor suppressors located on chromosome 7 renders monosomy 7 and del(7g) a maladaptive somatic mechanism with potential for leukemic transformation. Other somatic rescue events frequently detected in bone marrow (BM) cells of affected patients include somatic loss of function (LOF) mutations of SAMD9/9L in cis and uniparental isodisomy 7q (UPD7q) with loss of the mutated allele. 19,21,25,27 Due to the strong selection pressure, multiple hematopoietic clones with clone-defining somatic mutations can simultaneously exist within the marrow. Moreover, in line with the distinct plasticity of hematopoiesis in young children, the polyclonal composition of BM cells may change over time.19 Consequently, monosomy 7 may spontaneously disappear in patients with SAMD9/9L germline disorders, followed by hematologic recovery. 15,16,25,27 This phenomenon, primarily observed in infants, represents a form of "natural gene therapy" that might spare patients from therapy like HSCT. However, little is known about the frequency, natural history of loss of monosomy 7, and factors influencing outcome of affected patients.

Methods

Seven patients less than 5 years of age diagnosed with a SAMD9L germline disorder with monosomy 7 and normal blast percentage in BM were followed closely to allow for spontaneous recovery. BM examinations every 3 to 4 months included histopathology, cytogenetics, and SAMD9L sequencing. Six of the seven patients were enrolled in the prospective study EWOG-MDS 2006 (clinicaltrials gov. Identifier: NCT00662090); for patient 5 (P5), parental consent was obtained for the respective data analyses. University of Freiburg Institutional Ethics Committee approved the research (ethics vote no. 247/05). Patient 2 (P2, D1300) has already been described by Sahoo et al.19 The diagnosis of RCC and MDS-EB was established according to the International Consensus Classification (ICC) of hematologic neoplasms.²⁸ Cytogenetics included classical karyotyping and interphase fluorescence in situ hybridization (FISH). Targeted next-generation sequencing (NGS) of SAMD9L allowed determination of the variant allele frequency (VAF) and detection of newly acquired somatic SAMD9L mutations in cis. In order to screen for somatic oncogenic mutations and corrective UPD7q, a custom-made NGS panel including genes frequently mutated in myeloid neoplasia (in the following called "myeloid NGS panel", see the Online Supplementary Appendix S1) and single nucleotide polymorphism (SNP) array were performed; data from the BM sample prior to HSCT or at last follow-up is reported. The American College of Medical Genetics and Association for Molecular Pathology (ACMG-AMP) 2015 guidelines were employed for the interpretation of variants identified in the myeloid NGS panel.29

Results

Clinical presentation with pancytopenia, immunodeficiency, and multiple non-hematological phenotypes

We report on seven patients with SAMD9L germline disorder who presented with cytopenia and monosomy 7 at a median age of 0.6 years (range, 0.4-2.9). At diagnosis, all patients had moderate to severe neutropenia and three children (P1, P2, P3) required platelet transfusions. Six patients had normocytic (n=5) or macrocytic (n=1) anemia, one patient had a normal hemoglobin concentration with macrocytosis of red cells (P5). Histopathology was compatible with RCC in all seven patients. Immunodeficiency with a variable clinical presentation was present in six patients. The most consistent findings of immunological impairment were hypogammaglobulinemia found in five patients (P1, P2, P5, P6, P7) and B- and natural killer (NK)-cell deficiency present in four (P2, P5, P6, P7). Patient characteristics are summarized in Table 1 and in the Online Supplementary Appendix; complete genetic data are listed in the Online Supplementary Table S1.

Six of the seven patients had a non-hematological phenotype compatible with SAMD9L germline disorder (Table 1). Three patients were born small for gestational age (P1, P2, P7). Patient 1 (P1) was also diagnosed with cerebellar atrophy and global developmental delay. Patient 2 (P2) was a hypotrophic preterm neonate born at 36 gestational weeks with bilateral cleft lip and palate. Patient 3 (P3) presented with macrocephaly. Patient 5 (P5) was a triplet born preterm at 30 gestational weeks who developed hydrocephalus requiring a ventriculoperitoneal shunt in the first year of life. Patient 6 (P6) presented with mild macrocephaly and short thumbs. Patient 7 (P7) had enlarged eye bulbs and failure to thrive.

Spontaneous hematopoietic recovery and clinical course

With a median follow-up of 43 months (range, 40-55), three of the seven patients (P3, P4, P6) experienced spontaneous regression of monosomy 7 (Figure 1; Online Supplementary Figure S1). In patient 4 (P4) and patient 6 (P6), monosomy 7 was no longer detectable 6 and 16 months from diagnosis, respectively. P4 presented at 7 months of age with pancytopenia, requirement for platelet transfusions, and a normal karyotype. Three months after the initial presentation, a small monosomy 7 clone of 7% was noted, which was no longer detectable 3 months later. Concurrently, the absolute neutrophil count (ANC) slowly increased to 1.23x10⁹/L at 3 months and 3.97x10⁹/L at 6 months from diagnosis, and hemoglobin concentration and platelet count reached normal values at six and 14 months, respectively. Concomitantly, BM cellularity increased to an age-adjusted normal value. P6 presented with neutropenia, macrocytosis, and moderate thrombocytopenia at the age of 2.8 years. BM analysis was compatible with hypocellular RCC

with a monosomy 7 clone in 63% of BM interphases. The ANC had slowly increased 7 months after diagnosis and reached close to normal values (ANC 1.47x10°/L) 21 months from diagnosis. By that time, the monosomy 7 clone was no longer detectable and hemoglobin and platelet count were normalized at 16 and 32 months from diagnosis, respectively. P3 presented with pancytopenia at the age of 4 months. BM histopathology was compatible with hypocellular RCC with monosomy 7 in 37% of BM interphases. Nine months later, hemoglobin concentration and platelet count had normalized, but isolated neutropenia (ANC 0.38x109/L) persisted. Thirteen months after diagnosis, trephine biopsy showed a normocellular BM according to age and the complete blood count (CBC) gradually improved. Further invasive procedures were denied, the child was alive with a normalized CBC 4.3 years after diagnosis (age 4.5 years). All four patients that did not experience spontaneous remission (P1, P2, P5, P7) received an allogeneic HSCT with a median interval from diagnosis to HSCT of 26 months (range, 14-40) (Online Supplementary Figure S1). Indications for HSCT were severe neutropenia and/or bacterial infection (P1, P7), persistence of monosomy 7 (P5), and disease progression to MDS-EB (P2). Three of the four transplanted patients (P1, P5, P7) were alive with stable engraftment and complete donor chimerism at last follow-up. P2 who succumbed to transplant-related causes had disease progression prior to HSCT. At the age of 15 months (i.e., 10 months after diagnosis), the patient developed severe neutropenia prompting a 12-day treatment course with granulocyte colony-stimulating factor (G-CSF). Subsequently, the BM blast percentage and cellularity increased consistent with progression to MDS-EB and persisted after G-CSF withdrawal. At the time, two RUNX1 variants (see below) were detected in the BM. After a myeloablative conditioning regimen and an allogeneic HSCT from a matched unrelated donor, the patient developed acute respiratory distress syndrome and veno-occlusive disease and died in hematologic remission.

Somatic events influencing disease outcome

The three patients with spontaneous remission (P3, P4, P6) had somatic SAMD9L LOF mutations in cis known to disrupt the germline allele. Figure 1 depicts the courses of the VAF of SAMD9L, monosomy 7, and ANC. P3 acquired a somatic SAMD9L variant (SAMD9L c.1765C>T, p.R589*) 13 months from diagnosis (VAF 12%), molecular analyses at later time points could not be obtained. P4 acquired two somatic SAMD9L variants with a VAF of 27% (SAMD9L c.683G>A, p.C228Y) and 4% (SAMD9L c.2699>G, p.Y900C) 6 months from diagnosis concomitantly with loss of the previously diagnosed monosomy 7. Both SAMD9L clones remained stable over time, a third somatic SAMD9L variant (SAMD9L c.3562C>T, p.R1188*, VAF 4%) was detected 41 months after diagnosis. P6 harbored a somatic SAMD9L variant (SAMD9L c.4224dupA, p.Q1409Tfs*49) with a VAF of 6% at diagnosis. The somatic SAMD9L variant slowly increased to 35%, while the monosomy 7 clone decreased in size over time and subsequently disappeared at 16 months from diagnosis.

Importantly, we also detected somatic *SAMD9L* mutations in *cis* in two patients (P2, P7) who did not experience hematological recovery and/or a decrease in monosomy 7 clone size (*Online Supplementary Table S1; Online Supplementary Figure S1*). P2 acquired a somatic *SAMD9L* variant 1 month after diagnosis (*SAMD9L* c.768dup, p.K257*, VAF 6%). Within 12 months, the VAF of this variant increased

to 23%, however, the disease progressed to MDS-EB. P7 had a large monosomy 7 clone at diagnosis and acquired a somatic *SAMD9L* variant (*SAMD9L* c.2385C>A, p.Y795*) with a VAF of 6% 6 months later. The patient received an allograft for persistent severe neutropenia 10 months later. The myeloid NGS panel analysis demonstrated that two patients (P2, P5) had acquired oncogenic mutations during the course of their disease (*Online Supplementary Table S1*; *Online Supplementary Figure S1*). P2 acquired two pathogenic *RUNX1* mutations 12 months from diagnosis

Table 1. Phenotype and clinical course of seven patients with SAMD9L germline mutations and monosomy 7.

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Patient N (UPN)	Sex	Age at dx in mths	CBC at dx (WBC, ANC, PLT, Hb, MCV)	Non-hematological phenotype	Somatic SAMD9L mutation at last FU (N)	Monosomy 7 clone size at dx	Somatic cancer gene mutation at last FU (N)	Age at HSCT in mths	Status at last FU
				Immunological phenotype		Loss of monosomy 7		HSCT indication	
P1 (D1297)	М	6	6.40x10 ⁹ /L, 0.70x10 ⁹ /L, 100x10 ⁹ /L *, 9.5 g/dL, 79 fL	SGA, cerebellar atrophy, global developmental delay	No	73%	No	46	Alive
				Hypogammaglobulinemia		No		Necrotizing granulomatous lymphadenitis	
P2 (D1300)	F	5	3.90x10°/L, 0.39x10°/L, 3x10°/L, 6.7 g/dL, 75 fL	Preterm infant (36 GW), SGA, cleft lip and palate	Yes (1)	80%	RUNX1 (2)	19	Dead
				Hypogammaglobulinemia, B/NK cell deficiency		No		MDS-EB	
P3 (GR012)	M	4	3.14x10°/L, 0.35x10°/L, 12x10°/L, 8 g/dL, 80 fL	Macrocephaly	Yes (1)	No	No	NA	Alive
				None		NA			
P4 (B063)	M	7	7.10x10 ⁹ /L, 0.71x10 ⁹ /L, 107x10 ⁹ /L [#] , 8 g/dL, 78 fL	None	Yes (3)	7%	No	NA	Alive
				None		Yes			
P5 (KM)	F	17	4.50x10 ⁹ /L, 0.50x10 ⁹ /L, 170x10 ⁹ /L, 12.3 g/dL, 92 fL	Preterm triplet (30 GW), hydrocephalus	No	52%	RUNX1 (1), EZH2 (2)	53	Alive
				Hypogammaglobulinemia, B/NK cell deficiency		No		Persistent -7	
P6 (CZ132)	F	34	4.10x10 ⁹ /L, 0.81x10 ⁹ /L, 46x10 ⁹ /L, 11 g/dL, 94 fL	Mild macrocephaly, short thumbs	Yes (1)	63%	No	NA	Alive
				Hypogammaglobulinemia, B cell deficiency		Yes			
P7 (A146)	F	15	2.54x10 ⁹ /L, 0.25x10 ⁹ /L, 79x10 ⁹ /L, 7.7 g/dL, 89 fL	SGA, failure to thrive, big eye bulbs	Yes (1)	66 %	No	32	Alive
				Hypogammaglobulinemia, B/NK cell deficiency		No		Severe neutropenia	

^{*}Post-transfusion. SAMD9L: sterile α-motif domain-containing protein 9-like; N: number; UPN: unique patient number; dx: diagnosis; mths: months; CBC: complete blood count; WBC: white blood cells; ANC: absolute neutrophil count; PLT: platelets; Hb: hemoglobin; MCV: mean corpuscular volume; FU: follow-up; HSCT: hematopoietic stem cell transplantation; SGA: small for gestational age; NA: not applicable; GW: weeks of gestation; MDS-EB: myelodysplastic syndrome with excess blasts.

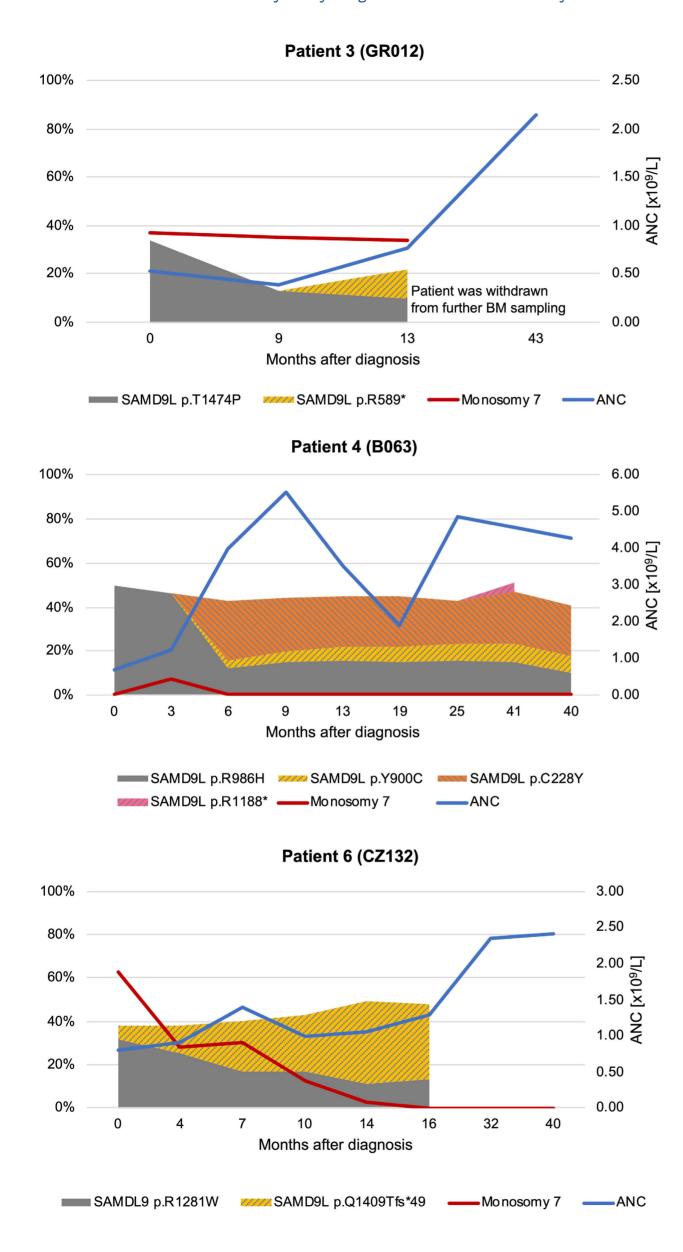


Figure 1. Course of SAMD9L variant allele frequency, monosomy 7 (analyzed by fluorescence in situ hybridization), and absolute neutrophil count of patient 3, 4, and 6. ANC: absolute neutrophil count; BM: bone marrow; SAMD9L: sterile α -motif domain-containing protein 9-like.

(*RUNX1* c.317G>A, p.W106*; *RUNX1* c.496_508+2dup), which was accompanied by disease progression to MDS-EB. In P5, a pathogenic variant in *RUNX1* (*RUNX1* c.593A>G, p.As-p198Gly) and a variant of unknown significance in *EZH2* (*EZH2* c.1672+3_1672+4del) were detected 30 months after diagnosis. At this time point, the monosomy 7 clone size had increased again to 12% following an initial decrease from 52% to 4% and the patient had already been scheduled for HSCT for persistent monosomy 7.

Discussion

Somatic genetic rescue (SGR) events that (at least in part) abrogate an underlying deleterious germline defect and thereby confer a selective advantage have been described in several inherited bone marrow failure and leukemia pre-disposition syndromes.³⁰⁻³⁶ Prime examples are SAMD9/9L germline disorders with multiple SGR events typically resulting in a polyclonal BM (Figure 2).¹⁹

This case series describes the natural history of transient monosomy 7 in seven children with *SAMD9L* germline disorders below the age of 5 years at diagnosis. Spontaneous hematologic recovery was observed in three children; complete loss of monosomy 7 was demonstrated in two children and can be assumed in the third patient who was withdrawn from follow-up BM studies but normalized his CBC. In all three patients, improvement of blood counts was accompanied by the emergence and/or expansion of clones harboring somatic *SAMD9L* mutations in *cis* as well as a gradual decrease in monosomy 7 clone size. However, somatic *SAMD9L* mutations in *cis* were also detected in two patients who did not experience hematologic recovery.

This finding underlines that the acquisition of adaptive SGR clones such as somatic *SAMD9L* mutations in *cis* does not reliably predict loss of monosomy 7 or favorable clinical outcome. In Interestingly, we did not detect somatic genetic reversion by UPD7q with non-random loss of the *SAMD9L* germline variant in either of the two patients with spontaneous remission and BM material available for SNP array. Whether somatic *SAMD9L* mutations in *cis* and UPD7q are functionally equivalent with respect to long-term sustainability of normal hematopoietic function is currently unknown.

It is well established that the somatic mutational landscape in children with MDS differs from that observed in adults. 19,21,37-39 The nature of somatic variants noted in pediatric MDS is largely dependent on the underlying genetic predisposition, 19,34,36,40,41 the morphological subtype (MDS-EB vs. RCC), and the karyotype (monosomy 7 vs. other karyotypes).15,21 Our recently published data demonstrated that SAMD9/9L-mutated patients most frequently harbored mutations in SETBP1, ASXL1, RUNX1, EZH2, and the RAS pathway genes, thereby resembling the somatic mutational pattern of GATA2 deficiency and MDS with monosomy 7 without underlying GATA2 or SAMD9/9L germline mutations.19 In two of the patients described here, we observed the emergence of somatic mutations in RUNX1 and EZH2 during BM surveillance. Somatic variants in RUNX1 play a critical role in leukemic transformation in a number of predisposition syndromes, specifically in severe congenital neutropenia SCN and Fanconi anemia: Skokowa et al. detected somatic RUNX1 mutations in 64% of pediatric patients with MDS/ acute myeloid leukemia following SCN,40 while Sebert et al. described RUNX1 alterations in 34% of Fanconi anemia patients with clonal hematopoiesis.36

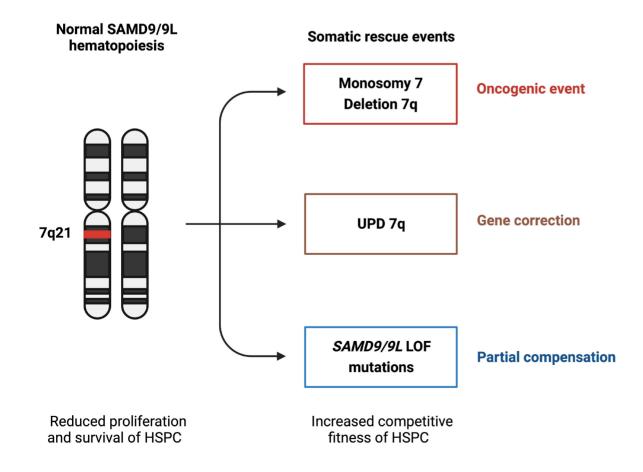


Figure 2. Somatic rescue events in SAM-D9/9L germline disorders. UPD: uniparental isodisomy; LOF: loss-of-function; HSPC: hematopoietic stem and progenitor cells; SAMD9L: sterile α -motif domain-containing protein 9-like. Created with BioRender. com.

Meticulous description of the sequence of acquisition of individual somatic aberrations during leukemogenesis is a prerequisite for unraveling their impact on outcome of patients with pediatric MDS. Schwartz *et al.* reported that the presence of somatic *SETBP1* mutations is associated with a poor outcome in patients with MDS-EB.²¹ *SETBP1* mutations are often noted in the context of monosomy 7.¹⁵ In our previous report, 16 of 59 patients (27%) with SAMD9/9L germline disorders and normal blast count carried somatic oncogenic mutations, and twelve of the 16 patients had monosomy 7. The presence of monosomy 7 had a negative impact on patient outcome; among patients with SAMD9/9L syndrome and normal blast count, 5-year overall survival was 93% in patients with normal karyotype and 73% in the presence of monosomy 7.¹⁹

To the best of our knowledge, somatic genetic rescue with complete hematological recovery in SAMD9L syndrome and monosomy 7 has only been observed in patients less than 5 years of age. This age dependency may indicate that BM plasticity substantially decreases during the first years of life. At the same time, it provides a window of opportunity for BM surveillance that might spare some of these affected children from allogeneic HSCT. Reoccurrence of monosomy 7 has not been described, the longest reported follow-up is 20 years. 15

Nevertheless, monosomy 7 remains a risk factor for potential disease progression. Although BM sampling is an invasive procedure requiring analgesic sedation or anesthesia in small children, frequent BM examinations (e.g., q3-4 months) are advised to detect emerging oncogenic mutations early during malignant transformation. Of note, two of the seven patients included in the surveillance strategy reported were transplanted for infection and severe neutropenia. When initiating a surveillance strategy, treating physicians need to be aware that these young children with severe to moderate neutropenia, B- and NK-cell deficiency, and/or hypogammaglobulinemia are at increased risk for life-threatening infections.

This case series has two main limitations. First, the small number of patients renders it difficult to draw definitive conclusions about patient management. Furthermore, since our myeloid NGS panel has a detection limit of 5%, small somatic clones might have been missed in some patients. Future studies of larger patient cohorts using more sensitive sequencing methods such as duplex unique molecular identifiers sequencing⁴³ or single-cell sequencing approaches could address these open questions.

In summary, surveillance instead of upfront HSCT can give some patients less than 5 years of age with SAMD9L syndrome and monosomy 7 a chance to experience spontaneous hematological remission. However, stringent indications for HSCT are recommended to render this expectant approach a safe procedure in this young patient population. Although the mechanism of loss of monosomy 7 is not fully elucidated yet, clinical experience indicates that expansion of clones with somatic rescue mutations in *cis* is sufficient to allow for hematopoietic regeneration in some patients. Such "natural gene therapy" provides a promising template for novel gene therapy approaches. Introducing stop mutations, which are the most frequent somatic rescue events in SAMD9L disease, is technically well feasible rendering SAMD9/9L germline syndromes most amenable to gene therapy.

Disclosures

No conflicts of interest to disclose.

Contributions

ME and FA designed the research, analyzed, interpreted clinical data and wrote the manuscript. CM, CMN and MWW contributed to the manuscript conception. ME, BS, AY, CMN, MS, JS, BdW, JvdWTB, MD, MGS, SP, RB, CK, MN and MCF were involved in patient care, testing and data presentation. All authors contributed to the manuscript and approved its final version.

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Data-sharing statement

All data relevant to the study are included in the article or uploaded in the Online Supplementary Appendix.

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