# IGH 3'RR recombination uncovers a non-germinal center imprint and c-MYC-dependent IGH rearrangement in unmutated chronic lymphocytic leukemia

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## Abstract

Chronic lymphocytic leukemia (CLL) is an incurable indolent non-Hodgkin lymphoma characterized by tumor B cells that weakly express a B-cell receptor. The mutational status of the variable region (IGHV) within the immunoglobulin heavy chain (IGH) locus is an important prognosis indicator and raises the question of the CLL cell of origin. Mutated IGHV gene CLL are genetically imprinted by activation-induced cytidine deaminase (AID). AID is also required for IGH rearrangements: class switch recombination and recombination between switch Mu (Sµ) and the 3' regulatory region (3'RR) (Sµ-3'RRrec). The great majority of CLL B cells being unswitched led us to examine IGH rearrangement blockade in CLL. Our results separated CLL into two groups on the basis of Sµ-3'RRrec counts per sample: Sµ-3'RRrec<sup>High</sup> cases (mostly unmutated CLL) and Sµ-3'RRrec<sup>Low</sup> cases (mostly mutated CLL), but not based on the class switch recombination junction counts. Sµ-3'RRrec appeared to be ongoing in Sµ-3'RRrec<sup>High</sup> CLL cells and comparison of Sµ-3'RRrec junction structural features pointed to different B-cell origins for both groups. In accordance with IGHV mutational status and PIM1 mutation rate, Sµ-3'RRrec<sup>High</sup> CLL harbor a non-germinal center experienced B-cell imprint while Sµ-3'RRrec<sup>Low</sup> CLL are from AID-experienced B cells from a secondary lymphoid organ. In addition to the proposals already made concerning the CLL cell of origin, our study highlights that analysis of IGH recombinatory activity can identify CLL cases from different origins. Finally, on-going Sµ-3'RRrec in Sµ-3'RRrec<sup>High</sup> cells appeared to presumably be the consequence of high c-MYC expression, as c-MYC overexpression potentiated IGH rearrangements and Sµ-3'RRrec, even in the absence of AID for the latter.

## Introduction

Being one of the most frequent B-cell cancers of the elderly, chronic lymphocytic leukemia (CLL) is characterized by lymphocytosis exceeding ≥5.0×10<sup>9</sup>/L, and is composed of small circulating monomorphic round CD19<sup>+</sup> CD23<sup>+</sup> CD5<sup>+</sup> B cells, as well as bone marrow and secondary lymphoid organ infiltration in most cases.<sup>1</sup> CLL evolution is highly variable, with overall survival ranging from a few years to decades and is still incurable despite the development of new therapeutics such as Bruton tyrosine kinase or Bcl2 inhibitors.

Binet and Rai classifications are still the most reliable staging systems to predict CLL course and are the keystones of clinical decision for treatment.<sup>2,3</sup> However, patients show marked karyotypic and genetic heterogeneity which also

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Received:
Accepted:
Early view:

February 13, 2023. July 20, 2023. July 27, 2023.

#### https://doi.org/10.3324/haematol.2023.282897

©2024 Ferrata Storti Foundation Published under a CC BY-NC license 😇 😷 😒 influences overall survival rate and prediction of therapeutic response. Major prognosis factors are chromosomal abnormalities such del17p, del11q, trisomy 12, isolated del13q or complex karyotypes which highlight genomic instability in CLL pathogenesis.<sup>4</sup> Among poor prognosis genetic abnormalities are those involving the Notch pathway (*Notch1* mutations), NF- $\kappa$ B activation (*BIRC3* or *MYD88* mutations), splicing (*SF3B1*), the DNA lesion sensor *ATM* or the *TP53* anti-oncogene.<sup>5</sup>

Underscoring the role of the B-cell receptor (BCR) in this B-cell cancer, another important prognosis indicator is the mutational status of the variable region (IGHV) within the immunoglobulin heavy chain (IGH) locus, which separates CLL patients into two groups: those with an unmutated variable region and those with a mutated IGHV rearranged gene (umCLL and mCLL, respectively). The former bestows a poor prognosis while patients with a very long survival rate are found in the latter group.<sup>6,7</sup> Enforcing the role of the BCR is the fact that 30% of CLL patients express a socalled "stereotyped receptor", which suggests the role of common antigenic determinants in the promotion of B-cell transformation.<sup>8</sup>

The fact that mCLL are genetically imprinted by activation-induced cytidine deaminase (AID)-dependent IGHV somatic hypermutation (SHM) raises the question of a CLL group with a post-germinal center (GC) B-cell counterpart. Recent methylome analyses suggest proximities between mCLL and GC experienced memory B cells on the one hand and umCLL and naïve B cells on the other hand.9-11 However, CLL cells exhibit a unique CD5<sup>+</sup>, CD23<sup>+</sup>, CD27<sup>+</sup>, CD43<sup>+</sup> with low levels of surface immunoglobuln (Ig)M and IgD immunophenotype, which is different from that of any normal B cell.<sup>12,13</sup> Gene expression profiles revealed that both CLL groups share a characteristic gene expression signature that is close to that of antigen-experienced B cells.<sup>12,14</sup> Reconstruction of B-cell differentiation trajectories indeed suggested that precursors of both umCLL and mCLL have reached the antigen-experienced memory B-cell stage.<sup>13</sup> It has also been proposed that CLL may originate from an extra-follicular B-cell response since maturation of these cells is antigen driven and can be either mutated or not.<sup>12</sup> Therefore, more than 20 years after the papers of Hamblin and Damle,<sup>6,7</sup> this question of the CLL cell of origin (COO) has not been clearly answered and umCLL could differ from mCLL mainly by expressing BCR-related mitogenic markers.<sup>14</sup>

The fact that most CLL cells express an IgM raises the question of class switch recombination (CSR) blockade in this B-cell cancer. Low levels of CSR can be observed in a small fraction of CLL tumor cells and seem to correlate with AID expression in these intraclonal switched CLL cells.<sup>15-17</sup> AID has been repeatedly detected in CLL B cells independently of the IGHV mutational status.<sup>15</sup> AID likely contributes to CLL evolution and seems to generate intraclonal diversity targeting the IGH locus and non-Ig

off-targets.<sup>18</sup> Physiologically, AID induces CSR in activated proliferating B cells.<sup>19,20</sup> CSR results from IGH intrachromosomal recombination between the switch  $\mu$  (S $\mu$ ) region and another switch region (so-called Sx) located upstream of one of the constant genes.<sup>21</sup> CSR requires double strand DNA breaks (DSB). Converting a cytosine into uracil, AID creates a U:G mismatch that is targeted by the base excision repair (BER) pathway to excise the uracil base using uracil-DNA-glycosylase (UNG). This results in an abasic site which is processed and generates a single strand DNA break (SSB). Several SSB on both DNA strands then produce DSB which are repaired through the ubitquitous DSB repair (DSBR) response. In B cells, during CSR, DSB repair occurs by the joint action of the non-homologous end joining (NHEJ) and alternative end-joining (Alt-EJ) pathways.<sup>22,23</sup> With XRCC4 for the ligation step, NHEJ depends on 53BP1/ Rif1<sup>24,25</sup> which recruits the Shieldin complex. Both 53BP1/ Rif1 and Shieldin complexes are essential for protection against the resection of DNA ends.<sup>26-28</sup> Less understood and described, Alt-EJ involves PARP1, POLQ and/or LigIII. These DSBR pathways differently shape the structure of the repair junction; each single junction is thus unique. Being highly conserved across mammalian species (human, mouse, dog, rabbit etc.), the 3' regulatory regions (3'RR) of the IGH locus are key regulatory regions for CSR. 3'RR exhibits a singular structure with three DNaseI hypersensitive (HS) sites (HS3, HS1-2, and HS4) harboring strict specific B-lineage transcriptional enhancer activity related to a "quasi-palindrome" organization where inverted repeated sequences flank the HS1-2 sequence which is the symmetry center element.<sup>29</sup> The 3'RR can also be recombined with the Sµ region in an AID-dependent manner (Sµ-3'RRrec).<sup>30</sup> Su-3'RRrec has been shown to occur in vitro and in vivo in activated murine and human B cells.<sup>30-32</sup> It has been repeatedly detected in secondary lymphoid organs and peripheral blood mononuclear cells (PBMC) of both mice and humans. Similar to CSR in activated mature B cells, Su-3'RRrec occurs between transcribed recombination donor and acceptor DNA segments. However, we observed that the structure of Sµ-3'RRrec junctions in murine B cells was different from that of CSR.<sup>31</sup> Indeed, Sµ-3'RRrec junctions are reminiscent of the usage of NHEJ and/or Alt-EJ, with regard to the repair signature at  $S\mu$ -3'RRrec joints and the recruitment of Alt-EJ but not NHEJ components at the 3'RR locus in mice.<sup>31</sup> In contrast with CSR, Alt-EJ seems, therefore, to surface as the major contributor. Therefore, some arguments support the fact that  $S\mu$ -3'RRrec is a different IGH recombination from that of CSR. When Su-3'RRrec hits the IGH locus, it results in the excision of the whole cluster of constant IGH genes. This should kill BCR expression if occurring on the functional IGH allele. Since in vivo loss of BCR induces B-cell death,  $^{\scriptscriptstyle 33}$  Sµ-3'RRrec was initially called "locus suicide recombination". However, to date, the search for such B cells lacking BCR due to  $S\mu$ -3'RRrec has failed.

Moreover, high throughput sequencing studies on human

BCR-positive circulating B cells revealed that amplicons covering  $S\mu$ -3'RRrec junctions certainly came from the non-functional IGH allele.<sup>32</sup> Thus, at present, the function of  $S\mu$ -3'RRrec in B-cell physiology remains unclear.

In this study, we raised the question of IGH switch blockade in CLL. For that purpose, we analyzed both CSR and Sµ-3'RRrec junctions as reflections of putative switch activity. Our results revealed that CLL patients could be separated into two groups with different prognoses on the basis of Sµ-3'RRrec counts but not CSR junction counts. Cases with increased Sµ-3'RRrec were indeed related to umCLL. Comparison between both groups revealed that Sµ-3'RRrec was likely to be ongoing in tumor cells from CLL patients with increased Sµ-3'RRrec counts. Structural features of Sµ-3'RRrec junctions revealed an imprint that pointed to a different B-cell origin for both groups. Moreover, Sµ-3'RRrec appeared to be potentiated by MYC overexpression even in the absence of AID.

## **Methods**

### Human materials and ethics

The project was conducted according to the guidelines of the Declaration of Helsinki. CLL peripheral blood mononucelar cells (PBMC) were obtained from CRBioLim, CHU Dupuytren, Limoges Hospital (authorization no.: DC-2008-604, AC-2016-2758, and AC-2019-3418). Tonsils were obtained from children scheduled for elective tonsillectomy from CRBioLim (authorization no.: DC-2008-604, AC-2018-3157). PBMC from healthy volunteers (HV) were collected through the research project approved by CPP Sud Méditerranéel (authorization no.: 2021-A00778-33).

## Human class switch recombination and Sµ-3'RRrec junction counts

Human CSR and Sµ-3'RRrec junctions were amplified as described<sup>32</sup> and used to prepare next-generation sequencing (NGS) libraries (Ion Xpress<sup>™</sup> Plus Fragment Library Kit, Life Technologies, Thermofisher, 447269) sequenced with an Ion Proton or S5 chip (Life Technologies). FastQ were analyzed using CSReport.<sup>34</sup> CSR and Sµ-3'RRrec junction diversities were estimated through the Shannon Diversity Index (see the *Online Supplementary Appendix*). The Jurkat cell line and naïve B cells sorted from PB of healthy donors (n=2) served as negative controls for Sµ-3'RRrec junction detection (no Sµ-3'RRrec junctions were detected).

## CH12F3 class switch recombination and S $\mu$ -3'RRrec junction counts

The CH12F3 cells were transfected or not by MYC expression vector (Plasmid#74164, Addgene) and cultured in RPMI1640 with Ultra Glutamine, 10% fetal calf serum (FCS) (Lonza), sodium pyruvate (Lonza), penicillin/streptomycin (Lonza), non-essential amino acids (Lonza) and  $\beta$ 2-mercaptoetha-

nol. Cells were stimulated for CSR toward IgA for 72 hours with murine interleukin (IL)-4 (5 ng/mL; PeproTech), human TGF- $\beta$ 1 (1 ng/mL; R&D Systems), and murine anti-CD40 antibody (Ab) (1 µg/mL; eBioscience). CSR and Sµ-3'RRrec junctions were amplified by nested polymerase chain reaction (PCR) using specific primers (*Online Supplementary Table S3*) as described.<sup>34</sup>

#### **IGHV sequence analysis**

V, D, and J rearranged genes were amplified using the Biomed-2 strategy with FR1 and FR2 primers and sequence analyses were performed as described.<sup>35</sup> The IGHV intra-clonal diversity analysis workflow is described in the *Online Supplementary Appendix*. Diffuse large B-cell lymphoma IGHV sequences are from Rizzo *et al.*<sup>36</sup>

### Flow cytometry analysis

Immunophenotyping was done on a Navios-flow cytometer (Beckman Coulter) with the protocol for routine CLL diagnosis using: CD5-APC (Beckman Coulter PN a60790, clone BL1a), CD19-ECD (Beckman Coulter A07770, clone J3-119) and anti-human  $\kappa$  light chain/anti-human  $\lambda$  light chains/RPE (Dako, FR481 X0935). Results were analyzed with Kaluza software version 2.1 (Beckman Coulter).

## RNA extraction, cDNA synthesis and quantitative real time polymerase chain reaction

Total RNA was isolated (TRIzol<sup>™</sup> Reagent, 15596018) and reverse-transcribed (Advantage RT-for-PCR kit Applied Biosystems<sup>™</sup>, Thermofisher 4368814/10400745). Quantitative real time PCR (qRT-PCR) were performed with the SYBR-Green PCR mix (SensiFast hi ROX Syber Green BIO820025) and primers (*Online Supplementary Table S3*) or with Taqman PCR mix (SensiFast Probe Hi-Rox kit BIO820025) and MYC probe (4331182 Hs00905030\_m1, Thermofisher). Normal centroblasts and naïve B cells were sorted from tonsils as described.<sup>37</sup>

#### **Relative telomere length assay**

DNA (25 ng) extracted from PBMC was used in triplicate to assess relative telomere assay (RTL) by qPCR as described previously.<sup>38</sup>

### Mutation analysis of PIM1

We amplified *PIM1* exon 4 (*Online Supplementary Table S3*), containing a CLL AID-targeted nucleotide,<sup>18</sup> using Phusion High Fidelity Taq (Thermo Scientific, F-530XL). Products were used to build NGS libraries. The analysis workflow is described in the *Online Supplementary Appendix*.

#### **Statistical analysis**

Graphs, histograms, curves, and standard statistical analyses were designed using GraphPad Prism 6x software. Fisher tests were done with R (version 4.3.0) using the RStudio interface (RStudio 2023.03.0 Build 386). Kaplan Meyer survival curves and Cox univariate and multivariates analyses were done using the R Survival package (URL: https://github.com/therneau/survival).

## Results

# Chronic lymphocytic leukemia patients can be separated into two groups according to S $\mu$ -3'RR recombination

We analyzed counts of Su-3'RR recombination (Su-3'RRrec) in DNA samples collected at CLL diagnosis from 47 patients. Blood tumor infiltration was over 90% circulating lymphocytes in 42 of 47 (89%) cases and over 98% in 37 of 42 cases (79%) (Online Supplementary Figure S1A). Comparison of junction counts was performed with results obtained from DNA from PBMC of nine HV. As negative controls, we used the Jurkat cell line and naïve B cells sorted from PB of healthy donors (n=2), in which no Su-3'RRrec junctions could be detected (*data not shown*). Even at low levels, Sµ-3'RRrec was found at comparable levels in both HV and CLL (Online Supplementary Figure S1B), and was undetectable in only three of 47 (6.3%) CLL patients. We separated CLL patients into two groups, using as a threshold value the mean of  $S\mu$ -3'RRrec counts in HV (Online Supplementary Table S1), called Sµ-3'RRrec<sup>High</sup> (12/47 patients =26%), and Su-3'RRrec<sup>Low</sup> (35/47 patients =74%) (Figure 1A). Analysis of CSR and Su-3'RR recombinations was based on a nested-PCR approach. Somatic hypermutation (SHM) could theoretically introduce mutations in primer binding DNA, particularly in CLL.<sup>39</sup> But here, low levels of  $S\mu$  (mutation rate average +/- standard error of the mean for Sµ-3'RRrec<sup>Low</sup>, Sµ-3'RRrec<sup>High</sup> and HV PBMC respectively are: 1.828+/-0.306, 1.475+/-0.302 and 0.870+/-0.090) and 3'RR2 (mutation rate average +/- standard error of the mean

for Sµ-3'RRrec<sup>Low</sup>, Sµ-3'RRrec<sup>High</sup> and HV PBMC respectively: 0.048+/-0.018, 0.062+/-0.020 and 0.056+/-0.033) mutation frequency. In DNA segments from Sµ-3'RRrec junctions, absence of significant differences between samples ruled out significant bias in amplification of the Sµ-3'RRrec junctions and comparison between Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL. Sµ-3'RRrec counts were not dependent on CLL B-cell richness as shown in the *Online Supplementary Figure S1C*. Moreover, the percentages of CLL B cells were similar in Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL samples (*Online Supplementary Figure S1D*).

As expected in this IgM<sup>+</sup> B-cell cancer, CSR junction levels were much lower in CLL than in HV samples. CSR counts were similarly low in both the Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL groups (Figure 1B). We did not find any significant association between increased Sµ-3'RRrec and CSR counts as shown in the *Online Supplementary Table S2*. Moreover, the correlation between CSR and Sµ-3'RRrec counts was poor (correlation coefficient r=0.2; *data not shown*). Thus, Sµ-3'RRrec<sup>High</sup> CLL specifically exhibited increased Sµ-3'RRrec counts when compared to CSR.

## Most patients with Increased S $\mu$ -3'RRrec counts had unmutated chronic lymphocytic leukemia

In order to further study Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL, we analyzed the IGHV mutational status, an important prognosis indicator of poor outcome. Even if being cautious for small numbers, no significant IGHV gene repertoire bias was found between CLL groups (*Online Supplementary Figure S2*). IGHV clonal rearrangements of Sµ-3'RRrec<sup>High</sup> CLL cases exhibited stronger homology to IGHV reference sequences (Figure 2A). With the threshold of 98% homology, nine of 12 (75%) Sµ-3'RRrec<sup>High</sup> CLL were not or only weakly mutated (mean IGHV mutation rate =98.1%). One additional



Figure 1. Sµ-3'RRrec is detectable in chronic lymphocytic leukemia patients and Sµ-3'RRrec counts are significantly increased in Sµ-3'RRrec<sup>High</sup> samples. (A) Sµ-3'RR recombination (Sµ-3'RRrec) junction counts analyzed by next-generation sequencing and CSReport in healthy volunteer peripheral blood mononuclear cells (PBMC) (N=9, 239 Sµ-3'RRrec junctions) and chronic lymphocytic leukemia (CLL) patients, divided into 2 groups based on the mean of junction counts obtained in healthy PBMC: Sµ-3'RRrec c<sup>Low</sup> CLL (≤27 junctions per sample, N=35, 357 junctions) and Sµ-3'RRrec<sup>High</sup> CLL (>27 junctions per sample, N=12, 703 junctions). (B) Class switch recombination (CSR) junction counts were at comparable levels in both CLL groups (Sµ-3'RRrec<sup>Low</sup>: N=35, 22,247 junctions; Sµ-3'RRrec<sup>High</sup>: N=11, 10,739 junctions) and were lower than in HV PBMC (N=11, 27,528 junctions). Graphs represent the mean ± standard error of the mean. Statistical analyses were performed using unpaired *t* test. 3'RR: 3' regulatory region; NS: not significant; \*\**P*<0.01; \*\*\**P*<0.001.

Sµ-3'RRrec<sup>High</sup> CLL had 97% IGHV sequence homology with the reference. Sµ-3'RRrec<sup>Low</sup> cases were mCLL for which 20 of 35 (57%) cases had a mean IGHV mutation rate =4.8%; P=0.043. Consistently, we found that Sµ-3'RRrec<sup>High</sup> patients exhibited low rates of AID off-target *PIM1* mutations (Figure 2B). As CD19 transcription and expression at the cell surface are specific for the B-cell compartment and were similar between Sµ-3'RRrec<sup>Low</sup> and Sµ-3'RRrec<sup>High</sup> CLL (*Online Supplementary Figure S3A, B*), transcript expression levels were normalized to those of CD19. When compared to centroblasts and naïve B cells sorted from benign inflammatory tonsils, AID transcript levels were comparable in both Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL, being as low

as in naïve B cells, regardless of the mutated or unmutated IGHV status (Figure 2C).

In agreement with the strong predominance of umCLL in this group, Sµ-3'RRrec<sup>High</sup> CLL were associated with decreased treatment-free survival (TFS) (≈14 months compared to ≈71 months; P<0.001; Figure 2D). In comparison, separating patients into CSR<sup>Low</sup> and CSR<sup>High</sup> groups did not result in significant differential TFS even if survival curves were separated (*Online Supplementary Figure S1E*). For this series, TFS also strongly depended on the Binet stage and the IGHV mutation status, and marginally depended on lymphocytosis and cytogenetics (*Online Supplementary Figure S5*). In order to search for independent variables, a



Figure 2: Enrichment in unmutated chronic lymphocytic leukemia and poor prognosis of chronic lymphocytic leukemia patients with increased  $S\mu$ -3'RRrec counts. (A) Low  $S\mu$ -3'RR recombination ( $S\mu$ -3'RRrec<sup>Low</sup>) chronic lymphocytic leukemia (CLL) cases (N=34) had lower percentages of sequence identity with the reference sequence compared to the high homology of the variable region of the immunoglobulin heavy chain variable region (IGHV) segments in  $S\mu$ -3'RRrec<sup>High</sup> CLL (N=12). For each CLL group, the somatic hypermutation rate mean is indicated above the graph. (B) Sequence analysis of *PIM1*, activation induced-cytidine deaminase (AID) off-target gene. The mutation rate of *PIM1* was significantly increased in  $S\mu$ -3'RRrec<sup>Low</sup> patients (N=8) compared to healthy peripheral blood mononuclear cells (PBMC) (N=8) and  $S\mu$ -3'RRrec<sup>High</sup> CLL (N=7). (C) AID transcripts, relative to CD19 transcripts, were lower in  $S\mu$ -3'RRrec<sup>Low</sup> CLL (N=7) and  $S\mu$ -3'RRrec<sup>High</sup> CLL (N=6) compared to normal B centroblasts (N=4) used as positive controls and comparable to AID transcript levels in sorted naïve B cells (N=4) used as negative controls. Purple dots correspond to mutated IGHV CLL samples. (D) Cumulative survival (Cum survival) time (years) without treatment (treatment-free survival [TFS]) for patients indicated shorter TFS in  $S\mu$ -3'RRrec<sup>High</sup> CLL (N=12) than  $S\mu$ -3'RRrec<sup>Low</sup> CLL (N=34). Graphs represent the mean  $\pm$  standard error of the mean. Statistical analyses were performed using unpaired *t* test (A, B, C) or X<sup>2</sup> test (D). 3'RR: 3' regulatory region; NS: not significant; \**P*<0.05; \*\**P*<0.01; \*\*\**P*<0.001.

Cox univariate analysis was first done for Sµ-3'RRrec status, Binet stage, lymphocytosis, age, IGHV mutation status and cytogenetics (Table 1). A first Cox multivariate model was constructed with variables with a P value <0.2, id est Su-3'RRrec status, Binet stage, IGHV mutation status, and cytogenetics (Table 1). In this model, IGHV mutation status and Binet stage were the two independent variables. However, a Cox model including only IGHV mutation and Sµ-3'RRrec status suggested that the confounding variable was the IGHV mutation. Indeed, a second model replacing IGHV mutation status by lymphocytosis pointed on Sµ-3'RRrec status as the sole independent variable (Table 1). Revealing strong overlaps between IGHV mutation and Su-3'RRrec status in terms of TFS, these analyses reflect the enrichment Sµ-3'RRrec<sup>High</sup> group in umCLL, which are very well known to have a poor prognosis.<sup>6,7</sup>

## In contrast to poorly-diversified IGHV clonal rearrangements, Sµ-3'RRrec<sup>High</sup> chronic lymphocytic leukemia exhibited increases in both Sµ-3'RRrec and class switch recombination diversities with increased *IGH* locus accessibility

Sµ-3'RRrec and CSR result from random IGH recombination involving two DNA DSB, one in the Sµ donor region and one in the 3'RR or Sx acceptor region respectively. Since CLL is IgM<sup>+</sup>, Sµ-3'RR rearrangements have to occur on the non-productive IGH allele; this raises the question of Sµ-3'RRrec clonality. Because CSR can also take place on the non-productive allele, both Sµ-3'RRrec and CSR junction diversities were evaluated using the Shannon index which measures the number of different junctions in sequencing libraries.<sup>39</sup> HV were used here as controls of "polyclonal" junctions. Diversities of both Sµ-3'RRrec and

**Table 1.** Univariate and multivariate analysis of treatment-free survival including biological parameters in the chronic lymphocytic leukemia cases from the study.

	Univariate analysis				Multivariate analysis model 1 (Sµ-3'RRrec status, IGHV mutation status, Binet stage, Cytogenetics)				Multivariate analysis model 2 (Sµ-3'RRrec status, lymphocytosis, Binet stage, cytogenetics)			
	HR	LCI	UCI	Р	HR	LCI	UCI	Р	HR	LCI	UCI	Р
Sµ-3'RRrec status												
Sµ-3'RRrec <sup>Low</sup>	1	-	-	1	-	-	-	-	-	-	-	-
Sµ-3'RRrec <sup>High</sup>	5.07	2.08	12.36	0.0004	-	5.07	2.08	12.36	0.0004	-	-	-
IGHV mutation status												
muCLL	1	-	1	-	-	-	-	-	-	-	-	-
umCLL	3.233	1.37	7.631	0.0079	3.554	1.426	8.853	0.0068	-	-	-	-
Binet stage												
A	1	-	1	-	-	-	-	-	-	-	-	-
B or C	3.199	1.368	7.48	0.0073	3.197	1.362	7.506	0.0076	-	-	-	-
Lymphocytosis												
<30 G/L	1	-	-	-	-	-	-	-	-	-	-	-
>30 G/L	1.687	0.7452	3.819	0.2100	-	-	-	-	-	-	-	-
Cytogenetics												
Normal karyotype or isolated del(13)q	1	-	-	-	-	-	-	-	-	-	-	-
Other karyotypes	1.926	0.8306	4.466	0.1270	-	-	-	-	-	-	-	-
Age in years												
<70	1	-	-	-	-	-	-	-	-	-	-	-
>70	1.054	0.4599	2.417	0.9010	-	-	-	-	-	-	-	-

Cox multivariate model 1 included variables with a *P* value below 0.2, Sμ-3'RRrec status, Binet stage, IGHV mutation status (chronic lymphocytic leukemia with mutated IGHV gene [muCLL] or with unmutated IGHV gene [umCLL]), lymphocytosis and cytogenetics. Cox multivariate model 2 included Sμ-3'RRrec status, Binet stage, lymphocytosis and cytogenetics. Statistical analyses were performed usung the survival R packages (see materials and methods). 3'RR: 3' regulatory region; CLL: chronic lymphocytic leukemia; HR: hazard ratio; LCI: lower confidence interval; UCI: upper confidence interval; *P*: *P* value. CSR junctions were strongly decreased in Sµ-3'RRrec<sup>Low</sup> CLL samples, a result that should be expected in this clonal IgM<sup>+</sup> B-cell cancer. Strikingly, the Shannon diversity index was significantly higher in Sµ-3'RRrec<sup>High</sup> CLL samples than in Sµ-3'RRrec<sup>Low</sup> CLL (Figure 3A, B). Both the absolute numbers of B cells (Online Supplementary Figure S4) and diversity indexes of CLL IGHV clonal rearrangements (Figure 3C) were similar in both the Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> groups. CLL IGHV diversity was much lower than DLBCL, known to harbor intra-tumoral subclones with divergent IGHV after SHM and taken here as positive controls of intra-tumor diversity. Therefore, increased Su-3'RRrec and CSR junction diversities were not likely to be influenced by B-cell richness but would rather reflect diversification of heavy chain rearrangements in Sµ-3'RRrec<sup>High</sup> CLL. Because increased diversities of Sµ-3'RRrec and CSR junctions in S $\mu$ -3'RRrec<sup>High</sup> CLL are evocative of an on-going process, we evaluated whether IGH locus DNA was accessible to recombination machinery. In order to assess locus aperture, we analyzed the expression of non-coding and coding transcripts from the constant part of the IGH locus (Figure 4A). We found higher levels of S $\mu$ , S $\gamma$ 1, S $\gamma$ 3, HS1.2 and HS4 sterile transcripts in Sµ-3'RRrec<sup>High</sup> than in Sµ-3'RRrec<sup>Low</sup> CLL (Figure 4B), meaning that the IGH locus was accessible to the recombination machinery in these patients. While levels of surface Ig were comparable between the



two groups (*data not shown*), coding C $\mu$  transcripts were also increased in S $\mu$ -3'RRrec<sup>High</sup> CLL (Figure 4C). Altogether, our results suggest abnormal intratumoral IGH remodeling activity in the S $\mu$ -3'RRrec<sup>High</sup> CLL group.

## In contrast with those of class switch recombination, structural features of Sµ-3'RRrec junctions harbor an activated B-cell imprint and discriminate both Sµ-3'RRrec<sup>Low</sup> and Sµ-3'RRrec<sup>High</sup> chronic lymphocytic leukemia

In addition to being unique, joint structure is differently shaped according to the DSBR machinery. In B cells, DS-BR occurs mainly through NHEJ and, to a lesser extent, through Alt-EJ pathways for IGH recombination.<sup>22,23</sup> The joint structure of each single  $S\mu$ -3'RRrec and CSR junction can be determined by alignment to reference sequences. We performed structural analyses of Sµ-3'RRrec and CSR junctions in CLL samples, HV PBMC and benign inflammatory tonsil cells. Here, while circulating B lymphocytes from HV, included because they were exempt of any known disease, were predominantly resting, tonsils were analyzed since they are very well known benign inflammatory lymphoid tissues with highly active B-cell responses and numerous GC, which are the main site of post-medullary Ig gene recombination. CSR joint structures were comparable between HV PBMC and tonsils and were similar to



Figure 3. Intratumoral IGH remodeling activity in the Sµ-3'RRrec<sup>High</sup> chronic lymphocytic leukemia group. The Shannon diversity index was used to estimate class switch recombination (CSR), Su-3'RR recombination (Sµ-3'RRrec) and intra-clonal immunoglobulin heavy chain variable region (IGHV) diversities. (A) Higher Su-3'RRrec junction diversity was observed in S $\mu$ -3'RRrec<sup>High</sup> chronic lymphocytic leukemia (CLL) samples (N=11) compared to  $S\mu$ -3'RRrec<sup>Low</sup> (N=35) CLL and healthy peripheral blood mononuclear cells (PBMC) (N=9). (B) CSR junction diversity was increased in Sµ-3'RRrec<sup>High</sup> CLL samples (N=12) compared to Su-3'RRrec<sup>Low</sup> (N=35) CLL and comparable to those of healthy PBMC (N=11). (C) CLL IGHV diversities were lower than those observed in diffuse large B-cell lymphomas (DL-BCL) harboring intra-tumoral subclones with divergent IGHV after somatic hypermutation (SHM) used as positive controls (N=10). Graphs represent the mean ± standard error of the mean. Statistical analyses were performed using unpaired t test. IGH: immunoglobulin heavy chain; 3'RR: 3' regulatory region; NS: not significant; \*P<0.05; \*\*P<0.01; \*\*\*P<0.001.



**Figure 4. Increased IGH locus accessibility in Sµ-3'RRrec**<sup>High</sup> **chronic lymphocytic leukemia.** Quantification of immunoglobulin heavy chain (IGH) locus non-coding transcripts (Sµ, Sγ1, Sγ3, HS1.2 and HS4) relative to those of CD19 (A) and coding transcripts (Cµ and surface immunglobulin M [sIgM]) (B) in Sµ-3'RRrec<sup>Low</sup> (N=4-7) and Sµ-3'RRrec<sup>High</sup> (N=3-5) chronic lymphocytic leukemia (CLL). Sµ-3'RRrec<sup>High</sup> exhibited high levels of IGH locus transcription in both productive and non-productive transcripts. Graphs represent the mean ± standard error of the mean. Statistical analyses were performed using unpaired *t* test. 3'RR: 3' regulatory region; NS: not significant; PBMC: peripheral blood mononuclear cells; \**P*<0.05; \*\*<0.01.

those of CLL regardless of Sµ-3'RRrec status (Figure 5A). In contrast, the structure of Sµ-3'RRrec joints differed between HV PBMC and tonsils. The latter exhibited more Sµ-3'RRrec junctions with small microhomologies (1-2 bp) and blunt junctions while long insertions (≥4 bp) were predominant in the former (Figure 5B). Therefore, Sµ-3'RRrec

joint structures seem to be differently imprinted according to tissue origin and/or B-cell activation. This was not the case for CSR, a strong indication that these two IGH recombination events, even if mechanistically close, are not linked. Strikingly, the structures of Sµ-3'RRrec junctions differed between Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL,



Figure 5. Sµ-3'RRrec junction structural features are related to different lymphoid tissue imprints and discriminate between Sµ-3'RRrec<sup>Low</sup> and Sµ-3'RRrec<sup>High</sup> chronic lymphocytic leukemia. Structures at the Sµ-3'RR recombination (Sµ-3'RRrec) and class switch recombination (CSR) junctions were determined using CSReport by alignment to reference sequences for chronic lymphocytic leukemia (CLL) samples, healthy volunteer (HV) peripheral blood mononuclear cells (PBMC) and benign inflammatory tonsil cells. Structural features account for length in base pairs (bp) of nucleotide insertions at the joint, of short homology (microhomology) between acceptor and donor sequences and absence of insertions and homology (blunt) (A) Sµ-Sγ1, Sµ-Sγ2, Sµ-Sγ3, Sµ-Sγ4 CSR joint structures were comparable between HV PBMC, benign inflammatory tonsils and CLL. (B) The Sµ-3'RRrec junction structure differed between CLL: Sµ-3'RRrec<sup>High</sup> samples were comparable to HV PBMC and predominantly exhibited junctions with long insertions (≥4 bp) while Sµ-3'RRrec<sup>Low</sup> CLL and benign inflammatory tonsils were enriched in Sµ-3'RRrec junctions with small microhomologies (1-2 bp) and blunt junctions. Quantification of transcripts coding for actors implicated in double strand break (DSB) repair by quantitative real-time polymerase chain reaction relative to CD19 transcripts (C) by non-homologous end joining (NHEJ) (53BP1, RIF1, Rev7 and LIGIV) and (D) by alternative end-joining (Alt-EJ) (PARP-1, POLθ and LIGIII). Statistical analyses were performed using the  $X^2$  test (A, B) or unpaired t test (C, D). 3'RR: 3' regulatory region; NS: not significant; \*\*\*P<0.001; \*\*\*\*P<0.0001.

the former being close to those of HV PMBC and the latter more similar to benign inflammatory tonsils.

Decreased small microhomologies (1-2 bp) and blunt junctions argue against NHEJ involvement in DSBR of Sµ-3'RRrec junctions from both HV PBMC and Su-3'RRrec<sup>High</sup> CLL.<sup>22,23,40</sup> We, therefore, quantified transcripts coding for actors implicated in the protection of DSB DNA ends and favoring NHEJ (53BP1, Rif1 and Rev7, a Shieldin complex component), NHEJ actor (LIGIV) and Alt-EJ components (PARP-1, POL $\theta$ and LIGIII). We did not detect any significant difference in the tested transcripts of NHEJ proteins (Figure 5C) or Alt-EJ actors (Figure 5D) between  $S\mu$ -3'RRrec<sup>High</sup> and S $\mu$ -3'RRrec<sup>Low</sup>. As already suggested by the fact that CSR junction structures were similar between Sµ-3'RRrec<sup>High</sup> and S $\mu$ -3'RRrec<sup>Low</sup> CLL, this suggests that S $\mu$ -3'RRrec junction structural differences observed for both Sµ-3'RRrec CLL groups were not due to imbalances in NHEJ/Alt-EJ actors. Altogether, these results indicate that Sµ-3'RRrec<sup>High</sup> and Su-3'RRrec<sup>Low</sup> CLL have different Su-3'RRrec imprints, the former being close to recirculating resting B cells and the latter reflecting activated B cells in a benign inflammatory secondary lymphoid organ.

## Overexpression of c-MYC potentiated Sµ-3'RR recombination even in the absence of AID

Because IGH locus accessibility is also linked to B-cell activation and proliferation,<sup>21</sup> we evaluated the past history of CLL B-cell proliferation by measuring relative telomere length. While homogeneous in HV (mean of 2.14), telomere lengths were very heterogeneous in S $\mu$ -3'RRrec<sup>Low</sup> patients; 13 of 32 (40%) had long or very long telomeres, indicating that cells underwent few proliferation cycles. In contrast, all but one S $\mu$ -3'RRrec<sup>High</sup> patient homogeneously exhibited telomeres that were shorter than HV, reflecting increased proliferation cycle numbers (Figure 6A). Shorter telomeres in S $\mu$ -3'RR<sup>High</sup> CLL were associated with increased MYC expression (Figure 6B).

We thus raised the question of the impact of c-MYC on IGH recombination. For this purpose, we used the murine B-cell lymphoma CH12F3 cell line and its AID knockout (KO) counterpart stably transfected or not with a MYC overexpression vector and stimulated in vitro to undergo CSR and S $\mu$ -3'RRrec. As shown in Figure 6C, the levels of both CSR and S $\mu$ -3'RRrec were increased when MYC was overexpressed in the AID context (AID<sup>+</sup>MYC<sup>tg</sup>). In the absence of AID (AID<sup>KO</sup>), CSR was undetectable either in absence or presence of MYC overexpression. Some Sµ-3'RRrec junctions were detectable in the absence of both AID and the MYC overexpressing vector. Induction of MYC overexpression resulted in increased numbers of  $S_{\mu}$ -3'Rrrec events (Figure 6D). Contamination could be ruled out because sequences of these Su-3'RRrec junctions were unique. Some of these junctions contained sequence fragments of  $S\epsilon$  and  $S\gamma2$  regions between  $S\mu$  and 3'RR, which suggests that these Sµ-3'RRrec events were sequentially preceded

by a CSR event. These results show that MYC potentiated both CSR and Sµ-3'RRrec when AID was expressed. Even at low frequency, Sµ-3'RRrec was possible in the absence of AID but in the presence of MYC overexpression.

## Discussion

In this study, we observed that Sµ-3'RRrec was detectable in CLL patients. Moreover, we showed that the Sµ-3'RRrec rate was increased at levels even higher than in polyclonal HV in one group of CLL cases, the Sµ-3'RRrec<sup>High</sup> group. In the Sµ-3'RRrec<sup>High</sup> group, Sµ-3'RRrec appeared to be on-going, as supported by increased diversity of the Sµ-3'RRrec junctions.

Despite low CSR junction counts, CSR diversity was also significantly higher in Sµ-3'RRrec<sup>High</sup> CLL samples than in S $\mu$ -3'RRrec<sup>Low</sup> CLL meaning that CSR was on-going in these cases. On-going IGH CSR can be observed in a restricted subpopulation of tumoral CLL cells.<sup>15,17,41,42</sup> In our study, increased Sµ-3'RRrec and CSR diversities in Sµ-3'RRrec<sup>High</sup> CLLs reflected IGH remodeling in the tumoral B-cell clone. Since CLL cases of this series were all IgM<sup>+</sup>, Sµ-3'RRrec had occurred on the non-productive IGH allele while CSR could occur on both alleles. CSR mainly occurs on the functional allele.<sup>43,44</sup> Here, the numbers of CSR junctions were strongly decreased in CLL without any differences between Sµ-3'RRrec<sup>High</sup> and Sµ-3'RRrec<sup>Low</sup> CLL. This indicates that despite increased IGH remodeling, Su-3'RRrecHigh class-switched CLL cells could have been counterselected. Indeed, as reviewed recently, IgM BCR is a key component of CLL pathogenesis development and evolution, not only for its antigenic recognition properties but also likely through its structure and its signaling capacities.<sup>45</sup> Even if the CSR junction structure appeared identical among samples, Su-3'RRrec<sup>Low</sup> and Su-3'RRrec<sup>High</sup> CLL samples exhibited different Sµ-3'RRrec structural profiles. In Sµ-3'RRrec<sup>High</sup> CLL, Sµ-3'RRrec junctions were close to those of circulating B cells, while Su-3'RRrec junctions from Su-3'RRrec<sup>Low</sup> CLL were similar to those of tonsils B cells. According to Sµ-3'RRrec junction imprints, our results are full agreement with the hypothesis that CLL can be subdivided according to two different COO. Since physiologically most circulating B cells are IgM<sup>+</sup>IgD<sup>+</sup> pre-GC cells and since tonsils contain numerous active GC, we suggest that the imprint of Sµ-3'RRrec junctions would be from circulating non-GC experienced B cells for  $S\mu$ -3'RRrec<sup>High</sup> cases (mostly umCLL) while Sµ-3'RRrec<sup>Low</sup> cases (mostly mCLL) would have Sµ-3'RRrec junction imprints of AID-experienced B cells issued from secondary lymphoid organs. This correlates with IGHV mutational status and PIM1 mutation rate that were decreased in Sµ-3'RRrec<sup>High</sup> CLL and increased in Sµ-3'RRrec<sup>Low</sup> CLL.

Consistent with increased IGH recombination activity, the IGH locus was strongly transcribed in Sµ-3'RRrec<sup>High</sup> CLL and



Figure 6. Increased IGH remodeling in  $S\mu$ -3'RRrec<sup>High</sup> chronic lymphocytic leukemia cells is potentiated by high levels of c-MYC expression. (A) Relative telomere length (RTL) measured by specific quantitative real time polymerase chain reaction relative to the human  $\beta$  globin gene ( $S\mu$ -3'RRrec<sup>Low</sup>, N=33;  $S\mu$ -3'RRrec<sup>High</sup>, N=12; healthy volunteer [HV] peripheral blood mononuclear cells [PBMC], N=6). Telomere length was significantly shorter in the  $S\mu$ -3'RRrec<sup>High</sup> group compared to the  $S\mu$ -3'RRrec<sup>Low</sup> group and HV PBMC. (B) c-MYC expression was higher in  $S\mu$ -3'RRrec<sup>High</sup> (N=6) compared to  $S\mu$ -3'RRrec<sup>Low</sup> chronic lymphocytic leukemia (CLL) samples (N=7). (C) Detection of class switch recombination (CSR) and  $S\mu$ -3'RR recombination ( $S\mu$ -3'RRrec) junctions in activated CH12F3 clones overexpressing or not MYC in the presence of activation induced-cytidine deaminase (AID) (CSR: AID<sup>+</sup>, N=1 and AID<sup>+</sup>MYC<sup>tg</sup>, N=3;  $S\mu$ -3'RRrec: AID<sup>+</sup>, N=1 and AID<sup>+</sup> MYC<sup>tg</sup>, N=3) suggested that c-MYC tends to increase CSR and  $S\mu$ -3'RRrec counts. This was also observed for  $S\mu$ -3'RRrec in the absence of AID (D), as  $S\mu$ -3'RRrec junctions, even if rare, were detectable in CH12F3 AID<sup>KO</sup> clones (N=2) and appeared to increase with MYC overexpression (AID<sup>KO</sup> MYC<sup>tg</sup>, N=2). No CSR junctions were detected in the absence of AID (AID<sup>KO</sup>, N=1), even with MYC overexpression (AID<sup>KO</sup>MYC<sup>tg</sup>, N=3). Graphs represent the mean ± standard error of the mean. Statistical analyses were performed using unpaired *t* test. KO: knockout; tg: transgenic; 3'RR: 3' regulatory region; NS: not significant; \**P*<0.05; \*\**P*<0.01;

was thus targetable by the IGH recombination machinery. Characteristic of activated and proliferating cells, shorter telomeres in Sµ-3'RRrec<sup>high</sup> CLL indicate increased numbers of past mitoses. Upon B-cell stimulation, the *MYC* gene frequently relocates to the transcription factory occupied by the IGH locus.<sup>46</sup> Consistently, we observed increased c-MYC expression levels in Sµ-3'RRrec<sup>High</sup> CLL. This result led us to evaluate the impact of c-MYC on CSR and Sµ-3'RRrec. We found that c-MYC overexpression potentiated both CSR and Sµ-3'RRrec recombination in the presence of AID. Moreover, c-MYC induced increases in Sµ-3'RRrec counts in the absence of AID. It has been recently shown that some residual CSR can occur in absence of the AID.<sup>47</sup> Due

to specific constitutively occuring IGH loop conformation between 3'RR,  $E\mu$  and  $S\mu$  in mature B cells,<sup>48</sup> DNA segments from both  $S\mu$  and 3'RR are likely to be in close proximity and to be recombined together when DSB-targeted even in the absence of AID. Regardless of the AID status of CLL cells, this c-MYC effect contributes to genetic instability. Indeed, CLL is known to harbor DNA repair alterations and to accumulate DSB across the genome.<sup>49,50</sup> Moreover, both NHEJ and Alt-EJ are good candidate processes for chromosomal material exchange due to their capacity to ligate DNA ends from independent molecular origins.

IGHV mutation and S $\mu$ -3'RRrec status exhibited strong overlaps in terms of TFS, which reflects that fact that

Sµ-3'RRrec<sup>High</sup> group was enriched in umCLL. Here, TFS very likely reflects the different natural histories of the disease, which should be underpinned by biological differences. Separating CLL patients in the CLL Sµ-3'RRrec<sup>Low</sup> group that would originate from GC-experienced B cells or in the CLL Sµ-3'RRrec<sup>High</sup> group with probable non-GC experienced B cells and close to umCLL, our study characterizes for the first time active IGH recombination potentiated by c-Myc overexpression in Sµ-3'RRrec<sup>High</sup> CLL, even in the absence of AID.

### Disclosures

No conflicts of interest to disclose.

### Contributions

IAJ performed experiments and participated in writing of the original draft. MP, KG, SA, MLG, MD, MB and HB participated in the experiments. DR, JP, MD, NF, FJ, PR and NG participated in data curation. CEH and JL provided tonsils from patients undergoing tonsillectomies performed in Limoges Dupuytren Hospital. SA, SAH and NM participated in writing the original draft. JF, NG and SP led the conceptualization, data curation, funding acquisition and manuscript writing.

### Acknowledgments

The authors would like to thank Dr. Villéger at the CRBioLim

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and Dr. Vallejo and the INSERM CIC 1435, Dupuytren Hospital, Limoges, France, for providing human samples. We thank Dr. Jeanne Cook Moreau (UMR CNRS 7276 INSERM 1276) for careful English editing.

### Funding

This work was supported by grants to SP from la Ligue Contre le Cancer (Comité de la Haute-Vienne, CD87), the Fondation ARC pour la Recherche sur le Cancer (ARCP-JA2022060005138), the INCa-Cancéropôle GSO (Emergence program no. 2019-E11) and grants to CRIBL laboratory from the Institut CARNOT CALYM. IAJ is supported by Fondation pour la Recherche Medicale and Lebanese associations (AZM and Saade, LASER). MP is supported by Région Nouvelle Aquitaine and Université de Limoges. KG is supported by Région Nouvelle Aquitaine and Délégation INSERM Nouvelle Aquitaine. JP is supported by the Institut CARNOT CALYM. SP is a National Institute of Health and Medical Research (INSERM) investigator.

### **Data-sharing statement**

Sequencing data produced in this study have been deposited in the National Center for Biotechnology Information's BioProject (PRJNA830327).

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