Co-occurrence of cohesin complex and Ras signaling mutations during progression from myelodysplastic syndromes to secondary acute myeloid leukemia

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Supplementary materials

CO-OCCURRENCE OF COHESIN COMPLEX AND RAS SIGNALING MUTATIONS DURING PROGRESION FROM MYELODYSPLASTIC SYNDROMES TO SECONDARY ACUTE MYELOID LEUKEMIA

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This section contains:

- Supplementary methods.
- 5 supplementary tables.
- 5 supplementary figures.

SUPPLEMENTARY METHODS

Patients

To study the mutational changes occurring during the evolution to secondary acute myeloid leukemia from a previous myelodysplastic/myelomonocytic phase, 486 samples from 437 patients, from different Spanish institutions, were included in the study. Diagnoses were established according to the 2008 World Health Organization criteria (1). For the purpose of analysis, the RARS, RCUD, RCMD, and MDS del(5q) morphological subtypes were considered to be low-risk MDS (LR-MDS), while RAEB-1 and RAEB-2 were considered high-risk MDS (HR-MDS). Conventional cytogenetic and FISH analyses were carried out in all samples, as previously described(2-4).

DNA isolation

Genomic DNA (gDNA) was obtained from all samples from BM/PB fixed pelleted cells or mononuclear cells using a QIAamp DNA Mini Kit (Qiagen) according to the manufacturer's standard protocol. The concentration of extracted DNA was determined using a Qubit® 2.0 Fluorometer system (Life Techonologies, Carlsbad, CA, USA) and the adequate quality for the sequencing was tested using a TapeStation 4200 (Agilent Technologies, Santa Clara, CA, USA) and a nanodrop spectrophotometer (ND-1000, NanoDrop Technologies, Wilmington, DE, USA) by measuring the ratio of absorbance at 230/260 and 280 nm (A230/280 and A260/280).

Whole-exome sequencing summary: Construction of DNA libraries and data analysis

Sequencing libraries were prepared using high-quality native gDNA (not subjected to whole genome amplification) as the starting material, processed with the *TruSeq Exome Enrichment* Kit (Illumina, San Diego, CA, USA), covering a total of 62 Mb of the genome with target sequences encompassing exon, UTR and miRNA loci, according to the manufacturer's protocol(5-7). Enriched exome fragments were then sequenced on an Illumina-HiSeq 200 sequencer. Unfortunately, corresponding non-tumor samples were not available for these cases.

Quality assessment, alignment and variant calling of the sequencing data were performed using an in-house pipeline based on custom scripts and open-source software, as previously described(5-8). Since no germline filter could be applied, to identify somatically acquired deleterious changes, variants were selected according to their absence from the healthy population, using the information contained in the SNP database of human variants (dbSNP, MAF < 0.01) and knowledge of its putative effect on the protein (excluding synonymous variants and those whose structure was not correctly annotated)(9). In the next step, driver mutations were identified and selected over passenger variants using an *in silico* analysis with the oncodriveMUT method from the novel "Cancer Genome Interpreter" bioinformatic tool (https://www.cancergenomeinterpreter.org/home)(10).

Targeted-deep sequencing: custom gene panel and data analysis

Targeted-deep sequencing was performed using an in-house custom capture-enrichment panel (Nextera Rapid Capture Enrichment, Illumina) of 117 genes previously related to the pathogenesis of myeloid malignancies, according to a Nextera sequencing design using Illumina DesignStudio. Sequencing libraries were prepared according to the manufacturer's instructions, using unique barcodes for each sample, multiplexed and sequenced on Illumina NextSeq 500 and MiSeq sequencers.

All sequences were evaluated using FastQC and NGSQCToolkit v2.3.3 software and aligned to the reference genome (GRCh37/hg19) using BWA v0.7.12 and GATK v3.5. A minimum quality score of Q30 was required to ensure high-quality sequencing results. Variant calling and annotation were performed using an in-house pipeline, based on the VarScan v2.3.9, SAMTools v1.3.1., and ANNOVAR bioinformatic tools. FLT3-ITD detection was performed using ITDseek. To visualize read alignments and variant calls, Integrative Genomics Viewer version 2.3.68 (IGV, Broad Institute, Cambridge, MA, USA) was used.

For true oncogenic somatic variant calling, a severe criterion for variant filtering was applied. Thus, synonymous, noncoding variants and polymorphisms, present at a population frequency (MAF) $\geq 1\%$ in *dbSNP138*, *1000G*, *EXAC*, *ESP6500* and our in-house databases, were excluded. Similarly, those variants recurrently observed and, from visual inspection on the *IGV* browser, suspected of being sequencing errors were removed. The remaining variants were considered candidate somatic mutations based on the following criteria: (i) variants with ≥ 10 mutated reads; (ii) described in *COSMIC* and/or *ClinVar* as being cancer-associated and known hotspot mutations; and (iii) classified as deleterious and/or probably damaging by PolyPhen-2 and SIFT web-based platforms. In addition, within each case from the discovery and control cohorts, variants found on only one occasion were carefully checked at the other disease stage, because the flow read depth might have caused them to be missed, but its variant allele frequency (VAF) at the different evolutionary stages was of clear interest.

Mutation validation

To validate SNVs and indels, all alterations detected in the discovery and control cohorts were resequenced using an amplicon-based approach (*Illumina Nextera XT*) on both paired samples at much higher coverage (mean depth of 5244X). In brief, genomic regions of interest (500-800 bp) were PCR-amplified using sequence-specific primers and purified with AMPure Beads. Libraries were prepared for sequencing following the *Nextera XT Illumina* protocol and sequenced on an Illumina MiSeq sequencer.

Pathway analysis

We compiled a list of seven biological pathways described in other previous studies as being related to MDS (11, 12). Moreover, pathway classification was determined using the Kyoto Encyclopedia of Genes and Genomes (KEGG) and the Gene Ontology Consortium databases (13, 14). Then, we classified our panel genes with respect to them and, consequently, the mutations

were also classified with respect to these biological pathways depending on the function of the affected gene.

Statistical analysis

Baseline characteristics were described as frequencies for categorical variables and as the medians and ranges for quantitative variables. Comparisons of categorical variables between patient subsets were performed using Chi-square or Fisher's exact test, as appropriate, while the t-test, or Mann-Whitney U test and Wilcoxon signed-rank test were used to compare the means and medians of continuous variables of unpaired and paired data, respectively. The Kaplan-Meier method was used to analyze survival outcomes (sAML-progression-free and overall survival). Two-sided values of p < 0.05 were considered to be statistically significant.

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SUPPLEMENTARY TABLES

Table S1: Main clinical and biological characteristics of the three patient cohorts included in the study: demographics, WHO 2008 subtypes, IPSS/IPSS-R risk classification, peripheral blood parameters and cytogenetics.

Table S2: Coverage of all 32 samples studied by WES. An excel file.

Table S3: Panel of 117 myeloid-related genes used for TDS.

Table S4: List of all mutations found by WES in the discovery cohort. The table includes information on chromosome position (GRCh37/hg19), change at DNA level, type of change, VAF percentage at MDS and sAML stage, VAF ratio between sAML and MDS, recurrence and driver/passenger prediction from Cancer Genome Interpreter. An excel file.

Table S5: List of all mutations found by TDS in the discovery and control cohorts. The table includes information on chromosome position (GRCh37/hg19), change at DNA level, VAF percentage at MDS and sAML stages, VAF ratio between sAML and MDS and probabilities indicating a significant change in VAF during the evolution of the disease. An excel file.

Supplementary Table S1

| | Discovery cohort | Control cohort | Validation cohort | |
|---|-------------------|--------------------|--------------------|--|
| Number of samples | 84 | 14 | 388 | |
| Number of patients | 42 | 7 | 388 | |
| Gender, male (%) | 30 (70) | 2 (29) | 221 (57) | |
| Diagnosis Age, median (range, years) | 70 (50-82) | 69 (68-77) | 75 (29-92) | |
| Deceased (%) | 82 | 42.9 | 44.76 | |
| sAML Progression (%) | 100 | 0 | 16.2 | |
| Classification (WHO 2008) at diagnosis (%) | | | | |
| RCUD | 1 (2) | 1 (14.3) | 18 (5) | |
| RARS | 1 (2) | 0 | 40 (10) | |
| RCMD | 16 (38) | 5 (71.4) | 166 (43) | |
| RAEB-1 | 13 (31) | 1 (14.3) | 43 (11) | |
| RAEB-2 | 10 (24) | 0 | 49 (13) | |
| MDS del(5q)- | 1 (2) | 0 | 39 (10) | |
| MDS-U | 0 | 0 | 11 (3) | |
| CMML | 0 | 0 | 9 (2) | |
| AML with dysplastic changes | 0 | 0 | 3 (1) | |
| Not available | 0 | 0 | 10 (3) | |
| IPSS classification (%) | | | | |
| Low | 11 (26) | 4 (57.1) | 90 (23) | |
| Intermediate-1 | 17 (40) | 3 (42.9) | 66 (17) | |
| Intermediate-2 | 9 (21) | 0 | 7 (2) | |
| High | 1 (2) | 0 | 2 (1) | |
| Not available* | 4 (10) | 0 | 223 (57) | |
| IPSS-R classification | | | | |
| Very low | 3 (7) | 4 (57.1) | 49 (13) | |
| Low | 10 (24) | 3 (42.9) | 76 (20) | |
| Intermediate | 10 (24) | 0 | 30 (8) | |
| High | 4 (10) | 0 | 11 (3) | |
| Very high | 5 (12) | 0 | 3 (1) | |
| Not available* | 10 (24) | 0 | 219 (56) | |
| Blood count at diagnosis | 40.4 (5.5.44.0) | 44.4 (0.0.40.0) | 0.0 (0.0 45.0) | |
| Hemoglobin, g/dL, median (range) | 10.1 (5.5-14.6) | 11.4 (9.8-12.8) | 9.9 (3.8-15.3) | |
| Platelet, x10 ⁹ /L, median (range) | 95.5 (11.0-379.0) | 171.0 (54.0-503.0) | 155.0 (2.0-1067.0) | |
| ANC, x10 ⁹ /L, median (range) | 1.6 (0.1-6.2) | 2.35 (1.36-4.11) | 1.9 (0.1-56.0) | |
| WBC, x10 ⁹ /L, median (range) | 4.2 (1.8-12.8) | 5.1 (4.4-5.9) | 4.1 (1.2-67.9) | |
| Cytogenetics at diagnosis | | | | |
| Normal (%) | 22 (52) | 6 (85.7) | 197 (51) | |
| Complex, >3 abnormalities (%) | 5 (12) | 0 | 14 (4) | |
| -5/del(5q) (%) | 4 (10) | 1 (14.3) | 38 (10) | |
| -7/del(7q) (%) | 1 (2) | 0 | 4 (1) | |
| Trisomy 8 | 3 (7) | 0 | 14 (4) | |
| del(20q) | 2 (5) | 0 | 3 (1) | |
| Other single abnormality | 1 (2) | 0 | 52 (13) | |
| Not available | 4 (10) | 0 | 66 (17) | |

^{*:} IPSS and IPSS-R risk classifications were not applicable in the case of chronic myelomonocytic leukemia.

Abbreviations: RCUD, refractory cytopenia with uni-lineage dysplasia; RARS, refractory anemia with ringed sideroblasts; RCDM, refractory cytopenia with multi-lineage dysplasia; RAEB, refractory anemia with excess blasts; MDS del(5q)-, myelodysplastic syndrome associated with isolated del(5q); MDS-U, myelodysplastic syndrome unclassified; CMML, chronic myelomonocytic leukemia; AML, acute myeloid leukemia; IPSS, International Prognostic Scoring System; WBC, white blood cell; ANC, absolute neutrophil count.

Supplementary Table S3

| ABL1 | CBLC | EED | HRAS | MECOM | PHF19 | SF1 | TET2 |
|--------|---------|---------|--------|--------|--------|-------|---------|
| AEBP2 | CD177 | EGFR | IDH1 | KMT2A | PHF6 | SF3A1 | TGM2 |
| ARID2 | CDH13 | EIF2AK2 | IDH2 | KMT2D | PHLPP1 | SF3B1 | TIMM50 |
| ASXL1 | CDH23 | ENG | IKZF1 | MPL | PTEN | SFPQ | TNFAIP3 |
| ATRX | CDH3 | EP300 | IL3 | MTOR | PTPN1 | SH2B3 | TP53 |
| BCAS1 | CDK2 | ETV6 | IRF1 | NF1 | PTPN11 | SMC1A | TYK2 |
| BCOR | CDKN2A | EZH2 | JAK1 | NOTCH1 | RAD21 | SMC3 | U2AF1 |
| BCORL1 | CEBPA | FBXW7 | JAK2 | NPM1 | RARA | SPARC | UMODL1 |
| BCR | CREBBP | FLT3 | JAK3 | NRAS | RET | SRSF2 | USB1 |
| BMI1 | CSF3R | G3BP1 | JARID2 | NR2F6 | RPS14 | STAG1 | WASF3 |
| BRAF | CSNK1A1 | GATA1 | JKAMP | NTRK1 | RUNX1 | STAG2 | WT1 |
| CALR | CTCF | GATA2 | KDM6A | NUP98 | SALL4 | SUZ12 | ZRSR2 |
| CBFB | CTNNA1 | GCAT | KIT | PBRM1 | SBDS | TCL1B | |
| CBL | CUX1 | GNAS | KRAS | PDGFRA | SETBP1 | TERC | |
| CBLB | DNMT3A | GNB1 | LUC7L2 | PDGFRB | SETD2 | TERT | |

SUPPLEMENTARY FIGURES

Figure S1: Overview of the study design and the distribution of cohorts of patients included in the study. Time of first and second sampling, where diagnosis and follow-up/sAML, respectively, are specified, as well as the number of patients and samples analyzed, and the sequencing strategy applied for each of the cohorts.

Abbreviations: sAML, secondary acute myeloid leukemia; LR, low-risk, HR, high-risk; HMs, hematological malignancies; pts, patients; WES, whole-exome sequencing.

Supplementary Figure S1 Α В 437 PATIENTS - MYELOID HMs **SEQUENTIAL STUDY** (486 SAMPLES) 2 time-points / patient **SEQUENTIAL STUDY** 1 time-point 1st 2nd 2 time-points / patients / patient sampling sampling **Discovery** Control Validation Cohort Cohort Cohort SEQUENCING § 388 pts. 42 pts. 7 pts. (84 paired (14 paired sAML progression samples) samples) Yes Nο 63 pts 325 pts. sAML Discovery cohort **Diagnosis** Follow-up Control cohort LR MDS >3 years Targeted-deep sequencing WES HR MDS >1 year

Figure S2: VAF comparison of the mutations detected by whole-exome sequencing vs. by targeted deed sequencing. The VAF correlation between these two platforms was high measured by Pearson coefficient (Pearson's r = 0.90).

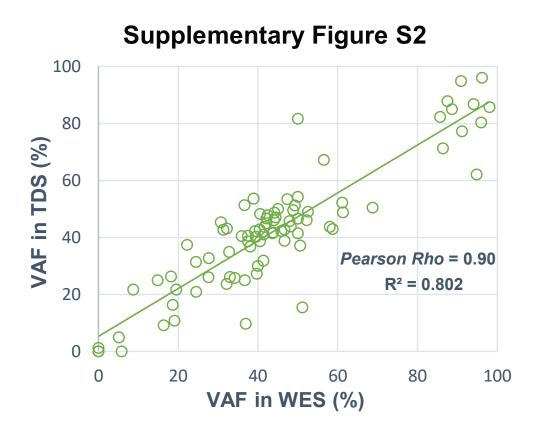


Figure S3: Representative examples of genes with different mutational dynamics in MDS patients who evolved to sAML. VAF at diagnosis and sAML of all mutations detected in *STAG2*, *NRAS*, *FLT3*, *SRSF2* and *DNMT3A* in our discovery cohort were represented showing type 1, type 3 and type 4 dynamics, respectively, during MDS progression.

Supplementary Figure S3

Type-1 mutations Type-3 mutations Type-4 mutations STAG2 (19%) NRAS (21%) FLT3 (17%) SRSF2 (24%) **DNMT3A (24%)** 100 100 100 100 100 80 80 80 80 VAF (%) (%) 40 40 € 60 % 60 VAF (%) 60 ¥ 40 ¥ 40 20 20 MDS sAML MDS MDS MDS sAML MDS p.43 p.13 p.25 p.3 p-value= 0.0234 p-value= 0.0029 *p-value*= 0.0078 p-value= 0.4922 *p-value*= 0.7695

Figure S4: Prognostic impact of single mutations and co-occurring mutations in the cohesin complex and Ras pathway. Kaplan-Meier curves for overall survival in double-mutant and cohesin and Ras single mutant patients in the entire validation cohort.

Supplementary Figure S4



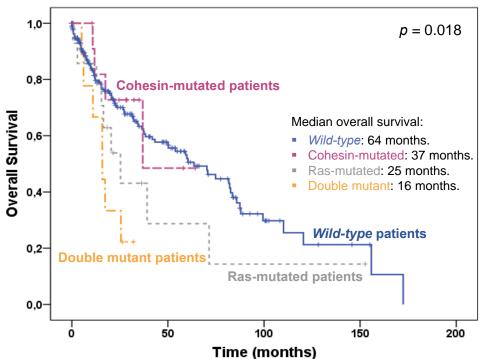


Figure S5: Landscape of mutational dynamics according to disease-modifying treatment on MDS patients who progressed to sAML. A) Patients in the discovery cohort were grouped according to whether they received treatment with a disease-modifying agent (38% 5-azacytidine or 10% lenalidomide) or supportive or no treatment, before they transformed into sAML. Genes are grouped by cellular functions and are represented in rows; patients are represented by separate columns. Dynamics are indicated by a color gradient: red/orange for newly acquired/increasing mutations, yellow for stable mutations, and blue/green colors for decreasing mutations. B) Graphs representing the proportion of patients harboring newly acquired/increasing (black color) and stable mutations (white color) in treated vs. non treated patients in the following cellular functions: chromatin modifiers, cohesin complex and Ras signaling.

Abbreviations: VAF, variant allele frequency; LR, low-risk; HR, high-risk; NS, not significant; *, p < 0.05.

Supplementary Figure S5

