

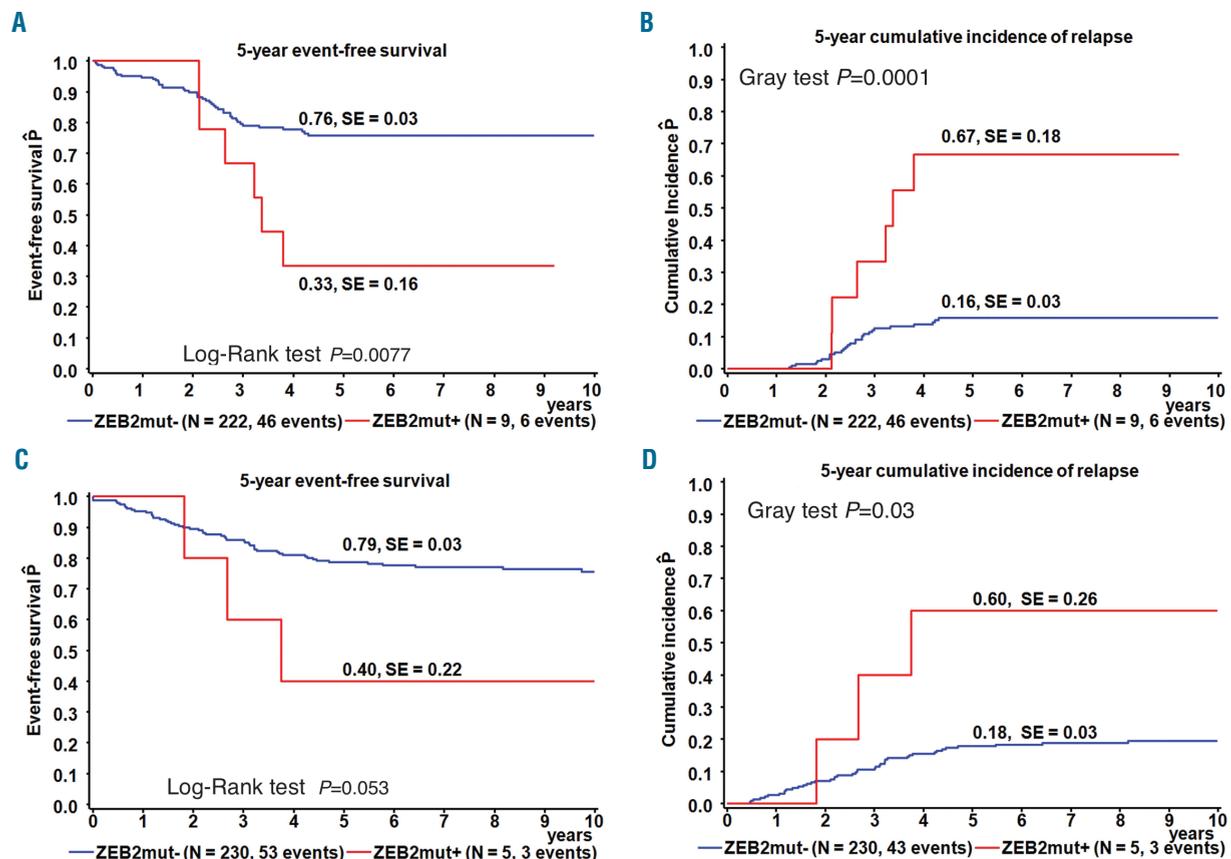
### Frequency and prognostic impact of *ZEB2* H1038 and Q1072 mutations in childhood B-other acute lymphoblastic leukemia

In order to identify novel prognostic markers and actionable targets, we performed whole-exome/-transcriptome sequencing of relapsed childhood B-cell precursor (BCP) acute lymphoblastic leukemia (ALL) diagnosed in the Czech Republic. In patients with “B-other ALL” (BCP-ALL negative for *ETV6-RUNX1*, *BCR-ABL1*, *TCF3-PBX1*, and *KMT2A* rearrangement, hyperdiploidy [51-67 chromosomes] and hypodiploidy [ $<44$  chromosomes]), we found recurrent mutations of codons H1038 and Q1072 of the zinc finger E-box binding homeobox 2 (*ZEB2*) gene. The *ZEB2* gene is located on 2q22.3 and encodes a transcriptional repressor implicated in the pathogenesis of early T-cell ALL, possibly via deregulation of IL7R-JAK/STAT signaling.<sup>1,2</sup> It is known that codons H1038 and Q1072 are located in the C-terminal zinc finger coding-region (DNA binding region), but the impact of the mutations (*ZEB2*mut) on ZEB function is unknown. Although recurrence of *ZEB2*mut in BCP-ALL is being traced in a growing number of genomic studies,<sup>3-6</sup> the biological and clinical roles of these mutations in BCP-ALL have not been described so far.

In this study, we aimed to determine the frequency and clinical relevance of *ZEB2*mut in childhood B-other ALL. Using whole-exome sequencing, RNA-sequencing and amplicon sequencing of DNA or complementary DNA

(cDNA), we analyzed 231 and 36 Czech children with newly manifesting and relapsed B-other ALL, respectively (the so-called “discovery cohorts”), consecutively diagnosed between November 2002 and December 2017 and treated according to several successive Berlin-Frankfurt-Münster (BFM)-based protocols (see *Online Supplementary Data*). We detected *ZEB2*mut in 3.8% (9/231) of newly diagnosed B-other ALL. *ZEB2*mut was associated with significantly worse event-free survival and a higher relapse rate (Figure 1A and B). In accordance with the higher relapse rate, we found significant enrichment of *ZEB2*mut in the discovery relapse cohort (8/36, 29%;  $P=0.0005$ ). Interestingly, two out of the total nine relapses were isolated extramedullary relapses and one was a combined extramedullary and bone marrow relapse.

To validate these findings, we analyzed 626 and 102 children with newly diagnosed and relapsed B-other ALL diagnosed and treated in Germany (the so-called “validation cohorts”). The frequency of *ZEB2*mut in the validation cohort of newly manifesting leukemias (enrolled into the Associazione Italiana di Ematologia e Oncologia Pediatrica [AIEOP]-BFM ALL 2000 and 2009 protocols) was 2.7% (17/626). While *ZEB2*mut was associated with a significantly higher relapse frequency in the patients enrolled into the AIEOP-BFM ALL 2000 study (Figure 1C and D), only 1/12 *ZEB2*mut patients enrolled in the AIEOP-BFM ALL 2009 study relapsed and two patients died without relapse. In the validation relapse cohort, *ZEB2*mut frequency was 4.9% (5/102), which was signif-

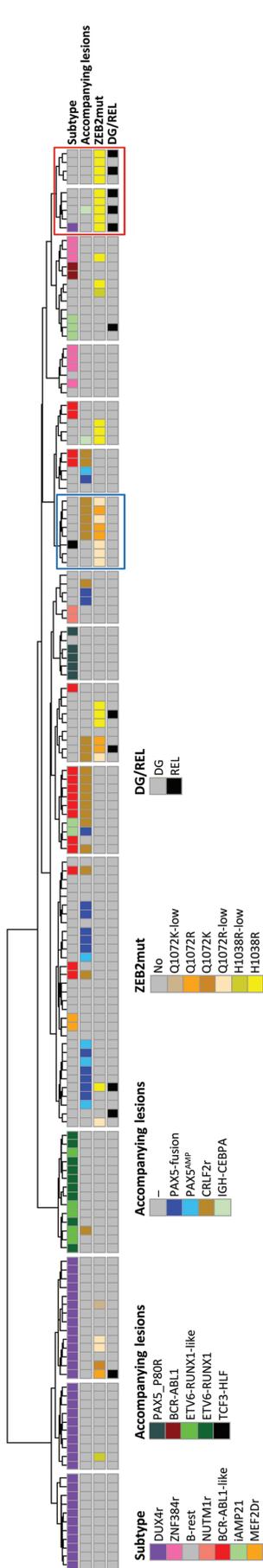


**Figure 1. Outcome analysis.** (A-D) Event-free survival and cumulative incidence of relapse at 5 years according to *ZEB2*mut status in patients with newly diagnosed B-other acute lymphoblastic leukemia in the discovery cohort (A, B) and those from the validation cohort who were treated on the AIEOP-BFM ALL 2000 protocol (C, D). SE: standard error.

**Table 1.** Demographic, clinical and genetic characteristics of *ZEB2*mut-positive patients.

Case ID	Gender	Age (years)	WBC (x10 <sup>9</sup> /L)	Risk (Dx)	ALL subtype	Outcome	Follow-up (years)	<i>ZEB2</i> mut	Additional genetic findings at Dx	Additional genetic findings at REL
835	F	7	6	MR	B-other	CCR	9.2	H1038R	ZEB2-TEX41 (of) <sup>e</sup>	n.an.
206	M	6	8	SR	B-other	REL-BM	5.3	H1038R	ZEB2-GTDC1 (of) <sup>e</sup>	n.an.
WB35	F	15	41	HR	B-other	CCR	4.3	H1038R	TP53 P278L, NRAS Y64D	n.an.
WB23	F	9	3	HR	B-other	Died	0.7	H1038R	PTPN11 G60V	n.an.
1768	M	1	3	SR	B-other	REL-BM	1.3	H1038R	PAX5-PML, P2RY8-CRLF2, CBFA2T3-SLC7A5, JAK1 V658I	PAX5-PML, P2RY8-CRLF2, CBFA2T3-SLC7A5, NT5C2 K25E
H 46	F	12	4	HR	B-other	REL-BM	3.8	H1038R	NRAS Q61K	CSDE1-ST7L, KRAS A146V
B 207	M	14	6	MR	B-other	REL-BM+EM	2.5	H1038R	NRAS G12D	–
KI63	F	20	22	MR	B-other <sup>a</sup>	REL-BM	0.9	H1038R	n.an.	IGH-CEBPA
HV62	F	13	111	HR	DUX4 <sup>a</sup>	REL-BM	3.3	H1038R	n.an.	KRAS G12V
GI9	F	9	15	SR	B-other	CCR	6.1	H1038R	IGH-CEBPA	n.an.
U 131	M	16	9	MR	B-other	CCR	6.8	H1038R	FLT3 D839G, L576R, N676K	n.an.
FB62	M	7	137	MR	B-other	REL-BM	2.7	H1038R	–	–
865	M	17	4	MR	B-other	REL-BM	3.8	H1038R	–	n.an.
1883	M	12	7	HR	B-other	REL-BM	2.1	H1038R	–	NT5C2 R238W, NT5C2 R367Q
1098	F	14	20	MR	DUX4r	REL-BM	3.4	H1038R	–	n.an.
RG31	M	6	20	HR	ZNF384 <sup>b</sup>	CCR	6.0	H1038R	–	n.an.
961	M	12	3	HR	iAMP21	REL-BM	2.5	Q1072R	PAX5-BCAS4, KMT2D R5027*, SETD2 I2482fs	n.an.
E 46	F	3	3	SR	B-other	CCR	3.6	Q1072R	PAX5 E105*	n.an.
S 29	F	3	30	MR	B-other	CCR	6.3	Q1072R	P2RY8-CRLF2, PAX5 V319_P320fs, PAX5 A111T	n.an.
B 65	F	4	4	SR	B-other	CCR	1.7	Q1072R	P2RY8-CRLF2, JAK2 D873N, TP53 E258G, ZEB2 A1035G <sup>f</sup>	n.an.
B 80	F	3	8	MR	B-other	CCR	3.3	Q1072R	P2RY8-CRLF2, CRLF2 F232C, CRLF2 V244M	n.an.
HV70	F	2	41	MR	B-other	CCR	5.1	Q1072R	P2RY8-CRLF2	n.an.
KI16	F	4	35	SR	B-other	CCR	6.2	Q1072R	P2RY8-CRLF2	n.an.
1154	M	2	19	SR	B-other	CCR	6.0	Q1072R	P2RY8-CRLF2	n.an.
2058	M	3	5	SR	B-other	REL-BM+CNS	3.2	Q1072R	P2RY8-CRLF2	SETD2 Y1666C
RG51	F	9	8	HR	TCF3/HLF	Died	0.0	Q1072R	NRAS G13D	n.an.
2134	F	4	10	MR	DUX4r	REL-EM <sup>d</sup>	2.6	Q1072R	IGH-MYC	–
MA5	F	5	3	SR	B-other	REL-CNS	2.0	Q1072R	ATG4D-PDE4A	n.an.
B 150	F	5	40	MR	B-other	REL-BM	1.8	Q1072R	–	–
1114	M	17	16	MR	DUX4r	REL-EM <sup>c</sup>	2.1	Q1072R	–	n.an.
KI15	F	9	6	MR	DUX4r	CCR	10.2	Q1072K	–	n.an.
1323	F	16	2	MR	DUX4r	CCR	9.0	Q1072K	–	n.an.

WBC: white blood cell count; SR/MR/HR: standard/medium/high risk; CCR: continuous complete remission; r: rearrangement; BM: bone marrow; EM: extramedullary; CNS: central nervous system; n.an.: not analyzed; Dx: diagnosis; REL: relapse; of: out-of-frame. <sup>a</sup>Subtype assigned using RNA sequencing data from relapse; <sup>b</sup>EP300-ZNF384; <sup>c</sup>isolated testicular relapse; <sup>d</sup>isolated relapse in uterus; <sup>e</sup>the rearranged allele carries a H1038R mutation; <sup>f</sup>affects the second *ZEB2* allele.



**Figure 2. Unsupervised hierarchical clustering.** Unsupervised hierarchical clustering based on the expression of 800 most differentially expressed genes (across the whole sample set,  $n = 156$ ) was performed using the ward.D method and Euclidean distance linkage. The figure shows the resultant dendrogram. The sample set includes samples from the patients with ZEB2-mutation-positive B-other acute lymphocytic leukemia (ALL) in the present study and samples from the patients with B-other ALL, BCR-ABL1-positive ALL and ETV6-RUNX1-positive ALL from our previous study.<sup>15</sup> The BCR-ABL1-positive and ETV6-RUNX1-positive samples were included in the sample set to demonstrate the strength of co-clustering of ETV6-RUNX1-like and BCR-ABL1-like samples with their fusion-positive counterparts. The ETV6-RUNX1-like and BCR-ABL1-like samples were identified by supervised hierarchical clustering analyses (Online Supplementary Figure S1). The left-to-right order of samples can be found in Online Supplementary Table S4. ZEB2 H1038 and Q1072 mutation clusters are highlighted by red and blue boxes, respectively. DG: diagnosis; REL: relapse; r: rearrangement; low: variant allele frequency at the DNA level (or complementary DNA level if DNA not analyzed) <20%.

icantly lower than that in the discovery relapse cohort. The median time to relapse in all ZEB2mut-positive patients was 2.6 years from original diagnosis (range, 0.9-5.3); the median follow-up time of those who remained in first continuous complete remission was 6.1 years (range, 1.7-10.2). Among 24 ZEB2mut-positive patients treated on frontline protocols utilizing minimal residual disease-based risk stratification, 7, 11 and 6 patients were stratified to standard, medium and high risk arms, respectively.

Of the total 32 ZEB2mut patients identified within our study (for patients' characteristics including distribution into cohorts, see Table 1 and Online Supplementary Table S1), the ZEB2mut was already detected at the initial manifestation of leukemia in 29. Among these patients, we found a male to female ratio of 0.53, a median age at diagnosis of 8 years (10/29 patients were  $\geq 10$  years old) and a median initial white blood cell count of  $8.2 \times 10^9/L$  (only 1 patient had a white blood cell count  $\geq 50 \times 10^9/L$ ). The H1038R, Q1072R and Q1072K mutations were found in 14, 13 and 2 patients, respectively. At the DNA level, the diagnostic variant allele frequencies of ZEB2mut ranged from 2% to 79% (variant allele frequency  $\leq 20\%$  in 12/25 cases), pointing to a frequent subclonality (and thus an unlikely primary origin of ZEB2mut). Variant allele frequencies of ZEB2mut alleles at the transcript level were mostly higher or comparable to those at the DNA level. Among 14 analyzed patients with paired diagnostic/relapse samples, the ZEB2mut present at diagnosis was preserved in ten and lost in one patient, while in three patients the ZEB2mut from relapse was undetectable at diagnosis and thus potentially newly gained.

We utilized RNA sequencing to investigate the presence of subtype-defining genetic lesions, subtype-defining gene-expression signatures<sup>4,6-14</sup> and other known genetic lesions in ZEB2mut-positive ALL.

Of 32 ZEB2mut-positive ALL cases, six were classified as DUX4-rearranged; the TCF3-HLF fusion and ZNF384 rearrangement were found in one case each, while no subtype-defining lesion was detected by RNA sequencing in 24 cases. Among these, iAMP21 was found by routine cytogenetic investigation in one case, while it was not consistently investigated in all patients. ETV6-RUNX1-like and BCR-ABL1-like gene expression signatures were not detected in any patient (Online Supplementary Figure S1). Thus, the majority of ZEB2mut-positive cases (23/32; 72%) did not belong to any established ALL subtype.<sup>9</sup>

Variant and fusion analyses of RNA sequencing data revealed that additional genes/pathways were recurrently affected in ZEB2mut-positive cases (Table 1). Of the 28 ZEB2mut cases analyzed by RNA sequencing at initial leukemia manifestation, seven had the P2RY8-CRLF2 fusion. Of note, this fusion was significantly enriched in cases with the Q1072 mutations compared to those with the H1038 mutation (47% vs. 0%,  $P=0.007$ ). On the other hand, Ras pathway-activating mutations (mutations in NRAS, PTPN11 and FLT3) tended to be more frequent in cases with the H1038 mutation (38% vs. 7%,  $P=0.07$ ). Interestingly, in addition to genetic differences, patients with the two ZEB2mut types also differed by age. Patients with an H1038 ZEB2mut were significantly older than those with a Q1072 ZEB2mut (median age 12.4 vs. 3.8 years;  $P=0.02$ ). There was no significant difference in white blood cell count and a modest trend towards a higher frequency of males in H1038 ZEB2mut-positive patients than in Q1072 ZEB2mut-positive ones ( $P=0.13$ ).

Other recurrently somatically affected genes were *PAX5* (n=2) and *TP53* (n=2). One patient harbored the *IGH-CEBPA* fusion, which was also found in an additional patient at relapse (unfortunately, in this patient we could not investigate its presence at initial diagnosis). Of note, a recent transcriptomic study described a rare subgroup of BCP-ALL defined by gene expression signature, which was enriched for the *ZEB2* H1038 mutation and the *IGH-CEBPE* fusion (likely functionally similar to *IGH-CEBPA*).<sup>3</sup> However, unlike in our patients, these two genetic lesions did not co-occur in individual patients with the respective gene expression signature in the cited study. Last but not least, three of 32 patients harbored additional aberrations of *ZEB2* itself: a missense mutation affecting the second allele was found in one patient and out-of-frame fusions predicted to result in C-terminal truncation and modification of the *ZEB2* protein were found in two patients (Table 1, *Online Supplementary Figure S2*); in both, the H1038 mutation was located on the rearranged allele.

We further utilized RNA sequencing data to analyze the potential presence of gene expression signatures associated with *ZEB2*mut. Unsupervised hierarchical clustering analyses revealed clusters that were enriched for either Q1072 or H1038 *ZEB2*mut (Figure 2). None of these clusters involved all patients with the respective *ZEB2*mut, and, moreover, the “H1038 *ZEB2*mut cluster” involved additional patients without *ZEB2*mut. Thus, *ZEB2*mut seems to impact the gene expression signature, albeit less specifically and prominently compared to (at least some) subtype-defining genetic lesions (e.g., *DUX4r*, *ETV6-RUNX1*).<sup>9,15</sup> Whether the potential difference in gene expression signatures associated with H1038 versus Q1072 *ZEB2*mut truly derives from the *ZEB2*mut type or whether it is (also) attributable to differences in the spectra of accompanying genetic lesions remains unclear.

In summary, in this study we determined the frequency of H1038/Q1072 *ZEB2*mut in newly diagnosed and relapsed cases of pediatric B-other ALL and the impact of these mutations on outcome. While the discovery part of the study suggested that *ZEB2*mut is a potential risk factor, and the overall data from the discovery plus validation cohorts also confirmed significant enrichment of *ZEB2*mut in relapsed cases (26/857 at diagnosis vs. 13/138 at relapse;  $P=0.0013$ ), the original finding was only partly validated in independent cohorts. We may only speculate whether differences in treatment between the AIEOP-BFM ALL 2000 and 2009 protocols, potential selection biases in validation cohorts (that were non-consecutive and the validation relapse cohort did not include isolated extramedullary relapses) and/or small numbers of *ZEB2*mut-positive patients could have contributed to these partially discordant findings. Although our study included more than 800 cases of newly diagnosed B-other ALL, the limited number of *ZEB2*mut-positive patients did not allow us to analyze the potential association of all biological and clinical features on relapse risk in deep detail. Nevertheless, we found no significant association between relapse occurrence and *ZEB2*mut type, *ZEB2*mut variant allele frequency, ALL subtype, concomitant genetic lesions, sex (although males tended to relapse more frequently than females: 70% vs. 32% relapse incidence,  $P=0.06$ ), age, white blood cell count or risk. Given the relatively low frequency of *ZEB2*mut, and potential dependence of its prognostic impact on additional genetic/clinical factors, other studies on well-characterized larger cohorts would be needed to further elucidate the clinical relevance of the mutations, as well as

biological studies addressing their functional consequences.

We found genetic and demographic differences between patients with distinct *ZEB2* mutations. There are currently no experimental data supporting an assumption that distinct *ZEB2*mut types have the same (yet unknown) functional impact and biological consequences; moreover, *ZEB2* function can be further altered in patients with *ZEB2* fusions. Thus, although we did not observe differences in relapse rate with respect to mutation type in our study, sub-analyses considering mutation types as separate entities should be emphasized in future studies.

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Contributions: MZa and JT designed and led the study, MZa, EP, JL and LW performed molecular genetic analyses, MZa and MZi performed statistical analyses, MZ, JuS, IJ, LS, JaS, JZ, MS, MZi, BB, JPB, CE, GC and JT provided material, data and/or technologies. All authors participated in the data analysis and/or interpretation, MZ wrote the draft. All authors revised the draft and contributed to the final manuscript.

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