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# CRISPR-Cas9-induced t(11;19)/MLL-ENL translocations initiate leukemia in human hematopoietic progenitor cells *in vivo*

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## ABSTRACT

Chromosomal translocations that generate oncogenic fusion proteins are causative for most pediatric leukemias and frequently affect the *MLL/KMT2A* gene. *In vivo* modeling of *bona fide* chromosomal translocations in human hematopoietic stem and progenitor cells is challenging but essential to determine their actual leukemogenic potential. We therefore developed an advanced lentiviral CRISPR-Cas9 vector that efficiently transduced human CD34<sup>+</sup> hematopoietic stem and progenitor cells and induced the t(11;19)/MLL-ENL translocation. Leveraging this system, we could demonstrate that hematopoietic stem and progenitor cells harboring the translocation showed only a transient clonal growth advantage *in vitro*. In contrast, t(11;19)/MLL-ENL-harboring CD34<sup>+</sup> hematopoietic stem and progenitor cells not only showed long-term engraftment in primary immunodeficient recipients, but t(11;19)/MLL-ENL also served as a first hit to initiate a monocytic leukemia-like disease. Interestingly, secondary recipients developed acute lymphoblastic leukemia with incomplete penetrance. These findings indicate that environmental cues not only contribute to the disease phenotype, but also to t(11;19)/MLL-ENL-mediated oncogenic transformation itself. Thus, by investigating the true chromosomal t(11;19) rearrangement in its natural genomic context, our study emphasizes the importance of environmental cues for the pathogenesis of pediatric leukemias, opening an avenue for novel treatment options.

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## Introduction

Reciprocal chromosomal translocations are the causative genetic aberration in almost 60% of cases of pediatric acute myeloid leukemia (AML).<sup>1</sup> Among these, rearrangements of the *MLL1/KMT2A* gene located on chromosome 11q23 are most frequent, accounting for almost 25% of pediatric and 50% of infant AML cases.<sup>1</sup>

The oncogenicity of MLL fusions has been investigated in various mouse models, including human CD34<sup>+</sup> xenografts, which provided strong evidence that MLL fusion oncogenes are sufficient to transform human hematopoietic stem and progenitor cells (HSPC).<sup>2,4</sup> These studies also highlighted the environmental influence on disease phenotype and the role of the MLL fusion partner on the overall oncogenicity. However, the results were mainly obtained using retroviral expression systems, which invariably express one fusion protein at non-physiological levels, and neglect the loss of the wild-type alleles of both involved genes and a potential contribution of the reciprocal product of the translocation.<sup>5,6</sup> Investigation of MLL rearrangements via knock-in in human HSPC supported their oncogenicity at

endogenous expression levels, however, the loss of one wild-type allele of each fusion partner, and potential involvement of a reciprocal fusion product, still remain elusive with this approach.<sup>4</sup> It is therefore desirable to investigate the oncogenicity of *bona fide* MLL rearrangements in primary human HSPC both *in vitro* and *in vivo*, which can be facilitated with genome editing technologies.<sup>7-10</sup>

The CRISPR-Cas9 system has only recently been successfully established to modify functional human HSPC.<sup>11,12</sup> It was shown to allow the generation of chromosomal inversions *in vitro*<sup>9,10,13</sup> and in murine *in vivo* models,<sup>14</sup> and may thus overcome efficacy hurdles that may have caused the failure of models based on transcription activator-like effector nucleases (TALEN).<sup>15</sup>

While attempts to recapitulate transformation of primary human HSPC by chromosomal rearrangements *in vitro* failed,<sup>15</sup> and thereby raised the question of whether the efficacy of the technology or an inherent protection of HSPC against chromosomal rearrangements caused the failure,<sup>15,16</sup> *in vivo* experiments with CRISPR-Cas9-induced chromosomal translocations in human HSPC may have the power to answer these open questions, but have not yet been accomplished.

Here we successfully generated chromosomal rearrangements (t[11;19]/MLL-ENL) in CD34<sup>+</sup> HSPC, resulting in clonal outgrowth *in vitro* and monocytic and B-lineage leukemia *in vivo*, which we accomplished with an improved lentiviral CRISPR-Cas9 system to generate chromosomal rearrangements based on our former work.<sup>17</sup> Furthermore, our study highlights the impact of non-cell-autonomous signals influencing not only the phenotype but the overall transformation of HSPC by MLL rearrangements. Thus, our study presents the first human *de novo* leukemia model with CRISPR-Cas9-engineered chromosomal translocations and highlights the power of this advanced approach.

## Methods

### Plasmids and viral particle production

A detailed description of the vector construction is provided in the *Online Supplementary Methods*. CRISPR-Cas9 target sites were selected using the CCTop selection tool.<sup>19</sup> Lentiviral vectors were provided via Addgene (# 69146-69148, 69212, 69215, 89392-89395). Lentiviral particles were produced as described before.<sup>17</sup>

### Reporter assay-based testing of the spacers

Reporter-based single-guide RNA (sgRNA) efficacy testing was performed as described elsewhere.<sup>17</sup>

### Cell culture

Cell lines were maintained as described in the *Online Supplementary Methods*. Human CD34<sup>+</sup> cells were isolated from cord blood using the CD34 MicroBead Kit (Miltenyi Biotec) according to the manufacturer's instructions. The cord blood was provided with the parents' consent by the Department of Gynecology and Obstetrics, Hannover Medical School, and experiments were approved by the local ethics committee. Details on CD34<sup>+</sup> cell maintenance and transduction are described in the *Online Supplementary Methods* section.

Colony-forming unit assays were performed according to manufacturer's instructions. Upon initial plating, 1x10<sup>4</sup> cells were seeded. For replating a total of 3x10<sup>4</sup> cells per plate were seeded.

### Xenotransplantation

All animal experiments were approved by the local authorities. For transplantation, 4x10<sup>5</sup> CD34<sup>+</sup> cells were pre-stimulated and transduced as described above. Twenty-four hours after transduction, the HSPC were transplanted intravenously into sublethally irradiated (2.5 Gy) male or female NSGS mice<sup>20</sup> (age: 8-12 weeks).

### Flow cytometry

Cells were stained with antibodies specific for human CD45 (APC, V500), CD33 (PE), CD14 (APC-Cy7), CD11b (APC), CD64 (PE), CD117 (PE-Cy7), CD19 (PE-Cy7), CD3 (APC-Cy7), CD10 (BV605), CD20 (V450), CD22 (APC), and CD34 (PerCP-Cy5.5) (all from BD Biosciences). Dead cells were excluded using 4',6-diamidin-2-phenylindol (DAPI) counterstaining, where applicable. Cells were analyzed on a FACS Canto flow cytometer (BD Biosciences), and data were analyzed with FlowJo V10 software.

### RNA extraction and polymerase chain reaction

Total RNA was purified from cells with the RNeasy Micro or Mini Kit (Qiagen), complementary DNA (cDNA) was synthesized using the High Capacity cDNA Reverse Transcription Kit (Applied Biosystems), according to the manufacturer's instructions. The primers are summarized in *Online Supplementary Table S3*.

### Genomic DNA extraction and polymerase chain reaction

Genomic DNA was extracted using the QIAmp DNA Mini or Micro Kit (Qiagen) according to the manufacturer's protocols. Detection of the genomic breakpoint polymerase chain reaction was performed with Extensor 2x Master Mix (Thermo Scientific). Primers are summarized in *Online Supplementary Table S3*.

### T7 endonuclease I based surveyor assay

Polymerase chain reaction primers containing the on-target and off-target of MLL and ENL are listed in *Online Supplementary Table S3*. The T7 endonuclease I (T7E-I) assay was performed according to the manufacturer's protocols (New England Biolabs). Digested fragments were separated by DNA gel electrophoresis and imaged with a BioRad GelDoc™ XR+ imaging system. Absolute quantification of DNA fragments was done with the Image Lab 3.0 software (BioRad). The band intensity of the DNA marker (Thermo Scientific) was correlated to its specific amount of input, and subsequently, the absolute quantities of all DNA fragments were defined accordingly and the ratios of cut and uncut fragments were calculated.

### Statistical analysis

Statistical analysis was performed with GraphPad Prism software (Graphpad Software).

## Results

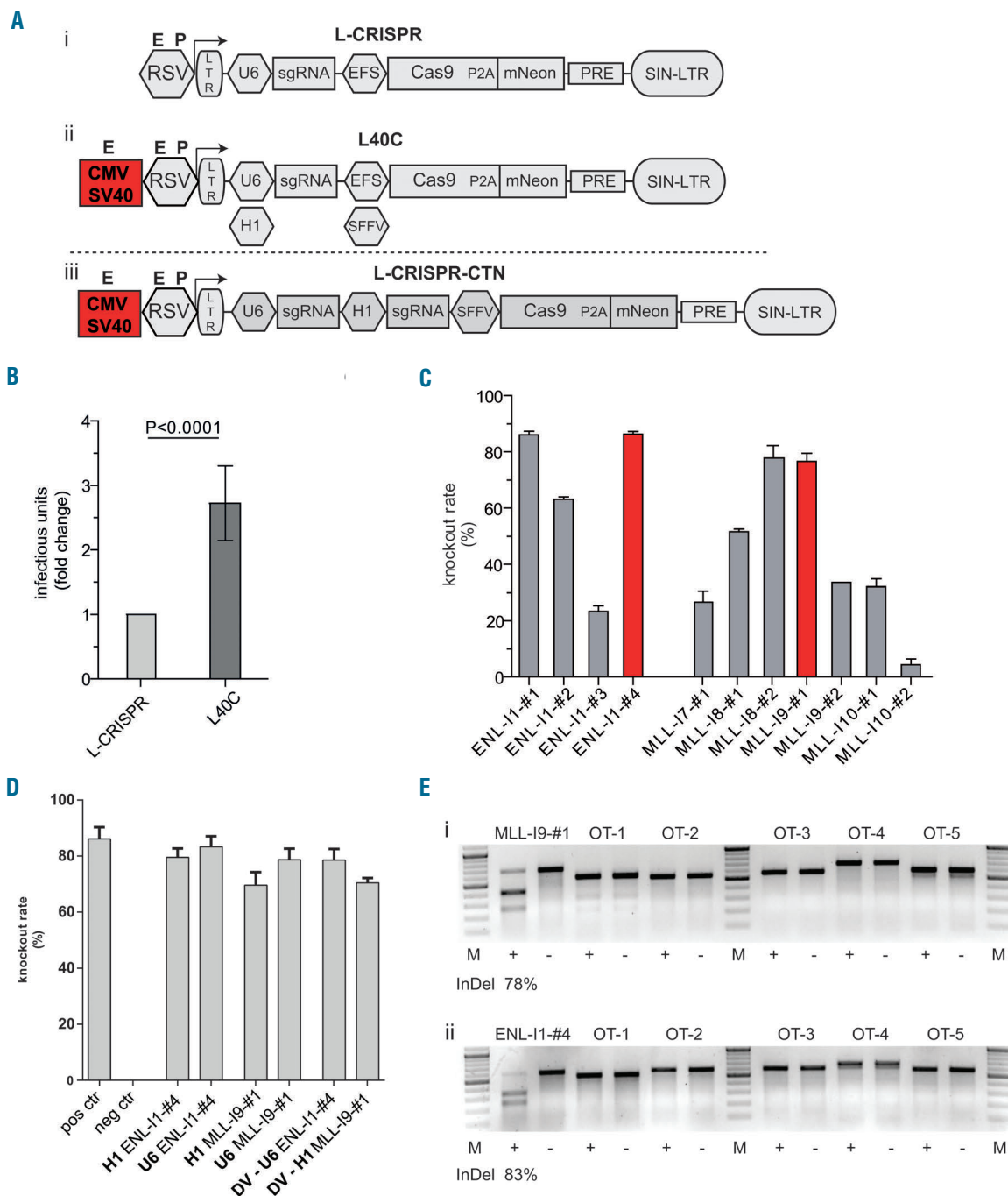
### Advanced lentiviral dual single-guide RNA CRISPR-Cas9 vectors for the generation of chromosomal rearrangements

With the aim of elucidating the transformative nature of endogenous chromosomal rearrangements in primary human HSPC, we developed an advanced, all-in-one lentiviral CRISPR-Cas9 system with two sgRNA expression cassettes (L-CRISPR-CTN=CRISPR-Translocations-mNeon) (Figure 1A). We introduced an enhanced sgRNA backbone<sup>18</sup> and enhancer elements to boost genomic RNA production,<sup>21</sup> resulting in significantly higher titers as tested

on multiple hematopoietic cell lines (Figure 1B; *Online Supplementary Figure S1*).

Utilizing fluorescence reporter-based spacer testing (*Online Supplementary Figure S2*), we established highly efficient sgRNAs (>80% cleavage activity) targeting *MLL/KMT2A* and *ENL* intronic sequences to generate the t(11;19)/*MLL-ENL* translocation (Figure 1C; *Online*

*Supplementary Table S1*). To prospectively achieve CRISPR-Cas9-induced chromosomal rearrangement from a single vector (Figure 1A), the knock-out efficacy of the various selected sgRNAs expressed from a H1 promoter was tested. Only minor, non-significant differences in the cleavage efficacy were detectable with sgRNA expression either from a H1 or U6 promoter (Figure 1D). Importantly, the activity of



**Figure 1. An improved lentiviral vector system for generation of CRISPR-Cas9-induced chromosomal rearrangements.** (A) Schematic presentation of lentiviral vector architecture including genomic RNA-generating promoter assembly: published architecture (L-CRISPR) (i), improved architecture with cytomegalovirus enhancer (CMV) and simian virus 40 enhancer (SV40) and exchangeability of the hU6 promoter for a H1 promoter (L40C) (ii), and lentiviral vector for dual sgRNA delivery (L-CRISPR-CTN) (iii). (B) Analysis of viral titers in three independent cell lines with two different sgRNAs and three replicates each. (C) Knock-out efficacies (fluorescence reporter assay) of sgRNAs targeting intronic sequences of *ENL* and *MLL*. Selected sgRNAs are marked. (D) Knock-out efficacies of selected sgRNAs expressed from a human U6 or H1 promoter, as indicated. Knock-out efficacies of selected sgRNAs in L-CRISPR-CTN dual sgRNA configuration (DV) (neg ctrl= anti-Luciferase (Luc) sgRNA, pos ctrl= Tet2 sgRNA). (E) T7-endonuclease-I assay for on-target sites and the top five predicted off-target sites in HEL cells (OT-1-OT-5). Indel frequencies at endogenous loci are indicated below. Analysis in cells transduced with targeting (+) and Luc (-) sgRNAs: *MLL-I9-#1*(i) and *ENL-I1-#4* (ii).

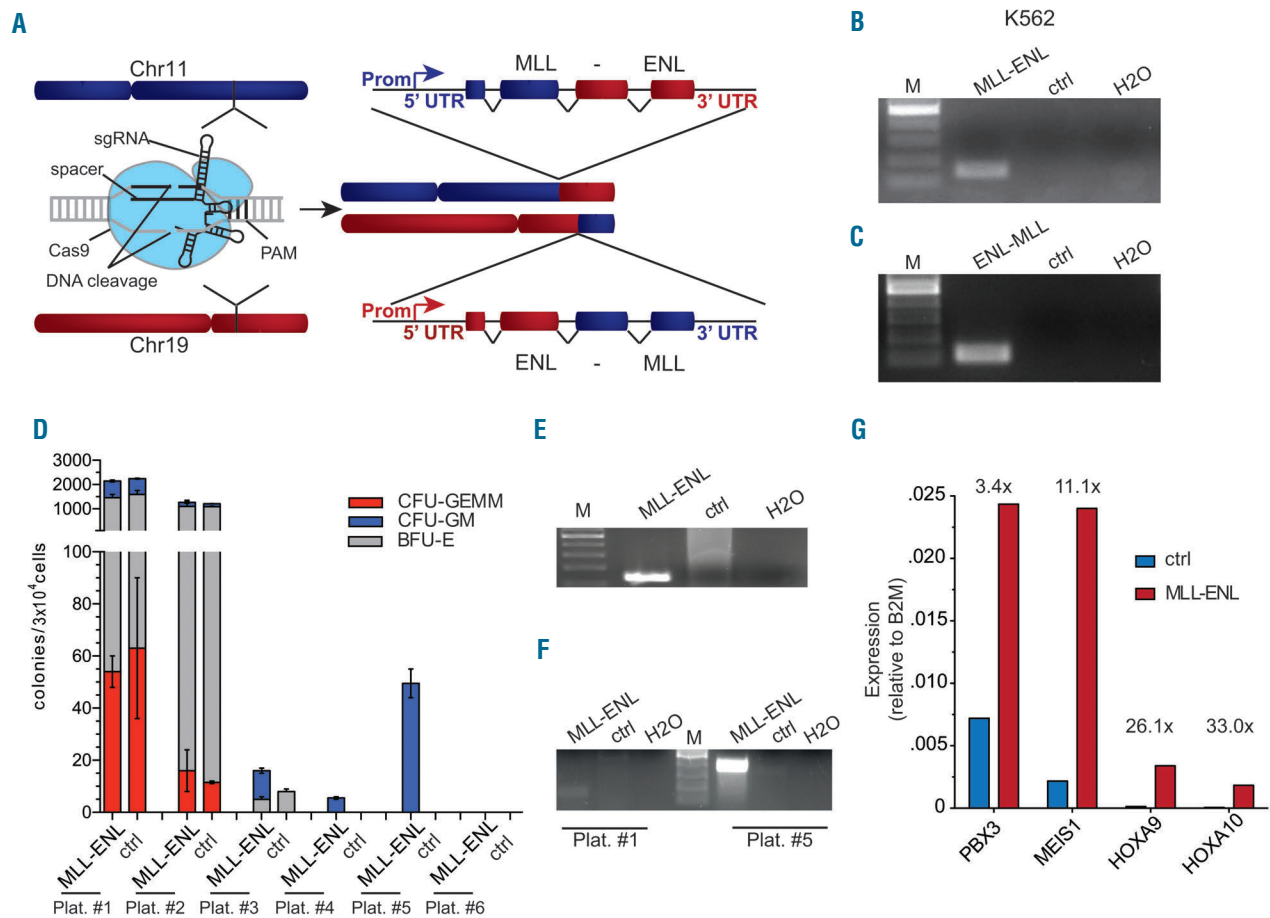
the sgRNA was retained in the dual sgRNA vector configuration (Figure 1D). No recombination of the integrated provirus was observed (Online Supplementary Figure S3), warranting high confidence delivery of both sgRNAs.

Off-target cleavage activity is a major concern with the application of genome editing, but is mostly abrogated by three or more mismatches between the spacer and proto-spacer.<sup>22,23</sup> T7E-I assays for the top five off-target sites and the on-target sites of our pre-selected sgRNAs verified high on-target and no detectable off-target activity at the endogenous loci (Figure 1E, Online Supplementary Table S2).

Based on these results, we tested the generation of chromosomal rearrangements [t(11;19); L-CRISPR-CTN(11;19)] in hematopoietic cells (Figure 2A). In L-CRISPR-CTN(11;19)-transduced K562 cells, we could readily detect expression of the *MLL-ENL* and reciprocal *ENL-MLL* transcript in the bulk population (Figure 2B,C). Amplification of the genomic breakpoint and sequence analysis further verified polyclonal t(11;19) induction at the targeted genomic sequences (Online Supplementary Figure S4). We could thereby establish an all-in-one lentiviral CRISPR-Cas9 system for the efficient induction of chromosomal translocations (L-CRISPR-CTN).

### L-CRISPR-CTN-induced t(11;19) translocations increase the re-plating efficiency of primary human hematopoietic stem and progenitor cells *in vitro*

To determine the impact of endogenous t(11;19) on HSPC, we transduced cord blood-derived CD34<sup>+</sup> HSPC (16.6±5.2%, n=10). FACS-sorted cells grown in methylcellulose were tested for *MLL-ENL* expression at the first re-plating and transcript identity was validated via sequencing (Online Supplementary Figure S5). Of note, vector expression was not monitored over time since genome editing does not rely on continuous expression of the Cas9 or the sgRNA. In three independent experiments, *MLL-ENL* messenger RNA (mRNA) was detectable, resulting in a rearrangement efficacy of at least 1.6×10<sup>-3</sup> (±0.26×10<sup>-3</sup>). Upon serial re-plating we detected the extended plating capacity of t(11;19)-containing cells (Figure 2D), accompanied by robust expression of the *MLL-ENL* transcript (Figure 2E, Online Supplementary Figure S6) and detection of the genomic breakpoint (Figure 2F, Online Supplementary Figure S6). The latter was not detectable at the first re-plating, likely due to lower sensitivity at the genomic level compared to mRNA expression. Our experiments thus provide evidence that CRISPR-Cas9-induced t(11;19)



**Figure 2. CRISPR-Cas9-induced MLL-ENL rearrangements cause clonal expansion of human CD34<sup>+</sup> hematopoietic stem and progenitor cells.** (A) Schematic depiction of CRISPR-Cas9-induced chromosomal rearrangements at the MLL and ENL loci. (B) Reverse transcriptase polymerase chain reaction-based detection of *MLL-ENL* transcript in K562 cells. Ctrl = MLL-I9-#1 + Luc sgRNAs. (C) RT-PCR-based detection of reciprocal *ENL-MLL* transcript in K562 cells. Ctrl = MLL-I9-#1 + Luc sgRNA. (D) Serial plating of CD34<sup>+</sup> HSPC after transduction with L-CRISPR-CTN. (E) Detection of *MLL-ENL* expression in CD34<sup>+</sup> HSPCs at fifth plating. Control (MLL-I9-#1 + Luc sgRNAs) template from third plating. (F) Detection of the genomic *MLL-ENL* breakpoint in CD34<sup>+</sup> HSPCs at fifth plating (control (MLL-I9-#1 + Luc sgRNAs) template from second plating) compared to the first plating. (G) Analysis of MLL target genes in MLL-ENL-expressing cells (fifth plating) compared to controls (third plating). Differential regulation marked above. Ctrl = MLL-I9-#1 + Luc sgRNAs.

translocations can provide self-renewal capacity to human HSPC. However, the cord blood CD34<sup>+</sup> cells with t(11;19) formed normal hematopoietic colonies and eventually ceased proliferating. Results in methylcellulose were further supported by the transient clonal outgrowth of MLL-ENL-expressing cells in one out of four experiments performed in liquid culture (*Online Supplementary Figure S7*). Long-term tracking of MLL-ENL-expressing cells in other experiments without growth advantage indicates the need for additional stimuli to induce transformation (*Online Supplementary Figure S8A,B*).

When clonal outgrowth was observed it was accompanied by a robust up-regulation of known downstream effectors of MLL-ENL, such as *HOXA9*, *HOXA10*, *MEIS1*, and *PBX3* (*Figure 2G*, *Online Supplementary Figure S7D*),<sup>24</sup> which was absent in samples without clonal outgrowth (*Online Supplementary Figure S8C*). In contrast to former studies, genes associated with a leukemic stem cell phenotype in mice (*CBX5*, *HMGB3*, *MYBL2*)<sup>24</sup> were not upregulated in samples with clonal outgrowth (*Online Supplementary Figure S7D*).

Overall, these experiments underline both the potential and insufficiencies of endogenous t(11;19) to modulate self-renewal and growth of human HSPC *in vitro*.

This is in line with a recent report on TALEN-induced MLL rearrangements in CD34<sup>+</sup> HSPC *in vitro*.<sup>15</sup> This cumulative evidence points towards a non-cell-autonomous component in cellular transformation by MLL rearrangements beyond phenotypic manifestation.

### ***In vivo* environment affects the oncogenic transformation of primary human hematopoietic stem and progenitor cells by t(11;19)**

To further test this hypothesis, we performed transplantation of freshly-transduced, non-sorted L-CRISPR-CTN(11;19) and L-CRISPR-CTN(ctrl) CD34<sup>+</sup> cells into immunodeficient mice (*Figure 3A*, *Online Supplementary Figure S9*). At weeks 25, 26, and 27 after transplantation, three moribund mice were analyzed (*Figure 3B*). One of the mice presented with intermediate human engraftment, mixed lineage reconstitution and only moderate expression of the vector backbone, excluding leukemia as the cause of sickness (*Online Supplementary Figure S10A-C*). Two of the mice presented with a hCD45<sup>+</sup>CD33<sup>+</sup> monocytic phenotype (*Figure 3C*, *Online Supplementary Figure S10B*), robust vector expression (*Figure 3C*, *Online Supplementary Figure S10B*), and the MLL rearrangement was confirmed by fluorescence *in situ* hybridization in cultured cells from one mouse (*Figure 3E*, MLL-split probe 7% positivity). An excess of monocytic cells with immature features in the bone marrow was verified by nuclear staining (>80%, *Figure 3D*). Severe infiltration of myeloid cells in the liver further supported hematologic disease (*Figure 3F*). Sequence analysis of the genomic MLL-ENL breakpoints detected in all analyzed mice (diseased and healthy, Sanger sequencing) indicated a clonal origin and outgrowth of t(11;19)-containing cells (*Figure 3G*). MLL-ENL and ENL-MLL breakpoints (*Figure 3H*), expression and identity of MLL-ENL and ENL-MLL transcripts (*Figure 3I*, *Online Supplementary Figure S11*), and presence of human cells expressing the introduced vector were confirmed (*Figure 3C*, *Online Supplementary Figures S10* and *S12A*). Notably, while no correlation between MLL-ENL expression and human cell content was observed, MLL-ENL expression significantly correlated with the

percentage of vector-expressing cells, further supporting our approach (*Online Supplementary Figure S12B,C*).

To validate a malignant phenotype, secondary transplantation of the monocytic samples was performed, assuming that healthy committed myelo-monocytic progenitors would fail to engraft, which was indeed the case for two control mice transplanted with L-CRISPR-CTN(ctrl)-transduced CD34<sup>+</sup> cells (*Figure 4A*). Surprisingly, five out of six recipients from both donors with a monocytic phenotype presented with disease symptoms 15-16 weeks after transplantation (*Figure 4A*). The immature monocytic phenotype was confirmed, with CD64 expression, absence of CD14 and CD15 expression, and partial CD117 expression by flow cytometric analysis, as well as by morphological analyses of hepatic infiltration (*Figure 4B,C*, *Online Supplementary Figure S13*). Of note, while disease latency was slightly shortened (25-26 weeks *versus* 16-17 weeks), the absence of blast morphology and CD34 expression indicated no progression of the monocytic leukemia-like disease to a more immature AML phenotype, despite the transplantation-induced stress.

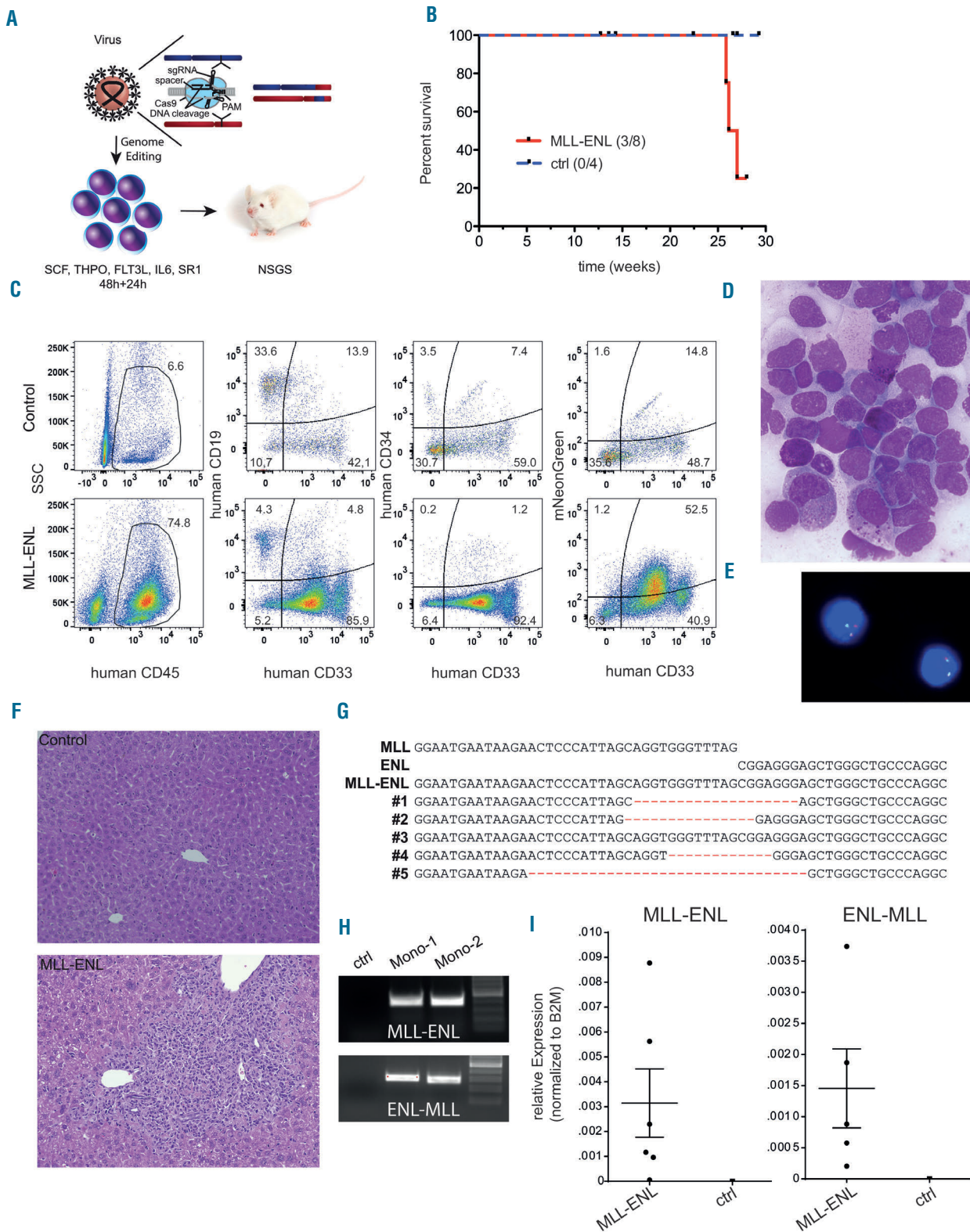
Next, we asked whether *EVI1* expression – an indicator of stem cell origin in MLL-rearranged leukemia<sup>25-27</sup> – and known downstream MLL targets were deregulated in the mice. While *EVI1* was not detectable in our samples or MLL-rearranged control cell lines, we found upregulation of *PBX3* in the majority of mice. Some samples also showed upregulation of *HOXA10*, *MEIS1*, and *MYB* (*Online Supplementary Figure S14*).

Our experiments thereby provide compelling evidence that endogenous t(11;19) can initiate a monocytic leukemia-like phenotype in our xenotransplantation setting, but lacks the capacity to initiate an immature AML. The detection of t(11;19) in non-diseased mice also strongly indicates that additional events are either required for the development of frank leukemia or influence the latency of disease appearance.

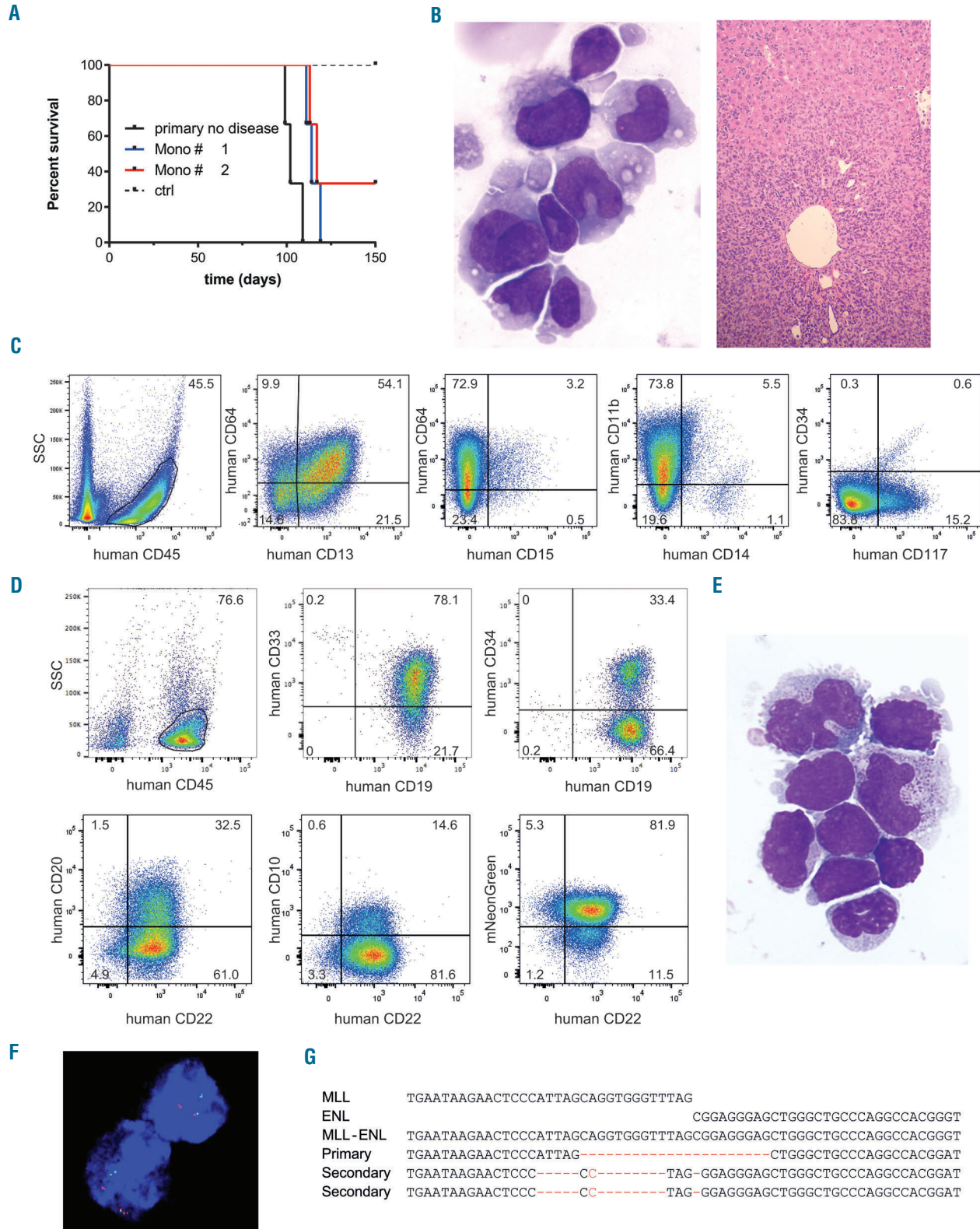
To test this hypothesis of inherent stress and environmental cues as contributing factors in disease manifestation caused by t(11;19), we harvested bone marrow from primary recipients freshly-transplanted with L-CRISPR-CTN(11;19)- and L-CRISPR-CTN(ctrl)-transduced CD34<sup>+</sup> cells 8-10 weeks after transplantation – before the onset of leukemia – and transplanted the bone marrow into secondary recipients. Supposedly-clonal genomic MLL-ENL breakpoints were detectable in the bone marrow of two out of three mice at the time of transplantation (*Online Supplementary Figure S15*).

Indeed, we monitored human cell engraftment in the secondary animals and observed rapid expansion indicating leukemic transformation (*Figure 4A*). Detailed analysis of the cells revealed the development of B-cell acute lymphoblastic leukemia (B-ALL) with expression of CD19, CD22, CD33 and partial expression of CD20 and CD34 (*Figure 4D*). Of note, the expression of CD33 is a common feature of B-ALL cells.<sup>28,29</sup>

The occurrence of B-ALL was further confirmed by morphological analysis of bone marrow cells showing an excess of blasts (*Figure 4E*). The presence of the MLL rearrangement was additionally verified by fluorescence *in situ* hybridization (*Figure 4F*, MLL-split probe 67% positivity). Interestingly, the genomic MLL-ENL breakpoint revealed that the leukemic clone developed independently from the dominant clone in the primary recipients, further support-



**Figure 3. CRISPR-Cas9-induced MLL-ENL rearrangements are leukemogenic in a CD34<sup>+</sup> hematopoietic stem and progenitor cell xenotransplantation model.** (A) Schematic depiction of the xenotransplantation model. (B) Survival of mice transplanted with L-CRISPR-CTN-transduced CD34<sup>+</sup> HSPC. Mice that succumbed to non-hematopoietic disease were censored and are indicated (ticked). (C) Flow cytometric analysis of one mouse with hematopoietic disease compared to a control, with markers as indicated (ctrl = MLL-I9-#1 + Luc sgRNAs). (D) Bone marrow (BM) cytospin analysis of a diseased mouse (MGG, 1000X). (E) Detection of an MLL translocation with fluorescence *in situ* hybridization on interphase nuclei (Vysis LSI MLL probe; Abbott Laboratories) in BM cells from one diseased mouse. (F) Histopathological analysis of liver tissue from a healthy control mouse (ctrl = MLL-I9-#1 + Luc sgRNA) (top) and a mouse with monocytic leukemia-like disease transplanted with L-CRISPR-CTN(11;19)-containing CD34<sup>+</sup> HSPC (bottom) (HE, 100x). (G) Alignment of Sanger sequencing-derived genomic t(11;19)/MLL-ENL breakpoints of mice with a detectable MLL-ENL breakpoint. (H) MLL-ENL and ENL-MLL cytospin breakpoints detected in the BM of two mice with a monocytic leukemia-like disease. (I) Expression of the MLL-ENL (left) and reciprocal ENL-MLL (right) fusion genes, measured by quantitative polymerase chain reaction from the BM of mice with a detectable MLL-ENL breakpoint compared to control mice (MLL-I9-#1 + Luc sgRNAs).



**Figure 4. *In vivo* environment affects the oncogenic transformation of primary human hematopoietic stem and progenitor cells by t(11;19)** (A) Survival of serially transplanted mice with human cell engraftment. Donor: primary recipients with [L-CRISPR-CTN(11;19)] a monocytic leukemia-like disease (Mono #1/#2), a healthy mouse with a detectable MLL-ENL breakpoint but no disease in the primary recipient, and two control donors (MLL-I9-#1 + Luc sgRNAs) (n = 3 per donor). (B) Analysis of bone marrow (BM) cell morphology (left: MGG, 1000x) and liver histopathology (right: HE, 100x) showing severe infiltration of a secondary recipient transplanted with monocytic leukemia-like disease cells. (C) Flow cytometry analysis of monocytic leukemia cells for monocytic and progenitor cell surface marker expression. (D) Flow cytometry analysis of B-ALL cells. (E) Analysis of BM cell morphology (MGG, 1000x) of a secondary recipient with B-ALL. (F) Detection of an MLL translocation with fluorescence *in situ* hybridization on interphase nuclei of B-ALL cells (Vysis LSI MLL probe; Abbott Laboratories). (G) Alignment of Sanger sequencing-derived genomic t(11;19)/MLL-ENL breakpoints of mice with B-ALL (Secondary) compared to the healthy primary (Primary) mouse.

ing our hypothesis that environmental signals may alter the transformation of *MLL-ENL*-harboring cells (Figure 4G). Utilizing serial transplantation and tracking of NHEJ-scarring at genomic breakpoints, as with our system, potentially provides a tool to investigate the clonal evolution of leukemia with potentially variable stress conditions, such as drug treatments, *in vivo*.

## Discussion

By leveraging CRISPR-Cas9 genome editing, we induced *bona fide* chromosomal rearrangements in primary human HSPC *in vivo*, resulting in a *de novo* leukemia-like disease reflecting all the oncogenic properties of MLL-rearrangements: namely the combined, endogenous expression of both resulting fusion mRNA and concurrent loss of one wild-type allele of each fusion partner. To achieve efficient genome editing in CD34<sup>+</sup> HSPC we improved our formerly constructed lentiviral CRISPR-Cas9 delivery system<sup>17</sup> for the generation of chromosomal translocations and transduction of cord blood-derived CD34<sup>+</sup> HSPC. Successful transduction of human HSPC with our system reproducibly resulted in both *MLL-ENL* and *ENL-MLL* mRNA expression, providing strong evidence for the formation of true t(11;19)/MLL-ENL translocations. Intriguingly, functional studies revealed only a transient and variable growth advantage affecting the minority of cultures. No full transformation was observed in liquid cultures or methylcellulose-based *in vitro* assays using CD34<sup>+</sup> HSPC, which is in line with a recent study utilizing TALEN to induce *MLL* translocations or knock-in of AF9/ENL cDNA into the *MLL* locus in CD34<sup>+</sup> HSPC.<sup>4,15</sup> With *MLL-ENL* causing cellular senescence<sup>32</sup> and an intrinsic protection of early HSPC against *MLL-ENL* transformation,<sup>16</sup> MLL rearrangements may only serve as a first hit insufficient to cause full transformation without additional oncogenic events. Isolation of clonal lines and testing of additional cytokine combinations may help to understand the lack of *in vitro* transformation in consecutive studies.

Leukemic transformation in patients lacking additional known driver mutations<sup>34</sup> prompted us to hypothesize that environmental cues may not only affect the phenotype of *MLL* rearrangement-induced leukemia,<sup>3</sup> but may also determine the overall transformation capacity. Of note, *MLL* rearrangements are particularly frequent in pediatric and infant AML,<sup>1</sup> in which both the environment and the cell of origin differ from those in adults.<sup>35,36</sup> The latter was already shown to affect the transformation capacity of retrovirally expressed MLL-AF9.<sup>36</sup> Supporting the idea that yet unknown external stimuli of the *in vivo* environment alter transformation permissiveness, we noted successful engraftment and persistence of t(11;19)-harboring cells after long-term observation, exceeding the time of the *in vitro* experiments. This engraftment culminated in the development of a monocytic leukemia-like disease in primary recipients, contrasting with the lack of transformation *in vitro*, despite similar input cell numbers. Taking into account that leukemic transformation exceeded culture time about 3-fold, the lack of supportive signals for maintaining a pre-leukemic cell *in vitro*, or a prolonged time-frame for the acquisition of additional genetic lesions *in vivo* could explain the observed phenomenon, although similar conditions have been used to transform human HSPC with retroviral overexpression of MLL fusion oncogenes.<sup>2,3</sup> The development of B-ALL in secondary recipients of a healthy primary

donor may either indicate a disturbance of cellular homeostasis contributing to leukemic outgrowth, or result from B-ALL having a higher proportion of leukemia-initiating cells.<sup>39</sup> The detection of *MLL* rearrangements in mice without leukemia-like disease in the same time-frame strongly indicates a progressive acquisition of cooperating mutations needed for full leukemic transformation. Sequencing of *NRAS* and *KRAS* hotspots, which are frequently mutated in MLL-rearranged leukemia,<sup>34</sup> did not reveal any mutations (*data not shown*). More comprehensive sequencing to detect additional oncogenic hits, tracking of clonal t(11;19) isolates in serial transplantations, and a larger cohort are required to identify cooperating events in the future.

Former studies have made major contributions to the understanding of leukemogenesis induced by MLL fusion oncogenes.<sup>2,3,24</sup> However, several aspects may have limited the grasp on MLL rearrangement-guided leukemogenicity and could hamper the development of novel treatment options. First, retroviral expression of MLL fusion oncogenes poses the risk of overexpression-induced phenotypes, which may be amplified by the use of murine models over human xenotransplantation models.<sup>2,3</sup> To overcome these limitations of retroviral studies, another recent study has utilized TALEN-induced DNA-DSB-supported knock-in of AF9 and ENL cDNA into the *MLL* locus in HSPC, thereby recapitulating endogenous expression of the fusion oncogene.<sup>4</sup> Yet, lack of expression of the reciprocal fusion gene, in some cases shown to be essential for the phenotype,<sup>5</sup> loss of one wild-type allele of the fusion partner, potential effects of the translocation of enhancer elements,<sup>40</sup> and microRNA binding sites in the untranslated region of the fusion partner can only be covered by the induction of true chromosomal translocations, as shown in our study. Furthermore, an excess of input cells insufficiently reflecting the clonal disease development and homeostatic mechanisms regulating clonal outgrowth in patients could have influenced the outcomes of former studies, which may be indicated by our detection of chromosomal translocations in mice not showing disease. Notably, CRISPR-Cas9-induced NHEJ-scarring of the chromosomal breakpoints allowed tracking of clonal fluctuation and revealed a clonal shift during leukemic outgrowth in secondary recipients.

Our study reporting a humanized, CRISPR-Cas9-induced cancer model with *in vivo* transformation uncovers new aspects of the oncogenic potency and limitations of endogenous MLL rearrangements in human HSPC, and advances disease modeling closer to patient-specific disease progression than before. Leveraging our approach to generate precise cancer models with the CRISPR-Cas9 system will allow more detailed analysis of potential homeostatic mechanisms and oncogenic cooperations during leukemic transformation, as well as the development of targeted therapies and investigation of drug resistance mechanisms.

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