# Phase I/II study of the hypoxia-activated prodrug PR104 in refractory/relapsed acute myeloid leukemia and acute lymphoblastic leukemia

Marina Konopleva,¹ Peter F. Thall,² Cecilia Arana Yi,¹ Gautam Borthakur,¹ Andrew Coveler,³ Carlos Bueso-Ramos,⁴ Juliana Benito,¹ Sergej Konoplev,⁴ Yongchuan Gu,⁵ Farhad Ravandi,¹ Elias Jabbour,¹ Stefan Faderl,¹ Deborah Thomas,¹ Jorge Cortes,¹ Tapan Kadia,¹ Steven Kornblau,¹ Naval Daver,¹ Naveen Pemmaraju,¹ Hoang Q. Nguyen,² Jennie Feliu,¹ Hongbo Lu,¹, Caimiao Wei,² William R. Wilson,⁵ Teresa J. Melink,⁶ John C. Gutheil,⁶ Michael Andreeff,¹ Elihu H. Estey,³ and Hagop Kantarjian¹

<sup>1</sup>Department of Leukemia, The University of Texas MD Anderson Cancer Center, Houston, TX; <sup>2</sup>Department of Biostatistics, The University of Texas MD Anderson Cancer Center, Houston, TX; <sup>3</sup>Fred Hutchinson Cancer Research Center, University of Washington, Seattle, WA; <sup>4</sup>Department of Hematopathology, The University of Texas MD Anderson Cancer Center, Houston, TX; <sup>5</sup>Auckland Cancer Society Research Centre, University of Auckland, NZ; and <sup>6</sup>Proacta Inc., La Jolla, CA, USA

©2015 Ferrata Storti Foundation. This is an open-access paper. doi:10.3324/haematol.2014.118455 Manuscript received on October 2, 2014. Manuscript accepted on February 6, 2015. Correspondence: mkonople@mdanderson.org

### **Supplemental Information**

### Methods

### Patients and methods

A Phase I/II study of PR104 monotherapy was conducted at The University of Texas MD Anderson Cancer Center (MD Anderson) and Fred Hutchinson Cancer Research Center (FHCRC) between February 2010 and May 2012. Institutional review board approval was obtained at each participating center and all patients provided informed consent (ClinicalTrials.gov Identifier: NCT 01037556).

Response to treatment was assessed by International Working Group response criteria. <sup>18</sup> Morphologic leukemia-free state (MLFS) required a BM blast level of <5%. For CR, the BM blasts had to be <5% with no leukemic blasts in peripheral blood and no evidence of extramedullary disease, neutrophils >1×10<sup>9</sup>/L, and platelets ≥100×10<sup>9</sup>/L. If recovery of platelets was incomplete, the response was CRp.

### Statistical considerations

The dose-finding portion of the study utilized the covariate-adjusted outcome-adaptive Bayesian method of Thall *et al.*<sup>19</sup> to determine a recommended dose for specific subsets of patients as determined by 3 prognostic covariates: first CR duration (<52 weeks versus ≥52 weeks), number of prior induction regimens (1 versus 2), and age (as continuous variable). The 3 prognostic covariates were determined from an analysis of historical data on patients with AML at MD Anderson.

Toxicity was assessed throughout the study. During the course of the study, efficacy and toxicity data generated from each subject were used to update the posterior of the Bayesian model for determining the best dose of PR104 for each patient subgroup.

Unadjusted overall survival (OS) time distributions were estimated by using the method of Kaplan and Meier,<sup>20</sup> stratified by age (older than vs. younger than median age), CR1 duration (>6 months vs. ≤6 months), or dose (4 vs. 3 vs. ≤2.2 g/m²). OS and progression-free survival (PFS) were compared between subgroups using the log rank test.<sup>21</sup> Associations between each response (CR, CRp, or MLFS) and covariates were assessed by Bayesian logistic regression, with computations carried out using the Bayesian subroutine of the software package SAS Proc

Logistic.<sup>22</sup> The Fisher exact test<sup>23</sup> and its generalizations<sup>24</sup> were used to assess associations between categorical variables, and the Wilcoxon rank sum test or Kruskal-Wallis test<sup>25</sup> was used to assess associations between continuous variables and categorical variables.

### **Biomarker studies**

Biomarkers studies were optional and performed only in samples from patients consenting to these studies. Pimonidazole (PIMO) is approved under Investigative New Drug status as a diagnostic tracer for human tumor hypoxia. PIMO is a 2-nitroimidazole that undergoes metabolic reduction in hypoxic cells to generate stable intracellular adducts that can be detected by immunohistochemical staining. For this study, PIMO was dissolved in 0.9% saline solution and infused over 20 minutes at a dosage of  $0.5 \text{ g/m}^2$  16 ( $\pm$ 6) hours prior to (BM) biopsy.

The expression of PIMO, Hypoxia-inducible factor 1 alpha (HIF-1α), and carbonic anhydrase IX (CAIX) was assessed in formalin-fixed, paraffin-embedded tissue of bone marrow (BM) biopsy specimens by using Hypoxyprobe-1 MAb1 (HPI, Cat #HP2-100kit), anti-HIF-1α (Novus, NB100-105) or CAIX (Novus, NB100-417) antibodies, respectively, by immunohistochemical methods as described previously. The stained slides were reviewed independently by 2 authors (SK and CEB). Positive and negative cells were counted in 5 random high-power fields (×400) and averaged. A tumor was considered positive if 10% or more of cells demonstrated nuclear (HIF) or membrane (CAIX) staining.

Enzymatic activity of aldo-keto reductase 1C3 (AKR1C3) was measured in peripheral blood samples or BM aspirates from subjects enrolled on the study by a method based on its ability to reduce the nonfluorescent probe coumberone to fluorescent coumberol as described elsewhere. Because coumberone is also reduced by other members of the AKR1C family, the AKR1C3-specific inhibitor SN34037 was used to define the contribution of AKR1C3 to total coumberone reductase activity. SN34037-sensitive coumberol formation (i.e., AKR1C3-dependent coumberone metabolism) was determined as previously calculated as the difference in coumberol formation without and with SN34037.

### Supplemental Table 1. Overall summary of adverse effects reported by ≥15% of patients regardless of attribution by dose levels and by maximum grades

		Do	se Levels		
Toxic Effect	Grade	1.1-2.2 g/m <sup>2</sup> (N=8)	3.0 g/m <sup>2</sup> (N=20)	4.0 g/m <sup>2</sup> (N=22)	Total (N=50)
		N (%)	N (%)	N (%)	N (%)
Hypotonoion	Grade 1/2	0	8 (40)	7 (32)	15 (30)
Hypotension	Grade ≥3	0	2 (10)	2 (9)	4 (8)
Abdominal pain	Grade 1/2	0	3 (15)	4 (18)	7 (14)
Abuominai pain	Grade ≥3	0	3 (15)	1 (5)	4 (8)
Anorexia	Grade 1/2	1 (12)	4 (20)	5 (23)	10 (20)
Anorexia	Grade ≥3	0	1 (5)	0	1 (2)
Constinction	Grade 1/2	1 (12)	4 (20)	3 (14)	8 (16)
Constipation	Grade ≥3	0	0	0	0
Cough	Grade 1/2	2 (25)	5 (25)	3 (14)	10 (20)
Cough	Grade ≥3	0	0	0	0
Dobydration	Grade 1/2	1 (12)	4 (20)	3 (14)	8 (16)
Dehydration	Grade ≥3	0	0	1 (5)	1 (2)
Diarrhea	Grade 1/2	5 (62)	9 (45)	15 (68)	29 (58)
Diaimea	Grade ≥3	0	2 (10)	1 (5)	3 (6)
Dyagousia	Grade 1/2	2 (25)	2 (10)	5 (23)	9 (18)
Dysgeusia	Grade ≥3	0	0	0	0
Dyannaa	Grade 1/2	2 (25)	4 (20)	2 (9)	8 (16)
Dyspnea	Grade ≥3	0	0	0	0
Edomo limbo	Grade 1/2	2 (25)	8 (40)	6 (27)	16 (32)
Edema, limbs	Grade ≥3	1 (12)	1 (5)	0	2 (4)
Entique	Grade 1/2	3 (38)	4 (20)	10 (45)	17 (34)
Fatigue	Grade ≥3	1 (12)	1 (5)	1 (5)	3 (6)
Echrila noutroponia	Grade 1/2	0	0	0	0
Febrile neutropenia	Grade ≥3	5 (62)	12 (60)	10 (45)	27 (54)
Generalized muscle	Grade 1/2	1 (12)	3 (15)	4 (18)	8 (16)
weakness	Grade ≥3	0	0	0	0
Infections	Grade 1/2	0	1 (5)	0	1 (2)
IIIIections	Grade ≥3	1 (12)	3 (15)	5 (23)	9 (18)
Infusion-related	Grade 1/2	3 (38)	3 (15)	5 (23)	11 (22)
reaction	Grade ≥3	0	0	0	0
Lung infection	Grade 1/2	2 (25)	0	1 (5)	3 (6)
Lung intection	Grade ≥3	2 (25)	9 (45)	6 (27)	17 (34)
Naucoa	Grade 1/2	3 (38)	9 (45)	10 (45)	22 (44)
Nausea	Grade ≥3	0	0	1 (5)	1 (2)
Sepsis	Grade 1/2	0	0	1 (5)	1 (2)

	Grade ≥3	1 (12)	4 (20)	2 (9)	7 (14)
Vamiting	Grade 1/2	3 (38)	6 (30)	9 (41)	18 (36)
Vomiting	Grade ≥3	1 (12)	0	0	1 (2)
Weight loss	Grade 1/2	3 (38)	6 (30)	0	9 (18)
Weight loss	Grade ≥3	0	0	0	0
Anemia	Grade 1/2	2 (25)	1 (5)	3 (14)	6 (12)
Anemia	Grade ≥3	2 (25)	16 (80)	15 (68)	33 (66)
Neutrophil count	Grade 1/2	0	0	1 (5)	1 (2)
decreased	Grade ≥3	4 (50)	13 (65)	12 (55)	29 (58)
Platelet count	Grade 1/2	0	0	1 (5)	1 (2)
decreased	Grade ≥3	3 (38)	13 (65)	10 (45)	26 (52)
White blood cell	Grade 1/2	0	0	0	0
count decreased	Grade ≥3	6 (75)	15 (75)	17 (77)	38 (76)
Lymphocyte count	Grade 1/2	1 (12)	0	1 (5)	2 (4)
decreased	Grade ≥3	5 (62)	15 (75)	18 (82)	38 (76)
Bilirubin increased	Grade 1/2	4 (50)	7 (35)	11 (50)	22 (44)
Dilliubili lilcreased	Grade ≥3	0	2 (10)	2 (9)	4 (8)
Creatinine increased	Grade 1/2	5 (62)	5 (25)	6 (27)	16 (32)
Creatifille increased	Grade ≥3	0	2 (10)	0	2 (4)
Hypoalbuminemia	Grade 1/2	6 (75)	9 (45)	16 (73)	31 (62)
Туроаюшпіпенна	Grade ≥3	1 (12)	3 (15)	3 (14)	7 (14)
Hyporalycomia	Grade 1/2	4 (50)	11 (55)	14 (64)	29 (58)
Hyperglycemia	Grade ≥3	0	4 (20)	1 (5)	5 (10)

## Supplemental Table 2. Summary of all treatment-related adverse effects by dose level and by grade (grade 1/2 vs. grade 3/4/5)

		Dos	se Level		
Adverse Effect	Grade	1.1-2.2 g/m <sup>2</sup> (N=8)	3 g/m <sup>2</sup> (N=20)	4 g/m <sup>2</sup> (N=22)	Total (N=50)
		N (%)	N (%)	N (%)	N (%)
Gastrointestinal disorders					
Naugae	Grade 1/2	1 (12)	6 (30)	6 (27)	13 (26)
Nausea	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Vomiting	Grade 1/2	2 (25)	4 (20)	5 (23)	11 (22)
Vomiting	Grade ≥3	1 (12)	0 (0)	0 (0)	1 (2)
Diarrhag	Grade 1/2	2 (25)	7 (35)	9 (41)	18 (36)
Diarrhea	Grade ≥3	0 (0)	2 (10)	1 (5)	3 (6)
Mussaitia aral	Grade 1/2	0 (0)	1 (5)	1 (5)	2 (4)
Mucositis, oral	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Compagitio	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Esophagitis	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Cantritia	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Gastritis	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Entoritio	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Enteritis	Grade ≥3	0 (0)	3 (15)	1 (5)	4 (8)
Colitic	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Colitis	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Enterocolitis	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Enterocontis	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Small intestine obstruction	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Small intestine obstruction	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Honotic failure	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Hepatic failure	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Abdominal pain	Grade 1/2	0 (0)	1 (5)	2 (9)	3 (6)
Abdominal pain	Grade ≥3	0 (0)	1 (5)	0 (0)	1 (2)
Anorexia	Grade 1/2	1 (12)	2 (10)	1 (5)	4 (8)
Allorexia	Grade ≥3	0 (0)	1 (5)	0 (0)	1 (2)
Dyegousia	Grade 1/2	2 (25)	2 (10)	3 (14)	7 (14)
Dysgeusia	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Dyenoneia	Grade 1/2	0 (0)	0 (0)	2 (9)	2 (4)
Dyspepsia	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Constinution	Grade 1/2	0 (0)	1 (5)	1 (5)	2 (4)
Constipation	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Infections					
Febrile neutropenia	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)

	Grade ≥3	2 (25)	3 (15)	5 (23)	10 (20)
Sanaia	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Sepsis	Grade ≥3	0 (0)	1 (5)	1 (5)	2 (4)
Long a Safa attac	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Lung infection	Grade ≥3	1 (12)	1 (5)	2 (9)	4 (8)
NA LI C C	Grade 1/2	1 (12)	1 (5)	0 (0)	2 (4)
Mucosal infection	Grade ≥3	1 (12)	0 (0)	0 (0)	1 (2)
Oath star valeted infaction	Grade 1/2	1 (12)	0 (0)	0 (0)	1 (2)
Catheter-related infection	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Oth an infantion	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Other infection	Grade ≥3	1 (12)	0 (0)	1 (5)	2 (4)
Other					
Fever	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
revei	Grade ≥3	0 (0)	1 (5)	0 (0)	1 (2)
Doob	Grade 1/2	0 (0)	1 (5)	1 (5)	2 (4)
Rash	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Drugitio	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Pruritis	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Alanasia	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Alopecia	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Debudeation	Grade 1/2	1 (12)	1 (5)	1 (5)	3 (6)
Dehydration	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Anxioty	Grade 1/2	0 (0)	0 (0)	1 (5)	1 (2)
Anxiety	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Pook poin	Grade 1/2	1 (12)	0 (0)	0 (0)	1 (2)
Back pain	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Pana marrow bypacallular	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Bone marrow hypocellular	Grade ≥3	0 (0)	3 (15)	0 (0)	3 (6)
Chills	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Cillis	Grade ≥3	0 (0)	1 (5)	0 (0)	1 (2)
Cough	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Cough	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Dizzinoso	Grade 1/2	1 (12)	0 (0)	2 (9)	3 (6)
Dizziness	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Dyennos	Grade 1/2	1 (12)	0 (0)	0 (0)	1 (2)
Dyspnea	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Edoma limbs	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Edema, limbs	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Enistavia	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Epistaxis	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Fatigue	Grade 1/2	3 (38)	2 (10)	6 (27)	11 (22)

	Grade ≥3	0 (0)	1 (5)	1 (5)	2 (4)
Flu-like symptoms	Grade 1/2	1 (12)	0 (0)	1 (5)	2 (4)
Fiu-like symptoms	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Flucking	Grade 1/2	0 (0)	0 (0)	1 (5)	1 (2)
Flushing	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Generalized muscle weakness	Grade 1/2	1 (12)	1 (5)	1 (5)	3 (6)
Generalized muscle weakness	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Headache	Grade 1/2	0 (0)	2 (10)	1 (5)	3 (6)
neadache	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Hematuria	Grade 1/2	0 (0)	0 (0)	1 (5)	1 (2)
nematuria	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Hypovia	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Hypoxia	Grade ≥3	0 (0)	0 (0)	1 (5)	1 (2)
Infusion-related reaction	Grade 1/2	3 (38)	1 (5)	5 (23)	9 (18)
musion-related reaction	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Norvous avetem disorders	Grade 1/2	0 (0)	1 (5)	1 (5)	2 (4)
Nervous system disorders	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Nanaardiaa ahaat nain	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Noncardiac chest pain	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Pain in extremity	Grade 1/2	0 (0)	0 (0)	1 (5)	1 (2)
rain in extremity	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Pleural effusion	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
riediai eliusioii	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Skin and subcutaneous tissue disorders	Grade 1/2	0 (0)	0 (0)	1 (5)	1 (2)
Skill and subcutaneous tissue disorders	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Syncope	Grade 1/2	0 (0)	0 (0)	0 (0)	0 (0)
Syncope	Grade ≥3	0 (0)	1 (5)	0 (0)	1 (2)
Urine output decreased	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Office output decreased	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Vaginal hamarrhaga	Grade 1/2	0 (0)	1 (5)	0 (0)	1 (2)
Vaginal hemorrhage	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)
Woight loss	Grade 1/2	1 (12)	1 (5)	0 (0)	2 (4)
Weight loss	Grade ≥3	0 (0)	0 (0)	0 (0)	0 (0)

**Supplemental Table 3.** Analysis of the association between neutropenia and covariates using Bayesian logistic regression model. The number of prior induction therapies was significantly associated with the incidence of neutropenia.

A	Analysis of Maximum Likelihood Parameter Estimates										
Parameter	DF	Estimate	Standard Error	Likelihood Ratio 95% Confidence Limits		95% Confidence		95% Confidence		Wald Chi- Square	Pr > ChiSq
Intercept1	1	-6.0634	6.9597	-20.4373	7.5571	0.76	0.3836				
Intercept2	1	-5.9672	6.9594	-20.3406	7.6530	0.74	0.3912				
Intercept3	1	-5.8677	6.9576	-20.2376	7.7499	0.71	0.3990				
Logdose	1	0.4700	0.7692	-1.0706	2.0275	0.37	0.5412				
Age	1	0.0065	0.0234	-0.0400	0.0536	0.08	0.7817				
first_CR_duration	1	-0.0189	0.0209	-0.0629	0.0245	0.81	0.3672				
Prior_induction	1	1.2800	0.5642	0.3463 2.5707		5.15	0.0233				
Scale	0	1.0000	0.0000	1.0000	1.0000						

DF, degrees of freedom; CR, complete remission.

### **Supplemental Table 4. Characteristics of Responding Patients (N=12)**

Pt. No.	Diagnosis, Cytogenetics	Age, years and Sex	No. Prior Regimens	Prior SCT	CR1 Duratio n (weeks)	PR104 Dose (g/m²)	No. Cycles	PR104 Response
182- 1009	AML (de novo) Del(20)	58 male	HD cytarabine + anthracycline	Busulfan/ fludarabine	<52	3.0	1	CRp (SCT)
183- 1005	AML (de novo)	52 female	SD cytarabine + anthracycline	Mini-TBI; treosulfan + fludarabine	<52	3.0	2	MLFS
183- 1009	AML (high-risk MDS)	45 male	1) SD cytarabine + anthracycline; 2) G-CLAC (G-CSF, clofarabine, cytarabine)	None	<52	4.0	1	MLFS
183- 1010	AML (de novo)	66 male	1) SD cytarabine + anthracycline 2) SD cytarabine + anthracycline	None	>52	4.0	1	CRp
183- 1011	AML (high-risk MDS)	56 female	1) HD cytarabine + anthracycline + pravastatin; 2) azacitidine	None	<52	4.0	1	CRp (SCT)
182- 1014	AML (secondary, h/o CMML) del(12)	64 male	HD cytarabine + anthracycline × 2 (induction-consolidation)	None	<52	4.0	1	MLFS (SCT)
182- 1023	AML (high-risk MDS) +8	66 male	BIDFA (Fludarabine, cytarabine) × 1	None	<52	4.0	3*	CRp
182- 1032	AML (de novo) Complex including -7	66 Female	Clofarabine+LD cytarabine × 3, decitabine × 1	CBT (fludarabine /cytarabine/TBI)	<52	3.0	2	MLFS
182- 1033	AML (de novo) Del(8)	58 Female	Fludarabine, cytarabine, idarubicine × 1	None	<52	3.0	1	MLFS (SCT)
182- 1034	AML (de novo) Normal	79 Male	Omacetaxine and LD cytarabine × 1	None	<52	3.0	3**	CR
182- 1018	B-ALL t(9;22)	61 male	4 prior treatment regimens	None	<52	3.0	2	MLFS
182- 1020	B-ALL Complex, t(9;22)	30 male	3 prior treatment regimens	Consolidation w/ SCT	>52	4.0	2*	CRp

SCT, stem cell transplantation; CR1, first complete remission; HD, high dose; CRp, complete remission without platelet recovery; SD, standard dose; TBI, total body irradiation; MLFS, morphologic leukemia-free state; MDS, myelodysplastic syndrome; CMML, chronic myelomonocytic leukemia; LD, low dose; CBT, cord blood transplant.

<sup>\*1</sup> cycle consolidation at 2.0 g/m<sup>2</sup>

<sup>\*\*1</sup> induction, 2 consolidations at 1.5 g/m<sup>2</sup>

Supplemental Table 5. Regression analysis of overall survival from the start of treatment\*

		Posterior Values								
				Percentiles						
Parameter	Mean	Standard Deviation	25%	50%	75%	Pr (parameter > 0   data)				
Intercept	7.0811	2.8246	5.1980	7.0953	8.9862	-				
Logdose	-0.1420	0.3337	-0.3667	-0.1436	0.0801	0.33				
Age	-0.0160	0.0100	-0.0227	-0.0161	-0.00924	0.052				
CR1 duration	-0.00615	0.00902	-0.0122	-0.00627	-0.00012	0.24				
Number of prior inductions	-0.1481	0.1485	-0.2461	-0.1467	-0.0510	0.15				
Scale	0.9779	0.1170	0.8956	0.9673	1.0490					

<sup>\*</sup>Analysis was carried out by using a Bayesian log normal survival regression model with covariates age, log(dose), first complete remission (CR1) duration (>6 months vs. ≤6 months), and number of prior inductions. Non-informative normal (mean=0, sd=10) prior distributions for the regression coefficients and a gamma (0.001, 0.001) for the variance were assumed (N=49). The fitted Bayesian log normal multivariate regression model agrees with the log rank tests in that none of the covariates were associated with overall survival, as all 95% posterior credible intervals contained 0.

Parameter	95% Highest Posterior Density Credible Interval				
	Lower Limit Upper Limi				
Logdose	-0.8122	0.4939			
Age	-0.0354	0.00346			
CR1 duration	-0.0232	0.0119			
Number of prior inductions	-0.4270	0.1412			
Scale	0.7684	1.2215			

Supplemental Table 6. Analysis of progression-free survival from the start of treatment\*

		Posterior values									
				Percentiles	i						
Parameter	Mean	Standard Deviation	25%	50%	75%	Pr (parameter > 0   data)					
Intercept	8.1747	2.5424	6.4864	8.1582	9.8531	-					
Logdose	-0.3130	0.3003	-0.5122	-0.3121	-0.1158	0.14					
Age	-0.0122	0.00870	-0.0180	-0.0122	-0.00639	0.08					
CR1 duration	-0.00662	0.00787	-0.0119	-0.00665	-0.00139	0.20					
Number of prior inductions	-0.1702	0.1299	-0.2555	-0.1707	-0.0826	0.09					
Scale	0.8633	0.0999	0.7924	0.8536	0.9237						

<sup>\*</sup>Analysis was carried out by using a Bayesian log normal survival regression model with covariates age, log(dose), first complete remission (CR1) duration (>6 months vs. ≤6 months), and number of prior inductions. Non-informative normal (mean=0, sd=10) prior distributions for the regression coefficients and a gamma (0.001, 0.001) for the variance were assumed (N=49). The fitted Bayesian log normal multivariate regression model agrees with the log rank tests in that none of the covariates were associated with progression-free survival, as all 95% posterior credible intervals contained 0.

Parameter	95% Highest Posterior Density Credible Interval							
	Lower Limit	Upper Limit						
Logdose	-0.9089	0.2730						
Age	-0.0303	0.00416						
CR1 duration	-0.0222	0.00872						
Number of prior inductions	-0.4127	0.0841						
Scale	0.6879	1.0692						

**Supplemental Table 7.** Non-compartmental plasma pharmacokinetic parameters for PR-104 and its major metabolites (PR-104A and PR-104G)<sup>a</sup>

	Dose		PR-104			PR-104A			PR-104G	
Patient	_	C <sub>max</sub>	AUC		C <sub>max</sub>	AUC	T <sub>1/2</sub>	C <sub>max</sub>	AUC	T <sub>1/2</sub>
ID	(g/m²)	(μM)	(μM-h)	T <sub>1/2</sub> (h)	(μM)	(μM-h)	(h)	(μM)	(µM-h)	(h)
182-1003	1.1	27.42	19.15	0.148	19.49	30.88	0.52	10.61	20.97	0.58
183-1001	1.1	17.58	10.18	0.164	13.40	16.95	0.52	1.61	2.62	0.57
183-1003	1.1	43.09	33.76	0.116	16.18	22.96	0.46	4.12	6.21	0.53
	Mean	29.36	21.03	0.14	16.36	23.59	0.50	5.44	9.93	0.56
	SD	12.86	11.90	0.02	3.05	6.99	0.04	4.65	9.73	0.03
182-1004	1.6	16.79	20.19	0.081	45.40	74.64	0.52	27.97	_b	_b
182-1005	2.2	89.89	79.94	0.096	83.42	106.2	0.58	65.48	173.3	1.30
182-1007	3.0	42.79	27.22	0.132	62.05	73.13	0.50	53.94	85.14	0.70
182-1009	3.0	32.09	29.22	0.096	81.40	106.3	0.51	53.49	103.8	0.92
183-1005	3.0	121.1	81.40	0.120	74.22	119.0	0.56	31.43	50.82	0.66
183-1008	3.0	116.6	81.87	0.134	93.35	124.0	0.70	96.63	136.8	0.62
182-1016	3.0	156.5	122.5	0.107	85.12	132.8	0.71	93.65	142.49	0.78
182-1018	3.0	94.06	63.66	0.164	79.32	112.9	0.44	106.8	206.0	0.85
182-1021	3.0	10.05	9.72	0.148	54.67	63.83	0.26	135.1	190.5	0.38
182-1022	3.0	56.56	38.46	0.178	109.8	180.7	0.49	145.9	361.1	0.95
182-1031	3.0	84.95	51.56	0.423	82.04	107.0	0.87	96.24	309.5	1.55
182-1035	3.0	164.5	162.3	0.266	129.7	161.3	0.59	147.4	238.9	0.55
182-1039	3.0	45.67	40.32	0.175	73.61	149.9	0.92	86.92	236.7	1.36
	Mean	84.08	64.38	0.18	84.12	121.0	0.60	95.21	187.4	0.85
	SD	51.34	45.36	0.09	21.03	35.0	0.19	38.20	95.5	0.35
183-1009	4.0	68.24	37.53	0.159	57.45	67.53	0.61	170.37	268.6	0.81
182-1014	4.0	60.08	57.79	0.105	72.90	81.52	0.50	114.12	180.6	0.54
182-1015	4.0	69.15	38.04	0.145	71.09	78.12	0.50	129.79	129.8	0.45
182-1024	4.0	48.00	31.81	0.173	52.01	108.9	0.86	92.34	166.4	0.65
182-1025	4.0	82.53	50.09	0.503	216.9	222.0	0.83	165.3	464.9	1.32
182-1029	4.0	245.5	213.1	0.289	128.2	190.9	0.62	269.7	603.6	1.30
182-1036	4.0	210.1	158.7	0.389	298.4	337.7	0.66	131.3	267.4	0.74
182-1037	4.0	82.11	63.41	0.499	76.08	142.1	1.22	114.2	258.2	0.92
182-1041	4.0	77.17	86.33	0.169	71.63	92.32	0.46	35.74	240.6	0.51
	Mean	104.8	81.86	0.27	116.1	146.8	0.70	135.9	286.7	0.80
	SD	71.2	62.70	0.16	85.6	89.3	0.24	64.1	152.5	0.32

<sup>&</sup>lt;sup>a</sup> Non-compartmental pharmacokinetic analyses was undertaken with WinNonLin

(v4.0.1) to estimate the maximum plasma concentration (Cmax), area under the plasma concentration time curve extrapolated to infinity (AUC), clearance (CI), and elimination half-life (t1/2).

<sup>&</sup>lt;sup>b</sup> insufficient data to estimate

**Supplemental Table 8.** Association of the area under the PR-104A concentration-time curve (AUC) with PR-104A dose.

PR-104 dose	N	PR-104 <i>A</i>	P (versus 1.1 g/m <sup>2</sup> )	
(g/m²)		Mean (std)	Median (range)	
1.1	8	32.4 (13.7)	26.69 (17.0-59.6)	-
3.0	11	121.0 (33.3)	119.0	< 0.05
4.0	9	146.8 (84.1)	108.9	< 0.05

Values for the three PR-104 dose levels are plotted in Fig. 2, and include 5 solid tumor oncology patients treated at 1.1 g/m<sup>2</sup> PR-104 reported previously <sup>5</sup>. Statistical tests were undertaken with Sigmaplot version 12.5. The distribution of PR-104A AUC values did not satisfy the Shapiro-Wilk test for normality. Significance of associations of PR-104A AUC with PR-104 dose were therefore evaluated using Kruskal-Wallace One Way Analysis of Variance on Ranks, and pairwise comparisons tested with Dunn's method.

**Supplemental Table 9.** Lack of association of area under the PR-104A concentration-time curve (AUC) and response/toxicity.

Covariate	Response	N	Mean (std)	Median Range	P_KW
PK_PR104A	CR	0	. (.)	. (., .)	0.98765
	CRp	1	106.3 (.)	106.3 (106.3, 106.3)	•
	Fail	22	120.7 (93.4)	106.6 (11.0, 358.2)	
	MLFS	5	92.0 (22.6)	81.5 (67.5, 119.0)	•

Covariate	Yes/No	N	Mean (std)	Median Range	PT2_WIL
Diarrhea	no	13	122.8 (88.1)	107.0 (16.9, 358.2)	1.000
	yes	13	117.0 (84.7)	106.3 (11.0, 337.7)	
Febrile	no	22	117.7 (93.0)	106.3 (11.0, 358.2)	.6779
neutropenia	yes	6	105.4 (35.7)	100.3 (67.5, 161.3)	
Nausea	no	22	117.8 (91.1)	93.9 (11.0, 358.2)	.7605
	yes	6	105.3 (52.3)	112.7 (23.0, 180.7)	
Vomiting	no	22	123.7 (91.3)	107.9 (11.0, 358.2)	.3931
	yes	6	83.5 (35.3)	92.6 (23.0, 119.0)	

Wilcoxon (WIL) rank sum test or Kruskal–Wallis (KW) test <sup>6</sup> were used to assess associations between PR104A AUC and a categorical variables. For toxicity, the analyses was limited to the 4 most frequent toxicities: diarrhea, febrile neutropenia, nausea, and vomiting.

### **SUPPLEMENTAL FIGURES**

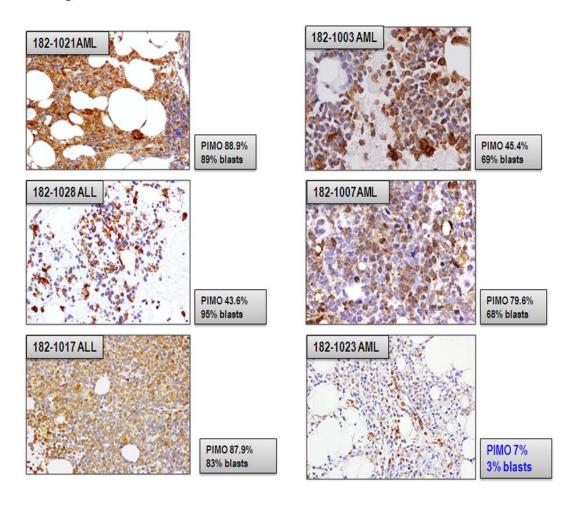
Supplemental Figure 1. Immunohistochemical detection of PIMO in BM biopsy specimens, with corresponding percentage blasts. Patient 182-1023 had a response to PR104 (specimen shown is day 42).

Supplemental Figure 2. Correlations between proportions of HIF-1α, CAIX and PIMO-positive cells and percentage BM blasts at baseline (BI; A, B, E) or after PR104 (FU; C, D, F).

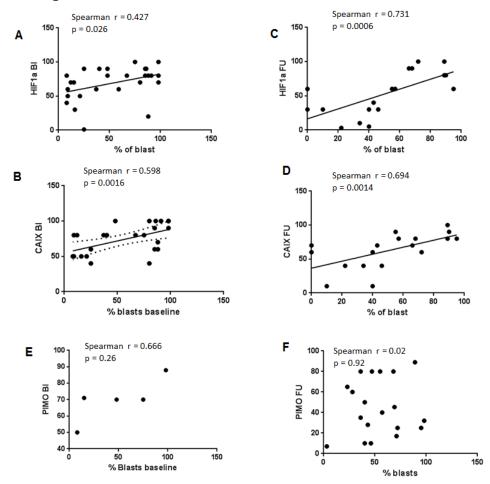
Supplemental Figure 3. Correlations between proportions of HIF-1α, CAIX and PIMO-positive cells at baseline (BI; A, B) or after PR104 (FU; C, D).

Supplemental Figure 4. Top panel, Hematoxylin and eosin staining (HE) and PIMO immunohistochemical staining (IHC) at baseline (left) and on day 42 of PR104 (right) in a patient who achieved CRp (1023). Original magnification is shown in grey boxes. Bottom panel, proportions of HIF-1 $\alpha$ – and CAIX-positive cells before and after PR104. BM blasts, 8% vs 3% before and after treatment.

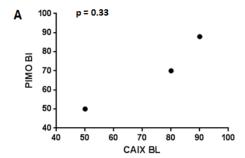
### Supplemental Fig 1

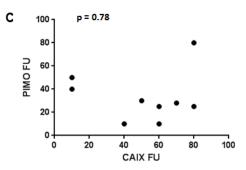


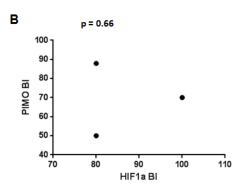
### Supplemental Fig 2

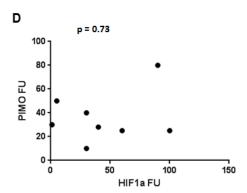


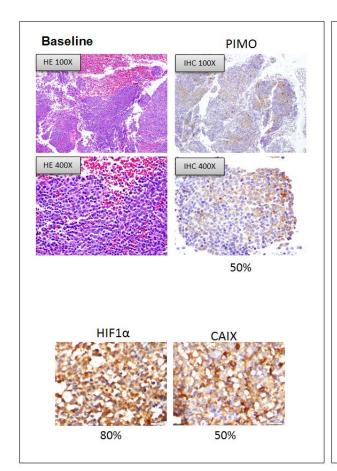
### Supplemental Fig 3

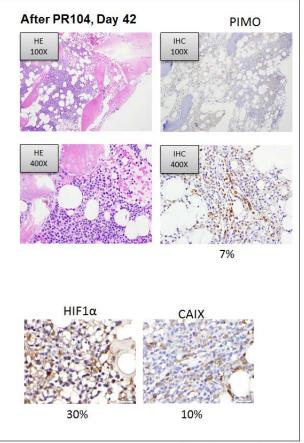












Supplemental Fig 4

### SUPPLEMENTAL REFERENCES

- 1. Kaanders JH, Wijffels KI, Marres HA, et al. Pimonidazole binding and tumor vascularity predict for treatment outcome in head and neck cancer. *Cancer Res.* 2002;62(23):7066-7074.
- 2. Konoplev S, Jorgensen JL, Thomas DA, et al. Phosphorylated CXCR4 is associated with poor survival in adults with B-acute lymphoblastic leukemia. *Cancer*. 2011;117(20):4689-4695.
- 3. Halim M, Yee DJ, Sames D. Imaging induction of cytoprotective enzymes in intact human cells: coumberone, a metabolic reporter for human AKR1C enzymes reveals activation by panaxytriol, an active component of red ginseng. *J Am Chem Soc.* 2008;130(43):14123-14128.
- 4. Jamieson SM, Gu Y, Manesh DM, et al. A novel fluorometric assay for aldo-keto reductase 1C3 predicts metabolic activation of the nitrogen mustard prodrug PR-104A in human leukaemia cells. *Biochem Pharmacol.* 2014;88(1):36-45.
- 5. Jameson MB, Rischin D, Pegram M, et al. A phase I trial of PR-104, a nitrogen mustard prodrug activated by both hypoxia and aldo-keto reductase 1C3, in patients with solid tumors. *Cancer Chemother Pharmacol.* 2010;65(4):791-801.
- 6. Randles R, Wolfe D. Introduction to the Theory of Nonparametric Statistics. John Wiley. 1979.