# SUPPLEMENTARY APPENDIX

#### Chronic lymphocytic leukemia cells in lymph nodes show frequent NOTCH1 activation

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Supplemental Information.

MATERIALS AND METHODS

Patients and samples

A total of 155 lymph node samples from 147 patients diagnosed with CLL according to

the World Health Organization Criteria were included in the study. 127 samples were taken at

the time of diagnosis and 25 at progression. Lymph node biopsies were routinely performed in

CLL patients with massive lymphadenopathies or sudden growth of the lymph nodes. The

samples correspond to consecutive samples, collected by different centers, where the CLL

diagnosis has been clearly established and there was enough paraffin embedded material for

sequencing and for immunohistochemical studies. Three samples yielded no data. Clinical and

biological data at the time of diagnosis, treatment and follow-up were collected from 108

patients. Patients' characteristics are summarized in Table 1. The study, the patient

information sheet and the informed consent form were approved by the Ethics Committees of

the HU Puerta de Hierro-Majadahonda and HU Marqués de Valdecilla.

NOTCH1 and SF3B1 mutations detection

We extracted DNA from tumoral formalin-fixed, paraffin-embedded (FFPE) samples

using a QIAamp® DNA FFPE Tissue kit (Qiagen Inc., Valencia, CA, USA) in accordance with the

manufacturer's protocol.

For the NOTCH1 mutation c.7544\_7545delCT (p.P2515fs\*4) screenings, qBiomarker

Somatic Mutation Assay SMPH009713A by SabBioscence-Quiagen, were used. This is a semi-

quantitative method that has sensitivity enough to detect mutations present in as low as 5% of

mutated alleles in fresh or frozen samples and 10% in DNA obtained from FFPE material. In

some selected cases, the presence of the mutations was also validated by capillary sequencing:

NOTCH1 2515 FW: TACTTGAAGGCCTCCGGAAT

NOTCH1 2515 RV: CTCGCAGCACAGCTACTCCT

Two approaches were used to detect mutations in SF3B1. The c.2098A>G (p.K700E)

mutation was detected by qBiomarker Mutation Assay SMPH032120A, and exons 14 and 16

were analyzed by PCR amplification and capillary sequencing by Sanger method using the following primers:

SF3B1\_742\_FW: TCTTCATTAAAGTTAAGGCGACA

SF3B1\_742\_RV: TTCCTCATCAGGAGACTGGAA

SF3B1\_EX15\_FW: TGCAGTTTGGCTGAATAGTTG

SF3B1\_EX15\_RV: CCAATAGCCTTCAAGAAAGCAG

SF3B1 EX15.2-FW: CCTTCAAGAAAGCAGCCAAA

SF3B1-EX15.2-RV: TTGGCTGAAGCAGCAACTC

SF3B1\_EX14A\_FW: GAGTCCAGTCTGGGCAACAT

SF3B1\_EX14A\_RV: CCCTGGGCATTCCTTTA

SF3B1\_EX14B\_FW: TGTTGTACAATCTTAATACCAGTGTG

SF3B1\_EX14B\_RV: CCAACTCATGACTGTCCTTTCTT

## Tissue microarray construction and immunohistochemical analysis

Three tissue microarrays (TMAs) were constructed from 150 samples, using six reactive tonsillectomy and lymph node specimens as controls. Representative areas from FFPE samples were carefully selected on H&E-stained sections and two cores of 1 mm diameter were obtained from each specimen. The tissue cores were precisely arrayed into a new paraffin block using a TMA workstation (Beecher Instruments, Silver Spring, MD) (23). Immunohistochemical (IHC) expression was assessed by routine IHC techniques using the following antibodies: NOTCH1 (Rabbit mAb (D3B8), Cell Signaling); Ki67 (clone MIB1, Dako); NFATc1 (clone 7A6, BD Biosciences); p50 (clone E381, Millipore); p52 (Millipore); c-MYC (clone Y29, Epitomics); MUM1 (clone MUM1p, Dako); XBP1s (McAb, CNIO); HES-1 (Rabbit mAb (D6P2U), Cell Signaling); LEF1 (clone EPR2029Y, Abcam), JAG1 (clone EPR4290, Abcam).

#### FISH in TMAs

FISH analyses were performed on 3- $\mu$ m TMA tissue sections using commercial probes: DNA-FISH LSI MYC Dual Color Break Apart (01N63-020; Abbott Molecular); Vysis LSI p53 / LSI ATM and LSI D13S319 / LSI 13q34 / CEP 12 Multi-color Probe (08L53-020; Abbott Molecular); DNA-FISH LSI TP53 SpectrumOrange/CEP 17 SpectrumGreen (05N56-020; Abbott Molecular), DNA-FISH LSI IGH Dual Color Break Apart (KBI-10601; Kreactech) following standard procedures. 10  $\mu$ l of a prediluted probe were applied to the specimen. At least 100 intact, non-overlapping nuclei were analyzed on each TMA core. Discordant duplicates were reevaluated by two observers (AB, SG). Control values were previously established based on the mean plus

three standard deviations of 10 control samples. Nuclei were scored as rearranged if at least one split orange-green signal was observed. Gains were reported when three or more fusion signals were observed. The cut-off value for chromosome gain or rearrangement was 15% in both cases. Heterozygous deletions were defined as > 50% nuclei containing one signal of locus probe and two signals of the reference probe.

## <u>Statistics</u>

Statistical analyses were done with SPSS (version 19.0.0) (IBM Corporation, Armonk, NY, USA). Dichotomous variables were compared using the chi-square test. The log-rank test was used to examine overall survival (OS) and time to treatment (TTT) with various parameters as covariates. All reported p-values are two-sided and were considered significant if less than 0.05. OS was measured from the date of diagnosis until last follow-up or death by CLL. TTT was calculated as the period between diagnosis and initial treatment.

**Table S1.** Statistical analysis of clinical and phenotypical parameters at diagnosis *versus* mutational status of *NOTCH1* and *SF3B1* genes in the cohort of 108 patients with CLL.

	-	NOTCH1 wt	NOTCH1 mut	CHI2	SF3B1 wt	SF3B1 mut	CHI2
		N (%)	N (%)	P-value	N (%)	N (%)	P-value
Gender	Male	47 (62.7%)	11 (47.8%)	0.400	52 (58.4%)	10 (76.9%)	0.147
	Female	25 (33.3%)	9 (39.1%)		32 (36.0%)	2 (15.4%)	
	ND	3 ( 4.0%)	3 (13.0%)		5 ( 5.6%)	1 ( 7.7%)	
Age > 65y	no	28 (37.3%)	8 (34.8%)	0.905	31 (34.8%)	6 (46.2%)	0.459
	yes	41 (54.7%)	11 (47.8%)		49 (55.1%)	6 (46.2%)	
	ND	6 ( 8.0%)	4 (17.4%)		9 (10.1%)	1 ( 7.7%)	
Second tumors	no	64 (85.3%)	21 (91.3%)	0.540	78 (87.6%)	10 (76.9%)	0.676
	yes	10 (13.3%)	2 ( 8.7%)		11 (12.4%)	2 (15.4%)	
	ND	1 ( 1.3%)	0 ( 0.0%)		0 ( 0.0%)	1 (7.7%)	
Advanced stage	no	35 (46.7%)	10 (43.5%)	0.918	40 (44.9%)	7 (53.8%)	0.546
_	yes	15 (20.0%)	4 (17.4%)		19 (21.3%)	2 (15.4%)	
	ND	25 (33.3%)	9 (39.1%)		30 (33.7%)	4 (30.8%)	
Need of treatment	NO	30 (40.0%)	9 (39.1%)	0.941	33 (37.1%)	6 (46.2%)	0.529
	yes	45 (60.0%)	14 (60.9%)		56 (62.9%)	7 (53.8%)	
Exitus	No	34 (45.3%)	10 (43.5%)	0.795	39 (43.8%)	4 (30.8%)	0.340
	yes	39 (52.0%)	13 (56.5%)		48 (53.9%)	9 (69.2%)	
	ND	2 ( 2.7%)	0 ( 0.0%)		2 ( 2.2%)	0 ( 0.0%)	
Death by disease	No	43 (57.3%)	12 (52.2%)	0.569	49 (55.1%)	6 (46.2%)	0.492
,	yes	30 (40.0%)	11 (47.8%)		38 (42.7%)	7 (53.8%)	
	ND	2 ( 2.7%)	0 ( 0.0%)		2 ( 2.2%)	0 ( 0.0%)	
13q14d13	no	16 (21.3%)	5 (21.7%)	0.185	16 (18.0%)	5 (38.5%)	0.225
- 1	yes	6 ( 8.0%)	0 ( 0.0%)		5 ( 5.6%)	0 ( 0.0%)	
	ND	53 (70.7%)	18 (78.3%)		68 (76.4%)	8 (61.5%)	
11q23	no	41 (54.7%)	10 (43.5%)	0.863	43 (48.3%)	8 (61.5%)	0.295
4	yes	5 ( 6.7%)	1 ( 4.3%)	0.000	6 ( 6.7%)	0 ( 0.0%)	0.233
	ND	29 (38.7%)	12 (52.2%)		40 (44.9%)	5 (38.5%)	
tri12	no	15 (20.0%)	2 ( 8.7%)	0.184	12 (13.5%)	4 (30.8%)	0.405
	yes	6 ( 8.0%)	3 (13.0%)		8 ( 9.0%)	1 (7.7%)	
	ND	54 (72.0%)	18 (78.3%)		69 (77.5%)	8 (61.5%)	
17p13	no	38 (50.7%)	14 (60.9%)	0.231	46 (51.7%)	7 (53.8%)	0.513
	yes	4 ( 5.3%)	0 ( 0.0%)		3 (3.4%)	1 (7.7%)	
	ND	33 (44.0%)	9 (39.1%)		40 (44.9%)	5 (38.5%)	
Mutated IgHv	no	28 (37.3%)	10 (43.5%)	0.193	34 (38.2%)	6 (46.2%)	0.781
	yes	21 (28.0%)	3 (13.0%)	0.120	21 (23.6%)	3 (23.1%)	0.701
	ND	26 (34.7%)	10 (43.5%)		34 (38.2%)	4 (30.8%)	
ZAP70	no	17 (22.7%)	2 ( 8.7%)	0.181	16 (18.0%)	3 (23.1%)	0.732
	yes	13 (17.3%)	5 (21.7%)	0.101	16 (18.0%)	4 (30.8%)	0.752
	ND	45 (60.0%)	16 (69.6%)		57 (64.0%)	6 (46.2%)	
CD38	no	13 (17.3%)	0 ( 0.0%)	0.043	12 (13.5%)	2 (15.4%)	0.699
CD30	yes	26 (44.7%)	9 (39.1%)	0.043	30 (33.8%)	7 (53.9%)	0.055
	ND	36 (48.0%)	14 (60.9%)		47 (52.8%)	4 (30.8%)	
β2-microglobuline	no	16 (21.3%)	3 (13.0%)	0.669	16 (18.0%)	4 (30.8%)	0.238
P2 08103411116	yes	27 (36.0%)	7 (30.4%)	0.003	31 (34.8%)	3 (23.1%)	0.230
	ND	32 (42.7%)	13 (56.5%)		42 (47.2%)	6 (46.2%)	
LDH	no	46 (61.3%)	9 (39.1%)	0.045	52 (58.4%)	8 (61.5%)	0.112
	yes	11 (14.7%)	7 (30.4%)	0.043	17 (19.1%)	0 ( 0.0%)	0.112
	ND	18 (24.0%)	7 (30.4%)		20 (22.5%)	5 (38.5%)	
Lymphocytosis				0.050	57 (64.0%)		0.157
Lymphocytosis	no	51 (68.0%)	12 (52.2%) 1 (4.3%)	0.958	4 ( 4.5%)	8 (61.5%) 2 (15.4%)	0.157
	yes	4 ( 5.3%)	1 (4.3%)			2 (15.4%)	
	ND	20 (26.7%)	10 (43.5%)		28 (31.5%)	3 (23.1%)	

N: number of cases; wt: wild type; mut: mutated; y: years; tri: trisomy; LDH: lactate dehydrogenase; ND: no data

**Table S2.** Statistical analysis of expression of NOTCH1 and NOTCH1 targets *versus NOTCH1* mutational status genes in the cohort of InCLL samples.

		Notch1 wt		No	tch1 mut	
	N total	N	%	N	(%)	P-value
NOTCH1	120					0.126
	neg	9	9.9%	6	20.7%	
	nuc	82	90.1%	23	79.3%	
NFAT	128					0.004
	cit	94	79.7%	4	40.00%	
	nuc	24	20.3%	6	60.00%	
P52	128					0.016
	cit	90	95.7%	23	82.1%	
	nuc	4	4.3%	5	17.9%	
P50	120					0.159
	cit	79	86.8%	22	75.9%	
	nuc	12	13.2%	7	24.1%	
CMYC	117					0.043
	neg	33	36.3%	4	15.4%	
	pos	58	63.7%	22	84.6%	
MUM1	116					0.384
	neg	21	23.9%	9	32.1%	
	pos	67	76.1%	19	67.9%	
XBP1	125					0.29
	neg	55	56.7%	19	67.9%	
	pos	42	43.3%	9	32.1%	
LEF1	118					0.78
	neg	33	36.3%	9	33.3%	
	pos	58	63.7%	18	66.7%	
HES1	118					0.544
	neg	80	88.9%	26	92.9%	
	pos	10	11.1%	2	7.1%	
JAG1	95					0.684
	neg	45	67.2%	20	71.4%	
	pos	22	32.8%	8	28.6%	
Ki67	120					0.512
	neg	47	51.6%	17	58.6%	
	pos	44	48.4%	12	41.4%	

N: number of cases; wt: wild type; mut: mutated; cit: cytoplasmic expression; nuc: nuclear expression; neg: negative expression; pos: positive expression.

**Table S3.** Statistical analysis of expression of NOTCH1 targets *versus* NOTCH1 expression in the cohort of InCLL samples.

		Notch1 neg		No	tch1 nuc	
	N total	N	%	N	(%)	P-value
NFAT	129					0.776
	cit	13	76.5%	82	73.2%	
	nuc	4	23.5%	30	26.8%	
P52	123					0.241
	cit	17	100.0%	98	92.5%	
	nuc	0	0.0%	8	7.5%	
P50	120					0.558
	cit	15	88.2%	85	82.5%	
	nuc	2	11.8%	18	17.5%	
CMYC	119					0.662
	neg	5	33.3%	29	27.9%	
	pos	10	66.7%	75	72.1%	
MUM1	116					0.145
	neg	2	13.3%	32	31.7%	
	pos	13	86.7%	69	68.3%	
XBP1	127					0.437
	neg	11	68.8%	65	58.6%	
	pos	5	31.3%	46	41.4%	
LEF1	123					0.79
	neg	6	35.3%	41	38.7%	
	pos	11	64.7%	65	61.3%	
HES1	117					0.591
	neg	16	94.1%	90	90.0%	
	pos	1	5.9%	10	10.0%	
Ki67	123					0.752
	neg	10	58.8%	58	54.7%	
	pos	7	41.2%	48	45.3%	

N: number of cases; wt: wild type; mut: mutated; cit: cytoplasmic expression; nuc: nuclear expression; neg: negative expression; pos: positive expression.

**Table S4.** Statistical analysis of expression of NOTCH1 and NOTCH1 targets *versus* JAG1 expression in the cohort of lnCLL samples.

		JAG1 neg JAG		G1 pos		
	N total	N	%	N	(%)	P-value
NOTCH1	100					0.687
	neg	9	12.9%	3	10.0%	
	nuc	61	87.1%	27	90.0%	
P52	97					0.349
	cit	62	91.2%	28	96.6%	
	nuc	6	8.8%	1	3.4%	
P50	93					0.618
	cit	57	83.8%	22	88.0%	
	nuc	11	16.2%	3	12.0%	
CMYC	91					0.023
	neg	22	34.4%	3	11.1%	
	pos	42	65.6%	24	88.9%	
MUM1	90					0.021
	neg	24	38.7%	4	14.3%	
	pos	38	61.3%	24	85.7%	
XBP1	99					0.396
	neg	45	64.3%	16	55.2%	
	pos	25	35.7%	13	44.8%	
LEF1	93					0.008
	neg	32	50.0%	6	20.7%	
	pos	32	50.0%	23	79.3%	
HES1	94					0.194
	neg	59	92.2%	25	83.3%	
	pos	5	7.8%	5	16.7%	
NFAT1	104					0.599
	cit	58	77.3%	21	72.4%	
	nuc	17	22.7%	8	27.6%	

N: number of cases; wt: wild type; mut: mutated; cit: cytoplasmic expression; nuc: nuclear expression; neg: negative expression; pos: positive expression.