

#### Instructions

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## 1. Identifying information.

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

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**Other:** Anything not covered under the previous three boxes

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**Royalties:** Funds are coming in to you or your institution due to your patent

Günther 1



Section 1. Identifying Information	ation		
Given Name (First Name)	2. Surnama (Last Nama)		3. Date
Andreas	<ol><li>Surname (Last Name)</li><li>Günther</li></ol>		o7-November-2014
4. Are you the corresponding author?	✓ Yes No		
5. Manuscript Title Everolimus (RAD001) Activity in Relapse Myeloma: A Phase I Study	, .	<u> </u>	
<ol><li>Manuscript Identifying Number (if you known HAEMATOL/2014/116269</li></ol>	ow it)		
Section 2. The World Under Co		.,	
The Work Under Co	nsideration for Publica	tion	
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?  Are there any relevant conflicts of interest fyes, please fill out the appropriate inform Excess rows can be removed by pressing	but not limited to grants, data st?  Yes  No rmation below. If you have	monitoring board, study o	design, manuscript preparation,
Name of Institution/Company	Grant? Personal Non-F	oport? Other? Co	pmments
Novartis	V		dy support, Advisory board
Section 3. Polyvant financial c			
Relevant financial a	activities outside the sul	bmitted work.	
Place a check in the appropriate boxes ir of compensation) with entities as describ clicking the "Add +" box. You should rep Are there any relevant conflicts of interes If yes, please fill out the appropriate info	oed in the instructions. Use ort relationships that were post?	one line for each entity;	add as many lines as you need by
Name of Entity	Grant	oport? Other? Co	omments
Novartis		Lect	ture, Advisory board
Celgene		Lect	rure

Günther



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Günther reports grants, personal fees and non-financial support from Novartis, during the conduct of the study; personal fees from Novartis, personal fees from Celgene, outside the submitted work; .

#### **Evaluation and Feedback**

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Baumann 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Philipp	2. Surname (Last Name) Baumann	3. Date 06-November-2014
4. Are you the corresponding author?	Yes V No	Corresponding Author's Name Andreas Guenther
5. Manuscript Title Everolimus (RAD001) Activity in Relapse	ed and/or Refractory Multi	ple Myeloma: A Phase I Study
6. Manuscript Identifying Number (if you kr HAEMATOL/2014/116269	now it)	_
Section 2. The Week Under Co		
The work under Co	onsideration for Public	
any aspect of the submitted work (including	. ,	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
statistical analysis, etc.)?  Are there any relevant conflicts of interest.	est? Yes V No	
Section 3. Polovont Guardia		
Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ibed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> .
Are there any relevant conflicts of interest	est? Yes No	
Section 4. Intellectual Proper	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Baumann 2



Section 5.	
Section 5.	Relationships not covered above
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Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
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Dr. Baumann ha	s nothing to disclose.

## **Evaluation and Feedback**

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Burger 1



Section 1. Identifying Inform	mation		
1. Given Name (First Name) Renate	2. Surname (Last Name) Burger		3. Date 06-November-2014
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Nar Andreas Guenther	me
5. Manuscript Title Everolimus (RAD001) Activity in Relaps Myeloma: A Phase I Study	sed and/or Refractory Multi	iple	
6. Manuscript Identifying Number (if you k HAEMATOL/2014/116269	know it)	-	
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Did you or your institution <b>at any time</b> reco any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da		
Section 3. Relevant financia	l activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as desc clicking the "Add +" box. You should re Are there any relevant conflicts of interest.	ribed in the instructions. Useport relationships that we	se one line for each entity; a	add as many lines as you need by
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Do you have any patents, whether plan	nned, pending or issued, b	roadly relevant to the work?	Yes Vo

Burger



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Dr. Burger has n	othing to disclose.

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Kellner 1



Section 1. Identifying Inform	mation	
		2 Dete
Given Name (First Name) Christian	2. Surname (Last Name) Kellner	3. Date 06-November-2014
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5. Manuscript Title Everolimus (RAD001) Activity in Relaps	sed and/or Refractory Mult	iple Myeloma: A Phase I Study
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Section 4. Intellectual Brone		
Intellectual Prope	erty Patents & Copyri	ghts
Do you have any patents, whether pla	nned, pending or issued, b	roadly relevant to the work? Yes Vo

Kellner 2



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Klapper 1



Section 1.		- 4.2					
	Identifying Informa	ation					
1. Given Name (Fi Wolfram	rst Name)	2. Surnam Klapper	ne (Last Name)			3. Date 06-November-2014	
4. Are you the cor	responding author?	Yes	<b>✓</b> No	Correspond Andreas G	-	or's Name	
5. Manuscript Title Everolimus (RAD Myeloma: A Pha	001) Activity in Relapse	d and/or R	efractory Mu	ıltiple			
6. Manuscript Idei 116269	ntifying Number (if you kno	ow it)					
Section 2							
Section 2.	The Work Under Co	nsiderati	ion for Pub	lication			
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Section 3.	Relevant financial a	ctivities	outside th	e submitted v	work.		
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Name of Entity		Grant?	Personal N	lon-Financial Support?	Other?	Comments	
Hoffman La Roche		<b>✓</b>				To my Institution	
Takeda/Millenium		<b>✓</b>				To my Institution	
Novartis		<b>✓</b>				To my Institution	
Janssen					<b>✓</b>	To my Institution	
Celgene					<b>✓</b>	To my Institution	
Dako					<b>✓</b>	To my Institution	

Klapper



Costinu A
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This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Schmidmaier 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Ralf	2. Surname (Last Name) Schmidmaier		3. Date 06-November-2014
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's N Andreas Guenther	Name
5. Manuscript Title Everolimus (RAD001) Activity in Relaps	sed and/or Refractory Mult	iple	
6. Manuscript Identifying Number (if you k HAEMATOL/2014/116269	tnow it)		
Section 2. The Work Under C	Consideration for Publi	cation	
Did you or your institution <b>at any time</b> rece any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, d		
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as described the "Add +" box. You should rear there any relevant conflicts of intersections, please fill out the appropriate info	ribed in the instructions. Useport relationships that we rest?	lse one line for each entity	; add as many lines as you need by
Name of Entity	Grant	n-Financial Other? Co	omments
Celgene		<b>✓</b>	
Roche			
AMGEN		<b>✓</b>	
Alexion		<b>✓</b>	
Lilly		<b>✓</b>	

Schmidmaier



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Schmidmaier reports non-financial support from Celgene, personal fees from Roche, personal fees and non-financial support from AMGEN, non-financial support from Alexion, non-financial support from Lilly, outside the submitted work; .

#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Schmidmaier



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.



#### Identifying information.

#### The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check

#### Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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#### Intellectual Property.

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#### Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Gramatzki 1



Section 1. Identifying Info	rmation	
1. Given Name (First Name) Martin	2. Surname (Last Nam Gramatzki	e) 3. Date 06-November-2014
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Andreas Guenther
5. Manuscript Title Everolimus (RAD001) Activity in Relap	osed and/or Refractory M	lultiple Myeloma: A Phase I Study
6. Manuscript Identifying Number (if you 2014/116269	know it)	
Section 2.		
The Work Under	Consideration for Pu	blication
	ing but not limited to grant	from a third party (government, commercial, private foundation, etc.) for s, data monitoring board, study design, manuscript preparation,
•		
Excess rows can be removed by press		have more than one entity press the "ADD" button to add a row.
income and be removed by press		Non-Financial
Name of Institution/Company	Grant Personal Fees	Support Other Comments
Novartis Pharma	$\square$	Study support, Advisory Board
Section 3. Polovant financi		
Relevant financi	al activities outside tl	he submitted work.
of compensation) with entities as des	cribed in the instruction report relationships that	whether you have financial relationships (regardless of amount s. Use one line for each entity; add as many lines as you need by were <b>present during the 36 months prior to publication</b> .
Section 4. Intellectual Prop	erty Patents & Cop	yrights
	X	
Do you have any patents, whether pl	anned, pending or issued	d, broadly relevant to the work? Yes V No

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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Gramatzki reports grants and personal fees from Novartis Pharma, during the conduct of the study;

#### **Evaluation and Feedback**

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