

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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Section 1. Identifying Information

1. Given Name (First Name) Carol	2. Surname (Last Name) O'Hear	3. Date 20-October-2014
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Terrence Geiger
5. Manuscript Title Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia		
6. Manuscript Identifying Number (if you know it) HAEMATOL/2014/112748		

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1. Given Name (First Name) Joshua	2. Surname (Last Name) Heiber	3. Date 20-October-2014
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Terrence Geiger
5. Manuscript Title Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia		
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Dr. Heiber has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) Ingo	2. Surname (Last Name) Schubert	3. Date 22-October-2014
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Terrence L Geiger, MD PhD
5. Manuscript Title Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia		
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1. Given Name (First Name) Georg	2. Surname (Last Name) Fey	3. Date 21-October-2014
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Terrence L Geiger, MD PhD
5. Manuscript Title Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia		
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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
WO 2007/014743 A8	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Protects sequence of CD33-specific scFv antibody fragment used in this study

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
WO 2011/070109	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Protects the use of the CD33-specific scFv fragment in antibody-derived agents for the treatment of AML. Issued by the EPO (European Patent Office, pending elsewhere).

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The University of Erlangen, Germany, has filed a first patent application (WO 2007/014743 A8) claiming protection for the sequence of the CD33-specific scFv antibody-fragment, upon which the derivative used here is based, and a second application (WO 2011/070109) claiming protection for the use of this fragment in antibody-derived therapeutic agents designed for the treatment of AML. Dr. Fey is a co-inventor on these applications.

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Patent submission	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Under consideration

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