

**Granulocyte colony-stimulating factor combined regimen in cord blood transplantation for acute myeloid leukemia: a nationwide retrospective analysis in Japan**

Takaaki Konuma,<sup>1</sup> Jun Ooi,<sup>2</sup> Naoyuki Uchida,<sup>3</sup> Hiroyasu Ogawa,<sup>4</sup> Kazuteru Ohashi,<sup>5</sup> Heiwa Kanamori,<sup>6</sup> Nobuyuki Aotsuka,<sup>7</sup> Yasushi Onishi,<sup>8</sup> Hiroki Yamaguchi,<sup>9</sup> Yasuji Kozai,<sup>10</sup> Tokiko Nagamura-Inoue,<sup>11</sup> Koji Kato,<sup>12</sup> Ritsuro Suzuki,<sup>13</sup> Yoshiko Atsuta,<sup>14,15</sup> Seiko Kato,<sup>1</sup> Shiigetaka Asano,<sup>16</sup> and Satoshi Takahashi<sup>17</sup>

<sup>1</sup>Department of Hematology and Oncology, The Institute of Medical Science, The University of Tokyo; <sup>2</sup>Department of Hematology and Oncology, Teikyo University School of Medicine, Tokyo; <sup>3</sup>Department of Hematology, Toranomon Hospital, Tokyo; <sup>4</sup>Division of Hematology, Department of Internal Medicine, Hyogo College of Medicine, Nishinomiya; <sup>5</sup>Hematology Division, Tokyo Metropolitan Cancer and Infectious Diseases Center, Komagome Hospital, Tokyo; <sup>6</sup>Department of Hematology, Kanagawa Cancer Center, Yokohama; <sup>7</sup>Division of Hematology-Oncology, Japanese Red Cross Society Naria Hospital, Naria, Japan; <sup>8</sup>Department of Hematology and Rheumatology, Tohoku University Hospital, Sendai; <sup>9</sup>Department of Hematology, Nippon Medical School, Tokyo; <sup>10</sup>Department of Transfusion Medicine, Tokyo Metropolitan Tama Medical Center, Tokyo; <sup>11</sup>Department of Cell Processing and Transfusion, Research Hospital, The Institute of Medical Science, The University of Tokyo; <sup>12</sup>Department of Hematology and Oncology, Children's Medical Center, Japanese Red Cross Nagoya First Hospital; <sup>13</sup>Department of HSCT Data Management and Biostatistics, Nagoya University Graduate School of Medicine; <sup>14</sup>Japanese Data Center for Hematopoietic Cell Transplantation, Nagoya; <sup>15</sup>Nagoya University Graduate School of Medicine; <sup>16</sup>System Medical Biology Laboratory, School of Advanced Science and Engineering, Waseda University, Tokyo; and <sup>17</sup>Division of Molecular Therapy, The Advanced Clinical Research Center, The Institute of Medical Science, The University of Tokyo, Japan

Correspondence: [tkonuma@ims.u-tokyo.ac.jp](mailto:tkonuma@ims.u-tokyo.ac.jp)  
doi:10.3324/haematol.2014.114504

## **Supplementary methods**

### **Study design and data collection**

The clinical data were provided by the Japan Cord Blood Bank Network (JCBBN) through the Transplant Registry Unified Management Program (TRUMP) of the Japan Society of Hematopoietic Cell Transplantation (JSHCT).<sup>1</sup> This retrospective study included patients who were 16 to 55 years of age at the time of CBT, who had de novo AML, who received single-unit CBT without prior transplant history, who underwent a myeloablative conditioning regimen before CBT, and who received cyclosporine A or tacrolimus with methotrexate as graft-versus-host disease prophylaxis regimens, because a calcineurin inhibitor plus methotrexate is the most commonly used method for CBT in Japan. CBTs were performed between 1998 and 2008 in Japan. Finally, 438 patients were eligible for this study. The institutional review board of the Institute of Medical Science, The University of Tokyo approved this study. This study was conducted in accordance with the Declaration of Helsinki.

### **Definitions and end points**

The study end points were neutrophil and platelet engraftment, transplant-related mortality (TRM), relapse, disease-free survival (DFS), and overall survival (OS). Neutrophil engraftment was defined as being achieved on the first of three consecutive days during which the absolute neutrophil count was at least  $0.5 \times 10^9/L$ . Platelet engraftment was defined as being achieved on the first of three consecutive days when the platelet count was higher than  $50 \times 10^9/L$  without transfusion support. TRM was defined as death during remission. Relapse was defined as morphologic evidence of disease in peripheral blood, bone marrow, or extramedullary sites. DFS (inverse of treatment failure) was defined as the time from the date of cord blood transplantation (CBT) to the data of relapse, death in

continuous complete remission or last contact. OS (inverse of overall mortality) was defined as the time from the date of CBT to the date of death or last contact. The myeloablative conditioning regimen was defined according to the Center for International Blood and Marrow Transplant Research (CIBMTR) criteria,<sup>2</sup> which included a regimen containing either total body irradiation (TBI) single doses of  $\geq 5$  Gy, or fractionated doses totaling  $\geq 8$  Gy, busulfan doses of  $>9$  mg/kg, or melphalan doses of  $>150$  mg/m<sup>2</sup> given either as single agents or in combination with other drugs. The conditioning regimen was categorized as follows: TBI $\geq 10$ Gy+Ara-C+CY, TBI $\geq 10$ Gy+Ara-C/G-CSF+CY, TBI $\geq 10$ Gy+other, and TBI $< 10$ Gy+other or non-TBI. The conditioning regimen of TBI $\geq 10$ Gy+Ara-C/G-CSF+CY consisted of TBI $\geq 10$ Gy, Ara-C (total dose 12 g/m<sup>2</sup>, and 3 g/m<sup>2</sup> every 12 h for 2 days) with 5  $\mu$ g/kg G-CSF (Lenograstim) from 12 h before the first dose of cytarabine to the end of cytarabine dosing, and CY (total dose 120 mg/kg), which was originally described.<sup>3-5</sup> For disease status at CBT, patients in complete remission without poor prognostic karyotype were classified as standard risk,<sup>6</sup> whereas patients in all other situations were classified as high risk. The number of HLA disparities was defined as low resolution for HLA-A, -B, and -DR in the graft-versus-host direction. 415 of 438 (94.7 %) patients were administered G-CSF after CBT to shorten the duration of neutropenia.

### **Statistical analysis**

Baseline patient and transplant characteristics were compared using the chi-square test or Fischer's exact test for categorical variables and the Kruskal–Wallis test for continuous variables. The probability of DFS and OS was estimated according to the Kaplan–Meier method, and the groups were compared using the log-rank test. The probabilities of neutrophil and platelet engraftment, TRM, and relapse were estimated based on a cumulative incidence method to accommodate competing risks. Multivariate analysis was

performed with a Cox proportional hazard model adjusted for DFS and OS, and a Fine and Gray proportional hazards model was used for the other analyses. The following variables were considered: conditioning regimen, age (<40 vs. ≥40 years), patients' sex (male vs. female), disease status at CBT (standard risk vs. high risk), graft-versus-host disease (GVHD) prophylaxis (Cyclosporine A with Methotrexate vs. Tacrolimus with Methotrexate), cord blood nucleated cell count (<2.5×10<sup>7</sup>/kg vs. ≥2.5×10<sup>7</sup>/kg), cord blood CD34+ cell count (<1×10<sup>5</sup>/kg vs. ≥1×10<sup>5</sup>/kg), HLA disparities (0 vs. 1 vs. ≥2), donor–recipient ABO compatibility (match vs. major/bidirectional mismatch vs. minor mismatch), and year of CBT (1998-2002 vs. 2003-2005 vs. 2006-2008). In this study, the TBI≥10Gy+Ara-C+CY group was considered the reference group in the multivariate analyses, because the main purpose of this study was to evaluate the additional effects of G-CSF in a TBI≥10Gy+Ara-C+CY conditioning regimen. Final model variables were confirmed with a backward selection procedure at the level of significance of P = 0.05. All P-values were two-sided. All statistical analyses were performed with EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan), a graphical user interface for R 3.0.2 (R Foundation for Statistical Computing, Vienna, Austria).<sup>7</sup>

## References

1. Atsuta Y, Suzuki R, Yoshimi A, Gondo H, Tanaka J, Hiraoka A et al. Unification of hematopoietic stem cell transplantation registries in Japan and establishment of the TRUMP System. *Int J Hematol.* 2007; 86(3): 269-74.
2. Bacigalupo A, Ballen K, Rizzo D, Giralt S, Lazarus H, Ho V et al. Defining the intensity of conditioning regimens: working definitions. *Biol Blood Marrow Transplant.* 2009; 15(12): 1628-33.
3. Takahashi S, Iseki T, Ooi J, Tomonari A, Takasugi K, Shimohakamada Y et al. Single-institute comparative analysis of unrelated bone marrow transplantation and cord blood transplantation for adult patients with hematologic malignancies. *Blood.* 2004; 104(12): 3813-20.
4. Takahashi S, Ooi J, Tomonari A, Konuma T, Tsukada N, Oiwa-Monna M et al. Comparative single-institute analysis of cord blood transplantation from unrelated donors with bone marrow or peripheral blood stem-cell transplants from related donors in adult patients with hematologic malignancies after myeloablative conditioning

- regimen. *Blood*. 2007; 109(3): 1322-30.
5. Konuma T, Kato S, Ooi J, Oiwa-Monna M, Ebihara Y, Mochizuki S et al. Single-unit cord blood transplantation after granulocyte colony-stimulating factor-combined myeloablative conditioning for myeloid malignancies not in remission. *Biol Blood Marrow Transplant*. 2014; 20(3): 396-401.
  6. Grimwade D, Walker H, Oliver F, Wheatley K, Harrison C, Harrison G et al. The importance of diagnostic cytogenetics on outcome in AML: analysis of 1,612 patients entered into the MRC AML 10 trial. The Medical Research Council Adult and Children's Leukaemia Working Parties. *Blood*. 1998; 92(7): 2322-33.
  7. Kanda Y. Investigation of the freely available easy-to-use software 'EZR' for medical statistics. *Bone Marrow Transplant*. 2013; 48(3): 452-8.

## Supplementary Figure Legends

### **Supplementary Figure 1. Cumulative incidences of relapse (A), and probabilities of disease-free (B) and overall (C) survival after cord blood transplantation according to conditioning regimen in standard-risk patients.**

(A) The cumulative incidence of relapse at 3 years was 29 % (95 % CI, 17 % to 41 %) in the TBI $\geq$ 10Gy+Ara-C+CY group, 6 % (95 % CI, 1 % to 16 %) in the TBI $\geq$ 10Gy+Ara-C/G-CSF+CY group, 17 % (95 % CI, 9 % to 27 %) in the TBI $\geq$ 10Gy+other group, and 6 % (95 % CI, 0 % to 26 %) in the TBI<10Gy+other or non-TBI group. (B) The probability of disease-free survival at 3 years was 54 % (95 % CI, 40 % to 66 %) for the TBI $\geq$ 10Gy+Ara-C+CY group, 76 % (95 % CI, 60 % to 86 %) for the TBI $\geq$ 10Gy+Ara-C/G-CSF+CY group, 55 % (95 % CI, 43 % to 66 %) for the TBI $\geq$ 10Gy+other group, and 79 (95 % CI, 47 % to 92 %) for the TBI<10Gy+other or non-TBI group. (C) The probability of overall survival was 63 % (95 % CI, 48 % to 74 %) for the TBI $\geq$ 10Gy+Ara-C+CY group, 81 % (95 % CI, 65 % to 90 %) for the TBI $\geq$ 10Gy+Ara-C/G-CSF+CY group, 58 % (95 % CI, 45 % to 69 %) for the TBI $\geq$ 10Gy+other group, and 77 % (95 % CI, 44 % to 92 %) for the TBI<10Gy+other or non-TBI group.

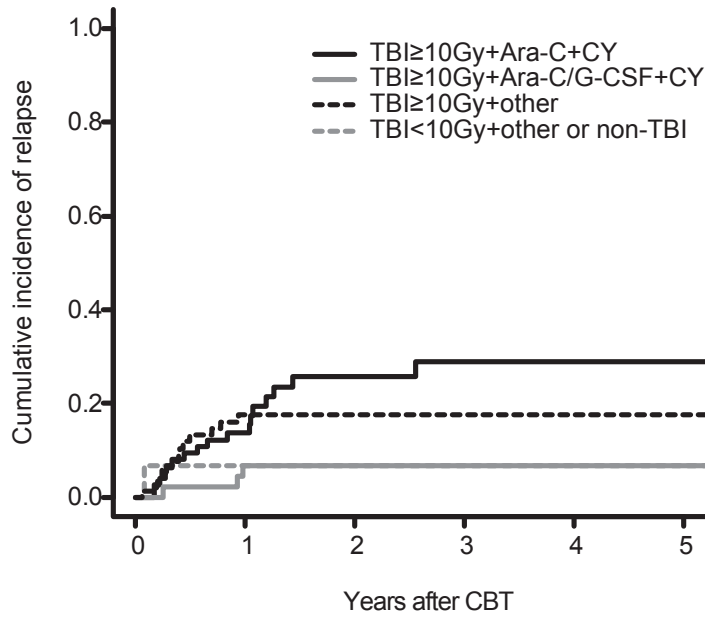
### **Supplementary Figure 2. Cumulative incidences of relapse (A), and probabilities of disease-free (B) and overall (C) survival after cord blood transplantation according to conditioning regimen in high-risk patients.**

(A) The cumulative incidence of relapse at 3 years was 47 % (95 % CI, 33 % to 60 %) in the TBI $\geq$ 10Gy+Ara-C+CY group, 34 % (95 % CI, 18 % to 51 %) in the TBI $\geq$ 10Gy+Ara-C/G-CSF+CY group, 43 % (95 % CI, 31 % to 55 %) in the

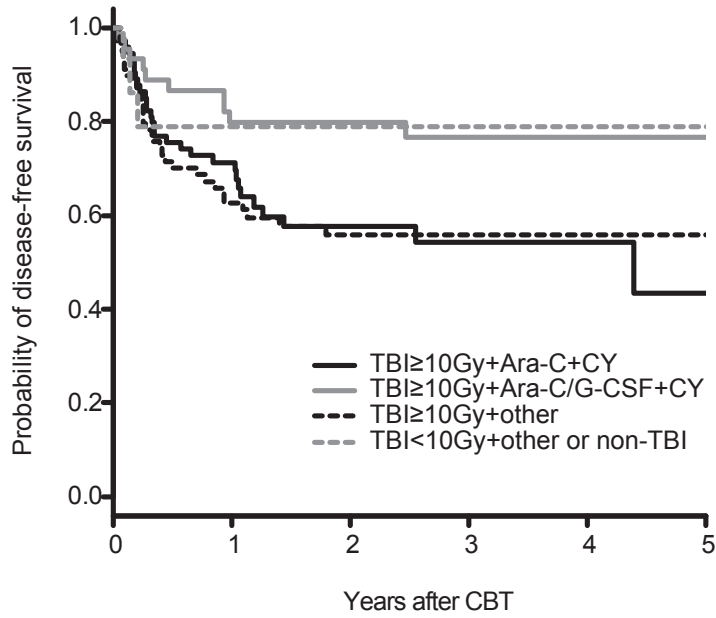
TBI $\geq$ 10Gy+other group, and 52 % (95 % CI, 29 % to 71 %) in the TBI<10Gy+other or non-TBI group. (B) The probability of disease-free survival at 3 years was 25 % (95 % CI, 14 % to 38 %) for the TBI $\geq$ 10Gy+Ara-C+CY group, 48 % (95 % CI, 30 % to 64 %) for the TBI $\geq$ 10Gy+Ara-C/G-CSF+CY group, 20 % (95 % CI, 11 % to 31 %) for the TBI $\geq$ 10Gy+other group, and 13 (95 % CI, 3 % to 29 %) for the TBI<10Gy+other or non-TBI group. (C) The probability of overall survival was 40 % (95 % CI, 27 % to 53 %) for the TBI $\geq$ 10Gy+Ara-C+CY group, 51 % (95 % CI, 29 % to 69 %) for the TBI $\geq$ 10Gy+Ara-C/G-CSF+CY group, 31 % (95 % CI, 19 % to 43 %) for the TBI $\geq$ 10Gy+other group, and 17 % (95 % CI, 5 % to 36 %) for the TBI<10Gy+other or non-TBI group.

# Supplementary Figure 1

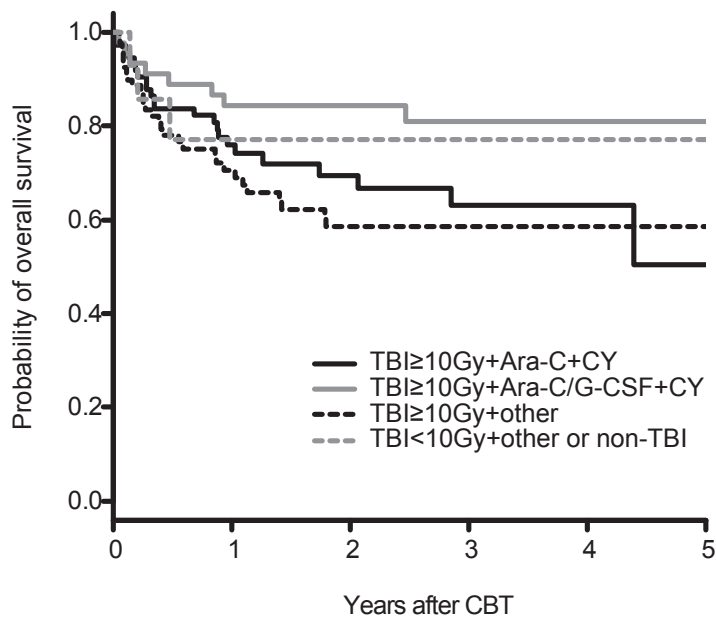
**A**



**B**



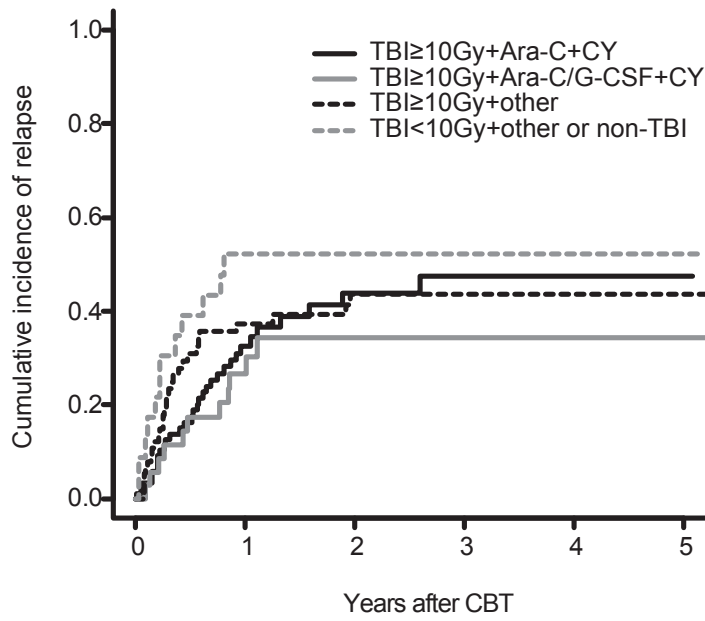
**C**



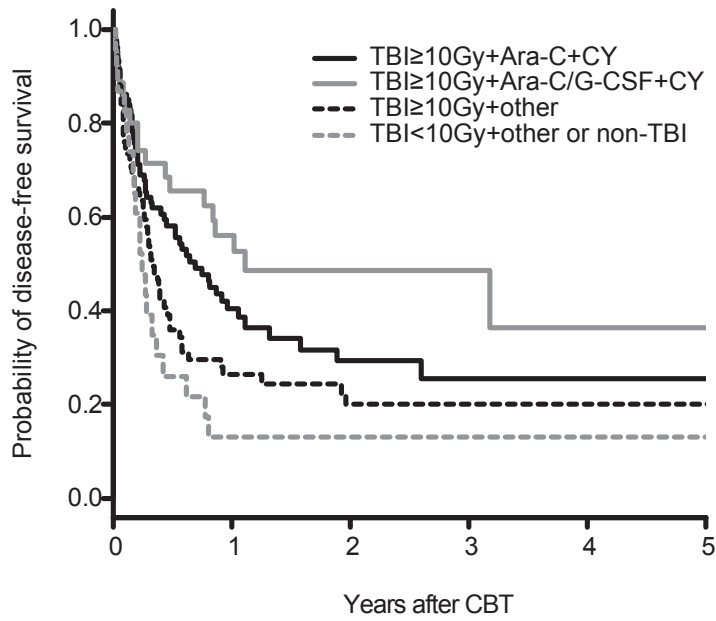


# Supplementary Figure 2

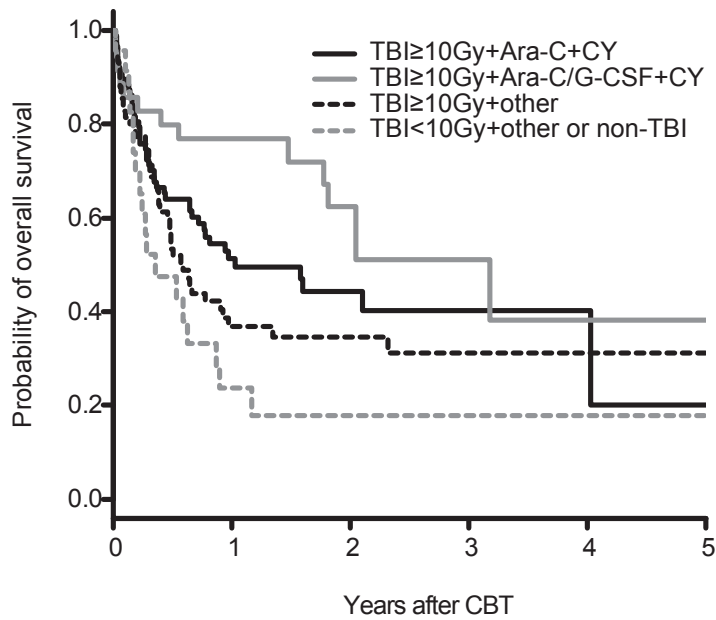
**A**



**B**



**C**



**Supplementary Table 1. Multivariate analysis of transplant outcomes according to the disease status at CBT**

Outcome	Standard risk at CBT			High risk at CBT		
	Number of patients	HR (95 % CI)	P-value	Number of patients	HR (95 % CI)	P-value
<b>Neutrophil engraftment</b>						
TBI≥10Gy+Ara-C+CY	74	1	Reference	87	1	Reference
TBI≥10Gy+Ara-C/G-CSF+CY	45	1.52(1.01-2.29)	0.04	35	1.71(1.07-2.73)	0.02
TBI≥10Gy+other	79	0.69(0.49-1.01)	0.05	76	0.75(0.50-1.12)	0.17
TBI<10Gy+other or non-TBI	16	0.18(0.06-0.50)	0.001	23	0.69(0.34-1.37)	0.29
<b>Platelet engraftment</b>						
TBI≥10Gy+Ara-C+CY	74	1	Reference	87	1	Reference
TBI≥10Gy+Ara-C/G-CSF+CY	45	1.27(0.79-2.04)	0.32	35	1.68(0.93-2.76)	0.08
TBI≥10Gy+other	79	0.61(0.38-0.96)	0.03	76	0.37(0.23-0.61)	<0.001
TBI<10Gy+other or non-TBI	16	0.29(0.10-0.78)	0.01	23	0.43(0.22-0.85)	0.01
<b>Transplant-related mortality</b>						
TBI≥10Gy+Ara-C+CY	74	1	Reference	87	1	Reference
TBI≥10Gy+Ara-C/G-CSF+CY	45	0.88(0.35-2.22)	0.79	35	0.76(0.28-2.05)	0.59
TBI≥10Gy+other	79	1.46(0.64-3.29)	0.36	76	1.27(0.70-2.28)	0.42
TBI<10Gy+other or non-TBI	16	1.08(0.24-4.90)	0.92	23	0.91(0.36-2.29)	0.85
<b>Relapse</b>						
TBI≥10Gy+Ara-C+CY	74	1	Reference	87	1	Reference
TBI≥10Gy+Ara-C/G-CSF+CY	45	0.06(0.01-0.37)	0.002	35	0.87(0.38-1.98)	0.75
TBI≥10Gy+other	79	0.35(0.14-0.90)	0.02	76	1.27(0.72-2.24)	0.41
TBI<10Gy+other or non-TBI	16	NA	NA	23	2.01(0.88-4.57)	0.09
<b>Treatment failure</b>						
TBI≥10Gy+Ara-C+CY	74	1	Reference	87	1	Reference
TBI≥10Gy+Ara-C/G-CSF+CY	45	0.34(0.15-0.78)	0.01	35	0.69(0.38-1.23)	0.21
TBI≥10Gy+other	79	0.84(0.46-1.53)	0.57	76	1.45(0.97-2.16)	0.06
TBI<10Gy+other or non-TBI	16	0.37(0.08-1.63)	0.19	23	1.68(0.98-2.89)	0.05
<b>Overall mortality</b>						
TBI≥10Gy+Ara-C+CY	74	1	Reference	87	1	Reference

TBI≥10Gy+Ara-C/G-CSF+CY	45	0.41(0.17-0.99)	0.04	35	0.56(0.27-1.12)	0.10
TBI≥10Gy+other	79	1.08(0.57-2.05)	0.79	76	1.22(0.77-1.93)	0.39
TBI<10Gy+other or non-TBI	16	0.51(0.11-2.27)	0.38	23	1.55(0.83-2.88)	0.16

Variables considered in multivariate analysis were conditioning regimen, age, patients' sex, GVHD prophylaxis, cord blood nucleated cell count, cord blood CD34+ cell count, HLA disparities, donor-recipient ABO compatibility, and year of CBT. Ara-C: cytosine arabinoside; CBT: cord blood transplantation; CI: confidence interval; CY: cyclophosphamide; G-CSF: granulocyte colony-stimulating factor; HR: hazard ratio; NA: not applicable; TBI: total body irradiation.