# Myelodysplastic syndrome evolving from aplastic anemia treated with immunosuppressive therapy: efficacy of hematopoietic stem cell transplantation

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#### **ABSTRACT**

A proportion of patients with aplastic anemia who are treated with immunosuppressive therapy develop clonal hematologic disorders, including post-aplastic anemia myelodysplastic syndrome. Many will proceed to allogeneic hematopoietic stem cell transplantation. We identified 123 patients with post-aplastic anemia myelodysplastic syndrome who from 1991 through 2011 underwent allogeneic hematopoietic stem cell transplantation, and in a matched-pair analysis compared outcome to that in 393 patients with *de novo* myelodysplastic syndrome. There was no difference in overall survival. There were no significant differences with regard to 5-year probabilities of relapse, non-relapse mortality, relapse-free survival and overall survival; these were 14%, 40%, 46% and 49% for post-aplastic anemia myelodysplastic syndrome, and 20%, 33%, 47% and 49% for *de novo* myelodysplastic syndrome, respectively. In multivariate analysis, relapse (hazard ratio 0.71; *P*=0.18), non-relapse mortality (hazard ratio 1.28; *P*=0.18), relapse-free survival (hazard ratio 0.97; *P*=0.80) and overall survival (hazard ratio 1.02; *P*=0.88) of post-aplastic anemia myelodysplastic syndrome were similar to those of patients with *de novo* myelodysplastic syndrome. Cytogenetic risk was independently associated with overall survival in both groups. Thus, transplant success in patients with post-aplastic anemia myelodysplastic syndrome was similar to that in patients with *de novo* myelodysplastic syndrome, and cytogenetics was the only significant prognostic factor for post-aplastic anemia myelodysplastic syndrome patients.

#### Introduction

Immunosuppressive therapy (IST) and hematopoietic stem cell transplantation (HSCT) are the two main treatment strategies for patients with aplastic anemia (AA). While in most patients AA is immune-mediated and amenable to IST, younger patients with HLA-identical siblings often undergo HSCT as first-line therapy. Older individuals, on the other hand, and those without HLA-matched related donors are generally given IST, typically with antithymocyte globulin (ATG) with or without the addition of cyclosporine. 1,2 Response rates with IST have ranged from 40% to 70%, and many responding patients are surviving long-term with normal or close to normal blood cell counts, not requiring further therapy.<sup>3-5</sup> However, some patients, particularly among those who do not achieve sustained responses to IST, will show clonal evolution and present clinically with manifestations of paroxysmal nocturnal hemoglobinuria (PNH) or myelodysplastic syndrome (post-AA MDS) that may evolve to acute myeloid leukemia. Transformation to MDS has been reported in 1.7-15% of patients over observation periods of 5-11 years.7 Improvements in supportive care allow for prolonged survival of non-responding patients, even without HSCT, and the evolution of clonal hematopoietic disorders.

The pathogenesis of MDS (or acute myeloid leukemia) in AA patients may be related to immune dysregulation, possibly enhanced by IST, and increased growth factor drive in response to the proliferative demands during the disease course.<sup>7</sup> Excessive telomere shortening leading to acquisition of chromosomal instability may contribute to clonal evolution.<sup>8</sup> It is not clear whether MDS developing on that background differs from *de novo* MDS. However, immune-dysregulation and pro-inflammatory signals associated with the immune mechanism of AA may lead to a marrow environment in post-AA MDS patients, which differs from that in patients with *de novo* MDS. These differences may affect the prognosis and may impact the outcome of HSCT.

Allogeneic HSCT is a powerful therapeutic option for patients with MDS, including those with "secondary" MDS, be it due to prior cytotoxic therapy or related to an antecedent hematologic disorder such as AA. 9-12 A previous analysis of transplant outcome in patients with therapyrelated MDS showed survival rates of 19-21% at five years, depending on disease status at transplantation, 10 while another study reported survival rates of 20-48% at three years. 12 These studies focused on MDS patients who had received prior cytotoxic chemotherapy or radiation for various malignant diseases. A recent study of 17 children with

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AA who developed MDS or AML following IST reported a 5-year event-free survival of 41%. However, there no large-scale study of transplantation for post-AA MDS has been made, and there are no data comparing results to patients with *de novo* MDS. Thus, in the present study, we analyzed transplant outcomes of post-AA MDS patients, carried out a matched-pair analysis including patients with *de novo* MDS, and determined prognostic factors for transplant outcome.

#### **Methods**

#### Study population

In the databases of the Center for International Bone Marrow Transplantation Research (CIBMTR) and the Fred Hutchinson Cancer Research Center, we identified 123 patients transplanted from 1991 through 2011 for MDS developing after treatment of aplastic anemia with IST (post-AA MDS). Cases of marrow failure/AA possibly associated with constitutional/inherited disorders were excluded. The time interval from the diagnosis of AA to the establishment of the diagnosis of MDS was 4-491 months (median 34 months). The MDS categories comprised refractory anemia (RA), refractory anemia with ring sideroblasts (RARS), refractory anemia with excess blasts (RAEB), and refractory anemia with excess blasts in transformation (RAEB-t). The data bases did not allow for categorization according to the WHO classification, nor was it possible in this retrospective analysis to categorize patients according to the recently proposed five cytogenetic risk groups as used in the revised International Prognostic Scoring System (IPSS-R). 14 Patients with AML (>30% marrow myeloblasts) and CMML were excluded. We conducted a matched pair analysis, matching cases to control on factors shown to influence transplantation outcomes. Overall, 1473 patients with de novo MDS were reported to the CIBMTR during the study period. Patients with MDS related to cytotoxic therapy, Fanconi anemia and other inherited marrow failure syndromes were excluded from the study. Cases were matched to controls from this cohort for age (< 10 years  $vs. \ge 10$  years), disease status at transplantation (RA/RARS vs. RAEB/RAEB-t), donor type (HLA-identical sibling vs. other relative vs. matched unrelated donor vs. mismatched unrelated donor), year of transplant (1991-1999 vs. 2000-2011), and conditioning regimen intensity (myeloablative vs. reduced intensity). Cases and controls were matched to their respective age as close as possible: the difference in age of cases and controls was 0-1 year for 56%, 2-5 years for 30%, and 6-10 years for the remaining 14%. For this latter sub-group, the median age for cases was 23 years, compared to 29 years for controls.

#### **Definitions**

Cytogenetic risk was graded into good, intermediate, and poor, based on the IPSS. <sup>14</sup> Time of engraftment was defined as the first of three consecutive days with neutrophil counts that exceeded 0.5 x10°/L. Acute and chronic graft-versus-host disease (GvHD) were defined and graded according to standard criteria. <sup>15,16</sup> Overall survival (OS) was defined as survival irrespective of disease status, and relapse-free survival (RFS) as survival without evidence of relapse at any point in time. Relapse incidence (RI) was defined as cumulative incidence of disease recurrence and non-relapse mortality (NRM) was defined as the occurrence of death without prior evidence of relapse.

#### Statistical analysis

The probabilities of hematopoietic recovery, acute and chronic GvHD, NRM and relapse were calculated using the cumulative

incidence estimator.<sup>17</sup> The probabilities of OS and RFS were calculated with the Kaplan-Meier estimator.<sup>18</sup> Cox multivariate models<sup>19</sup> were constructed to test whether there were any differences in outcome between the groups, adjusting for other factors that might influence outcome after HSCT. The main effect (post-AA MDS *vs. de novo* MDS) was held in all steps of model building regardless of the level of significance. Variables tested are shown in Tables 1 and 2. All variables that attained *P*-values of 0.05 or under were retained in the final model. All P-values are two-sided. Analyses were performed with SAS v.9.3 software (SAS, Cary, NC, USA).

#### **Results**

#### Patients', disease, and transplant characteristics

Patients' and disease characteristics of post-AA MDS and de novo MDS patients are summarized in Table 1. Median age was 28 years (range 2-68 years) in post-AA MDS patients, and 36 years (range 2-68 years) in de novo MDS patients. The majority of patients (72% with post-AA MDS, and 71% with de novo MDS) had less than 5% marrow myeloblasts at transplantation. The cytogenetic risk was good in 44 patients (36%), intermediate in 18 (15%), and poor in 61 patients (49%) in the post-AA MDS cohort; 46 of those 61 patients with poor risk cytogenetics had chromosome 7 abnormalities. There were more patients with poor risk cytogenetics and fewer patients with intermediate risk cytogenetics in the post-AA cohort than in the *de novo* cohort (P=0.02). Seventy-two percent of children with poor-risk cytogenetics had RA at transplantation compared to 65% of older patients with poorrisk cytogenetics. Patients with post-AA MDS were less likely to have received any treatment for MDS prior to transplantation (42 of 123; 34%) compared to patients with de novo MDS (204 of 393; 52%).

Transplant characteristics are summarized in Table 2. Post-AA MDS patients had a shorter interval from MDS diagnosis to transplantation (median 6 months vs. 9 months for de novo MDS; P=0.004). Post-AA MDS patients were less likely to have received chemotherapy for MDS prior to transplantation (14% vs. 31%; P<0.0001). Most transplants were from unrelated donors (84% in post-AA MDS; 80% with de novo MDS). The source of stem cells was similar for post-AA MDS and de novo MDS patients: bone marrow (53% vs. 42%), peripheral blood (35% vs. 44%), and cord blood (12% vs. 13%). More patients with post-AA MDS received in vivo T-cell depletion with ATG or alemtuzumab (53% vs. 38% with de novo MDS; P=0.003).

#### Engraftment and graft-versus-host disease

There was no difference in hematologic recovery or graft failure rates between the groups. The median time to neutrophil engraftment was 18 days for post-AA MDS, and 17 days for *de novo* MDS. The Day-28 cumulative incidence of neutrophil recovery was 87% (95%CI: 79-92) for post-AA MDS and 89% (95%CI: 85-92) for *de novo* MDS (*P*=0.51).

There was a trend towards higher cumulative incidence of GvHD among post-AA MDS patients but this did not reach statistical significance. The Day-100 cumulative incidence of grade II-IV acute GvHD was 52% (95%CI: 43-61) and 47% (95%CI: 42-52), respectively (*P*=0.29) (Figure 1A), and the 5-year cumulative incidence of chron-

Table 1. Patients' and disease characteristics in myelodysplastic syndrome patients evolving from aplastic anemia (post-AA MDS) and *de novo* MDS patients for matched pair analysis.

| /ariable                      | Post-AA MDS* | De novo MDS | P            |
|-------------------------------|--------------|-------------|--------------|
| Number of patients            | 123          | 393         |              |
| Age at transplant, years      |              |             | 0.27         |
| Median (range)                | 28 (2-68)    | 36 (2-68)   |              |
| 2-10                          | 12 (10)      | 42 (11)     |              |
| 11-19                         | 25 (20)      | 58 (15)     |              |
| 20-29                         | 31 (25)      | 69 (18)     |              |
| 30-39                         | 15 (12)      | 58 (15)     |              |
| 10-49                         | 18 (15)      | 81 (21)     |              |
| 50-59                         | 13 (11)      | 48 (12)     |              |
| ≥60                           | 9 ( 7)       | 37 (9)      |              |
| Sex                           |              |             | 0.19         |
| Male                          | 75 (61)      | 213 (54)    |              |
| Female                        | 48 (39)      | 180 (46)    |              |
| Recipient cytomegalovirus     |              |             | 0.08         |
| Negative                      | 41 (33)      | 166 (42)    |              |
| Positive                      | 82 (67)      | 227 (58)    |              |
| Disease status                |              |             | 0.81         |
| RA or RARS                    | 89 (72)      | 280 (71)    |              |
| RAEB or RAEB-t                | 34 (28)      | 113 (29)    |              |
| ytogenetic risk               |              |             | 0.02         |
| Good                          | 44 (36)      | 136 (35)    | ••• <b>-</b> |
| Intermediate                  | 18 (15)      | 103 (26)    |              |
| Poor                          | 61 (49)      | 154 (39)    |              |
| re-transplant therapy for MDS |              |             | < 0.001      |
| Chemotherapy                  | 17 (14)      | 120 (30)    | ~0.001       |
| Other treatment**             | 13 (10)      | 30 (8)      |              |
| Supportive care               | 12 (10)      | 54 (14)     |              |
| No treatment                  | 81 (66)      | 189 (48)    |              |

RA: refractory anemia; RAEB: refractory anemia with excess blast and RAEB+; refractory anemia with excess blasts in transformation.\*Interval between diagnosis of AA and onset of MDS was available for 50 of 123 patients. Median time to MDS onset was 3 years. \*\*Other treatment includes: cyclosporine, anti-thymocyte globulin, interleukin – 2, splenectomy (n=1), splenic irradiation (n=1).

ic GvHD was 55% (95%CI: 45-64) and 46% (95%CI: 41-51), respectively (*P*=0.11) (Figure 1B).

#### Relapse and non-relapse mortality

With a median follow up of four years, the 5-year cumulative incidence of relapse was 14% for post-AA MDS patients and 20% for de novo MDS (Figure 2A). The difference was of borderline significance in univariate analysis (*P*=0.07), but multivariate analysis indicated that post-AA MDS was not independently associated with lower risk of relapse once pre-transplant therapy had been accounted for (HR 0.71; 95%CI: 0.44-1.17; P=0.18) (Table 3). Relapse risk was higher in patients who received chemotherapy prior to transplantation compared to those who received none (HR 1.94; 95% CI: 1.23-3.07; P=0.005). Compared to patients who did not receive any treatment, there was no significant difference in relapse risk between patients who received treatment that did not include chemotherapy (HR 1.26; 95%CI: 0.60-2.64; P=0.54) and those who received supportive care (HR 1.43; 95%CI: 0.74-2.77; P=0.28). The 5-year cumulative incidence of NRM was 40% for post-AA MDS and 33% for de novo MDS (*P*=0.16) (Figure 2B). By multivariate analysis, NRM was non-significantly higher in post-AA MDS patients (HR 1.28; 95%CI: 0.89-1.83; *P*=0.18) (Table 3). There was no significant difference in the causes of NRM between groups, which were mainly GvHD, infection, and regimen-related toxicity (Table 4). There was a suggestion that post-AA MDS patients died more frequently from GvHD and infection than did *de novo* MDS patients. Graft failure did not significantly contribute to NRM in either group. Cytogenetic risk was a significant predictor for NRM (P=0.01).

#### Relapse-free and overall survival

Relapse-free survival (46% vs. 47%; P=0.91) (Figure 2C) and OS (49% for both groups; P=0.98) (Figure 2D) were similar for both groups; the lower relapse risk among post-AA MDS patients was counterbalanced by a slightly higher NRM, although this difference did not attain the level of significance set for this study. Multivariate analysis confirmed that post-AA MDS by itself was not an independent predictor of RFS (HR 0.97; 95%CI: 0.75-1.25; P=0.80), and no other independent factor was identified. Similarly, post-AA MDS was not associated with OS (HR 1.02; 95%CI: 0.76-1.38; P=0.88), while cytogenetic risk was a predictor for OS (P=0.05).

## Prognostic factors for transplant outcome in patients with post-AA MDS

Among patients with post-AA MDS, multivariate subset analysis identified cytogenetic risk category as the only independent prognostic factor for OS (P=0.05) and NRM (P=0.06), although the level of significance for NRM was

Table 2. Transplant characteristics in patients with post-AA MDS and de novo MDS.

| Variable   | Post-AA MDS   | De novo MDS  | P     |
|--|---|--|-------|
| Time from MDS diagnosis to transplant ≤6 months 7-11months ≥12 months  | 65 (53)<br>26 (21)<br>32 (26)                                     | 143 (36)<br>101 (26)<br>149 (38)   | 0.004 |
| Conditioning regimens Myeloablative Cyclophosphamide + total body irradiation Busulfan + cyclophosphamide Total body irradiation + other agents Busulfan + fludarabine                             | 32 (26)<br>51 (41)<br>4 (3)<br>12 (10)                            | 122 (31)<br>138 (35)<br>7 (2)<br>47 (12)                                   | 0.77  |
| Reduced intensity Total body irradiation 200 cGy + other agents Fludarabine + melphalan Fludarabine + busulfan Fludarabine + cyclophosphamide  | 6 (5)<br>7 (6)<br>10 (8)<br>1 (1)                                 | 20 (5)<br>26 (7)<br>32 (8)<br>1 (<1)                                       |       |
| Graft type<br>Bone marrow<br>Peripheral blood<br>Cord blood<br>Donor and donor-recipient HLA match   | 65 (53)<br>43 (35)<br>15 (12)                                     | 167 (42)<br>173 (44)<br>53 (13)  | 0.12  |
| HLA-identical sibling Other relative Unrelated well-matched Unrelated partially matched or mismatched  | 20 (16)<br>3 (2)<br>70 (57)<br>30 (24)                            | 77 (20)<br>8 (2)<br>236 (60)<br>72 (18)                                    |       |
| GVHD prophylaxis  Ex vivo T-cell depletion  Tacrolimus + mycophenolate  Tacrolimus + methotrexate  Tacrolimus alone  Cyclosporine + mycophenolate  Cyclosporine + methotrexate  Cyclosporine alone | 6 (5)<br>7 (6)<br>33 (27)<br>4 (3)<br>7 (6)<br>53 (43)<br>13 (11) | 24 ( 6)<br>39 (10)<br>99 (25)<br>14 ( 4)<br>35 ( 9)<br>155 (39)<br>27 ( 7) | 0.49  |
| In vivo T-cell depletion<br>Anti-thymocyte globulin or alemtuzumab<br>None   | 64 (53)<br>57 (47)  | 149 (38)<br>244 (62)   | 0.003 |
| Year of transplant<br>1991-1999<br>2000-2011<br>Median follow up of survivors, median (range), months  | 36 (29)<br>87 (71)<br>48 (3-246)                                  | 97 (25)<br>296 (75)<br>48 (3-248)  | 0.31  |

marginal (Table 5). It was of note, however, and unexpected that NRM and OS tended to be more favorable among patients with poor risk than among those with intermediate-risk cytogenetics. Patients with intermediate-risk cytogenetics had higher mortality risks compared to those with good-risk cytogenetics, although this difference did not reach statistical significance. Subset analyses of patients with *de novo* MDS also identified cytogenetic risk category as the only independent prognostic factor for OS (P=0.05) and NRM (P=0.008) (Table 5). In these patients, intermediate-risk and poor-risk cytogenetics were associated with higher NRM and lower OS compared to goodrisk cytogenetics. No specific predictors for relapse and RFS were identified.

#### Subset analyses

In addition, we performed two subset analyses, one addressing the potential impact of the transplant period, and one aimed at delineating possible differences

between pediatric and adult patients. In the first subset analysis, the study population was restricted to patients transplanted between 2000 and 2011 to exclude the possibility that the effects of recent changes in transplantation strategies on outcome may have been obscured by the inclusion of patients transplanted over the course of two decades. Consistent with the main analysis, there were no differences in overall survival (HR 0.87; 95%CI: 0.59-1.29; P=0.49), non-relapse mortality (HR 1.09; 95%CI: 0.68-1.75; *P*=0.72), relapse (HR 0.67; 95%CI: 0.36-1.25; *P*=0.21) and relapse-free survival (HR 0.88; 95%CI: 0.62-1.25; *P*=0.49) between patients with post-AA MDS and *de novo* MDS. The second subset analysis included patients 20 years of age or older. Consistent with the main analysis, there were no differences in overall survival (HR 0.98; 95%CI: 0.68-1.41; *P*=0.92), non-relapse mortality (HR 1.27; 95%CI: 0.82-1.98; *P*=0.29), relapse (HR 0.61; 95%CI: 0.34-1.08; P=0.09) and relapse-free survival (HR 0.94; 95%CI: 0.68-1.28; *P*=0.69) between patients with post-AA

MDS and *de novo* MDS. For older patients, intermediate risk (HR 1.84; 95%CI: 1.31-2.57; *P*=0.0004) and poor-risk cytogenetics (HR 1.66; 95%CI: 1.22-2.26; *P*=0.001) were associated with lower overall survival compared to those with good-risk cytogenetics.

have been an enrichment for patients with shortened telomeres in the post-AA MDS cohort, related to the prolonged course after IST failure, a consideration of potential interest as recent studies suggest that shorter telomeres were independently associated with higher NRM in

#### **Discussion**

A large proportion of patients with post-AA MDS were transplanted successfully from related or unrelated donors, with a 5-year RFS of 46%, and 5-year OS of 49%, success rates similar to those in 'matched' patients with de novo MDS, even after adjusting for other potential prognostic factors. While there was a clinical impression that patients with post-AA MDS relapsed less frequently (P=0.05 in univariate analysis), once pre-transplant therapy (administered after the diagnosis of MDS was established) was included in the analysis, there was no significant difference between the two cohorts. As there was a trend in the reverse direction for NRM, survival rates for the two cohorts were basically identical. Higher NRM among patients with post-AA MDS could have been due to a longer overall disease course (AA followed by MDS), leading to the development of additional comorbidities. Also, the different pathogenesis and an altered immune-environment in post-AA MDS compared to patients with de novo MDS might contribute to differences in the various end points. One might further speculate that there could

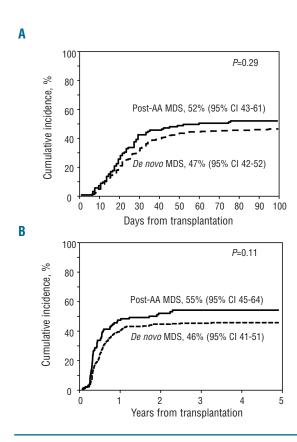


Figure 1. Cumulative incidence of acute (A) and chronic (B) graft-versus-host disease in post aplastic anemia myelodysplastic syndrome and *de novo* myelodysplastic syndrome patients after allogeneic hematopoietic stem cell transplantation.

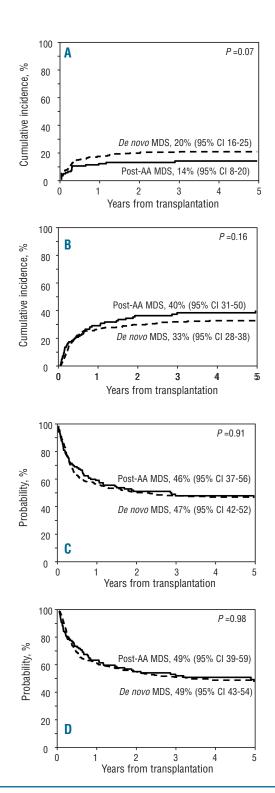


Figure 2. Probability of relapse (A), non-relapse mortality (B), relapsefree survival (C) and overall survival (D) of post aplastic anemia myelodysplastic syndrome and *de novo* myelodysplastic syndrome patients after allogeneic hematopoietic stem cell transplantation.

Table 3. Multivariate analysis of prognostic factors for transplant outcomes.

| Outcome               | Variable         | Number | Hazard Ratio<br>(95% confidence interval) | Р    |  |
|-----------------------|------------------|--------|---|------|--|
| Relapse               | De novo MDS      | 389    | 1.00                                      |      |  |
| •                     | Post-AA MDS      | 122    | 0.71 (0.44 - 1.17)                        | 0.18 |  |
| Non-relapse mortality | De novo MDS      | 389    | 1.00                                      |      |  |
|                       | Post-AA MDS      | 122    | 1.28 (0.89 - 1.83)                        | 0.18 |  |
|                       | Cytogenetic risk |        |   |      |  |
|                       | Good             | 177    | 1.00                                      | 0.01 |  |
|                       | Intermediate     | 120    | 1.78 (1.20 - 2.62)                        | 0.04 |  |
|                       | Poor             | 214    | $1.14 \ (0.88 - 1.62)$                    | 0.47 |  |
| Relapse-free survival | De novo MDS      | 389    | 1.00                                      |      |  |
|                       | Post-AA MDS      | 122    | $0.97 \ (0.75 - 1.25)$                    | 0.80 |  |
| Overall survival      | De novo MDS      | 389    | 1.00                                      |      |  |
|                       | Post-AA MDS      | 122    | 1.02 (0.76 - 1.38)                        | 0.88 |  |
|                       | Cytogenetic risk |        | · · ·                                     |      |  |
|                       | Good             | 177    | 1.00                                      | 0.05 |  |
|                       | Intermediate     | 120    | 1.51 (1.09–2.09)                          | 0.01 |  |
|                       | Poor             | 214    | $1.17 \ (0.88 - 1.55)$                    | 0.29 |  |

MDS: myelodysplastic syndrome.

patients with hematologic malignancies.<sup>20</sup>

We did not include GvHD, a post-transplant risk factor, in multivariate analysis since the study was focused on pre-transplant variables. There was a trend towards higher cumulative incidence rates of both acute and chronic GvHD in post-AA MDS, which may have been related to a higher proportion of HLA-mismatched unrelated donor transplants, a pattern that would be consistent with the observed higher rate of NRM and lower incidence of relapse among post-AA MDS patients due to a stronger graft-versus-MDS effect.

The prognosis of therapy-related MDS evolving after chemotherapy or radiotherapy is worse than that of de novo MDS, presumably because of the higher frequency of poor-risk cytogenetics and molecular mutations than are observed in *de novo* MDS patients. 11,21 In the present cohort of post-AA MDS patients, the proportion with poor-risk cytogenetics was also higher than in patients with de novo MDS, even though prior treatment consisted of IST rather than cytotoxic modalities. This observation suggests the possibility that IST and, possibly, factors predisposing to AA, contributed to the evolution of the karyotype. However, the overall outcome of post-AA MDS was comparable to that of *de novo* MDS and likely better than the outcome in patients with MDS evolving after chemo- or radiotherapy as observed in prior studies. 10,12 Results in patients with post-AA MDS in the present analysis were similar to results in a smaller cohort of comparable patients reported previously from the FHCRC with a 5year RFS of 47%. 11 It was unexpected that the karyotype should have a different impact in patients with post-AA MDS than in patients with de novo MDS, and that the outcome should be comparable, despite a greater proportion of patients with poor-risk cytogenetics in the post-AA MDS group. A subset analysis indicated, however, that in adults, there was no difference in the impact of cytogenetic risk between post-AA MDS and de novo MDS, suggesting that the unexpected pattern (of intermediate- vs. poorrisk cytogenetics) was related to outcome in pediatric and adolescent patients. A greater proportion of patients aged 20 years and younger with poor-risk cytogenetics was transplanted in RA compared to those older than 20 years,

Table 4. Causes of non-relapse mortality among post-AA MDS and de novo MDS patients.

| Cause                     | Post-AA MDS<br>(n=56) | De novo MDS<br>(n=153) |
|---------------------------|-----------------------|------------------------|
| Graft-versus-host disease | 21 (38%)              | 51 (33%)               |
| Infection                 | 14 (25%)              | 32 (21%)               |
| Regimen-related toxicity  | 18 (32%)              | 52 (34%)               |
| Graft failure             | 1 (2%)                | 3 (2%)                 |
| Others                    | 2 (4%)                | 15 (10%)               |

in support of bone marrow blast counts, in addition to cytogenetic risk, being a predictor of transplant outcome. Furthermore, there was a larger proportion of patients in the *de novo* MDS cohort who had received chemotherapy before HSCT (in efforts to induce remission), and it is likely that patients considered to be at the highest risk of relapse were the most likely to receive pre-HSCT chemotherapy. In addition, a recent analysis in a large cohort of unselected patients with MDS showed that pre-transplant chemotherapy was an adverse risk factor for post-HSCT outcome. <sup>22,23</sup> These data, therefore, suggest some differences compared to results in patients with MDS after cytotoxic chemotherapy or radiotherapy, where outcome was strictly related to the karyotype. <sup>10,11</sup>

The present results do raise questions with regard to the timing of HSCT in patients with AA. Long-term outcome of patients with severe AA undergoing transplantation from HLA-matched siblings has been shown to be superior to IST, at least in patients up to approximately 40 years of age. <sup>23,24</sup> Even transplants from unrelated donors in patients with AA who have failed to respond to IST, but have not developed MDS, have been carried out with increasing success, with survival probabilities in the range of 50-80%, <sup>25-28</sup> clearly superior to the results in post-AA MDS patients presented here. Those data are of particular relevance since many patients with AA are receiving IST because HLA-matched related donors are not available, and 82% of post-AA MDS patients in the present study

Table 5. Cytogenetics as prognostic factor for overall survival (subgroup analysis).

|  | Post-A/   | Post-AA MDS                  |   | MDS                           |  |
|--|---|------------------------------|---|-------------------------------|--|
|  | HR (95% CI)   | P                            | HR (95% CI)   | P                             |  |
| Non-relapse mortality<br>Good<br>Intermediate vs. Good<br>Poor vs. Good<br>Poor vs. Intermediate | 1<br>1.40 (0.64 – 3.07)<br>0.57 (0.30 – 1.07)<br>0.41 (0.18 – 0.91) | 0.06<br>0.40<br>0.08<br>0.03 | 1<br>2.10 (1.32 – 3.34)<br>1.60 (1.02 – 2.52)<br>0.76 (0.51 – 1.15) | 0.008<br>0.002<br>0.04<br>0.1 |  |
| Overall survival Good Intermediate vs. Good Poor vs. Good Poor vs. Intermediate                  | 1<br>1.68 (0.83 – 3.39)<br>0.71 (0.41 – 1.25)<br>0.42 (0.21 – 0.84) | 0.05<br>0.15<br>0.24<br>0.01 | 1<br>1.54 (1.06 – 2.24)<br>1.40 (0.99 – 1.97)<br>0.91 (0.64 – 1.28) | 0.05<br>0.02<br>0.06<br>0.57  |  |

HR: Hazard Ratio

eventually received HSCT from unrelated donors. Quite likely, therefore, it would be advantageous to transplant AA patients who fail to achieve sustained responses to IST before MDS develops. Patients who do respond to IST should be monitored closely for any changes in hematopoietic parameters and be transplanted as early as possible if there is evidence of clonal disease evolution. However, the retrospective nature of the present analysis precludes a more definitive statement with regard to the timing of transplantation. A longitudinal registration study to evaluate the fate of patients who receive IST is warranted.

In summary, patients who developed MDS following IST for AA had a probability of survival similar to patients with *de novo* MDS. Long-term survival may be slightly better than that of patients with treatment-related MDS following cytotoxic therapy.

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