Safety and efficacy of switching to nilotinib 400 mg twice daily for patients with chronic myeloid leukemia in chronic phase with suboptimal response or failure on front-line imatinib or nilotinib 300 mg twice daily

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Supplementary Appendix. 1 2 3 Safety and efficacy of switching to nilotinib 400 mg twice daily for patients with chronic myeloid leukemia in chronic phase with suboptimal response or failure on frontline 4 imatinib or nilotinib 300 mg twice daily 5 6 7 **Authors:** Timothy P. Hughes, Andreas Hochhaus, Hagop M. Kantarjian, Francisco Cervantes, François Guilhot, Dietger Niederwieser, Philipp D. le Coutre, Gianantonio Rosti, Gert 8 9 Ossenkoppele, Clarisse Lobo, Hirohiko Shibayama, Xiaolin Fan, Hans D. Menssen, Charisse 10 Kemp, Richard A. Larson, Giuseppe Saglio 11 12 **Detailed methods** 13 Evaluating Nilotinib Efficacy and Safety in Clinical Trials—Newly Diagnosed Patients (ENESTnd) Core 14 Study: Patients, Study Design, and Treatments The study design of the phase 3, randomized, open-label ENESTnd trial has been previously reported. 1-3 15 Briefly, newly diagnosed patients with Philadelphia chromosome-positive (Ph+) chronic myeloid 16 leukemia in chronic phase (CML-CP) were randomized 1:1:1 to receive nilotinib 300 mg twice daily, 17 18 nilotinib 400 mg twice daily, or imatinib 400 mg once daily. Randomization was stratified according to 19 Sokal risk score⁴ at the time of diagnosis. Patients enrolled in the imatinib arm of ENESTnd who had 20 suboptimal response or treatment failure were allowed to undergo dose escalation of imatinib from 400 21 mg once daily to 400 mg twice daily, if tolerable. Dose escalation of nilotinib was not permitted in the 22 core study. 23 ENESTnd Core Study: Analysis of Suboptimal Response and Treatment Failure 24 25 Definitions of suboptimal response and treatment failure in ENESTnd were based on those recommended by the European LeukemiaNet.⁵ with some modifications. Suboptimal response was defined as follows: 26

27	less than a complete hematologic response (CHR) at 3 months, less than a partial cytogenetic response
28	(PCyR; > 35% Ph+ cells) at 6 months, less than a complete cytogenetic response (CCyR; > 0% Ph+ cells)
29	at 12 months, less than a major molecular response (MMR; BCR-ABL on the international scale [BCR-
30	ABL^{IS}] $\leq 0.1\%$) at 18 months or later, or loss of MMR at any time. Loss of MMR required confirmation
31	by a second assessment unless it was associated with loss of CHR, loss of CCyR, progression to
32	accelerated phase/blast crisis (AP/BC), or CML-related death.
33	
34	Treatment failure was defined as follows: less than CHR or no cytogenetic response (CyR; > 95% Ph+
35	cells) at 6 months, less than PCyR at 12 months, less than CCyR at 18 months, or loss of CHR, loss of
36	PCyR, loss of CCyR, or progression to AP/BC at any time. Loss of CHR required confirmation unless it
37	was associated with progression to AP/BC or CML-related death; loss of PCyR or CCyR was confirmed
38	by a second cytogenetic analysis ≥ 4 weeks later unless it was associated with loss of CHR, progression to
39	AP/BC, or CML-related death.
40	
41	Incidences of suboptimal response and treatment failure were reported cumulatively by 6, 12, and 18
42	months. For each patient, only their worst response at or before each time point was counted (eg, a patient
43	who satisfied the criteria for treatment failure at 6 months and suboptimal response at 12 months was
44	considered to have treatment failure by 12 months).
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