

#### Instructions

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**Royalties:** Funds are coming in to you or your institution due to your patent

Gassner 1



Section 1. Identifying Inform	nation	
Given Name (First Name)     Franz Josef	2. Surname (Last Name) Gassner	3. Date 17-January-2014
4. Are you the corresponding author?	Yes V No	Corresponding Author's Name Richard Greil
5. Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia	of T cells expressing inhibi	tory receptors is reversed by treatment with lenalidomide
6. Manuscript Identifying Number (if you k HAEMATOL/2013/098459	now it)	-
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Section 4. Intellectual Prope	rty Patents & Copyrig	hts
Do you have any patents, whether plar		

Gassner



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Dection of	Disclosure Statement
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Dr. Gassner has	nothing to disclose.

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Zaborsky 1



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1. Given Name (First Name) Nadja	<ol><li>Surname (Last Name)</li><li>Zaborsky</li></ol>	3. Date 17-January-2014
4. Are you the corresponding author?	Yes V No	Corresponding Author's Name Richard Greil
<ol><li>Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia</li></ol>	of T cells expressing inhibit	itory receptors is reversed by treatment with lenalidomide
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Zaborsky



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Neureiter 1



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identifying inform	nation	
1. Given Name (First Name) Daniel	2. Surname (Last Name) Neureiter	3. Date 17-January-2014
4. Are you the corresponding author?	Yes V No	Corresponding Author's Name Richard Greil
<ol><li>Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia</li></ol>	of T cells expressing inhibit	itory receptors is reversed by treatment with lenalidomide
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Neureiter



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Huemer 1



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Melchardt



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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Egle 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Alexander	2. Surname (Last Name) Egle	3. Date 17-January-2014
4. Are you the corresponding author?	Yes V No	Corresponding Author's Name Richard Greil
<ol><li>Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia</li></ol>	of T cells expressing inhib	itory receptors is reversed by treatment with lenalidomide
6. Manuscript Identifying Number (if you kr HAEMATOL/2013/098459	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ibed in the instructions. U port relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by the present during the 36 months prior to publication.
Section 4. Intellectual Proper	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No

Egle



Castian F	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
<b>✓</b> No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Egle has noth	ning to disclose.

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Egle 3



#### **Instructions**

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## Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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**Royalties:** Funds are coming in to you or your institution due to your patent

Rebhandl



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Stefan	2. Surname (Last Name) Rebhandl	3. Date 17-January-2014
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Richard Greil
5. Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia	of T cells expressing inhib	itory receptors is reversed by treatment with lenalidomide
6. Manuscript Identifying Number (if you ki HAEMATOL/2013/098459	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes V		
Section 4. Intellectual Prope	rty Patents & Copyri	ahts
Do you have any patents, whether plan		

Rebhandl



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Section 6.	
	Disclosure Statement
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Dr. Rebhandl ha	s nothing to disclose.

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Rebhandl 3



#### **Instructions**

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**Royalties:** Funds are coming in to you or your institution due to your patent

Catakovic 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Kemal	2. Surname (Last Name) Catakovic	3. Date 17-January-2014
4. Are you the corresponding author?	Yes V No	Corresponding Author's Name Richard Greil
5. Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia	of T cells expressing inhib	oitory receptors is reversed by treatment with lenalidomide
6. Manuscript Identifying Number (if you ki HAEMATOL/2013/098459	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
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Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No

Catakovic



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Section 6.	
Dection of	Disclosure Statement
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Catakovic 3



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**Royalties:** Funds are coming in to you or your institution due to your patent

Hartmann



Section 1. Identifying Inform		
identifying inform	nation	
1. Given Name (First Name) Tanja Nicole	2. Surname (Last Name) Hartmann	3. Date 17-January-2014
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Richard Greil
<ol><li>Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia</li></ol>	of T cells expressing inhibit	itory receptors is reversed by treatment with lenalidomide
6. Manuscript Identifying Number (if you k HAEMATOL/2013/098459	now it)	_
Section 2. The Work Under C	Consideration for Public	cation
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	l activities outside the s	submitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest?  Ves  No		
Section 4. Intellectual Prope	rty Patents & Copyrig	ints
Do you have any patents, whether plar		<u> </u>

Hartmann



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Greil 1



1. Given Name (First Name) Richard 2. Surname (Last Name) Richard 3. Date 17-January-2014  4. Are you the corresponding author?  Yes No  5. Manuscript Title Chemotherapy induced augmentation of T cells expressing inhibitory receptors is reversed by treatment with lenalidomide in chronic lymphocytic leukemia 6. Manuscript Identifying Number (if you know it) HAEMATOL/2013/098459  Section 2. The Work Under Consideration for Publication  Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest? Yes No  Section 3. Relevant financial activities outside the submitted work.  Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.  Are there any relevant conflicts of interest? Yes No  Section 4. Intellectual Property Patents & Copyrights  Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No	Section 1. Identifying Inform	nation	
A. Are you the corresponding author?	identifying inform	nation	
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Intellectual Property Patents & Copyrights	Are there any relevant conflicts of inter	rest? Yes V No	
Intellectual Property Patents & Copyrights			
	Section 4. Intellectual Prope	rty Patents & Copyrights	
			rk? Yes 🔽 No

Greil



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Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
Section 6.	
	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Greil has notl	hing to disclose.

### **Evaluation and Feedback**

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$ 

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#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

### 1. Identifying information.

### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Inform	nation		
1. Given Name (First Name) Roland	2. Surname (Last Name) Geisberger	3. Date 17-January-2014	
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Richard Greil	
5. Manuscript Title Chemotherapy induced augmentation in chronic lymphocytic leukemia	of T cells expressing inhib	itory receptors is reversed by treatment with lenalidomide	
6. Manuscript Identifying Number (if you ki HAEMATOL/2013/098459	now it)		
Section 2. The Work Under C	onsideration for Publi	cation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No			
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes No			
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether plan			

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Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
Section 6.	
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Geisberger h	as nothing to disclose.

#### **Evaluation and Feedback**

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