

## CD34-POSITIVE CELLS: BIOLOGY AND CLINICAL RELEVANCE

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### The CD34-positive cell: definition and morphology

Cellular expression of the CD34 antigen identifies a morphologically and immunologically heterogeneous cell population that is functionally characterized by the *in vitro* capability to generate clonal aggregates derived from early and late progenitors and the *in vivo* capacity to reconstitute the myelo-lymphopoietic system in a supralethally irradiated host.<sup>1-3</sup>

Immunohistochemical studies have demonstrated that the CD34 antigen is stage but not lineage specific. In fact, independently of the differentiative lineage, it is expressed only by ontogenetically early cells.<sup>4</sup> For years a major obstacle to the morphological identification of putative hematopoietic stem cell has been the difficulty in separating them from their direct progeny. The use of CD34 and other suitable cell surface markers (i.e. CD33, CD38, HLA-DR antigens) in fluorescence-activated cell-sorting techniques or other cell separation methods has allowed considerable progress in this field.

Positively selected, lineage committed CD34<sup>+</sup> cells and more immature, lineage negative CD34<sup>+</sup> CD33<sup>-</sup> HLA-DR<sup>-</sup> cells are shown in Figure 1 and Figure 2, respectively. On May-Grünwald-Giemsa stained preparations, CD34<sup>+</sup> cells are medium sized cells having large nuclei, eccentrically surrounded by narrow rims of deep blue cytoplasm occasionally containing cytoplasmic granules. Some normal CD34<sup>+</sup> cell nuclei show one or more pale blue nucleoli.

Taken together, these findings reflect the heterogeneous proliferative status and protein synthesis of this cell population. Conversely, earlier hematopoietic progenitors, identified as CD34<sup>+</sup> CD33<sup>-</sup> HLA-DR<sup>-</sup>, seem to be more homogeneous in size (small lymphocyte-like cells) and lack cytoplasmic granules and prominent nucleoli. Again, the morphology of this cellular population appears to reflect the functional characteristics of these cells (e.g. low protein synthesis, very low proliferative activity with predominantly G<sub>0</sub> phase).

Several monoclonal antibodies (MY10, 12.8, B1-3C5, 115.2, ICH3, TUK3, etc.) raised against the leukemic cell lines KG1 or KG1a and an anti-endothelial cell antibody (QBEND10) assigned to the CD34 cluster have been shown to identify a transmembrane glycoprotein antigen of 105-120 kD expressed on 1-3% of normal bone marrow cells, 0.01-0.1% peripheral blood cells and 0.1-0.4% cord blood cells.<sup>5</sup> Different antibodies recognize distinct epitopes of the same antigen. CD34 antigen expression is associated with concomitant expression of several other markers that can be classified as lineage non-specific markers (Thy1, CD38, HLA-DR, CD45RA, CD71) and lineage specific markers, including T-lymphoid (TdT, CD10, CD7, CD5, CD2), B-lymphoid (TdT, CD10, CD19), myeloid (CD33, CD13) and megakaryocytic (CD61, CD41, CD42b) markers.<sup>5</sup> The expression of lineage non-specific markers allows the heterogeneous CD34<sup>+</sup> population to

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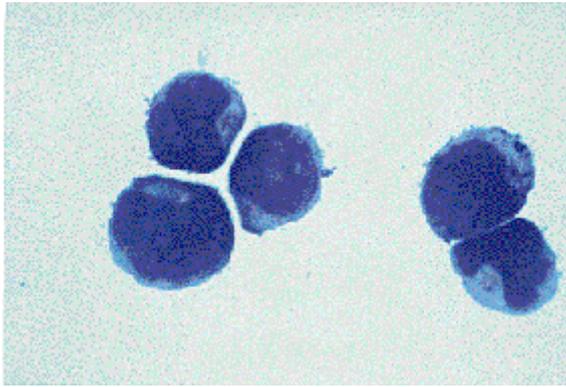


Figure 1. May-Grünwald-Giemsa (MGG) staining of cytospin preparation of enriched CD34<sup>+</sup> cells, highly purified by avidin-biotin immunoaffinity.

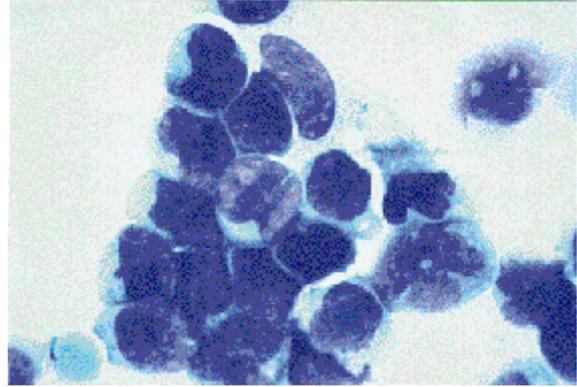


Figure 2. MGG staining of cytospin preparation of enriched CD34<sup>+</sup> CD33<sup>-</sup> HLA-DR<sup>-</sup> cells. The CD34<sup>+</sup> cell fraction was further depleted of CD33<sup>+</sup> HLA-DR<sup>+</sup> cells by immunomagnetic separation.

be divided into two distinct subpopulations characterized, respectively, by low or high expression of Thy1, CD38, HLA-DR, CD45RA, CD71. These two cell subpopulations contain early and late hematopoietic progenitor cells, respectively.<sup>6-8</sup>

In addition to conventional immunological markers classified on the basis of their assignment to specific clusters of differentiation, CD34 cells express receptors for a number of growth factors. Two distinct families of related receptors have been identified: (i) tyrosine kinase receptors, including the stem cell factor receptor (SCF-R, CD117) and the macrophage colony-stimulating factor receptor (M-CSF-R, CD115); (ii) hematopoietic receptors not containing a tyrosine kinase domain, such as the granulocyte-macrophage colony-stimulating factor receptor (GM-CSF-R, CDw116).<sup>5,9</sup>

The identification of new markers selectively expressed on primitive lymphohematopoietic cells (CD34<sup>+</sup> CD38<sup>-</sup>) represents a stimulating research field. In this context, stem cell tyrosine kinase receptors (STK), such as STK-1, a human homologue of the murine Flk-2/Flt-3, are of particular relevance.<sup>10-12</sup> The ligands for these receptors might represent new factors able to selectively control stem cell self-renewal, proliferation and differentiation.<sup>13-15</sup>

### ***Clonogenic and biologic activity***

The structural and functional integrity of the hematopoietic system is maintained by a rela-

tively small population of stem cells, located mainly in the bone marrow, that can (i) undergo self-renewal to produce more stem cells or (ii) differentiate to produce progeny which are progressively unable to self-renew, irreversibly committed to one or another of the various hematopoietic lineages, and able to generate clones of up to 10<sup>5</sup> lineage-restricted cells that mature into specialized cells.<sup>16-18</sup>

The decision of a stem cell to either self-renew or differentiate and the selection of a specific differentiation lineage by a multipotent progenitor during commitment are intrinsic properties of stem cell progenitor cells and are regulated by stochastic mechanisms.<sup>19</sup> Survival and amplification of hematopoietic progenitors are controlled by a number of regulatory molecules (hematopoietic growth factors) interacting according to complex modalities (synergism, recruitment, antagonism).<sup>19</sup> A further level of hematopoietic control is exerted by nuclear transcription factors that activate lineage-specific genes regulating growth factor responsiveness and/or the proliferative capacity of hematopoietic cells.<sup>20</sup>

Detection of the most primitive hematopoietic cell types is now possible due to the technique of long-term bone marrow culture. In the case of human bone marrow, a 5- to 8-week time period between initiating cultures and assessing clonogenic progenitor numbers allows quantification of a very primitive cell in the starting population, the so-called *long-term culture-initiating cell* (LTC-IC).<sup>21</sup> Committed prog-

enitors of the various hematopoietic cell classes can be quantitated by a number of short-term culture clonogenic assays.<sup>19</sup> CD34 antigen expression associated with low CD38 and CD45RA expression and variable HLA-DR expression is a typical feature of LTC-IC, CFU-Blast, CFU-T, CFU-B. In contrast, CD34 antigen expression associated with CD38 and HLA-DR expression is a typical feature of multipotent (CFU-GEMM) and lineage-restricted (CFU-GM, CFU-G, CFU-M, BFU-E, CFU-E, BFU-Meg, CFU-Meg) hematopoietic progenitor cells<sup>5</sup> (Figure 3). Recently reported data have shown that low or absent expression of the Thy1 or SCF receptor can be efficiently used to enrich primitive hematopoietic progenitors from the heterogeneous CD34<sup>+</sup> cell population.<sup>7,9</sup> Although the CD34 antigen is virtually expressed by all progenitor cells, the percentage of CD34<sup>+</sup> cells with assayable *in vitro* clonogenic activity ranges from 10 to 30%. The problem of non-clonogenic CD34<sup>+</sup> cells is still open and not adequately explained by the presence of

lymphoid progenitors which are not assayable with current *in vitro* systems. Non-proliferating CD34<sup>+</sup> cells might represent a subpopulation that is not responsive to conventional myeloid hematopoietic growth factors. The non-proliferating CD34<sup>+</sup> subset might require the presence of co-factors, such as the ligand of STK-1 or the hepatocyte growth factor, able to activate stem cell-specific genes whose expression is a prerequisite for acquiring responsiveness to conventional growth factors.<sup>14,22,23</sup>

In lethally irradiated non-human primates, both autologous and allogeneic CD34<sup>+</sup> cells have been shown to have the capacity to reconstitute the myelo-lymphopoietic system, thus suggesting that the stem cell responsible for hematopoietic reconstitution is CD34<sup>+</sup>.<sup>24,25</sup> It has also been shown that human CD34<sup>+</sup> HLA-DR<sup>-</sup> cells transplanted *in utero* in the fetus of sheep initiate and sustain a chimeric hematopoiesis producing human progenitor cells of all differentiative lineages.<sup>26</sup> Autologous CD34<sup>+</sup> cells enriched by avidin-biotin columns have been shown to be able to reconstitute myelo-lymphopoiesis in patients receiving high-dose chemoradiotherapy.<sup>27</sup> The results of studies using CD34<sup>+</sup> bone marrow cells in the allogeneic setting in patients receiving both related as well as unrelated allogeneic marrow transplants will soon be available.<sup>27</sup> In addition, trials are planned that will use allogeneic peripheral blood CD34<sup>+</sup> cells either alone or with marrow.<sup>27</sup>

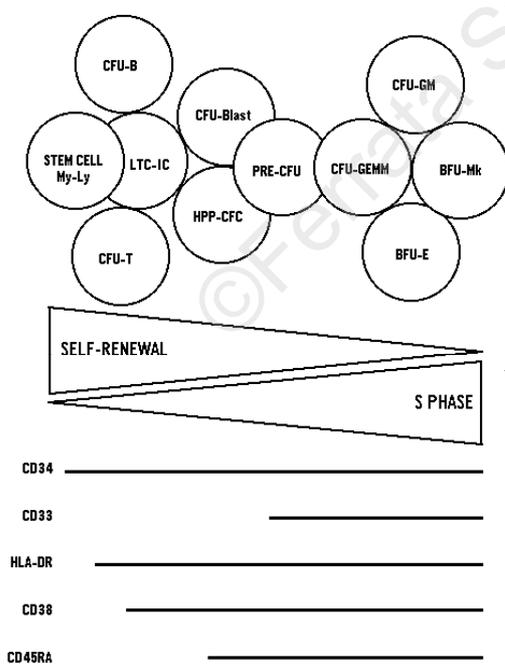


Figure 3. Cellular organization of the hematopoietic system.

### Characterization and function of the CD34 cell surface molecule

The CD34 cell surface molecule has been biochemically characterized and both the human cDNA and gene have been cloned and sequenced in the last few years.<sup>28,29</sup>

CD34 is a one-pass type I transmembrane glycoprotein with a molecular weight of 105-120 kDs in either the reduced or unreduced form<sup>28</sup> (Figure 4). CD34 protein is not homologous to any other known protein. The minimum size of the CD34 protein is 354-amino acids and contains nine sites for N-glycosylation and a several for O-glycosylation that are essential constituents of the three epitopes of the molecule; this

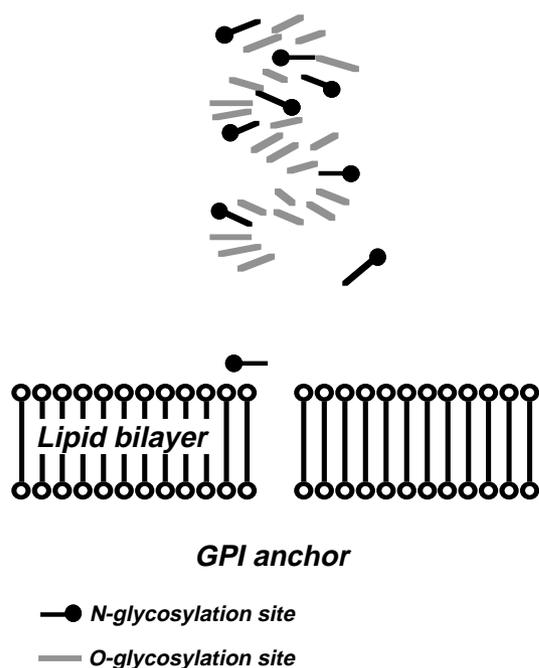


Figure 4. Schematic representation of the CD34 cell surface molecule.

molecule is also rich in sialic acid. Its biochemical composition suggests a mucin-like structure and in some respects resembles leucosialin (CD43). Sequence comparisons between human and mouse CD34 show a very low level of identity in the glycosylated region, 70% identity in the globular domain, and 92% in the transmembrane and cytoplasmic regions.

Using a KG1 cell line library, it has been

shown that the human CD34 gene is located on chromosome 1, and recent studies with *in situ* hybridization have assigned its localization to band 1q32, in close proximity to other genes that encode growth factors or function molecules such as CD1, CD45, TGF2, laminin, LAM/GMP, etc.<sup>28</sup>

Seven CD34 monoclonal antibodies (MoAbs) were clustered at the 4th Workshop on Leukocyte Differentiation Antigens (Vienna, 1988),<sup>30,31</sup> and another 15 MoAbs were verified as recognizing the CD34 molecule during the 5th International Workshop on Leukocyte Differentiation Antigens (Boston, 1983), the most direct evidence being reactivity with cells transfected with CD34 cDNA and binding to CD34 protein.<sup>32,33</sup> The epitope specificity of the CD34 antibodies was classified into three distinct groups according to the sensitivity of the epitopes to enzymatic cleavage (which was performed using neuraminidase, chymopapain and glycoprotease from *Pasteurella haemolytica*), reactivity with fibroblasts and high endothelial venules, and cross blocking experiments (Table 1).<sup>34,35</sup> We know, in fact, that glycoprotease from *Pasteurella haemolytica* specifically cleaves only proteins containing sialylated O-linked glycans.<sup>34</sup> Based on these data, it can be further postulated that class III epitopes are more proximal to the extracellular side of the cell membrane than class I and class II epitopes.

Furthermore, for most CD34 MoAbs (with few exceptions) cross blocking experiments are

Epitope class	Clones	CD34 reactivity		
		paraffin section	frozen section	western blotting
I. Sensitive to neuraminidase (from <i>Vibrio cholerae</i> ), chymopapain, glycoprotease (from <i>Pasteurella haemolytica</i> )	14G3, BI3C5, My10,* 12.8,* ICH3,* Immu-133, Immu-409	positive	negative	positive
II. Resistant to neuraminidase. Glycoprotease and chymopapain sensitive	43A1, MD34.3, MD34.1, MD34.2, QBend10, 4A1, 9044, 9049	positive	positive	positive
III. Resistant to neuraminidase, chymopapain and glycoprotease	CD34 9F2,HPCA2, 581, 553.563, Tuk 3, 115.2	negative	positive	negative

Table 1. Epitope specificity of CD34 MoAbs as assessed by their differential sensitivity.

\*Incomplete digestion by neuraminidase.

in agreement with the classification based on enzymatic cleavage of the CD34 protein. In other words, using a cocktail of CD34 MoAbs, CD34 reactivity is blocked only in the case that MoAbs belonging to the same CD34 epitope are simultaneously employed. On the contrary, the combined use of MoAbs recognizing class II and III or class I and II or class III epitopes does not affect cell reactivity. Moreover, CD34 MoAbs defining class III epitopes are unable to react with CD34 glycoprotein in Western blots because this epitope is sensitive to denaturation.

The pattern of expression of CD34 antibodies exhibited by CD34<sup>+</sup> acute leukemias is partially in accordance with that derived from epitope mapping based on the differential sensitivity of CD34 to enzymatic treatment. However, about one third of CD34 MoAbs do not seem to belong to any of these subgroups and for this peculiar pattern of expression are referred to as *atypical* CD34 reagents. The widest variation in CD34 MoAb reactivity has been demonstrated in acute myeloid leukemia (AML) samples, allowing us to postulate the occurrence of aberrant antigens or of distinct epitopes in subgroups of leukemias. Alternatively, it can be hypothesized that the expression of different antibodies could reflect the degree of maturation of leukemic cells. The presence of new, distinct non overlapping epitopes could be proposed on the basis of the data published so far in the literature. As far as the expression of CD34 in normal and leukemic cells is concerned, it has been calculated by flow cytometry that the number of molecular equivalents of soluble fluorochrome (MESF) expressed by leukemic and normal progenitors ranges from 18,200 to 322,000 and from 8,000 to 124,000, respectively.

Recent data collected by Lanza *et al.*<sup>36</sup> seem to indicate that class I-type MoAbs are more sensitive to freezing procedures than class II and III MoAbs, since the epitope is not identifiable following a frozen/thawed methodology.

The function of CD34 surface glycoprotein in hematopoietic stem and progenitor cells is still the object of debate. In light of recent findings, it would seem to play a relevant role in modulating cell adhesion.<sup>36</sup> Furthermore, it has been

demonstrated that CD34 probably acts as an adhesive ligand for L-selectin. It has been further postulated that the CD34 molecule could play a protective role against proteolytic enzyme-mediated damage due to its high number of O-glycosylation sites. The cytoplasmic domain contains two sites for protein kinase C phosphorylation and one for tyrosine phosphorylation.<sup>28</sup>

Given the discordant reactivity of these molecules, the choice of the CD34 MoAb to employ may be important when analyzing cell positivity for the CD34 molecule in both leukemic and normal samples, and may be responsible for the differences reported by various authors in the literature concerning the prognostic role played by this antigen in acute myeloid leukemias.<sup>37</sup> The type of CD34 MoAb used to enumerate progenitor cells is probably relevant in the peripheral blood stem cell autograft setting as well, since both early and late engraftment following transplantation are, to some extent, related to the number of hemopoietic stem cells collected at the time of blood or bone marrow harvests, and to the degree of progenitor cell maturation related to the expression of lineage markers such as HLA-DR, CD71, CD38, CD33, and myeloperoxidase.

#### **Techniques for CD34<sup>+</sup> cell separation**

A number of different techniques have been proposed for separating CD34<sup>+</sup> cells. The common aim is to produce a cell population with optimal purity and viability by means of a low cost, rapid and simple separation technique. The first separation techniques exploited parameters such as size and cell density and were represented by Ficoll-Hypaque and Percoll density gradients. In the last two decades, the development of monoclonal antibodies has allowed a more specific and careful cell selection by identifying surface antigens used as targets for cell separation (Table 2).

#### **FACS (Fluorescence Activated Cell Sorter)**

Flow cytometry is able to physically separate different populations after incubation of cells with fluorochrome-conjugated monoclonal

antibodies. This cell sorting technique can yield a highly purified (> 98%) cell population. In addition, the use of electronic gates allows selection and recovery of several subpopulations according to antigenic expression and different characteristics such as size and cytoplasmic granularity. This technique has been very useful for studying CD34<sup>+</sup> sub-populations but cannot be employed to select large numbers of cells due to its complexity and low recovery. The recent development of *high-speed cell sorting*, however, might allow clinical utilization of this technique.

#### Panning

Anti-CD34 monoclonal antibodies bound to one of the surfaces of cell culture flasks were recently used to select CD34<sup>+</sup> cells. When a cell suspension is introduced into the flask, the positive population is blocked on the plastic surface, while CD34 negative cells remain in suspension and can be easily eliminated. Adherent cells should contain the CD34<sup>+</sup> population with a viability > 90%.<sup>38</sup>

#### Immunomagnetic systems

Immunomagnetic beads are uniform, superparamagnetic, polystyrene beads with affinity purified anti-mouse Ig covalently bound to the surface. They are equally suited for negative and positive cell separation; the rosetted target cell can easily be isolated by applying a magnet on the outer wall of the test tube for 1-2 minutes. Immunomagnetic beads coupled with CD34 monoclonal antibodies can be utilized for positive selection of CD34<sup>+</sup> cells to obtain a population with > 80% viable CD34<sup>+</sup> cells.<sup>39</sup> Similarly, immunomagnetic beads can be employed for negative depletion with monoclonal antibodies binding lineage-specific antigens.

#### High-affinity chromatography based on biotin-avidin interaction

This method is based on an immunoadsorption technique that relies on the high affinity interaction between the protein avidin and the vitamin biotin. Avidin-biotin immunoadsorption has been employed for both positive selec-

Table 2. Recovery of CD34-positive cells after different separation techniques.

	Enrichment	Recovery	Large-scale separation
	(% CD34 <sup>+</sup> in the recovered population)	(% CD34 <sup>+</sup> of the original sample)	
Negative depletion by immunomagnetic beads	20-60	30-60	no
Positive selection by immunomagnetic beads	60-80	30-60	yes
Fluorescence activated cell sorter (FACS)	> 95	30 - 50	time consuming
Panning	50 - 80	30 - 60	yes
Ceprate SC <sup>®</sup>	50 - 80	40 - 70	yes

tion and depletion of specific cell populations.<sup>40</sup> The instrument includes a set of non-reusable products including biotinylated anti CD34 antibody, plastic bags, filters and a column of avidin-biotin beads. An automated version controlled by a computer which guarantees reproducibility of operation and reduces risks of operator errors has been developed for clinical use. Its capacity has recently been increased so that a single column can process more than 50×10<sup>10</sup> bone marrow or peripheral blood cells in 1-2 hours and sustain bone marrow engraftment in patients submitted to autograft.<sup>41</sup>

#### CD34-positive subpopulations: phenotypic and functional analysis

The normal CD34<sup>+</sup> cell population likely contains progenitors of all human lymphohematopoietic lineages, including stem cells capable of hematopoietic reconstitution after bone marrow transplantation.<sup>1</sup> Levels of CD34 expression decline with differentiation; consequently, the earliest clonogenic cells (CFU-blast, LTC-IC, etc.) express the highest levels of CD34, while the most differentiated (CFU-G, CFU-M, CFU-E, CFU-Meg) express only low levels of CD34 (Figure 5). The CD34 antigen has been used to identify, enumerate and isolate cells from different lympho-hematopoietic lin-

eages, as well as develop *in vitro* tests for indirect evaluation of cells with different functional and clonogenic capacity.<sup>42</sup>

*Pre-clinical studies*

Several animal-human systems have been created to utilize chimeras for the study of lympho-hematopoiesis *in vivo*. The first experiments demonstrated the feasibility of transplanting human fetal stem cells to sheep fetuses; the postnatal presence of human cells in the sheep was documented at several points in time.<sup>43</sup> Furthermore, some early CD34<sup>+</sup> subpopulations were able to repopulate sheep bone marrow; animals were transplanted in utero with CD34<sup>+</sup>/DR<sup>-</sup> cells and the presence of a chimeric population with the functional characteristics of hemopoietic progenitors was demonstrated in the marrow and peripheral blood in a percentage of cases.<sup>44</sup> Berenson *et al.*<sup>45</sup> also showed how hematopoietic progenitors (positive for the Ia antigen and subsequently for the CD34 antigen) could reconstitute the marrow of lethally irradiated dogs. Of the seven

animals treated, all showed complete marrow engraftment after reinfusion of Ia-positive cells; only one dog died from infection.<sup>45</sup> Similarly, marrow cells from 5 primates (baboons) were treated *in vitro* with a biotinylated anti-CD34 antibody and then passed through a column of avidin; after autograft, all the animals showed marrow engraftment followed by hematological reconstitution comparable to that of control animals.<sup>46</sup> Furthermore, the demonstration that allogeneic CD34<sup>+</sup> cells can reconstitute the hematopoietic system in lethally irradiated baboons confirmed that this cell population includes pluripotent stem cells.<sup>25</sup>

*Lymphoid precursor cells*

The CD34<sup>+</sup> cell compartment contains all the cells expressing terminal deoxynucleotidyl transferase (TdT), which is an intranuclear enzyme expressed in early lymphoid cells undergoing immunoglobulin or T-cell receptor gene rearrangement. Flow cytometry has shown that the great majority of TdT<sup>+</sup> cells in the marrow coexpress CD34, CD19 and CD10 (B-cell pre-

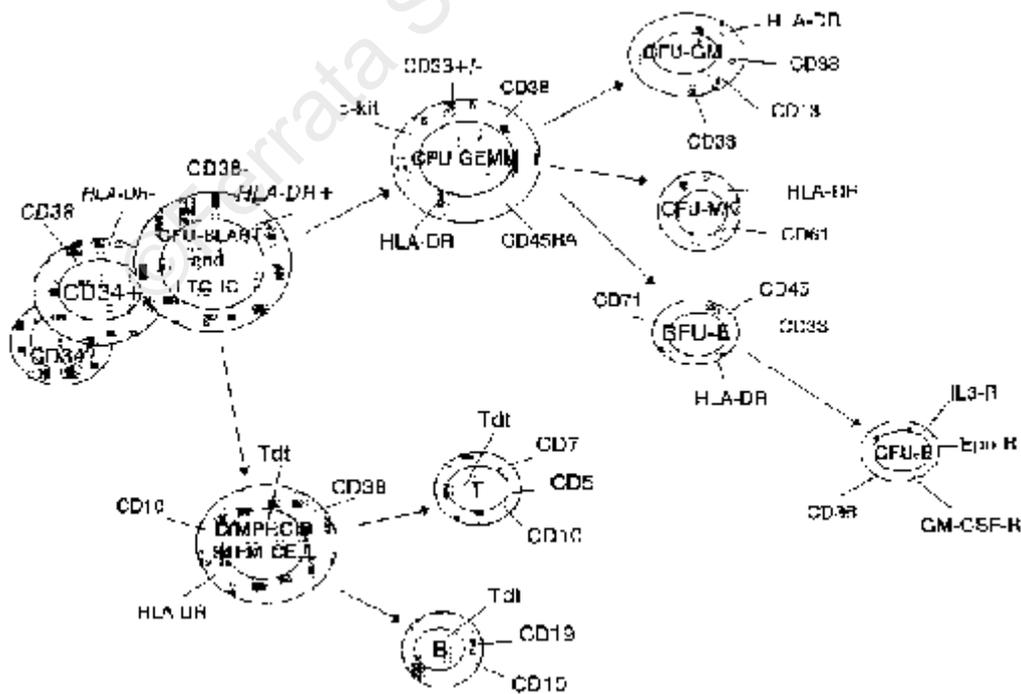


Figure 5. Functional differentiation and antigenic expression of hematopoietic cells.

cursors), as well as T-cell differentiation antigens such as CD7, CD5 and CD2. A small proportion of CD34<sup>+</sup>/TdT<sup>+</sup> cells coexpress CD10, which might represent a common lymphoid progenitor for both B and T lineages.<sup>47</sup> Recently Miller *et al.* reported that CD34<sup>+</sup> cells may also generate NK cells *in vitro*.<sup>48</sup>

#### *Granulocyte-macrophage precursors*

Marrow erythroid progenitors lack specific markers and therefore are difficult to identify. Glycophorin A-directed monoclonal antibodies recognize all hemoglobinized cells, but this molecule is expressed in only a small proportion of CD34<sup>+</sup> cells and is absent in clonogenic cells.<sup>49</sup>

High levels of CD45 are present on BFU-E, but this antigen is lost by the CFU-E stage;<sup>50</sup> however, the CD45RO isoform is well represented on earlier erythroid progenitors, while the CD45RA isoform is present on committed myeloid progenitors. The expression of CD71 (transferrin receptor) is currently considered to be the specific antigen for the CD34<sup>+</sup> erythroid population. CD71 is present at high levels on erythroid progenitor cells and at very low levels in all the other progenitor cells.<sup>51</sup> Expression of CD71 increases from stem cells to BFU-E, then declines during erythroid maturation. In addition, marrow CD34<sup>+</sup> erythroid cells might express IL-3, GM-CSF (CD116) and erythropoietin receptors, based on the action of these growth factors on CFU-erythroid cells.<sup>52</sup>

Myeloid precursor clonogenic cells (CFU-GM, CFU-G, CFU-M, CFU-MK) coexpress CD34, HLA-DR, CD117 (*c-kit*), CD45RA, CD33 and CD13; CD15 is present at low levels on CFU-G, while CFU-M specifically express CD115 (M-CSF receptor). CFU-MK are the only CD34<sup>+</sup> cells which express the platelet glycoproteins identified by the CD61, CD42 and CD41 monoclonal antibodies.<sup>5</sup> Dendritic cells also originate from bone marrow, but the conditions that direct their growth and differentiation are still poorly characterized. GM-CSF stimulates the growth of dendritic cells from mouse peripheral blood; however, it was recently reported that CD34<sup>+</sup> cells may give rise to dendritic/Langerhans cells after stimulation with GM-CSF and tumor necrosis factor- $\alpha$ .<sup>53</sup>

#### *Multilineage progenitors and stem cells*

CFU-GEMM contain precursor clonogenic cells of both myeloid and erythroid lineages and express CD34, HLA-DR, CD38, CD117 and CD45RA. They also express low amounts of CD33, but not CD13. In a hypothetical differentiation scheme involving pluripotent stem cells, the lympho-hemopoietic compartment is the next cell type and can be identified *in vitro* with CFU-Blast and LTC-IC.

The lack of expression of CD38 is the most important characteristic of these early progeny, which represent 1% of CD34 and less than 0.01% of mononuclear cells. The lack of CD38 allows separation of committed progenitors (CD34<sup>+</sup>/CD38<sup>+</sup>) from earlier compartments (CD34<sup>+</sup>/CD38<sup>-</sup>) by a single marker combination.<sup>54</sup>

Furthermore, the earliest CD34<sup>+</sup> cells coexpress low levels of CD45RO<sup>6</sup> and are negative for staining with the fluorescent dye rhodamine 123. The role of HLA-DR in defining earlier cell types is still controversial; a series of evidence indicates that the stem cell compartment has the CD34<sup>+</sup>/CD38<sup>-</sup>/HLA-DR<sup>+</sup> phenotype.<sup>8,54</sup> Recent works, however, have not found HLA-DR in the earliest cells.<sup>55</sup> These data were confirmed by the possibility that HLA-DR expression may discriminate the Ph-positive leukemic compartment (HLA-DR<sup>+</sup>) from normal residual cells (HLA-DR<sup>-</sup>) in chronic myeloid leukemia.<sup>56</sup>

#### *Adhesion molecules and cytokine receptors*

A number of molecules within the integrin family have been shown to mediate interactions between early CD34-positive cells and bone marrow stromal cells. These include VLA-4/VCAM-1, VLA-5/fibronectin, LFA-1 or ICAM, and several others. Each adhesion molecule appears to mediate a specific cell interaction. Hematopoietic growth factors may be active in a soluble form or in a membrane-anchored form; adhesion molecules may be crucial for allowing anchored growth factors to bind the target cell. Table 3 lists the main adhesion molecule and growth factor receptors expressed on CD34-positive cells.

Table 3. Adhesion molecule and growth factor receptors expressed on CD34-positive cells.

<i>Antigen name</i>	<i>CD</i>	<i>Ligand</i>
GlyCAM-L/selectin	none	adhesion molecule
ICAM1,2,3	CD11a/CD18	adhesion molecule
H-CAM	CD44	adhesion molecule
VLA-4	CD49d/CD29	adhesion molecule
VLA-5	CD49d/CD29	adhesion molecule
FGF-R	none	growth factor
M-CSF	CD115	growth factor
GM-CSF-R	CD116	growth factor
SCF (c-kit)	CD117	growth factor
Interferon $\gamma$ -R	CD119	growth factor
IL 7-R	CD127	growth factor

### **CD34 expression on normal and neoplastic cells**

It has been known that CD34 monoclonal antibodies bind specifically to vascular endothelium ever since a 110 kd protein extracted from freshly isolated umbilical vessel endothelium was identified with CD34 antibodies in Western blots and in Northern blot analysis.<sup>57,58</sup> CD34 molecules have a striking ultrastructural localization on endothelial cells: they are concentrated primarily on the luminal side, in particular on membrane processes, many of which interdigitate between adjacent endothelial cells.<sup>57,58</sup> Since this region is an important site for leukocyte adhesion and transendothelial traffic, in contrast to previous experiences,<sup>33</sup> it has been hypothesized that CD34 may be antagonistic or inhibitory to the adhesive functions of vascular endothelium. This was supported by the demonstration that CD34 gene expression at the mRNA level is reciprocally down-regulated when adhesion molecules ICAM-1 and ELAM-1 are up-regulated by IL-1 during inflammatory skin lesions associated with leukocyte infiltration.<sup>33,59</sup> Furthermore, additional studies conducted on both paraffin embedded and cryopreserved sections demonstrated that fibroblasts also react with anti-CD34 MoAbs.<sup>60</sup> However, it should be noted that while CD34 MoAbs reacted with all classes

of epitopes on cryopreserved sections, class III epitopes were not recognized by specific anti-CD34 MoAbs on paraffin embedded sections. Levels of CD34 expression, highest in immature hematopoietic precursor cells, decrease progressively with cell maturation.

Regarding hematologic malignancies, CD34 is expressed in a large percentage of acute leukemias.<sup>61</sup> The fluorescence intensity of CD34 expression is variable and higher in acute lymphoblastic (ALL) than in acute myeloblastic leukemia (AML). In these latter patients, the CD34 antigen is found on 40-60% of leukemic blasts and is most frequently associated with M0, M1 and M4 FAB cytotype, secondary leukemias, karyotypic abnormalities involving chromosome 5 or 7, P170 expression and poor prognosis.<sup>61-64</sup> Thus, CD34 expression may be considered the most predictive negative factor in AML patients strictly correlated with intensity of expression.<sup>65,66</sup> In ALL, CD34 is expressed in 70% of patients, particularly in those with a B-lineage phenotype. In these patients, unlike AML cases, the clinical relevance of CD34 expression is controversial; however, according to the findings of a Pediatric Oncology Group,<sup>67</sup> its expression in B-lineage cases was associated with hyperdiploidy, lower frequency of initial central nervous system (CNS) leukemia and a favorable prognosis. In T-cell ALL cases, on the other hand, CD34 expression showed a positive correlation with initial CNS leukemia and CD10 negativity, but not with any presenting favorable-risk characteristics.<sup>67,68</sup>

Lastly, CD34 and HLA-DR expression may be very useful in discriminating between the very few benign primitive hematopoietic progenitors and their malignant counterparts in patients with chronic myeloid leukemia (CML). In fact, it has been demonstrated that normal progenitor cells are CD34<sup>+</sup> and HLA-DR<sup>-</sup>, while malignant progenitor cells, which exhibit Ph and bcr/abl rearrangement, express HLA-DR antigens.<sup>56</sup>

### **Positive and negative regulators of hematopoietic progenitor cells**

The hematopoietic stem cell is defined by its

extensive self-renewal capacity, multilineage differentiation potential and capacity for long-term reconstitution of normal marrow function in lethally irradiated animals.<sup>68</sup> Transplantation of retrovirally marked murine stem cells has shown that only a few multilineage progenitor cells induce the repopulation of engrafted hematopoietic tissue,<sup>69</sup> suggesting that hematopoiesis may be supported by a succession of short-lived clones. Moreover, experimental evidence indicates that the processes of self-renewal, differentiation and selection of lineage potentials are intrinsic properties of the stem cells and occur according to a stochastic (random) model.<sup>70</sup> In the steady state, most of the stem cells are quiescent ( $G_0$  phase) and begin active cycling randomly.<sup>71</sup> Conversely, survival and proliferation of hematopoietic progenitors are regulated by cytokines, which also act in preventing apoptosis.<sup>72</sup> According to this model, the induction of differentiation by a cytokine may be considered as the consequence of the proliferation of a specific population stimulated by that factor. The broad term *cytokines* includes *growth factors* such as fibroblast growth factor, *colony stimulating factors*

(CSFs) (e.g. granulocyte-CSF, granulocyte-macrophage-CSF), and interleukins (ILs). Depending on their target cells and different proliferative potential, cytokines can be divided into three categories:<sup>71</sup> 1) late-acting, lineage-specific factors; 2) intermediate-acting, lineage-nonspecific factors; 3) early-acting growth factors inducing the recruitment of dormant early progenitors in the cell cycle (Figure 6).<sup>71</sup>

The majority of late-acting factors support the proliferation and maturation of lineage-committed progenitors and the functional properties of terminally differentiated cells. Erythropoietin (Epo) is the physiologic regulator of erythropoiesis<sup>73</sup> and thrombopoietin has the same role in thrombopoiesis,<sup>74</sup> while M-CSF and IL-5 are considered specific for macrophages and eosinophils, respectively. G-CSF exerts its activity on committed neutrophil precursors, although it has been shown to be a synergistic factor for primitive hematopoietic cells.<sup>75</sup>

In intermediate-acting, lineage-nonspecific factors include IL-3, IL-4 and GM-CSF. Their activity is mainly directed toward progenitor cells in the intermediate stages of hematopoietic development. However, they interact with later-

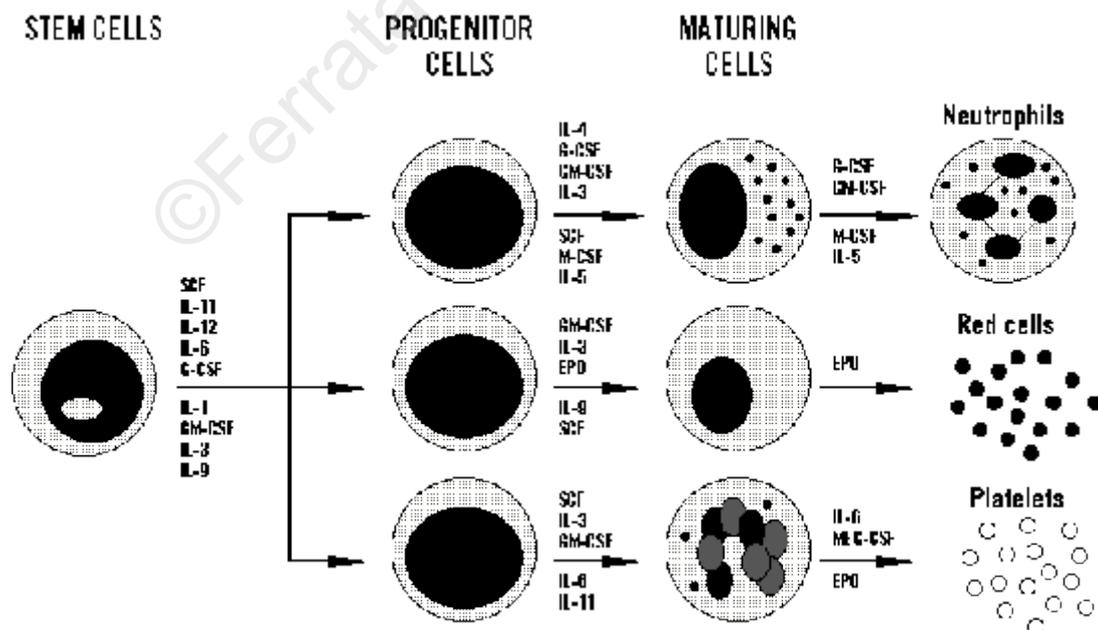


Figure 6. Cytokines exert their activity at different levels of the hematopoietic differentiation pathway. Each progenitor cell is concurrently affected by multiple regulators.

acting growth factors for the production of more mature cells,<sup>76</sup> as well as with the cytokines capable of triggering stem cell cycling. On their own, they act as survival factors for stem cells and appear to stimulate early hematopoietic precursors only after their exit from G<sub>0</sub>.<sup>77</sup>

Several cytokines have recently been identified for their capacity to stimulate the proliferation of the earliest hematopoietic cells. Mapping studies of normal blast cell colony formation from single progenitors have shown that IL-6, G-CSF, IL-11, *stem cell factor* (SCF), IL-12 and *leukemia inhibitory factor* (LIF) recruit dormant stem cells into the cell cycle that are then able to respond to additional growth factors.<sup>77</sup> Whereas the permissive factors retain limited proliferative potential by themselves, they strongly enhance the stimulatory activity of IL-3, GM-CSF, G-CSF and EPO on CD34<sup>+</sup> and more immature CD34<sup>+</sup> lineage-progenitors.<sup>78</sup> In addition to positive interaction with intermediate- and late-acting growth factors, SCF synergistically or additively augments the colony-forming ability of other early-acting growth factors, such as IL-11, IL-12 and IL-6, on myeloid and bilineage (i.e. lymphomyeloid) primitive cells.<sup>79</sup> Recently, the ligands for the STK-1 or FLT3 receptor, and the hepatocyte growth factor were shown to be able to stimulate very primitive hematopoietic progenitor cells. However, their biological activity is still under investigation.

The main sources of both positive and negative regulatory proteins are accessory myeloid cells and the stromal component of the bone marrow. In general, microenvironment cells do not constitutively produce cytokines, rather transcription and/or translation processes are rapidly induced by cytokines such as IL-1, TNF. The extracellular matrix also participates in the regulation of hematopoiesis by binding growth factors and presenting them in a biologically active form to bone marrow progenitor cells.<sup>80</sup>

Most data suggest that stem cells express low levels of growth factor receptors and require multiple proliferative stimuli to enter into the cell cycle, while committed progenitor cells can be effectively stimulated by individual cyto-

kines.<sup>23</sup> Combinations of two or more growth factors<sup>81</sup> can stimulate hematopoietic cells either by amplifying the progeny cell production of single precursors (synergy) or by inducing additional clonogenic cells to proliferate (recruitment). Examples of these two types of enhancement are given in Figures 7 and 8, where CD34<sup>+</sup> CD33<sup>-</sup> DR<sup>-</sup> cells are simultaneously stimulated by two or three growth factors. The molecular basis regulating the complex interplay between cytokines is still largely unknown. However, one proposed mechanism for growth factor synergism is the induction of CSF receptors on early hematopoietic progenitor cells.<sup>82</sup> This appears to be a coordinate cascade transactivation via up-modulation of growth factor receptors that leads to proliferation and differentiation of human marrow cells.<sup>83</sup> Conversely, the structural homology between some growth factors,<sup>84</sup> the presence of shared receptor subunits on the cell membrane<sup>85</sup> and common signal-transduction

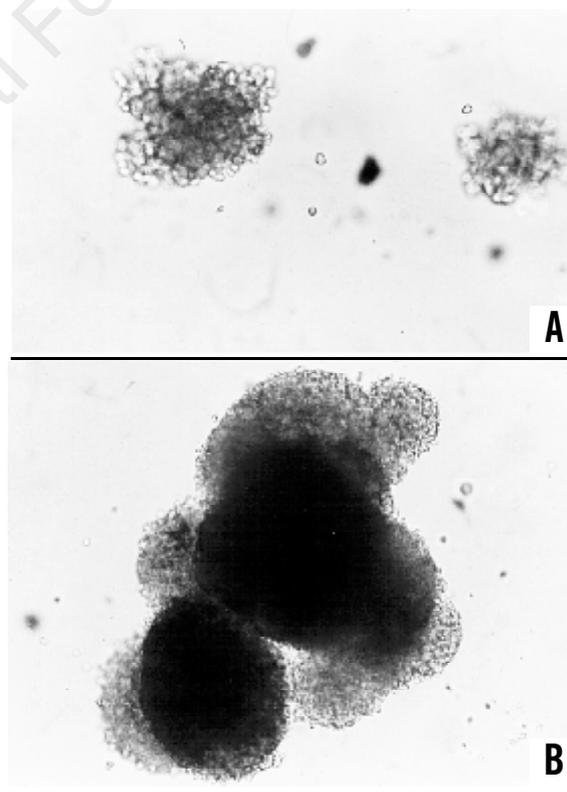


Figure 7. Human CD34<sup>+</sup> CD33<sup>-</sup> DR<sup>-</sup> cells were stimulated by IL-9 (A) or IL-9 and SCF (B) in the presence of EPO. The addition of SCF induced the growth of large multicentered BFU-E colonies containing > 10,000 cells. The different size of the colonies indicates amplification of stem cell progeny (synergy).

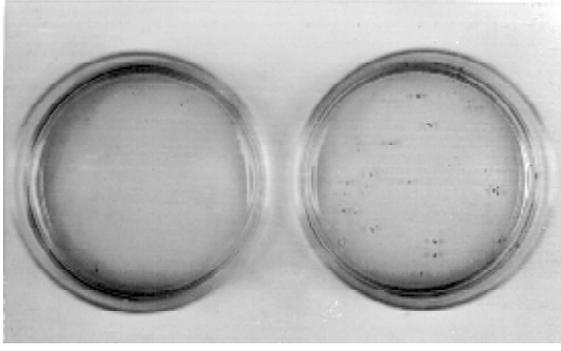


Figure 8. The addition of SCF to IL-11, in the presence of EPO, results in a much higher number of colony-forming cells derived from CD34<sup>+</sup> CD33<sup>-</sup> DR<sup>-</sup> progenitors (right), as compared to the IL-11 and EPO combination (left) (recruitment).

proteins<sup>86</sup> provide a potential explanation for their functional similarities and the apparent redundancy of their activity.

The growth of hematopoietic progenitor cells is also regulated by soluble negative regulators such as macrophage inflammatory protein-1 $\alpha$  (MIP-1 $\alpha$ ), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), interferons (IFNs), prostaglandins and transforming growth factor- $\beta$  (TGF- $\beta$ ). The TGF- $\beta$  family of proteins includes at least five isoforms (TGF- $\beta$ 1-5) which are encoded by different genes<sup>87</sup> and produced by stromal cells, platelets and bone cells. Moreover, a subset of very primitive murine hematopoietic cells has been shown to secrete active TGF- $\beta$ 1 by an autocrine mechanism.<sup>88</sup> TGF- $\beta$ 1 and 2 isoforms are bimodal regulators of murine and human hematopoietic progenitor cells, and their activity is based upon the differentiation state of the target cells and the presence of growth factors.<sup>89</sup> In the human system, for instance, CFU-GEMM derived from purified CD34<sup>+</sup> CD33<sup>-</sup> cells are inhibited by TGF- $\beta$ 1, whereas more committed progenitors such as CFU-G or CFU-GM are not affected. In addition, high proliferative potential-CFC (HPP-CFC) responsive to a combination of CSFs are markedly inhibited by TGF- $\beta$ 1, while more mature CFU-GM are actually enhanced when GM-CSF is used as colony forming factor.<sup>78</sup> TGF- $\beta$ 1 and 2-induced myelosuppression is partially counteracted by early-acting growth factors such as G-CSF, IL-6 and fibroblast growth factor.<sup>90</sup> On the other hand, TGF- $\beta$ 3 has been shown to be a more potent suppressor of

human BM precursors and its activity on hematopoiesis is only inhibitory,<sup>89</sup> although the synergistic growth factors IL-11 and IL-9 seem to be able to oppose the negative regulation of TGF- $\beta$ 3 on human CD34<sup>+</sup> cells.<sup>91</sup> Several potential modes of action of the TGF- $\beta$  family have been suggested, including down-modulation of cytokine receptors,<sup>92</sup> interaction with the underphosphorylated form of the retinoblastoma gene product in late G<sub>1</sub> phase,<sup>93</sup> and alteration of gene expression.<sup>94</sup> Early studies have shown that TGF- $\beta$ 1 and 3 exert their activity on normal and leukemic cells by lengthening or arresting the G<sub>1</sub> phase of the cell cycle,<sup>95</sup> and this effect is functional to protect normal CD34 positive cells from the toxicity of alkylating agents *in vitro*.<sup>96</sup> More recent investigations have demonstrated that TGF- $\beta$  regulates the responsiveness of mice hematopoietic cells to SCF, which is known to be the main synergistic factor for both murine and human stem cells, through a decrease in *c-kit* mRNA stability that leads to decreased cell-surface expression.<sup>97</sup>

Similarly to TGF- $\beta$ , TNF- $\alpha$  has been reported to have both inhibitory and stimulatory effects on hematopoietic progenitor cells. TNF- $\alpha$  potentiated the IL-3 and GM-CSF-mediated growth of human CD34<sup>+</sup> cells in short-term liquid culture assay. However, it inhibited the growth promoting activity of G-CSF.<sup>98</sup> Two TNF receptors with molecular weights of 55 and 75 kd, respectively, have recently been identified.<sup>99</sup> Whereas the p55 receptor mediates TNF- $\alpha$  effects on committed progenitor cells, the p75 receptor is involved in signaling the inhibition of murine primitive cells.

Furthermore, it was recently shown that TNF- $\alpha$  is capable of inhibiting the multi-growth factor (GM-CSF, IL-3, G-CSF, SCF, IL-1)-dependent growth of human HPP-CFC derived from CD34<sup>+</sup> cells through interaction with both p55 and p75 receptors, while the p55 receptor exclusively mediates the bifunctional activity of TNF- $\alpha$  on more mature BM precursors responsive to single cytokines.<sup>100</sup>

MIP-1 $\alpha$  is a peptide of 69-amino acids with a molecular weight of 7.8 kd produced by activated macrophages, T-cells and fibroblasts.<sup>101</sup> It belongs to a large family of putative cytokines

that includes MIP-1 $\beta$ , MIP-2 and IL-8 (chemokines). Biologic characterization has shown that MIP-1 $\alpha$  enhances the M-CSF- and GM-CSF-dependent growth of CFU-GM, while it inhibits the colony-forming ability of hematopoietic precursors present in a cell population enriched for CD34<sup>+</sup> DR<sup>+</sup> cells stimulated with erythropoietin, IL-3 and GM-CSF.<sup>102</sup>

Taken together, these results indicate that a complex interplay between positive and negative regulatory proteins determines the proliferation or inhibition of early hematopoietic progenitor cells (Figure 9). In general, the activity of inhibitors of hemopoiesis appears to be reversible, lineage-nonspecific and directed at the early stages of differentiation. In addition, TGF- $\beta$  has shown some degree of differential activity between normal and neoplastic lymphoid cells.<sup>96</sup> Thus, negative regulators may be clinically relevant to the protection of the hematopoietic stem cell compartment from the dose-limiting toxicity of neoplastic disease therapy.<sup>96,103,104</sup>

**Collection of CD34<sup>+</sup> cells**

Bone marrow and peripheral blood are the only sources of immature hemopoietic precursors identified as CD34<sup>+</sup> cells. A diagnostic marrow sample contains only a few CD34<sup>+</sup> cells, while even fewer of them are present in peripheral

blood samples taken under steady state conditions. Large quantities of CD34<sup>+</sup> cells can be collected with massive marrow harvests, such as for transplantation purposes. Nevertheless, marrow CD34<sup>+</sup> cells are somewhat elusive due to their scattering among the predominant CD34<sup>+</sup> hematopoietic population.<sup>105</sup> Recently developed cell separation procedures allow collection of highly enriched CD34<sup>+</sup> cell populations. However, this is generally accomplished through aspecific and often unacceptable cell loss.<sup>106</sup> So far the limited number of immature precursors commonly obtained from both bone marrow and peripheral blood has been the major obstacle to a simple identification and analysis of marrow CD34<sup>+</sup> cells. Indeed, the growing interest in CD34<sup>+</sup> cells is primarily the result of the development of new therapeutic modalities that allow easy access to large quantities of hemopoietic precursors through the peripheral blood. The key role in these innovative approaches is represented by the introduction of hemopoietic growth factors for clinical use.<sup>107</sup>

At present GM-CSF and G-CSF are the most extensively employed and studied hemopoietic growth factors in the clinical setting. From the very beginning, it was observed that GM-CSF or G-CSF administration was associated with an increase in circulating hemopoietic progenitors.<sup>108,109</sup>

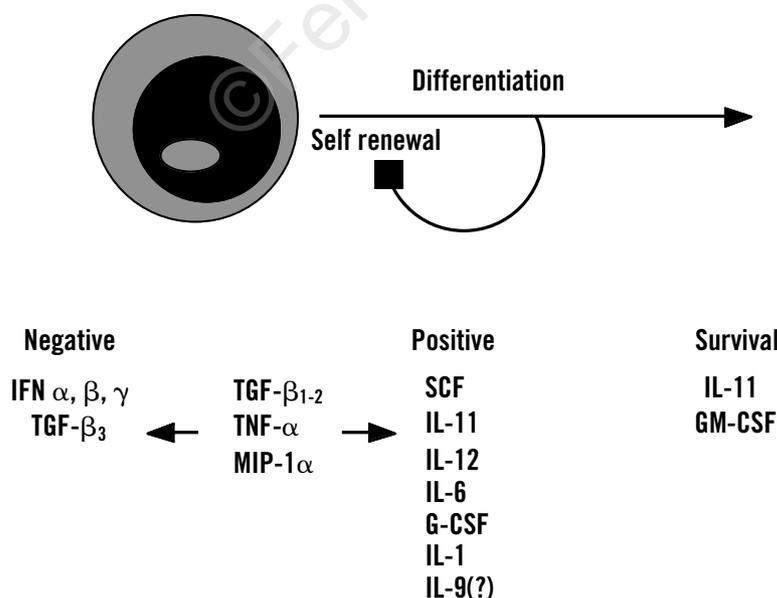


Figure 9. Interplay between positive and negative regulatory proteins acting on hematopoietic stem cells.

Later on, it was demonstrated that this phenomenon could be extensively and reproducibly amplified by combining growth factor administration with high-dose chemotherapy.<sup>110-112</sup>

In deed hemopoietic progenitors are massively, though transiently, mobilized into peripheral blood during hemopoietic recovery following high-dose chemotherapy given with growth factor support. Such an abundance of immature hemopoietic cells makes them easily recognizable by cell sorting techniques that employ anti-CD34 MoAbs.<sup>113</sup> Under optimal conditions, the proportion of CD34<sup>+</sup> cells may reach values as high as 20-30% of the total leukocyte count. In steady state conditions CD34<sup>+</sup> cells do not exceed 4% of the total marrow population, while they are undetectable by cell sorting techniques in the peripheral blood. Chemotherapy and growth factors do not merely induce a relative increase of immature hemopoietic cells; their absolute number is amplified several times over basal conditions. This allows collection of sufficient amounts of hemopoietic progenitors for autografting purposes by means of a few leukapheresis procedures.<sup>110,113,114</sup>

Values of 10-20×10<sup>4</sup> CFU-GM/kg represent the minimal required dose for marrow engraftment with peripheral blood progenitors.<sup>115-117</sup> In fact, much higher quantities of circulating progenitors can be collected using appropriate mobilization procedures. Under optimal conditions, circulating CD34<sup>+</sup> cell peak values may range between 150 and 700/μL on days of maximal mobilization. As a rule, at least 8×10<sup>6</sup> CD34<sup>+</sup> cells/kg or more can thus be collected with 1 to 3 leukapheresis procedures.<sup>114</sup> These huge quantities, approximately corresponding to 50×10<sup>4</sup> CFU-GM/kg, must be considered the ideal threshold dose of peripheral blood progenitors for autografting purposes. Indeed values of 8×10<sup>6</sup> CD34<sup>+</sup> cells/kg or more guarantee a rapid and durable hemopoietic recovery when circulating progenitors are used as the sole source for marrow reconstitution following submyeloablative treatment.<sup>118-121</sup>

Thus far, massive CD34<sup>+</sup> cell mobilization has been most commonly observed when growth factor is administered following high-dose cyclophosphamide, given at 7 g/sqm. Indeed

chemotherapy that induces profound leukopenia seems to be crucial for optimal mobilization; for instance, cyclophosphamide at doses lower than 7 g/sqm produces a reduced mobilizing stimulus.<sup>111,122</sup> Several other chemotherapy schedules have also been successfully employed for mobilization purposes. The principal chemotherapy protocols reported to be highly effective in inducing CD34<sup>+</sup> cell mobilization are summarized in Table 4.<sup>110, 111, 115, 117, 122-127</sup>

As stressed earlier, hemopoietic growth factors play a key role in mobilization. This has been clearly documented with cyclophosphamide. A median peak value of 75 CD34<sup>+</sup> cells/μL has been recorded following high-dose cyclophosphamide alone, whereas 420 and 500/μL are the median values recorded when GM-CSF or G-CSF, respectively, are added to cyclophosphamide.<sup>112,113,128,129</sup> Extensive growth factor-induced mobilization is further substantiated by the possibility of collecting sufficient CD34<sup>+</sup> cells using growth factor alone.<sup>130,131</sup> In this setting the most promising experiences have been

Table 4. Main chemotherapy protocols employed in hematopoietic progenitor mobilization.

Authors	Protocol characteristics	Drug(s) employed	Dosage
Gianni <i>et al.</i> <sup>110</sup>	single agent	cyclophosphamide	high
Tarella <i>et al.</i> <sup>123</sup> Gianni <i>et al.</i> <sup>124</sup>	single agent	etoposide	high
Kotasek <i>et al.</i> <sup>121</sup> Tarella <i>et al.</i> <sup>122</sup>	single agent	cyclophosphamide	intermediate
Dreyfus <i>et al.</i> <sup>125</sup>	single agent	cytarabine	high
Schimazaki <i>et al.</i> <sup>126</sup>	multiple drugs	etoposide cytarabine	high
Kawano <i>et al.</i> <sup>115</sup>	multiple drugs	daunorubicin cyclophosphamide etoposide	intermediate
Pettengell <i>et al.</i> <sup>127</sup>	multiple drugs	doxorubicin cyclophosphamide etoposide	intermediate
Hass <i>et al.</i> <sup>117</sup>	multiple drugs	cytarabine mitoxantrone	high

produced with G-CSF. The results reported indicate new opportunities for the utilization of mobilized progenitors in allogeneic transplantation procedures.<sup>132,133</sup> Combined chemotherapy and growth factors remain the most efficient mobilization procedure at this time. However, ongoing studies are directed at improving mobilization efficiency with growth factors alone. For example, G-CSF at doses higher than 5 µg/kg/day up to 20 µg/kg/day may have higher mobilization capacity.<sup>134</sup> Further improvement might derive from the clinical availability of new cytokines, such as IL-3, SCF and others, to be employed alone or in combination with G- or GM-CSF.<sup>135-137</sup> However, the potentially high mobilizing activity of such new cytokine combinations must be accompanied by no or very few side effects in order to be considered for a wide clinical applicability.

Mobilizing protocols generally include daily delivery of growth factors, starting 1 to 3 days after chemotherapy administration and continuing until harvesting procedures are completed. Growth factor is usually administered for a total of 7-12 consecutive days. The most convenient route of delivery is subcutaneous, with 1 to 2 doses per day. Progenitor cell harvests are performed during hemopoietic recovery, provided that progenitor cell mobilization is documented. Indeed various parameters have been considered as an indirect indication of progenitor mobilization, including an increase in WBC or, alternatively, in monocytes, basophils or platelets.<sup>138-140</sup> However, CD34<sup>+</sup> cell evaluation remains mandatory for an accurate definition of the extent of progenitor mobilization.<sup>141,142</sup>

CD34<sup>+</sup> cells should be monitored daily from the early stages of hemopoietic recovery. Detection of circulating CD34<sup>+</sup> cells is not sufficient reason for starting leukapheresis procedures; an adequate number of CD34<sup>+</sup> cells (>20-50/µL) and WBC > 1.0×10<sup>9</sup>/L and platelet count > 30×10<sup>9</sup>/L are required for safe and effective progenitor cell harvesting.<sup>114,142</sup> Leukaphereses are performed using continuous-flow blood cell separators. A complete leukapheresis procedure generally takes 2-3 hours and the total blood volume processed ranges from 6 to 10 li-

ters.<sup>114,140,143,144</sup> The harvested cells are resuspended in freezing medium and then cryopreserved for subsequent transplantation. One to 3 leukaphereses repeated on consecutive days usually provide large amounts of progenitor cells capable of rapid engraftment after submyeloablative treatments.

Factors and procedures favoring CD34<sup>+</sup> cell mobilization have been well established. However, other conditions adversely influencing the mobilization phenomenon should be considered. A major limitation is represented by impaired marrow function, as can occur in previously treated patients.<sup>111</sup> In fact, patients at first relapse following a single treatment protocol maintain an adequate mobilization capacity;<sup>145</sup> however, few if any mobilized CD34<sup>+</sup> cells can be obtained from heavily treated patients previously exposed to multiple chemotherapy courses. Mobilization is also profoundly reduced and often totally abolished in patients previously exposed to radiotherapy, especially if it was delivered to the pelvis or to extended vertebral areas.<sup>117,123</sup> Lastly, marrow invasion by tumor cells may negatively affect mobilization capacity. This is typically reported in myeloma patients in whom the extent of CD34<sup>+</sup> cells often correlates with the degree of marrow involvement by tumoral plasma cells.<sup>122</sup> In conclusion, several new findings have dramatically improved the procedures for collection of large amounts of CD34<sup>+</sup> cells. However, optimal marrow function is a prerequisite for exploiting fully the activity of all those factors known for their mobilization-inducing capacity.

#### **CD34<sup>+</sup> positive cells in umbilical cord blood**

Significant numbers of human hematopoietic stem cells can be found in umbilical cord blood and can be used for allogeneic bone marrow transplantation. Mayani *et al.*<sup>146</sup> found that 1-2% of the total number of cord blood-derived low-density cells express high levels of the CD34 antigen and low or undetectable levels of the antigens CD45RA and CD71. These populations were highly enriched in clonogenic cells (34%), in particular in multipotent progenitors (12%). By culturing these cells at low con-

tration for 8-10 days in highly defined serum-free liquid cultures supplemented with various hematopoietic cytokines, it was possible to achieve a significant expansion (about 100-fold) of the CD34<sup>+</sup> cell population.

These last results were recently confirmed by Traycott *et al.*<sup>147</sup> in an elegant study showing that umbilical cord blood CD34<sup>+</sup> cells rapidly exit G<sub>0</sub>-G<sub>1</sub> phases and start to cycle in response to stem cell factor. This feature would make cord blood CD34<sup>+</sup> cells more suitable candidates than bone marrow cells for *ex vivo* expansion.

It is clear that *in vitro* expansion and maturation of hematopoietic progenitor cells might be of particular relevance for transplantation of cord blood hematopoietic cells; however, information about the effects of such an expansion on the cells required for long-term hematopoietic reconstitution is highly desirable.

#### ***The dual role of peripheral blood hematopoietic progenitor cells in oncology***

CD34<sup>+</sup> progenitor cells circulating in the peripheral blood represent an enriched and easily accessible source of two distinct cell populations: committed progenitor cells and hematopoietic stem cells.

Although circulating progenitors are commonly called *stem cells*, the presence of circulating stem cells has been formally proved only in mice.<sup>148</sup> In humans the presence of hematopoietic stem cells in the peripheral blood is almost certain (see below), but to call reinfusion of circulating progenitors '*transplantation*' of peripheral blood stem cells (PBSC or similar acronyms) is hardly appropriate. This terminology overlooks the fact that the tremendous interest in peripheral blood autografting is not (at least so far) a consequence of its being a simple surrogate for autologous bone marrow transplantation (as the term PBSC would suggest), but rather derives from the unique property of this procedure to reduce the duration of the severe pancytopenia that follows submyeloablative treatments from two-three weeks (when bone marrow cells are used) to a few days only.<sup>110,149</sup> The reason for the rapid recovery

which occurs after circulating progenitor autotransfusion (CPAT) has not been formally proved, but it is most likely a consequence of the much larger (10- to 100-fold higher) amount of committed progenitors reinfused when a patient is autografted with blood-derived (as opposed to marrow-derived) cells. The most likely hypothesis is that these late progenitors (post-progenitors) of granulocytes and platelets are capable of giving rise to mature progeny within a few days, thus allowing submyeloablative patients to survive the initial aplastic phase.

The fundamental role of committed progenitor cells was elegantly proved in mice by Jones *et al.*<sup>150</sup> None of the lethally irradiated animals transplanted with a pure population of stem cells free of more mature progenitors (CFU-GM, CFU-S) survived the initial aplasia. A more recent paper<sup>151</sup> challenged this '*conventional wisdom*' model, and maintained that peripheral blood stem cells *per se* are capable of rapidly maturing *in vivo*.

Other authors, using a very similar approach, reached an opposing conclusion.<sup>152</sup> In humans the most convincing, albeit indirect, evidence in favor of the role of committed progenitors in accelerating post-transplant recovery was provided by Robertson *et al.*,<sup>153</sup> who documented a significantly prolonged hematopoietic recovery for patients undergoing autologous bone marrow transplantation purged *ex vivo* with anti-CD33 monoclonal antibodies. This result, which occurred after a treatment that selectively kills the most mature progenitor cells (expressing the CD33 surface antigen), represents convincing indirect proof of the role of committed progenitors in early engraftment.

The clinical role of committed progenitors in reducing the morbidity and mortality of high-dose therapy has expanded since hematopoietic growth factors have become clinically available. In fact, infusion of rhGM-CSF or rhG-CSF, in particular after administration of myelotoxic doses of certain stem cell-sparing agents, allows easy collection of an amount of CFU-GM/kg body weight 10 to 100 times higher than that contained in a bone marrow harvest.<sup>110</sup>

As already documented by a large number of

papers, the use of circulating progenitors has brought about a dramatic change in the perspectives of high-dose submyeloablative regimens. In fact, these once specialized, expensive and highly toxic treatments are now well tolerated, easy to administer, and clinically useful (cost effective). As an example, it is worth mentioning the initial Milan Cancer Institute experience in a group of over 50 poor-risk breast cancer patients who received high-dose melphalan plus an optimal amount of circulating progenitors ( $5 \times 10^4$  CFU-GM/kg body weight). These patients required a median of 10.5, 11 and 12.5 days to score  $>0.5$ , 1.0 and  $2.0 \times 10^9$ /L neutrophils/ $\mu$ L, respectively. Moreover, more than half of them did not require platelet transfusions, while the remaining ones needed only one or two transfusions during the first week after autografting. Such mild to moderate toxicity was never described before the clinical availability of committed progenitor cells.

In conclusion, born as a 'compassionate' surrogate of bone marrow autografting, today CPAT is rapidly replacing ABMT. In fact, today it is the latter that should be considered a 'compassionate need' procedure, useful in those few patients unable to mobilize a sufficient number of circulating progenitor cells.

The second, distinct role of circulating progenitors is related to the presence of stem cells, i.e. totipotent precursors responsible for durable reconstitution of all lympho-hematopoietic lineages following marrow ablative therapy. Since virtually no high-dose treatment that can be safely administered to humans is genuinely myeloablative, formal proof of the existence of circulating stem cells must await either stable transduction of DNA markers into autografted cells or the use of this cell population for allografting.

These experiments are presently underway in several laboratories,<sup>154,155</sup> and preliminary data do confirm the presence of stem cells in the peripheral blood of humans. Their utilization is expected to revolutionize fields like allogeneic bone marrow transplantation and somatic gene therapy, whenever the target of genetic manipulations is the hematopoietic cell.<sup>156</sup>

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