# Benefit from autologous stem cell transplantation in primary refractory myeloma? Different outcomes in progressive *versus* stable disease

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#### ABSTRACT

## Background

Several studies of autologous stem cell transplantation in primary refractory myeloma have produced encouraging results. However, the outcome of primary refractory patients with stable disease has not been analyzed separately from the outcome of patients with progressive disease.

## **Design and Methods**

In the Spanish Myeloma Group 2000 trial, 80 patients with primary refractory myeloma (49 with stable disease and 31 with progressive disease), i.e. who were refractory to initial chemotherapy, were scheduled for tandem transplants (double autologous transplant or a single autologous transplant followed by an allogeneic transplant). Patients with primary refractory disease included those who never achieved a minimal response ( $\geq 25\%$  M-protein decrease) or better. Responses were assessed using the European Bone Marrow Transplant criteria.

## Results

There were no significant differences in the rates of partial response or better between patients with stable or progressive disease. However, 38% of the patients with stable disease at the time of transplantation remained in a stable condition or achieved a minimal response after transplantation *versus* 7% in the group with progressive disease (P=0.0017) and the rate of early progression after transplantation was significantly higher among the group with progressive disease at the time of transplantation (22% *versus* 2%; P=0.0043). After a median follow-up of 6.6 years, the median survival after first transplant of the whole series was 2.3 years. Progression-free and overall survival from the first transplant were shorter in patients with progressive disease (0.6 *versus* 2.3 years, P=0.00004 and 1.1 *versus* 6 years, P=0.00002, respectively).

# Conclusions

Our results show that patients with progressive refractory myeloma do not benefit from autologous transplantation, while patients with stable disease have an outcome comparable to those with chemosensitive disease. *(ClinicalTrials.gov:NCT00560053)* 

Key words: multiple myeloma, primary refractory disease, progressive disease, stable disease, outcome.

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## Introduction

The outcome of patients with multiple myeloma treated with conventional therapy is unsatisfactory with a low proportion of such patients becoming long-term survivors. For this reason, high-dose therapy followed by autologous stem cell transplantation (SCT) has become the standard of care for up-front therapy in younger patients with multiple myeloma.<sup>1-4</sup> In fact, it has been shown that the survival of patients younger than 60 years has improved in the more recent years and this has been attributed, at least in part, to the benefit of autologous SCT.<sup>5-7</sup>

Before the introduction of novel drugs, particularly thalidomide, bortezomib and lenalidomide, pre-transplant induction therapy consisted of regimens based on alkylating agents and/or dexamethasone. With these regimens, the pre-transplant complete response rate ranged from 5-10% and increased up to 35% after transplantation.<sup>1-2, 8-10</sup> In fact, the benefit of autologous SCT in terms of survival was attributed to the higher frequency of complete response obtained after the intensive therapy.<sup>1,2</sup> In addition, tandem autologous transplants have been shown to be of benefit for patients failing to achieve at least very good partial response with the first transplant.<sup>11-12</sup>

Whether autologous SCT is beneficial for the majority of patients or the overall benefit comes from certain subsets of patients is still an unresolved issue.<sup>4,18</sup> In this regard, it has been claimed that patients with primary resistant disease are the most likely to benefit from early autologous SCT.<sup>14,15</sup> However, there is a paucity of published evidence to support this claim.<sup>15-20</sup> Furthermore, two different conditions should be distinguished among myeloma patients in whom primary therapy fails: (i) refractory myeloma, with progressive disease while on therapy and (ii) stable disease, in which the M-protein size does not change significantly and there is no clinical progression.<sup>21</sup> These two different categories have not been analyzed separately in previous studies.

In the Spanish PETHEMA/GEM-2000 trial,<sup>22</sup> patients were treated with alternating vincristine, carmustine (BCNU), melphalan, cyclophosphamide, prednisone (VBMCP) and vincristine, BCNU, adriamycin, dexamethasone (VBAD) chemotherapy or, in those with severe renal failure, with vincristine, adriamycin, dexamethasone (VAD), followed by high-dose therapy and autologous SCT.

Primary refractory patients were initially scheduled to received tandem transplants [double autologous SCT or autologous SCT followed by an allogeneic reduced-intensity conditioning (RIC) SCT]. The aim of our study was to investigate the efficacy, in terms of response rate and survival, of early autologous SCT in patients with truly primary refractory myeloma. The outcomes of patients with progressive disease were compared to those of patients with stable disease (also known as no change or nonresponding, non-progressive disease).

## **Design and Methods**

#### Study design

Patients diagnosed with symptomatic multiple myeloma between October 1, 1999 and December 31, 2004, who were younger than 70 years were included in the PETHEMA/GEM-2000 trial. Induction therapy consisted of six cycles of alternating VBMCP/VBAD chemotherapy or VAD for patients with severe renal failure (serum creatinine  $\geq$ 4 mg/dL), followed by high-dose therapy intensification with busulfan 12 mg/kg plus melphalan-140 or with melphalan-200. The diagnosis of multiple myeloma was made according to the criteria of the Chronic Leukemia Myeloma Task Force.<sup>23</sup> Patients with asymptomatic multiple myeloma were not included.

All patients who were primary refractory to the induction therapy were also scheduled to receive a second autologous SCT or an allogeneic RIC SCT, independently of the response status after the first autologous transplant. The treatment assignment to a second autologous transplant or to an allogeneic one with RIC was based on the availability or not of an HLA-identical sibling donor.

This study was approved by the Ethics Committee of the Hospital Clínic and informed consent was obtained from patients in accordance with the Declaration of Helsinki. The study was registered at ClinicalTrials.gov with number NCT00560053.

## High-dose therapy

Peripheral blood stem cells were collected after cycle 4 using granulocyte colony-stimulating factor (16-24  $\mu g/kg$  daily) for 5 days. The target number of CD34\* cells was at least 4×106/kg in order to have the possibility to perform two autologous transplants.

High-dose therapy consisted of BUMEL: oral busulfan 1 mg/kg every 8 h on days -6 to -3 (total dose 12 mg/kg) plus melphalan 140 mg/m<sup>2</sup> in a single dose on day -2 or melphalan-200 (melphalan 200 mg/m<sup>2</sup> in a single dose on day -2 or in two divided doses on days -3 and -2).

The high-dose therapy of the second autologous transplant consisted of CVB [cyclophosphamide 1.8 g/m<sup>2</sup> on days -6 to -3, etoposide (VP-16) 200 mg/m<sup>2</sup> on days -6 to -4 and BCNU 300 mg/m<sup>2</sup> on day -6] or melphalan-200 as previously described. Patients receiving an allogeneic RIC transplant were conditioned with fludarabine 25 mg/m<sup>2</sup> on days -7 to -3 and melphalan 70 mg/m<sup>2</sup> on days -3 to -2. Graft-*versus*-host-disease prophylaxis consisted of cyclosporine A and methotrexate.<sup>22</sup>

### **Response criteria**

Response, relapse and progression were assessed according to European Bone Marrow Transplant (EBMT) criteria.<sup>24</sup> Complete response was defined as negative immunofixation in serum and urine, <5% plasma cells in a bone marrow aspirate as well as disappearance of soft tissue plasmacytomas and no increase in lytic bone lesions. Partial response was defined as a decrease in serum M-protein of ≥50% and 24-hour urinary light-chain excretion by ≥90% or to <200 mg and a reduction in extramedullary plasmacytomas of ≥50%. Minimal response required a 25-49% reduction in the level of serum M-protein and a 50-89% reduction in 24-hour urinary light-chain protein excretion. Responses had to be maintained for a minimum of 6 weeks. Relapse from complete response was defined as reappearance of serum or urinary paraprotein on immunofixation, development of new extramedullary plasmacytomas, an increase in size or development of new lytic lesions or hypercalcemia. Progressive disease was defined by a greater than 25% increase in serum M-protein with an absolute increase of at least 5 g/L or a greater than 25% increase in urine Mprotein and also an absolute increase ≥200 mg/24 h, and/or the appearance of soft-tissue plasmacytomas, new lytic bone lesions or hypercalcemia.

## Criteria for primary refractory disease

The patients with primary refractory disease consisted of patients with stable disease and those with progressive disease, i.e. patients who never achieved a minimal response or better in whom there was no significant change in M protein and no evidence of clinical progression (no change or non-responsive, nonprogressive, stable disease) and patients with primary refractory progressive disease who met the criteria for true progressive disease (serum and/or urine M-protein increase  $\geq 25\%$  and/or increase or development of skeletal involvement, anemia, plasmacytomas or hypercalcemia while on the initial chemotherapy).<sup>21</sup>

## **Statistical Methods**

The  $\chi^2$  test or Fisher's exact test was used when required to assess the statistical significance of multiple comparisons. The Kaplan-Meier method was used to plot the survival curves, which were compared by a log-rank test.<sup>25</sup>

# **Results**

Eighty patients with primary refractory myeloma (48 males, 32 females, median age 56 years) were included in this study. Induction chemotherapy consisted of VBMCP/VBAD in 78 patients and VAD in two. Sixty-eight patients underwent autologous SCT immediately after initial chemotherapy had failed, while 12 patients received a second-line treatment before transplantation: thalidomide (3 patients), bortezomib (1 patient), intermediate doses of melphalan (1 patient), VAD (4 patients), TaCyDex (thalidomide, cyclophosphamide, dexamethasone) (1 patient), DCEP (dexamethasone, cyclophosphamide, etoposide, cisplatin) (1 patient) and hyperCVAD (cyclophosphamide, doxorubicin, vincristine, dexamethasone) (1 patient). After salvage therapy, five patients achieved a partial response, two patients had a minimal response, three patients remained with stable disease and two patients showed progressive disease.

Among the 80 patients, 49 were classified as having stable disease and 27 had progressive disease while on treatment. Four additional patients who achieved a very transient partial response while receiving initial chemotherapy but who showed rapid progression before transplantation were included in the subgroup of patients with progressive disease. The prognostic features were similar in both subgroups of refractory patients (stable disease *versus* progressive disease). The initial characteristics of the patients are shown in Table 1.

# **Response to first autologous transplant**

Twenty-seven patients received BUMEL as the conditioning regimen and 53 received MEL-200. Overall, 69% of the patients responded to the first autologous SCT (complete response: 4%, partial response: 51%, minimal response: 14%). Nine (12%) patients remained with stable disease after the procedure and eight (10%) had progressive disease after high-dose therapy. The transplant-related mortality rate was 9% with no significant differences between patients with stable disease and those with progressive disease (6% versus 13%). There were no significant differences in response rate (complete + partial responses) between the two subgroups of refractory patients (54% versus 58% in patients with stable disease and progressive disease, respectively). However, 38% of patients with stable disease at transplantation remained stable or achieved a minimal response after transplantation versus only 7% in the patients with progressive disease (P=0.0017). Moreover, the rate of early progression within the first 3 months after high-dose therapy was significantly higher among the patients initially with progressive disease than in those initially with stable disease (22.5% *versus* 2%, P=0.0043). The response rates after the first autologous SCT are summarized in Table 2.

## Flow-chart of patients undergoing a second transplant

Although refractory patients were scheduled to receive a tandem transplant (double autologous or autologous followed by allogeneic RIC SCT), 40 did not undergo the second high-dose procedure. The reasons for not proceeding with the second transplant were: death (n=8), poor performance status (n=8), progressive disease (n=7), physicians' decision (n=8, four of these patients were in complete remission after the first transplant), patients' refusal (n=3), insufficient CD34<sup>+</sup> cells (n=2) and unknown (n=4).

Overall 40 (50%) patients underwent the second highdose procedure: 29 had a second autologous SCT and 11 an allogeneic procedure. Conditioning for the second autologous transplant consisted of MEL-200 (5 patients), BUMEL (1 patient) and CBV (23 patients). In the allogeneic group, one patient received a conventional allogeneic transplant, one patient was transplanted after non-myeloablative conditioning (micro-allogeneic RIC with fludarabine and total body irradiation 200 cGy) and nine

#### Table 1. Initial characteristics of the patients.

|                                                  | Overall<br>(n=80)  | Stable<br>disease<br>(n=49) | Progressive<br>disease<br>(N=31) |
|--------------------------------------------------|--------------------|-----------------------------|----------------------------------|
| Age (median)                                     | 56                 | 57                          | 53                               |
| Gender (male/female)                             | 48/32              | 28/21                       | 20/11                            |
| M-protein type<br>IgG<br>IgA<br>Light chain only | 50<br>19<br>11     | 34<br>8<br>7                | 16<br>11<br>4                    |
| Hemoglobin < 10 g/dL                             | 31 (39%)           | 19 (39%)                    | 12 (39%)                         |
| Lytic bone lesions                               | 54 (67%)           | 34 (69%)                    | 20 (64%)                         |
| Durie-Salmon stage                               |                    |                             |                                  |
| Ι                                                | 8                  | 7                           | 1                                |
| II                                               | 35                 | 23                          | 12                               |
| III                                              | 37                 | 19                          | 18                               |
| Creatinine ≥ 2 mg/dL                             | 14                 | 11                          | 3                                |
| Induction regimen<br>VBMCP/VBAD<br>VAD           | 78<br>2            | 47<br>2                     | 31                               |
| N. of prior chemotherapy rea<br>One<br>Two       | gimens<br>68<br>12 | 43<br>6                     | 25<br>6                          |

#### Table 2. Response rate after the first autologous transplant.

| Response                    | Overall | Stable<br>disease | Progressive<br>disease |
|-----------------------------|---------|-------------------|------------------------|
|                             | (n=80)  | (n=49)            | (n=31)                 |
| Complete response (IF-) (%) | 4       | 2                 | 7                      |
| Partial response (%)        | 51      | 52                | 51                     |
| Minimal response (%)        | 14      | 19†               | 7                      |
| No change (%)               | 12      | 19 <sup>+</sup>   | -                      |
| Progressive disease (%)     | 10      | 2*                | 22*                    |
| Early death (<2 months)     | 9       | 6                 | 13                     |

P=0.0017 \*P=0.0043; IF: immunofixation.

patients received RIC. In order to report on a homogeneously treated series of patients, only the nine patients who underwent allogeneic RIC SCT were analyzed for response rate.

#### **Response to second transplant**

Response rates after the second transplant are detailed in Table 3. Only 11% and 33% of patients achieved complete response after tandem autologous SCT and autologous + allogeneic RIC SCT, respectively. The transplantrelated mortality rate with the two strategies was 7% and 11%, respectively.

#### Survival

After a median follow-up for alive patients of 6.6 years, the median survival of the whole series after the first transplant was 2.3 years. Progression-free survival from the first autologous SCT was significantly shorter in patients initially with progressive disease than in those initially with stable disease (0.6 versus 2.3 years, P<0.00004) (Figure 1). Patients progressing under therapy (progressive disease group) had a significantly shorter overall survival from the first transplant than that of the stable disease group (median 1.1 versus 6 years, P=0.00002) (Figure 2).

#### Discussion

Autologous SCT is considered the standard of care in younger patients with newly diagnosed symptomatic multiple myeloma.<sup>1-4</sup> The beneficial effect of autologous SCT likely results from the greater tumor reduction attained as compared to that achieved with conventional chemotherapy (i.e. complete response 40% *versus* <10%).<sup>20,26,27</sup> The identification of factors that can predict achievement of a complete response is important in order to limit autologous SCT to those patients most likely to benefit from the procedure. In our experience<sup>28</sup> and in that of Alexanian *et al.*,<sup>26</sup> the tumor burden, determined by the serum and urine M-protein size at the time of transplanta-



Figure 1. Progression-free survival from the first autologous SCT in patients with progressive disease as compared to those with stable disease (median 0.6 *versus* 2.3 years, *P*=0.00004)

tion, is the most important predictor of complete response post-transplant.  $^{\rm 26,28}$ 

# Patients with primary resistant disease have a poor prognosis with standard chemotherapy, with a median overall survival between 1.5 and 3 years.<sup>15,29</sup> It has been suggested that these patients are the most likely to benefit from ASCT provided that the rescue transplant is performed early in the course of the disease before the emergence of resistant clones. In six published series, the reported complete response rate was between 8-40% and the overall survival ranged from 4 to 6 years. $^{\rm 15-19}$ Thus, in a series from the M. D. Anderson,<sup>15</sup> the median event-free survival and overall survival of 27 patients with primary resistant disease who received a transplant during the first year after initiation of therapy were 3.5 and 6 years, respectively. In addition, in one study from the University of Arkansas,<sup>16</sup> the median event-free survival and overall survival in 72 patients with primary unresponsive disease were 21 and 47 months, respectively. In a study at the Royal Marsden Hospital, the complete response rate after transplantation was 40% in a series of 43 patients with primary refractory disease: in this series, the complete response was only assessed by immunoelectrophoresis. In a series of patients studied by Kumar et al.,<sup>19</sup> the posttransplant response rate was 92%, including 20% complete responses defined either by immunoelectrophoresis or immunofixation, with no significant differences in

#### Table 3. Response rate after the second transplant.

| Response                     | Autologous SET<br>(n=29) | Allo-RIC SET<br>(n=9) |
|------------------------------|--------------------------|-----------------------|
| Complete response (IF-) (%)  | 11                       | 33                    |
| Partial response (%)         | 41                       | 11                    |
| Minimal response (%)         | 17                       | 11                    |
| No change (%)                | 10                       | -                     |
| Progressive disease (%)      | 14                       | 33                    |
| Early death (< 2 months) (%) | 7                        | 11                    |



Figure 2. Overall survival from the first autologous SCT in patients with progressive disease as compared to those with stable disease (median 1.1 versus 6 years, P=0.00002)

event-free and overall survival rates at 1 year post-transplant between chemosensitive patients and patients with primary refractory disease. In the largest series of 89 patients, published by Alexanian *et al.*,<sup>20</sup> the complete response rate post-transplant was 16% and the overall survival longer than 7 years for those patients who achieved a complete response. These "good" results are in contrast with those reported by Rajkumar *et al.*<sup>17</sup> for a small series of 12 patients with primary refractory disease who had a post-transplant complete response rate of 17% and median overall and progression-free survivals of only 30 and 26 months post-transplant, respectively.

In our study, patients with primary refractory disease had a poor prognosis with a median progression-free survival of 1.2 years and an overall survival of 2.3 years. This could be explained by the low complete response rate achieved after transplantation (5% after the first autologous SCT, 11% after double autologous transplants and 33% after tandem autologous/allogeneic RIC transplants). There are several possible explanations for the differences between our results and those reported for the other series. First, the criteria used to define "primary refractory disease" were different. In our study, only patients with stable disease or progressive disease as defined by the EBMT<sup>24</sup> criteria were included. In contrast, in the previous series patients failing to achieve a minimal response<sup>15,20,26</sup> or even a partial response<sup>18,19</sup> according to the EBMT criteria were considered to have primary refractory disease. Second, in all the other series the primary therapy was mainly steroidbased while our patients had received combination chemotherapy mainly based on alkylating agents, steroids and doxorubicin. Finally, the two categories of patients considered as having primary refractory disease (i.e., primary unresponsive with progressive disease versus no change without clinical progression) should be analyzed separately.<sup>21</sup> Thus, in our series, refractory patients with initially progressive disease had an extremely poor prognosis, with median progression-free survival and overall survival of only 7 and 13 months post-transplant, respectively. In contrast, refractory patients with initially stable disease had a progression-free survival of 27 months and a median overall survival of 73 months after a median follow-up of 6.6 years, which is similar to that reported for chemosensitive patients.<sup>30</sup> It is of note that although the achievement of at least a partial response after the first autologous SCT was similar in the two subgroups of patients (54% versus 58%) the duration of response was very limited in the group with progressive disease. In addition, 38% of the group of patients with non-responding/non-progressive

disease achieved a minimal response or remained with stable disease while almost one-fourth of patients with unresponsive progressive disease while on initial chemotherapy developed progressive disease after the first transplant. In contrast, Singhal *et al.*<sup>18</sup> found no significant differences between 13 patients who developed progressive disease during C-VAMP chemotherapy compared to the 30 who had stable disease or a suboptimal response. Of note, the transplant-related mortality of almost 9% with the first transplant is higher than that reported in chemosensitive patients; this likely resulted from an increased risk in the refractory population.

In the era of new drugs, high overall and complete response rates can be achieved pre-transplantation.<sup>31-33</sup> However, the issue of primary refractory disease is not yet resolved and thus our analysis is relevant at present. In fact, in the current Spanish trial comparing four cycles of VBMCP/VBAD plus two cycles of bortezomib versus six cycles of thalidomide/dexamethasone versus six cycles of bortezomib, thalidomide and dexamethasone, the rates of progressive disease are as high as 12%, 23% and 7%, respectively.<sup>34</sup> Although it is not yet known whether patients refractory to novel agents will benefit from autologous SCT, it would be reasonable to offer these patients salvage regimens or experimental therapies to substantially decrease the tumor burden before transplantation, which is the crucial factor associated with complete response after transplantation which, in turn, is the main surrogate for prolonged survival.

In summary, our results show that patients with unresponsive progressive disease do not benefit from autologous SCT. In consequence, novel treatment approaches, including experimental drugs, should be offered to these patients. In contrast, patients with non-responding, nonprogressive disease have a good outcome with an overall survival comparable to that of patients with chemosensitive disease. However, whether this is due to the benefit of high-dose therapy or to the natural history of a more indolent disease is uncertain.

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