

CD69 is independently prognostic in chronic lymphocytic leukemia: a comprehensive clinical and biological profiling study

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ABSTRACT

Background

CD69 is expressed in several hemopoietic cells and is an early activation marker in chronic lymphocytic leukemia. Chronic lymphocytic leukemia is a clinically heterogeneous disease which needs novel prognostic parameters which can be easily and efficiently managed.

Design and Methods

We investigated CD69 by flow cytometry in a series of 417 patients affected by chronic lymphocytic leukemia and compared this to other biological and clinical prognosticators.

Results

CD69 was associated with Rai stages ($P=0.00002$), β_2 -microglobulin ($P=0.0005$) and soluble CD23 ($P<0.0001$). CD69 and ZAP-70 ($P=0.018$) or CD38 ($P=0.00015$) or immunoglobulin variable heavy chain gene mutations ($P=0.0005$) were also significantly correlated. Clinically, CD69 positive chronic lymphocytic leukemias received chemotherapy more frequently (74%; $P<0.0001$), and presented a shorter duration of response after fludarabine plus rituximab ($P=0.010$) as well as shorter progression free survival and overall survival ($P<0.0001$). CD69 demonstrated true additive prognostic properties, since the CD69⁺ plus ZAP-70⁺ or CD38⁺ or immunoglobulin variable heavy chain gene unmutated patients had the worst progression free survival and overall survival ($P<0.0001$). Interestingly, low CD69 expression was necessary to correctly prognosticate the longer progression free survival of patients with a low tumor burden of β_2 -microglobulin ($P=0.002$), of soluble CD23 ($P=0.020$), or of Rai stages 0-I ($P=0.005$). CD69 was confirmed to be an independent prognostic factor in multivariate analysis of progression free survival ($P=0.017$) and overall survival ($P=0.039$).

Conclusions

Our data indicate that CD69 is significantly correlated with poor clinical and biological prognostic factors and is confirmed to be an independent disease prognosticator. This supports its introduction in a routine laboratory assessment and, possibly, in a prognostic scoring system for chronic lymphocytic leukemia, after an adequate standardization process.

Key words: chronic lymphocytic leukemia, immunophenotyping, prognosis.

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Introduction

The clinical course of patients with B-cell chronic lymphocytic leukemia (CLL) is quite variable¹ and the two major clinical staging systems are unable to prospectively discriminate an indolent or aggressive course within the low and intermediate risk categories.^{2,3} For this reason, several biological parameters⁴⁻⁹ have been added to the staging systems to differentiate prognostic subsets. Recently, several publications reported the prognostic significance of specific immunoglobulin variable heavy chain (IGHV) gene features in CLL.¹⁰⁻¹⁵ However, since IGHV gene sequencing remains a demanding technique, many studies focused on the identification of alternative markers with similar prognostic value the expression of which is easier to investigate, such as CD38 and T-cell specific zeta-associated protein-70 (ZAP-70).¹⁶⁻²²

Literature data confirm that leukemic CLL cells bear the surface membrane phenotype of activated and antigen-experienced B lymphocytes with the overexpression of the activation markers CD23, CD25, CD69 and CD71.²³ CD69 identifies a type II integral membrane protein with a single transmembrane domain belonging to the C-type lectin family of surface receptors²⁴⁻²⁶ and is expressed very quickly (within 4 h) in several hemopoietic cells upon triggering.²³ One preliminary study suggested that CD69 could be a prognostic factor for overall survival (OS) in CLL,²⁷ but its prognostic independency was not evaluated.

By taking advantage of a wide cohort of CLL patients, the aims of the present study were: (i) to propose a reproducible flow cytometric method for testing CD69 in peripheral blood (PB) of CLL samples; (ii) to investigate the relationship between CD69 and other biological prognosticators or markers of tumor burden; and finally, (iii) to test the independent prognostic value of CD69 for progression free survival (PFS) and OS.

Design and Methods

Patients

Approval for this study was obtained from the Institutional Review Board of the Centro di Riferimento Oncologico (IRCCS) of Aviano (PN), Italy. Informed consent was provided according to the Declaration of Helsinki. Four hundred and seventeen consecutive B-CLL patients were enrolled from 1990 to 2009. There were 239 men and 178 women with a median age of 66 years (range 33-89) at the time of diagnosis. Fresh B-CLL cells were available for CD69, ZAP-70, CD38 analyses in all patients and for CD49d in 312 patients. Fresh or frozen serum samples were obtained for sCD23 from 364 patients. According to Rai stages at diagnosis, 127 patients belonged to Rai stage 0, 178 patients to Rai stage I, 94 patients to Rai II, 10 cases to Rai III and 8 cases to Rai IV. Using the modified Rai staging system (mod-Rai), the distribution was as follows: 127 patients stage I (low-risk); 272 patients stage II (intermediate-risk); 18 patients stage III (high-risk). Median follow up was six years with 60 deaths and 357 censored patients. Two hundred and five of the 417 patients (49.2%) received chemotherapy for their disease. Fifty-one patients were treated with a combination of chlorambucil at conventional doses and prednisone. One hundred and nine patients received six courses of fludarabine monophosphate (Fludara; Genzyme, Modena, Italy) at 25 mg/m² intravenously or 30-40 mg/m² orally per day for five days every 28 days followed by four courses of rituximab (Mabthera; Roche, Basilea, Switzerland) at 375 mg/m² for one day every week.²⁸

Finally, thirty-five patients were treated with six courses of fludarabine, cyclophosphamide and rituximab.²⁹

Cellular immunophenotypic analysis

All flow cytometric analyses were performed on a FACSCalibur flow cytometer (BD Biosciences, CA, USA). The instrument was aligned and calibrated daily with the use of a 4-color mixture of CaliBRITE beads (BD Biosciences) with FACSComp Software (BD, Biosciences) according to the manufacturer's instructions. The beads are used to adjust instrument settings, set fluorescence compensation and check instrument sensitivity in order to monitor instrument performance over time. Expression of CD69, CD38 and CD49d was analyzed by 3-color immunofluorescence,^{8,9} by combining phycoerythrin (PE)-conjugated anti-CD38 or anti-CD49d or anti-CD69 monoclonal antibodies (MoAbs) with peridinin-chlorophyll-protein-cyanine-5.5 (PerCP-Cy5.5) or allophycocyanine (APC) anti-CD19 MoAbs and fluorescein isothiocyanate (FITC)-conjugated anti-CD5 MoAb. Expression data were reported as percentage of CD5⁺CD19⁺ CLL cells displaying specific fluorescence intensity. The threshold of positivity was set at over 30% for all these markers. Estimation of CD69 expression level yielding the best separation of 2 subgroups with different OS and/or PFS probabilities was made by applying different methods, including the maximally selected log rank statistics, Youden's index, and receiver-operating characteristic analysis.³⁰ Results of all these tests were in agreement in indicating use of the cut off of 30% of positive cells to discriminate between CD69^{high} and CD69^{low} cases when testing the prognostic impact of CD69 on both OS and PFS. To test its reproducibility, expression of CD69 was compared: 1) in fresh *versus* frozen PB samples (22 cases); and 2) in fresh PB samples delivered by overnight courier and separately analyzed in two different laboratories (i.e. located at the S. Eugenio Hospital, University of Tor Vergata in Rome and at the Clinical and Experimental Onco-Hematology Unit of the Centro di Riferimento Oncologico in Aviano; 55 cases). These sets of experiments yielded almost superimposable results in all cases. To test the stability of CD69 over time, we analyzed sequential samples from 55 patients (range 4-8 samples for each patient) over periods ranging from three to 60 months. In 50 of 55 cases, slight differences (<10%) were detected. A total of 10 cases showed a variation higher than 10%. No patient crossed the 30% cut off used.

Flow cytometric detection of ZAP-70 was performed as previously reported²¹ and according to previous studies; a cut off of 20% of positive cells was chosen to discriminate ZAP-70 negative and ZAP-70 positive patients.

Soluble CD23 and β_2 -microglobulin detection

Soluble CD23 (sCD23) immunoenzymometric assay and β_2 -microglobulin (β_2 M) determinations were performed as described elsewhere.⁸ The threshold of positivity was set at 70 U/mL for sCD23 and 2.2 g/L for β_2 M on the basis of normal laboratory reference values.

Interphase FISH

Separate hybridizations were carried out for loci on chromosome 11, 12, 13 and 17. For chromosomes 11, 13, 12 and 17 specific probes and procedures were used, as reported previously.^{21,31}

IGHV mutation analysis

Total RNAs were extracted and reverse-transcribed. The resulting cDNAs, checked for first strand synthesis,³² were amplified using a mixture of sense primers annealing to either V_H1 through V_H6 leader sequences or 5' ends of V_H1-V_H6 FR1. Purified amplicons were cloned and a minimum of 10-20 colonies for each case

were sequenced; CLL specific IGHV transcripts, identified by sharing the same CDR3 in multiple clones were analyzed for percent mutation, as previously described. V_H gene sequences deviating more than 2% from the corresponding germline gene were defined as mutated.¹²

Statistical analysis

Correlations between CD69 percentages and the other biological and clinical variables were based on the two-tailed Fisher's exact test. The clinical assessment of CLL patients was based on the National Cancer Institute Working Group criteria.³³ Progression-free survival (PFS) and overall survival (OS), measured from diagnosis, were estimated according to the Kaplan-Meier method and compared between groups by means of the log rank test. Cox's proportional hazards regression models were used to assess the independent effect of covariables, treated as dichotomous, on the PFS or OS.

Results

Expression of CD69 and association with other prognostic factors

Expression of CD69 revealed variable patterns of fluorescence intensity, corresponding to different percentages of positive CLL cells (Figure 1 A-C). On the basis of a 30% threshold value, 111 patients (26.6%) were CD69 positive and 306 (73.4%) were CD69 negative. Bi-variant plots for CD69 versus CD38 or ZAP70 or CD49d or IGHV status (Figure 2A-D) showed four subpopulations expressing concordant and discordant profiles. Table 1 shows the association of CD69 with biological markers (CD38, CD49d, ZAP-70, IGHV gene mutational status), markers of tumor burden (β_2M , sCD23 and mod-Rai), chromosomal aberrations, and age. All these factors were demonstrated to be significant prognosticators for OS and/or PFS in our CLL series (Table 1). Significant correlations were found between CD69 and some markers of tumor burden (sCD23, $P < 0.0001$; mod-Rai, $P = 0.00002$; β_2M , $P = 0.0005$, Table 1). Moreover, the presence of multiple (3 or more) intrathoracic/abdominal lymphadenopathies (>3 cm in diameter) and/or splenomegaly was significantly correlated with CD69 over 30% (59 of 111, $P = 0.00005$). CD69 over 30% was observed in 35 of 80 patients with lymphocyte doubling time (LDT) of less than 12 months ($P = 0.0002$).

Relevance of CD69 as biological prognostic marker

A significant correlation was found between treatment and CD69 expression. In particular, 82 of 111 (74%) CD69 positive patients received chemotherapy, while only 123 of 306 (40%) CD69 negative patients were treated ($P < 0.0001$). It is worthy of note that CD69 negative cases showed a significantly longer response duration (60% vs. 40% at six years, $P = 0.010$) in a series of 107 patients achieving a complete or partial response after an induction treatment with fludarabine plus rituximab (Figure 3).²⁸

Our cohort of cases had a median PFS of 3.8 years and 39% PFS at ten years. A significantly shorter PFS was observed in CD69 positive cases (20% vs. 45% at ten years, $P < 0.0001$; Figure 4A) compared with the CD69 negative subgroup. Likewise, a shorter OS was found in CD69 positive patients (58% vs. 85% at 12 years; $P < 0.0001$, Figure 4B). Similar results were observed

when investigating the impact on PFS and OS of the three immunophenotypic markers CD38, CD49d and ZAP-70 or of IGHV gene mutations (Table 1). A more refined prognostic assessment of PFS and OS was obtained by combining CD69 expression with that of CD38, CD49d and ZAP-70 (Figure 5A-C and E-G). For all these variables, CD69 expression had true additive properties. In particular, the simultaneous positivity or negativity for CD69 and CD38 or CD49d or ZAP-70 identified 2 subsets of patients: the former with the worst prognosis and the latter with the best prognosis with regard to both PFS (7% vs. 52% at ten years, $P < 0.0001$; 12% vs. 49% at ten years, $P < 0.0001$ and 6% vs. 65% at ten years, $P < 0.0001$) and OS (44% vs. 91% at ten years, $P < 0.0001$; 61% vs. 96%, $P = 0.0008$ and 49% vs. 97%, $P < 0.0001$). In all combinations, patients who did not fit these results showed intermediate outcomes (Figure 5A-C and E-G). Similar behavior was observed by combining CD69 and IGHV mutational status in additional bivariate analyses, the shortest PFS and OS time intervals being found for CD69⁺/UM IGHV patients (7% vs. 56% at ten years and 55% vs. 94% at ten years, respectively, $P < 0.0001$; Figure 5D and H).

CD69 and tumor burden markers

Interestingly, CD69 expression levels were found to be necessary to correctly prognosticate PFS duration in the context of favorable prognosis patients with regard to tumor burden markers. Indeed, only cases in which a low tumor burden ($\beta_2M < 2.2$ g/L or sCD23 < 70 U/mL or 0-I Rai stage) was associated with CD69 below 30% had significantly longer PFS. Conversely, expression of CD69 over the threshold of 30% even in the β_2M^{low} , sCD23^{low}, 0-I Rai categories identified patients with a poor prognosis, whose PFS duration was similar to those characterized by an unfavorable profile of tumor burden markers (i.e. β_2M^{high} , sCD23^{high} or II-IV Rai) (Figure 6A-C).

Multivariate analyses

CD69, CD38 ZAP-70, β_2M , sCD23 and mod-Rai were included in a Cox's proportional hazard regression model to test their strength as independent prognostic factors for PFS. In a cohort of 363 of 417 patients, in which all the above mentioned prognostic factors were available at the same time, CD69 was an independent prognosticator, along with ZAP-70 and the 3 markers of tumor burden (Table 2). On the other hand, if we included CD49d, the IGHV mutational status and FISH cytogenetics, available only in 209 of 363 patients at the same time, the multivariate model again selected this covariate as an independent prognosticator ($P = 0.017$) (Table 2). Because of the differences in the prognostic relevance of CD69 expression in β_2M^{low} versus β_2M^{high} CLL, or in CLL with sCD23^{low} versus sCD23^{high} or in 0-I Rai versus II-IV Rai CLL, as seen by the trend of PFS curves (Figure 6A-C), the possibility of interactions between CD69 and these clinical variables was considered and assessed by bivariate Cox's proportional hazards models. In fact, CD69 over 30% in CLL with β_2M^{low} or sCD23^{low} or 0-I Rai stages was associated with a hazard ratio (HR) for progressive disease of 2.88 or 2.74 or 2.79, respectively. On the other hand, no additional prognostic information was provided when CD69 over 30% or below 30% was tested in the context of CLL expressing β_2M^{high} (HR=1.34) or sCD23^{high} (HR=1.27) or II-IV Rai stages (HR=1.21).

To consider interactions between CD69 and β_2M or CD69 and sCD23, and to evaluate their independent prognostic value for PFS, these combinations were incorporated in a further multivariate analysis along with all the other biological markers (CD38, ZAP-70 and IGHV mutational status) tested in our study. CLL expressing a CD69^{high}/ β_2M ^{low} or a CD69^{high}/sCD23^{low} phenotype or a CD69^{high}/Rai 0-I were associated with an increased independent risk of progressive disease (HR=2.13, HR=1.82 and HR=1.87, respectively) compared to CLL expressing CD69^{low}/ β_2M ^{low} or CD69^{low}/sCD23^{low} or CD69^{low}/Rai 0-I phenotypes (Table 3). Conversely, CD69 values failed to provide additional prognostic information in the β_2M ^{high} or sCD23^{high} or Rai II-IV categories.

The value of CD69 as independent prognosticator for OS was checked by multivariate Cox's proportional hazards analysis applied to models including the prognosticators proven to be significant in univariate analyses (Table 1). In our cohort of 363 of 417 patients, multivariate analysis selected CD69 ($P=0.00002$), ZAP-70 ($P=0.000008$), CD38 ($P=0.001$), age ($P=0.000003$) as significant independent prognosticators (Table 2). In a further model, adjusting also for the IGHV status, FISH cytogenetics and CD49d, available at the same time in a smaller number of patients, (209 of 363 cases; Table 2) again CD69 was an independent prognostic factor for OS ($P=0.039$), along with age ($P=0.011$).

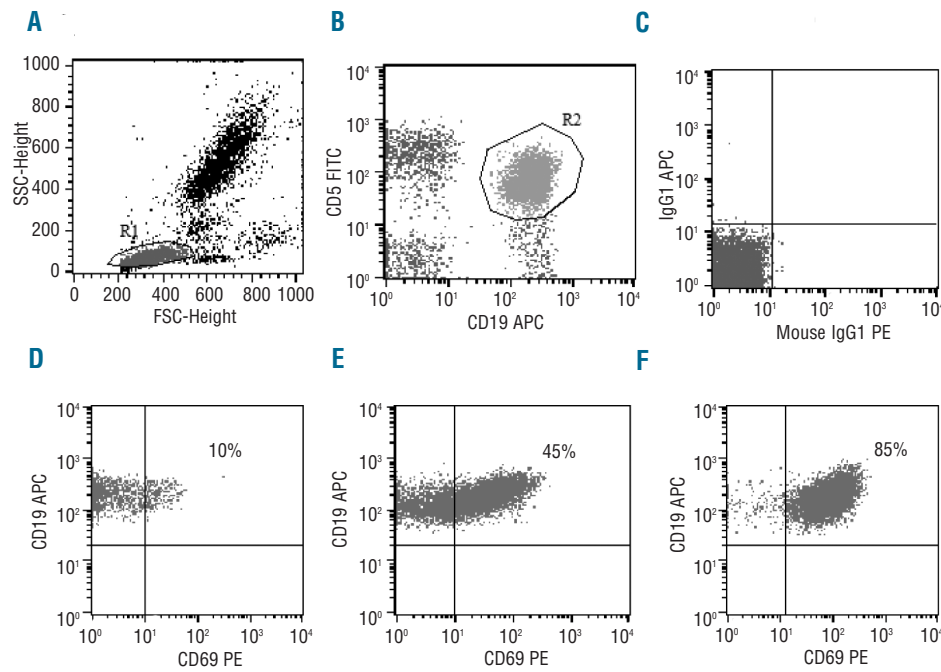


Figure 1. Characteristics of CD69 expression. (A) Forward and side scatter of a representative PB sample is shown with a region (R1) drawn around the lymphocytes. Lymphocytes were R1 gated and then B-CLL (CD5⁺CD19⁺) cells (the R2 region in plot (B)) were selected according to their phenotype. (C) Expression of mouse IgG1 isotypic controls after lymphocyte (R1) gating. (D-F) Flow cytometric dot-plots of CD19-APC versus CD69-PE expression on PB samples of 3 representative CLL cases. Reported percentage of CD69-expressing cells are calculated on the gated CD5⁺CD19⁺ CLL population (R2). In each case, mouse IgG1 isotypic antibody is used as control for CD69 positivity.

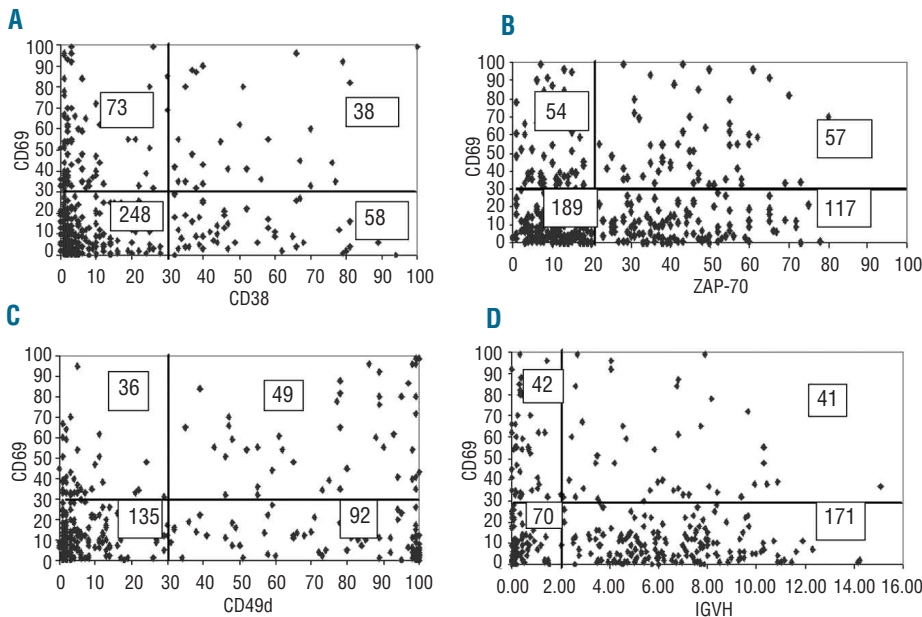


Figure 2. Bi-variant plots for CD69 and CD38 or ZAP-70 or CD49d or IGHV. y axis reports values for CD69 and x axis values for (A) CD38, (B) ZAP-70, (C) CD49d and (D) IGHV mutations, measured as percentage of positive cells (CD69, CD38, ZAP-70, CD49d) or percentage mutations (IGHV). Number of cases expressing concordant and discordant profiles are reported within each quadrant of the plots.

Discussion

The aim of our study was to validate in a large cohort of patients the clinical impact of CD69 as independent prognostic factor for CLL in relationship with other biological prognosticators (CD38, ZAP-70, IGHV mutations, cytogenetic abnormalities) or with tumor burden indicators (Rai clinical stages, β_2M , sCD23).⁸⁻¹²

Expression of CD69 was performed by a direct 3-color immunofluorescence and this combination provided a simple flow cytometric method that could be introduced into a routine immunophenotyping panel in a clinical diagnostic laboratory. However, a standardized procedure which avoids some of the difficulties encountered with

ZAP-70, and to a lesser extent with CD38, has still not been established. The optimal cut off for CD69 expression yielding the best separation of CLL patients into 2 subsets with significantly different prognosis was fixed at 30% of positive cells by applying the most commonly used methods.^{9,13,32,34} Since our proposal is to use CD69 for prognostic purposes, we confirmed its inter-laboratory reproducibility and the stability over time of its expression.^{21,35} We demonstrated that CD69 expression was significantly correlated with CD38, CD49d and ZAP-70 and IGHV mutational status. CD69 that is up-regulated rapidly with cellular activation resembling B cells at an earlier state of activation, might be able to transduce BCR-mediated signals better with the help of simultaneous ZAP-70 expres-

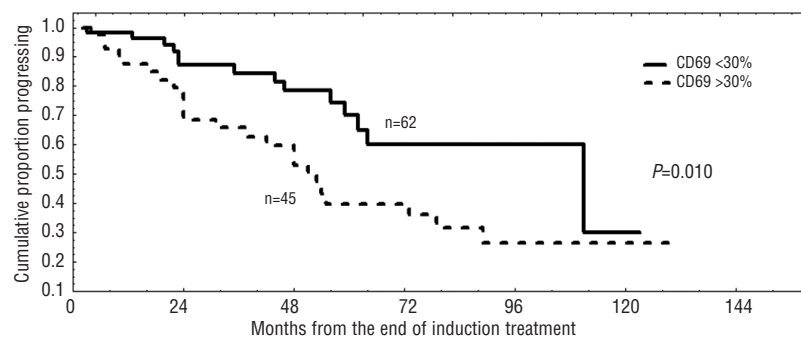


Figure 3. Duration of response after fludarabine and rituximab in relation to CD69 expression. Progression free survival after treatment was significantly longer within CLL subgroup showing CD69 lower than 30% (60% vs. 40% at six years; $P=0.010$).

Table 1. Distribution of prognostic factors in CLL according to CD69 expression.

Parameter	CD69, no <30%	>30%	P^{\dagger}	n^{\S}	10-year OS, %	P^*	10-year PFS, %	P^*
CD38								
<30%	248	73	0.00015	417	89	<0.0001	46	<0.0001
>30%	58	38			54		10	
ZAP-70								
<20%	189	54	0.018	417	94	<0.0001	58	<0.0001
>20%	117	57			61		10	
IGHV								
M	171	41	0.0005	324	91	<0.0001	53	<0.0001
UM	70	42			65		8	
CD49d								
<30%	135	36	0.007	312	92	0.0009	47	<0.0001
>30%	92	49			76		25	
Mod-Rai								
I	111	16	0.00002	417	95	0.00005	68	<0.0001
II-III	195	95			75		27	
FISH								
Normal/del13	175	60	0.04	343	88	0.00006	46	<0.0001
Int/Poor (+12, del11, del17)	74	34			60		7	
sCD23								
<70 U/mL	199	46	<0.0001	364	91	<0.0001	58	<0.0001
>70 U/mL	61	58			59		3	
β_2M								
<2.2 g/L	177	43	0.0005	416	91	<0.0001	62	<0.0001
>2.2 g/L	128	68			68		15	
Age								
<60 years	80	50	0.0003	417	92	0.0005	41	0.68
>60 years	226	61			73		37	

[†]Fisher's exact tests were performed to evaluate the association between CD69 expression (below or above the established threshold set at 30% of positive CLL cells) and other prognostic factors. [§]Values refer to the number of cases analyzed for a given feature. *P values were calculated by the log rank test.

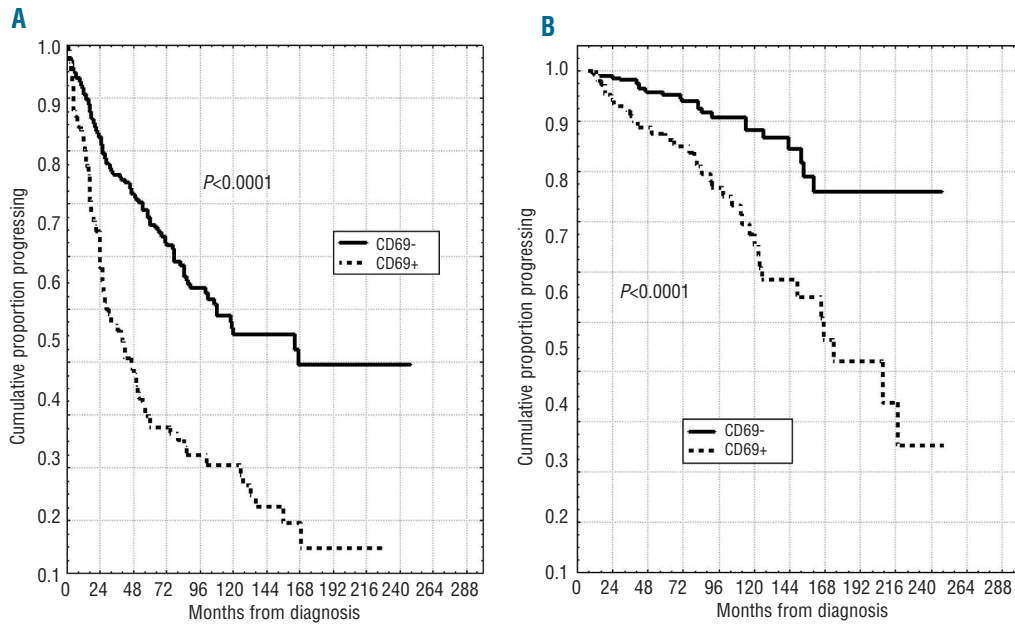


Figure 4. Progression-free survival (PFS) and overall survival (OS) curves based on CD69 expression. Kaplan-Meier plot comparing (A) PFS and (B) OS based on the detection of greater than 30% (CD69⁻) or lower than 30% CD69⁺ B cells (CD69). CD69⁻ patients both experienced a longer PFS and OS ($P < 0.0001$).

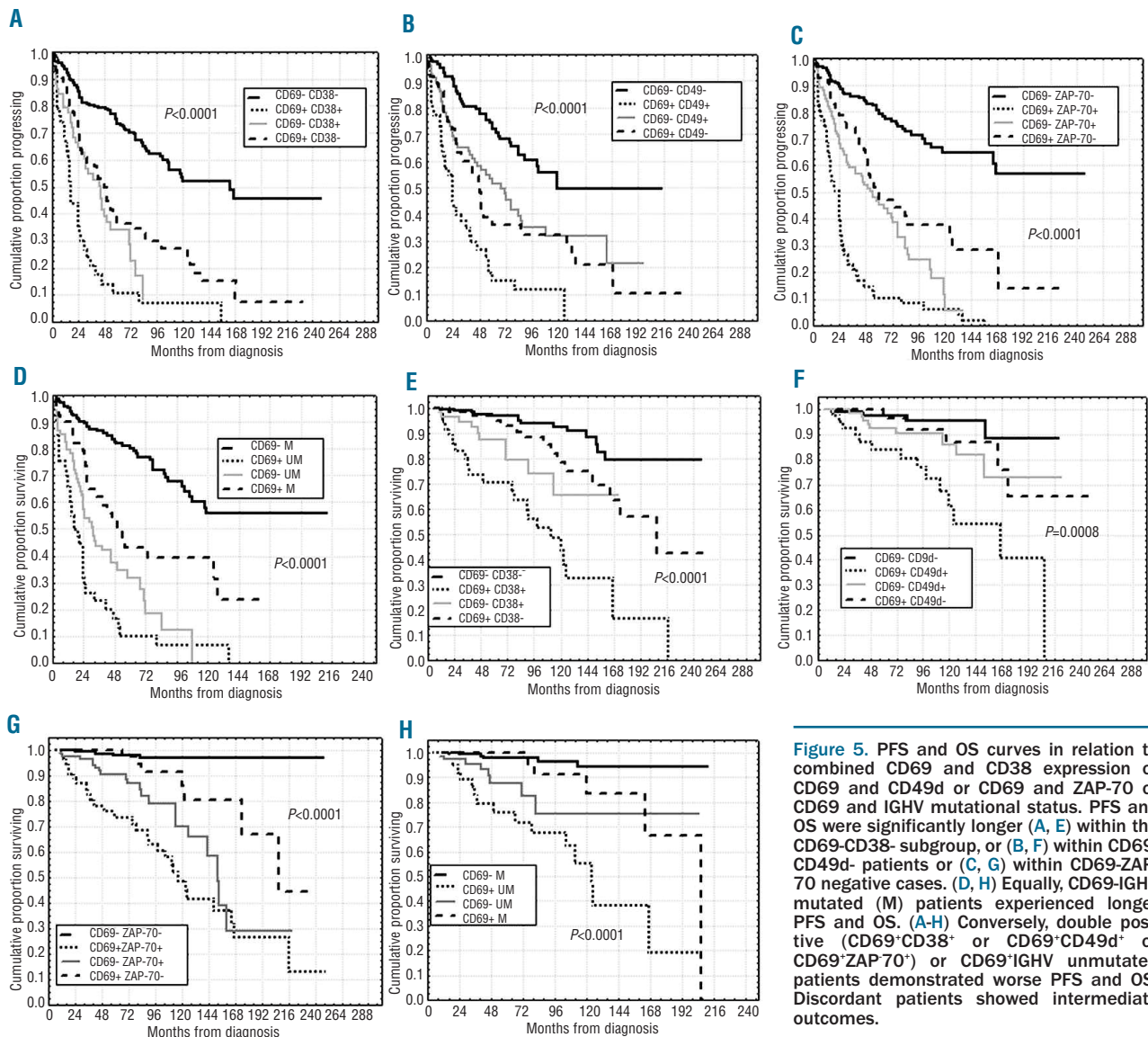


Figure 5. PFS and OS in relation to combined CD69 and CD38 expression or CD69 and CD49d or CD69 and ZAP-70 or CD69 and IGHV mutational status. PFS and OS were significantly longer (A, E) within the CD69-CD38⁻ subgroup, or (B, F) within CD69-CD49d⁻ patients or (C, G) within CD69-ZAP-70 negative cases. (D, H) Equally, CD69-IGHV mutated (M) patients experienced longer PFS and OS. (A-H) Conversely, double positive (CD69⁺CD38⁺ or CD69⁺CD49d⁺ or CD69⁺ZAP70⁺) or CD69⁺IGHV unmutated patients demonstrated worse PFS and OS. Discordant patients showed intermediate outcomes.

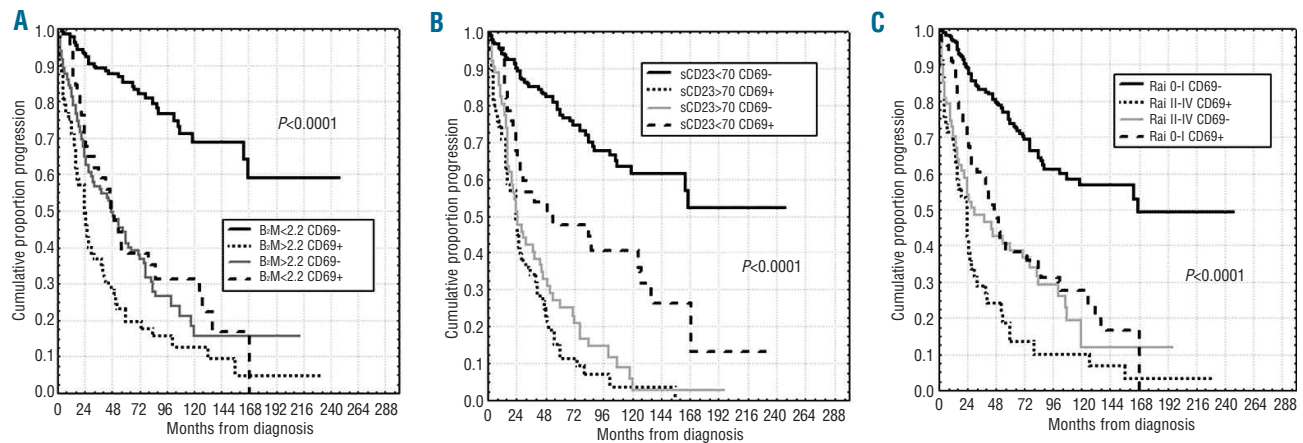


Figure 6. PFS of CD69 in combination with markers of tumor burden. Kaplan-Meier curves obtained by associating CD69 expression to 3 tumor burden markers, i.e. (A) β_2 M, (B) sCD23 serum levels and (C) Rai stage risk groups. For each Kaplan-Meier analysis, 4 groups were compared: patients lacking both negative prognosticators, patients with presence of both negative prognosticators, patients with presence of either one unfavorable prognosticator.

Table 2. Multivariate Cox's regression analysis of PFS and OS.

Parameter	363 patients		HR	P
	PFS HR	OS P		
Age > or <60 years	1.50	0.44	6.58	0.000003
CD69	1.64	0.001	3.59	0.00002
ZAP-70	2.07	0.0001	5.97	0.000008
sCD23	1.83	0.001	0.79	0.50
B2-M	1.70	0.003	2.04	0.065
Mod-Rai	1.96	0.005	1.45	0.51
CD38	1.41	0.05	2.62	0.001

Parameter	209 patients		HR	P
	PFS HR	OS P		
Age > or <60 years	1.11	0.33	6.51	0.011
CD69	1.65	0.017	3.37	0.039
ZAP-70	2.26	0.004	4.09	0.14
sCD23	1.75	0.043	1.57	0.55
B2-M	1.75	0.027	1.87	0.43
Mod-Rai	2.46	0.008	1.63	0.70
CD38	1.11	0.66	2.95	0.08
CD49d	1.08	0.75	1.25	0.74
IGHV	0.50	0.013	0.78	0.75
FISH Cytogenetics	1.37	0.029	1.11	0.81

HR: hazards ratio; PFS: progression free survival; OS: overall survival; age, CD69, ZAP-70, sCD23, B2-M, CD38 and Rai stage data were available at the same time in 363 out of 417 patients; age, CD69, ZAP-70, sCD23, B2-M, CD38, Rai stage, CD49d, IGHV and FISH cytogenetics data were available at the same time only in 209 out of 363 patients.

sion,^{36,37} and may reflect ongoing *in vivo* stimulation, thereby explaining the more aggressive disease course observed in these patients. Such increased intracellular signaling could influence the survival or proliferation of CLL cells, leading to a tendency toward disease progression. It is worthy of note that micro-array analysis revealed an increased expression of CD69 gene in UM CLL upon BCR cross-linking.³⁸ In fact, IgM stimulation of BCR up-regulates several genes associated with the cell cycle allowing cell growth and expansion, thus ultimately contributing to the unfavorable clinical course of these CLL patients. In addition, CD69, tested on CLL cells by flow cytometry, was found to be up-regulated on cells in the tissue microenvironment, both in bone marrow (BM) and lymph nodes (LN). Interestingly, stronger upregulation of CD69 was also found in LN-resident compared with BM-resident CLL cells and LN-derived cells showed an increase in BCR signature genes compared with those from the BM and the PB.³⁹ Moreover, because CD69 is involved in retaining lymphocytes at the site of stimulation,⁴⁰⁻⁴² the levels of this molecule on circulating B-CLL cells might be

less than those in the solid tissue (BM and LN) and, therefore, might indicate that B-CLL cells expressing CD69 are recent emigrants from such sites.⁴³ Tumor proliferation, quantified by the expression of the E2F and c-MYC target genes and verified with Ki67 staining by flow cytometry was highest in the LN and was correlated with clinical disease progression.³⁹ Thus, CD69 appear to offer an easy to perform and reliable marker both of progression and poor outcome in CLL.

From a clinical point of view, CD69 overexpression was significantly correlated with more advanced Rai stages, with large intrathoracic/abdominal lymphadenopathies, splenomegaly and a shorter LDT, all characteristics of an active and aggressive disease. Significant differences in treatment histories were found between CD69 positive and CD69 negative patients because CD69 positive cases underwent treatment more frequently and presented a shorter response duration ($P < 0.0001$ and $P = 0.010$).

We demonstrated that CD69 protein expression was an independent risk factor for PFS and OS in our large series of CLL patients. Furthermore, also higher CD38 or ZAP-

Table 3. Multivariate Cox's regression analyses of PFS with interactions between prognostic factors.

Parameter	HR*	P
CD69 >30% and 2M <2.2 g/L	2.37	0.002
CD69 >30% and sCD23 <70 U/mL	1.79	0.020
CD69 >30% and Rai 0-I	1.87	0.005
ZAP-70	2.33	0.0001
IGHV	0.49	0.001

*HR: hazards ratio.

70 or CD49d expression and sCD23 levels were significantly associated with shorter PFS and OS in this large cohort of patients, confirming our previous results.²¹ Interestingly, combined analysis of CD69 with CD38 or CD49d or ZAP-70 demonstrated that CD69 expression had true additive properties, allowing us to identify CLL subsets (CD69-CD38-; CD69-CD49d-; CD69-ZAP-70-; CD69-M IGHV) presenting a very good outcome with regard to PFS and OS. Conversely, double positive (CD69+CD38+, CD69+CD49d+, CD69+ZAP-70+) subsets and CD69 positive UM IGHV patients showed the worst outcome. Discordant cases showed an intermediate clinical course (Figure 5). Moreover, CD69 was also necessary to correctly prognosticate the active/progressive disease status within selected subsets of patients.⁹ In particular, CD69 levels were found to be necessary to correctly classify groups of patients in the context of CLL with

β_2M^{low} or sCD23^{low} or 0-I Rai stage (Figure 6A-C). In particular, the slow disease progression of β_2M^{low} or of sCD23^{low} or of 0-I Rai stage CLL holds true only if associated with low CD69 expression levels. Notably, no statistical differences in terms of PFS were observed by comparing $\beta_2M^{low}/CD69^{high}$ or sCD23^{low}/CD69^{high} or 0-I Rai stages/CD69^{high} with cases with β_2M^{high} , sCD23^{high} or II-IV Rai stage, irrespective of CD69 expression (Figure 6A-C). Therefore, CD69 appear to be crucial to refine prognosis in CLL patients with a low tumor burden.}

As shown by multivariate analysis, CD69 had an independent prognostic value with regard both to PFS and OS (Table 2), along with ZAP-70 and the 3 markers of tumor burden. Additional multivariate analysis, including also CD49d, FISH cytogenetics and IGHV mutational status, confirmed CD69 as an independent prognosticator (Table 2).

Datasets compiled by the prospective collection of well-characterized CLL patients are now surely warranted in order to confirm the clinical significance of CD69 in CLL.

Authorship and Disclosures

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