

# Correlation of high numbers of intratumoral FOXP3<sup>+</sup> regulatory T cells with improved survival in germinal center-like diffuse large B-cell lymphoma, follicular lymphoma and classical Hodgkin's lymphoma

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*The online version of this article contains a supplemental appendix.*

## ABSTRACT

### Background

The tumor microenvironment is important for the behavior of cancer. We assessed the distribution and biological significance of FOXP3<sup>+</sup> regulatory T-cells (Treg) in lymphomas.

### Design and Methods

The absolute number of intratumoral FOXP3<sup>+</sup> cells was immunohistochemically studied on lymphoma tissue microarrays from 1019 cases of different types of lymphomas and correlated to phenotypic and clinical parameters in uni- and multivariate models. Receiver operating characteristic curves were used to determine prognostic cut-off values of FOXP3<sup>+</sup> cell density.

### Results

Of the 1019 cases, 926 (91%) were evaluable. FOXP3<sup>+</sup> cell density varied between the lymphoma entities, and was highest in follicular lymphoma. An increased number of tumor-infiltrating FOXP3<sup>+</sup> cells over the receiver operating characteristic-determined cut-offs positively influenced both disease-specific and failure-free survival in follicular lymphoma ( $p=0.053$ ) and disease-specific survival in germinal center-like diffuse large B-cell lymphoma ( $p=0.051$ ) and overall and failure-free survival in classical Hodgkin's lymphoma ( $p=0.004$ ), but had a negative prognostic effect in non-germinal center diffuse large B-cell lymphoma ( $p=0.059$ ). In a Cox regression model, considering stage and age, the amount of FOXP3<sup>+</sup> cells was of independent prognostic significance for failure-free survival in classical Hodgkin's lymphoma and of borderline significance for overall survival in classical Hodgkin's lymphoma and disease-specific survival in germinal center-like and non-germinal center diffuse large B-cell lymphoma.

### Conclusions

FOXP3<sup>+</sup> cells represent important lymphoma/host microenvironment modulators. Assessment of FOXP3<sup>+</sup> cell density can contribute to the prediction of outcome in diffuse large B-cell lymphoma, follicular lymphoma and classical Hodgkin's lymphoma.

Key words: lymphoma, Treg, FOXP3, tissue microarray, receiver operating characteristic curves

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## Introduction

The tumor microenvironment is an important factor in the development and progression of cancer.<sup>12</sup> A subset of regulatory T-cells (Treg) in the tumor microenvironment, characterized by a CD4<sup>+</sup>CD25<sup>+</sup> phenotype, has become the focus of interest, given the critical role of these cells in the modification of immune responses, particularly suppression of tumor-associated antigen-reactive lymphocytes.<sup>13</sup> Identification of the *forkhead* transcription factor FOXP3 as a master regulator of Treg development enabled more precise definition of this cell population.<sup>4,5</sup> It has been convincingly shown that FOXP3 is required for Treg suppressor activity, amplifying and fixing Treg molecular features, generating and maintaining their phenotype,<sup>6</sup> and that *FOXP3* gene transfer to CD4<sup>+</sup> cells is sufficient to induce transplantation tolerance.<sup>7</sup>

Recent evidence suggests that the cellular composition of the tumor microenvironment, particularly the quantity of tumor-infiltrating Treg, can significantly modify the clinical outcome in hematologic malignancies, particularly in follicular lymphomas (FL) and classical Hodgkin's lymphomas (cHL).<sup>8-11</sup> Importantly, the transforming lymphomagenesis events in both FL and cHL probably occur during the so-called germinal center (GC) reaction,<sup>12,13</sup> which is the most important step in B-cell maturation during T-cell dependent antigen responses.<sup>14</sup> The so-called follicular helper T cells (T<sub>FH</sub>) are thought to play a considerable role in inducing somatic hypermutation and immunoglobulin (Ig) class switching in B cells undergoing a GC reaction.<sup>15-18</sup> It has been shown that

Treg potently suppress T<sub>FH</sub> and T<sub>FH</sub>-mediated B-cell functions such as Ig production and B-cell survival.<sup>19,20</sup> Moreover, FOXP3<sup>+</sup> Treg can directly suppress and even kill B cells.<sup>21,22</sup>

The importance of tumor-infiltrating FOXP3<sup>+</sup> cells in lymphomas has been addressed in only a few studies thus far.<sup>9-11,23-27</sup> To further characterize the distribution and correlate the quantity of tumor-infiltrating FOXP3<sup>+</sup> cells to phenotypic and clinical parameters in lymphomas, we performed a tissue microarray (TMA) analysis on previously validated TMA, encompassing 1019 cases, applying receiver operator characteristics (ROC)-based methods to determine prognostic cut-off levels of FOXP3<sup>+</sup> cell density.

## Design and Methods

### Samples

Mature B- and T-cell lymphomas and cHL cases (n=1019) diagnosed between 1974 and 2001 and reclassified according to current criteria<sup>28</sup> were collected from the archives of the Institutes of Pathology at the Universities of Basel, Innsbruck and Bologna. Paraffin blocks were selected based on availability and preservation. Clinical and follow-up data (Table 1) were obtained from chart reviews. Tissue and clinical data were retrieved according to the regulations of the local institutional review boards and data protection laws. Details on treatment regimens and definitions of response, relapse and treatment failures are given in the online *Supplementary Data*.

**Table 1.** Characteristics of the patients for whom tissue microarray were constructed. The number of cases with known International Prognostic Index scores in DLBCL and the presence of B-symptoms in cHL cases are indicated in brackets.

Lymphoma	N	%	Stage				Age (mean±SD)	M:F	N follow-up	Overall survival		
			I (IPI 0-1)	II (IPI 2)	III (IPI 3)	IV/known (IPI 4-5 or BS)				Mean months	Median months	Relative 5-year %
SLL/CLL	85	12					66±11	2.1	63	94	105	74
Mantle cell lymphoma	19	2	0	3	0	8/11	64±14	5	15	37	22	19
Primary DLBCL	270	36	28 (67)	50 (30)	34 (32)	49/161 (23/153)	63±16	1.2	207	85	42	52
Secondary DLBCL	31	4	1	4	3	10/17	63±12	1.3	27	49	16	36
Follicular lymphoma G1-3	86	12	4	22	5	28/59	57±15	0.6	82	126	not reached	83
Burkitt's lymphoma	6	0.8	0	1	0	0/1	20±7	1	0			
MZL of MALT	73	10	30	15	0	0/45	62±14	1.3	42	181	219	88
MZL + DLBCL	58	8					65±16	1.2	44	77	71	54
PMBCL	51	7					29±13	0.2	0			
PTCL, NOS	28	4	1	0	2	4/7	66±16	1.5	16	54	16	36
Angioimmunoblastic [T-cell lymphoma	28	4	0	0	7	8/15	68±14	1.2	18	37	22	35
Anaplastic large [cell lymphoma	4	0.5					35±27	1	1			
<b>Total B-/T-cell lymphomas</b>	<b>739</b>								<b>515</b>			
cHL, nodular sclerosis	156	56	6	38	15	22/81 (28)	34±16	1	84	244	269	86
cHL, mixed cellularity	93	33	10	15	7	6/38 (19)	44±21	2	49	163	130	75
cHL, lymphocyte rich	11	4	0	1	3	0/4 (2)	42±18	1.2	6	232	not reached	75
cHL, lymphocyte depleted	7	2	0	1	1	0/2 (0)	48±16	1.3	4	200	192	75
cHL, unclassifiable	13	5	0	5	4	0/9 (5)	44±20	1.6	9	225	not reached	100
<b>Total cHL</b>	<b>280</b>								<b>152</b>			

IPI, International Prognostic Index; BS, B-symptoms; SLL/CLL, small lymphocytic lymphoma/chronic lymphocytic leukemia; G1-3, grades 1-3; MZL, marginal zone lymphoma; PMBCL, primary mediastinal B-cell lymphoma; PTCL, NOS, peripheral T-cell lymphoma, not otherwise specified

### Tissue microarray construction

The tissue microarray (TMA) were constructed and validated as described elsewhere<sup>29-35</sup> (see *Supplementary Data*). In cases of FL, neoplastic GC were sampled for arraying.

### Morphometrical analysis

The total number of cells and, in cases of cHL, of Hodgkin and Reed-Sternberg cells (HRSC) on the array spots was counted on hematoxylin and eosin-stained slides and, in cases of cHL, on CD30 stained slides at 200 magnification. All morphometric results were mathematically referred to 1 mm<sup>2</sup>. In cases of FL, the results were extrapolated to 1 mm<sup>2</sup> of GC tissue.

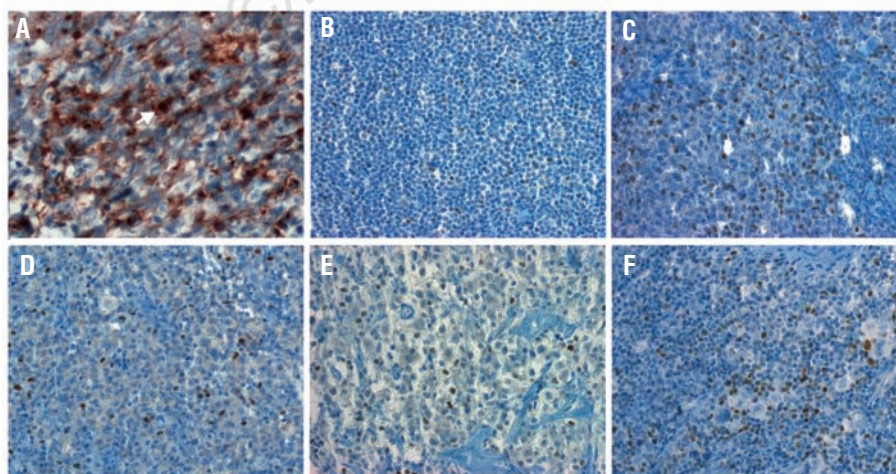
### Immunohistochemistry

TMA slides were processed for FOXP3 staining on an automated immunostainer (Nexes, Ventana, Tucson, AZ, USA). Heat-induced (microwave oven) antigen retrieval in EDTA buffer (pH 8.0) for 30 minutes at 100°C was performed. The streptavidin-biotin-peroxidase detection technique with diaminobenzidine as chromogen was applied. The primary monoclonal anti-FOXP3 antibody (clone 22510 from Abcam, Cambridge, UK) was diluted 1:200 in a 1% solution of bovine serum albumin in phosphate-buffered saline (pH 7.4) and the slides were incubated for 32 minutes at 37°C. For double-stains see *Supplementary Data*. Other relevant primary antibodies considered, their dilutions and antigen retrieval conditions are detailed in our previous publications.<sup>29,31-33,35</sup> Because intensity varies between cases due to different tissue preservation, only the absolute count of positively staining cells, and not the staining intensity, was considered. The FOXP3 staining was validated on 14 lymph nodes and tonsils. To assess the agreement of the staining results for FOXP3 between conventional- and TMA slides, a direct comparison was performed in 35 arrayed cases [12 diffuse large B-cell lymphomas (DLBCL), 7 FL, 5 small lymphocytic

lymphomas/chronic lymphocytic leukemias (SLL/CLL), 2 angioimmunoblastic T-cell lymphomas (ALLT), 4 anaplastic large cell lymphomas (ALCL), and 5 cHL]. Applying Hans' algorithm<sup>36</sup> with cut-off values for markers determined by ROC (i.e. 15% for CD10, 30% for bcl-6 and 65% for MUM1; see *Statistics*), DLBCL cases were classified as phenotypically GC-like, non-GC DLBCL or unclassifiable.

### Statistics

Statistical analysis including data description was done using the Statistical Package of Social Sciences 14.0 software (Chicago, IL, USA). The agreement between immunohistochemical results from the TMA and conventional full-tissue sections was assessed using the  $\kappa$  statistics, a  $\kappa$ -value of  $\geq 0.75$  implying excellent agreement. The Pearson  $\chi^2$  statistic was used to analyze relationships between the markers and the clinical variables. Analysis of variables (ANOVA) was applied to assess mean differences between groups. The prognostic performance of these variables and determination of optimal cut-off values of continuous variables was established by ROC-curves plotting sensitivity versus 1-specificity. The optimal cut-off point was calculated using Youden's index (Y), where  $Y = \text{sensitivity} + \text{specificity} - 1$ , since this method can be applied when there is no particular requirement on sensitivity and/or specificity.<sup>37</sup> The results from the ROC analysis were considered in every disease entity for overall, disease-specific and failure-free survival. These survival rates were then analyzed by the Kaplan-Meier method applying the cut-off values calculated by the ROC/Y. Survival results for which the ROC or the Kaplan-Meier method indicated statistical significance ( $p < 0.05$ ) or borderline significance ( $p < 0.1$ ) were further considered. The impact of markers that seemed to be of significant or borderline prognostic significance in univariate analyses determined by multivariate analysis done using a Cox regression model.



**Figure 1.** A. Co-expression of CD4 (membranous/submembranous, red) and FOXP3 (nuclear, brown) cells in tonsillar T-lymphocytes. Note the co-localization of staining signals in 14 cells (one highlighted with an arrow) as well as functioning internal negative controls - many CD4<sup>+</sup>/FOXP3<sup>-</sup> T cells. Immunoperoxidase staining,  $\times 400$  magnification. B. Isolated FOXP3<sup>+</sup> cells in small lymphocytic lymphoma. Immunoperoxidase stain,  $\times 400$  magnification. C. Numerous FOXP3<sup>+</sup> cells in follicular lymphoma, grade 3. Immunoperoxidase stain,  $\times 400$  magnification.

D. FOXP3<sup>+</sup> cells in diffuse large B-cell lymphoma. Immunoperoxidase stain,  $\times 400$  magnification. E. FOXP3<sup>+</sup> cells in angioimmunoblastic T-cell lymphoma. Immunoperoxidase stain,  $\times 400$  magnification. F. FOXP3<sup>+</sup> cells in Hodgkin's lymphoma. Note Hodgkin and Reed-Sternberg cells as well as small groups of FOXP3-positive cells. Immunoperoxidase stain,  $\times 400$  magnification.



## Results

### Patients and determination of phenotypic GC-like DLBCL

All the relevant clinical characteristics of the patients are shown in Table 1. For additional life status data see *Supplementary Data*. Applying the modified Hans' algorithm (see *Statistics*), DLBCL cases were classified as phenotypically GC-like (n=81), non-GC DLBCL (n=98) or, due to lacking data on single markers from the decision tree, unclassifiable DLBCL (n=91).

### FOXP3 expression and TMA validation

In normal tissues, FOXP3 was moderately expressed in tonsillar and nodal lymphocyte nuclei with a distribution accentuated in the subepithelial and marginal zones of the tonsils (mean  $35 \pm 17$  FOXP3<sup>+</sup> cells/mm<sup>2</sup> marginal zone tissue), paracortical areas (mean  $15 \pm 9$  FOXP3<sup>+</sup> cells/mm<sup>2</sup> paracortical tissue) and follicles of both tonsils and lymph nodes (mean  $18 \pm 11$  FOXP3<sup>+</sup> cells/mm<sup>2</sup> GC tissue). Unequivocal co-expression of FOXP3 and CD4 or CD25, was detectable on double-stained slides, while expression of FOXP3 on CD4 or CD25-negative cells was not observed (Figure 1A). Comparison of results of conventional lymphoma slides and TMA showed good concordance ( $\kappa=0.87$ ), which was lower in FL ( $\kappa=0.79$ ) and cHL ( $\kappa=0.80$ ), and higher in SLL/CLL ( $\kappa=0.92$ ), ALCL ( $\kappa=0.95$ ) and DLBCL ( $\kappa=0.94$ ).

### Distribution of FOXP3<sup>+</sup> tumor-infiltrating lymphocytes

FOXP3<sup>+</sup> cells could be assessed on TMA for 677 (92%) B-

and T-cell lymphomas and 249 (89%) cHL (Table 2). The analysis failure rate was within the expected range for TMA.<sup>30</sup> FOXP3<sup>+</sup> cell count and density varied between the studied lymphoma entities from 0 to 882/mm<sup>2</sup> (mean 32/mm<sup>2</sup>) and 0 to 48% (mean 1.6%) in B- and T-cell lymphomas and from 0 to 626/mm<sup>2</sup> (mean 42/mm<sup>2</sup>) and 0 to 22% (mean 1.8%) in cHL, being higher in FL, AILT, nodular sclerosis cHL, primary mediastinal B-cell lymphomas (PMBCL) and peripheral T-cell lymphomas (PTCL) and lower in marginal zone lymphomas (MZL), mantle cell lymphomas (MCL) and lymphocyte-rich cHL (Figure 1 B-F). In cHL, FOXP3<sup>+</sup> cells were rarely in the direct proximity to HRSC. We did not detect FOXP3-expression on the atypical cells of PTCL and AILT or on any B-cell lymphoma cells and HRSC (Figure 1 B-F). Despite *in vitro* data on the ability of the NPM/ALK oncoprotein to induce a Treg cell phenotype with expression of FOXP3 mRNA in ALCL,<sup>38</sup> we did not detect FOXP3 protein expression in the lymphoma nuclei of the four arrayed ALK<sup>+</sup> ALCL, although tumor-infiltrating FOXP3<sup>+</sup> small lymphocytes were present. All morphometric results are summarized in Table 2 and partially shown in Figure 1B-F.

### Statistics

#### Correlations of FOXP3<sup>+</sup> cell quantity with infiltrating T cells and lymphoma transformation

There were specific associations between the numbers of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and CD3<sup>+</sup> T cells in all phenotypic subtypes of primary DLBCL ( $p=0.001$ ; correlation coefficient 0.343) and in FL ( $p=0.008$ ; correlation coefficient 0.292), but

**Table 2.** Morphometric characteristics and FOXP3<sup>+</sup> cell density in arrayed B- and T-cell- and classical Hodgkin's lymphomas.

Lymphoma	N. evaluable		FOXP3 <sup>+</sup> /mm <sup>2</sup>	FOXP3 <sup>+</sup> proportion	Cells/core=0.283 mm <sup>2</sup>	Hodgkin's and Reed-Sternberg cells/core (mean±SD)
	%			%		
SLL/CLL	84	99	21±26	0.4±0.8	2157±1164	
Mantle cell lymphoma	19	100	23±33	0.2±0.4	2730±933	
Primary DLBCL	248	92	27±34	2.1±6	912±676	
GC-like <sup>36</sup>	81		25±39	2.2±5.9	902±678	
non-GC <sup>36</sup>	98		28±44	1.8±5.1	863±661	
unclassifiable	91		27±41	2.4±5.8	892±666	
Secondary DLBCL	28	88	37±62	1.8±3.2	1075±812	
Follicular lymphoma G1-3	83	97	94±71	2.5±4.8	1729±1016	
Burkitt's lymphoma	6	100	6±4	0.4±0.7	730±317	
MZL of MALT	60	82	2±2	0.08±0.3	921±400	
MZL+DLBCL	47	81	2±2	0.1±0.4	482±153	
PMBCL	48	94	37±74	2.6±4.7	626±390	
PTCL, NOS	27	99	34±54	2.6±4.7	1317±1101	
Angioimmunoblastic [T-cell lymphoma	23	82	61±84	2.2±3.1	954±548	
Anaplastic large [cell lymphoma	4	100	15±11	3.9±6.7	706±773	
<i>p</i> <sup>ANOVA</sup>			<0.0001	<0.0001	<0.0001	
cHL, nodular sclerosis	138	86	49±71	2±3.1	1325±821	13±20
cHL, mixed cellularity	87	91	38±63	1.7±3.7	1074±700	8±12
cHL, lymphocyte rich	9	100	7±11	0.2±0.4	1724±635	5±7
cHL, lymphocyte depleted	5	71	33±29	1.9±2.8	604 ±20	28±47
cHL, unclassifiable	10	100	21±16	1.9±4	705±311	10±8
<i>p</i> <sup>ANOVA</sup>						0.034

SLL/CLL, small lymphocytic lymphoma/chronic lymphocytic leukemia; G1-3, grades 1-3; MZL, marginal zone lymphoma; PMBCL, primary mediastinal B-cell lymphoma; PTCL, NOS, peripheral T-cell lymphoma, not otherwise specified

not with the number of CD4<sup>+</sup> tumor-infiltrating lymphocytes. In cHL cases there was no correlation between the number of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and Epstein-Barr virus-status, but there was a weak correlation with the number of CD3<sup>+</sup> T cells ( $p=0.045$ ; correlation coefficient 0.138). Except for transformed DLBCL evolving from FL, which showed decreased amounts of FOXP3<sup>+</sup> cells (33/mm<sup>2</sup>) compared to FL (94/mm<sup>2</sup>,  $p=0.075$ ), DLBCL evolving from SLL/CLL and MZL with a DLBCL-component showed no specific changes of FOXP3<sup>+</sup> cell amounts compared to SLL/CLL and MZL. For additional data see *Supplementary Data*.

#### Setting the cut-off values for FOXP3<sup>+</sup> cell quantity

ROC showed significant discriminatory power considering overall, disease-specific and failure-free survival rates for the number of tumor-infiltrating FOXP3<sup>+</sup> cells in FL, DLBCL, CLL/SLL and cHL (Table 3). A typical ROC curve for the discriminatory power of the number of FOXP3<sup>+</sup> cells is shown in Figure 2A. Comparison of the results linked to clinical end-points by the Kaplan-Meier method unequivocally showed the superior discriminating power of the cut-off levels calculated considering the ROC/Y compared to means, medians and quartile values (see *Supplementary Figure*).

#### Survival analysis associated with quantity of FOXP3<sup>+</sup> cells

Overall, disease-specific and failure-free survival rates were analyzed by the Kaplan-Meier method applying cut-off values determined by the ROC/Y and primary dichotomized clinical characteristics. The absolute amount of tumor-infiltrating FOXP3<sup>+</sup> cells was of significant prognostic importance for overall and disease-specific survival in DLBCL, disease-specific and failure-free survival in FL, and overall and failure-free survival in cHL (Figure 2B-F). A trend toward better overall survival was observed in AILT, with >40.4 FOXP3<sup>+</sup> cells/mm<sup>2</sup> ( $p=0.191$ ). All relevant results concerning the prognostic importance of tumor-infiltrating FOXP3<sup>+</sup> cells are shown in Table 3 and Figure 2B-F. In a Cox regression model the amount of tumor-infiltrating FOXP3<sup>+</sup> cells was of independent prognostic significance for failure-free survival in cHL and of borderline significance for over-

all survival in cHL and disease-specific survival in GC-like and non-GC DLBCL (Table 4), in DLBCL, in general, not being independent of the International Prognostic Index (*data not shown*).

## Discussion

Our analyses extended results of previous studies on tumor-infiltrating FOXP3<sup>+</sup> cells in lymphomas by taking advantage of validated lymphoma TMA with continuous referencing to 1 mm<sup>2</sup>, application of commercially-available monoclonal antibodies in a large cohort (n=1019) of cases with distributions, except for SLL/CLL, similar to that expected in an average Middle European population,<sup>39</sup> and a ROC-curve based approach to calculate optimal cut-off-points for the prognostic evaluation of FOXP3<sup>+</sup> cell numbers. The soundness of our analysis, the multiple previous validations of the TMA used<sup>29-35</sup> as well as the  $\kappa$ -values for the comparison of results from conventional lymphoma slides and TMA guarantee the reliability of the data obtained. We clearly demonstrated that the FOXP3<sup>+</sup> cell density varies between different lymphoma types and that do lymphoma-infiltrating FOXP3<sup>+</sup> cells may represent important lymphoma/host microenvironment-modulators, since increased amounts of these cells can positively influence survival in FL, GC-like DLBCL and cHL. Because neither CD3<sup>+</sup> nor CD4<sup>+</sup> tumor infiltrating lymphocytes correlated with prognosis, but only the amount of FOXP3<sup>+</sup> cells, the latter obviously do not simply reflect the T-cell infiltration (see *last paragraph of the Discussion*).

Our FOXP3<sup>+</sup> data are concordant with flow cytometry data from 24 B-cell lymphoma patients<sup>25</sup> showing that CD4<sup>+</sup>CD25<sup>+</sup> cells represent 17% of the median amount of 19% (i.e. 3%) CD4<sup>+</sup> cells in the lymphoma specimens. Nevertheless, our results are partially at variance with those of other immunohistochemical studies.<sup>9,10,26</sup> Some differences between our results and those of these studies could be attributed to different anti-FOXP3 antibodies and dilutions (undiluted, clone 236A/E7M,<sup>9,10</sup> 1:25 dilution, clone mAbcam 22510<sup>26</sup> and 1:200 dilution, clone mAbcam

**Table 3.** Determination of optimal cut-off levels considering overall survival, disease-specific survival and failure-free survival for FOXP3<sup>+</sup> cell density applying receiver operating characteristic curves; the  $p$  values in the ROC-columns consider the discriminatory power of the suggested cut-off, the next two percent values correspond to the sensitivity and specificity of the test at the chosen cut-off value.

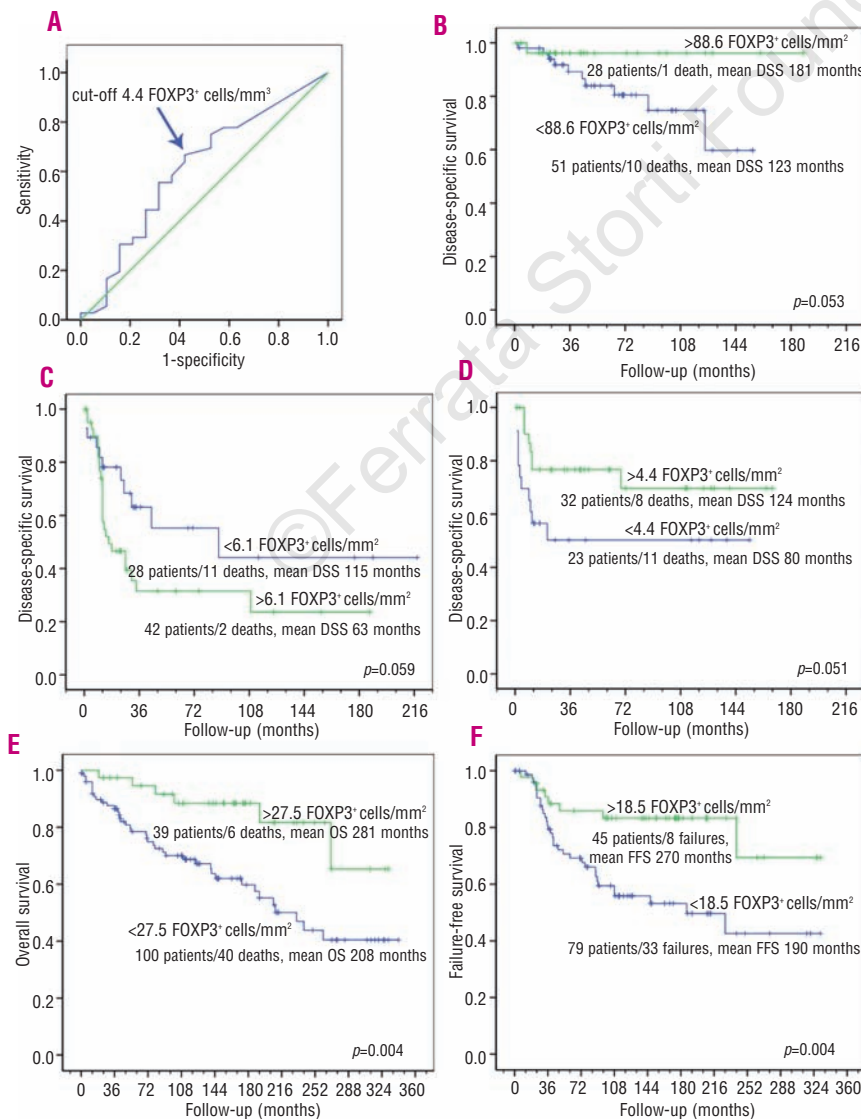
Entity	FOXP3 <sup>+</sup> ROC <sup>OS</sup>	OS <sup>K-M</sup>	FOXP3 <sup>+</sup> ROC <sup>DSS</sup>	DSS <sup>K-M</sup>	FOXP3 <sup>+</sup> ROC <sup>FFS</sup>	FFS <sup>K-M</sup>
GC-like DLBCL	4.5/mm <sup>2</sup> ( $p=0.115$ ; 85/41%)	0.062	4.4/mm <sup>2</sup> ( $p=0.089$ ; 67/58%)	0.051		
non-GC DLBCL			6.1/mm <sup>2</sup> ( $p=0.066$ ; 70/50%)	0.059		
FL G1-3			88.6/mm <sup>2</sup> ( $p=0.107$ ; 40/91%)	0.053	88.6/mm <sup>2</sup> ( $p=0.115$ ; 40/91%)	0.05
SLL/CLL	8.8/mm <sup>2</sup> ( $p=0.150$ ; 47/75%)	0.083				
cHL, all	27.2/mm <sup>2</sup> ( $p=0.029$ ; 35/87%)	0.004			18.4/mm <sup>2</sup> ( $p=0.026$ ; 45/82%)	0.004
cHL, nodular sclerosis					18.7/mm <sup>2</sup> ( $p=0.031$ ; 50/88%)	0.003
cHL, mixed cellularity	3.5/mm <sup>2</sup> ( $p=0.062$ ; 52/74%)	0.063			3.5/mm <sup>2</sup> ( $p=0.102$ ; 54/71%)	0.051

The significance of FOXP3<sup>+</sup> cell density considering OS, DSS and FFS was tested after dichotomization by the Kaplan-Meier (K-M) method. Factors in which values above the cut-off had adverse prognostic effects are shown in italics. ROC, receiver operating characteristic; OS, overall survival; DSS, disease-specific survival; FFS, failure-free survival

22510 [our study]). Importantly, the antibody clone 236A/E7M stained a notably larger population of cells than another anti-FOXP3 monoclonal antibody (14-5779, eBioscience, San Diego, CA, USA),<sup>40</sup> and validation experiments of the staining specificity of mAbcam 22510 used at 1:25 dilution were not reported.<sup>26</sup> In FL, in particular, differences could be related to our *a priori* arraying of cores from neoplastic GC for TMA construction and consideration of conventional slides by Carreras *et al.*<sup>10</sup> The peri- and interfollicular FOXP3<sup>+</sup> cells,<sup>11</sup> which are reportedly more numerous than those in the follicular compartment,<sup>10</sup> were not, therefore, included in our assessment. Nevertheless, the proportional decrease of FOXP3<sup>+</sup> cells in FL and transformed DLBCL evolving from FL observed by us was 2.7-fold (from 94/mm<sup>2</sup> to 33/mm<sup>2</sup>), which was similar to the 4.4-fold decrease observed by Carreras *et al.* (from 9.6 to 2.2%). Furthermore, the proportional differences in the amount of FOXP3<sup>+</sup> cells in neoplastic follicles of FL and in normal GC from tonsils and lymph nodes were similar in the two studies. Our results cannot be compared to those

of other publications on FL and DLBCL since the authors used a *hot-spot* quantification technique and did not detail their morphometric and case distribution data.<sup>11,23,26</sup> In cHL, some differences from the study by Alvaro *et al.* could also be related to the *hot-spot* technique.<sup>9</sup> Again, the relative proportion of cHL cases with FOXP3<sup>+</sup> cell counts over the mean $\pm$ 10%, as used by Alvaro *et al.*,<sup>9</sup> was similar to that in our study (20.4% and 19.2%, respectively).

Our study is the first to apply ROC curves for determination of cut-off levels.<sup>37</sup> Linking the results for the prognostic importance of FOXP3<sup>+</sup> cell quantity in the various lymphoma subtypes to overall, disease-specific and failure-free survival, allowed the identification of distinct entity-specific cut-off values for FOXP3<sup>+</sup> cell density for these clinical end-points. Analyzing the prognostic value of FOXP3<sup>+</sup> cell quantity in a differentiated manner, we suggest a positive prognostic influence of an increased amount of these cells not only in FL and cHL, but also, by factoring the probable tumor cell origin in DLBCL, in GC-like DLBCL defined by Hans' algorithm,<sup>36</sup> which would have been masked when



**Figure 2.** (A) Receiver operating characteristics (ROC)-curve indicating the prognostic discriminatory power of FOXP3<sup>+</sup> cells/mm<sup>2</sup> in germinal center-like diffuse large B-cell lymphomas. Note that the labeled curve point at a sensitivity of 0.67 (67%) and specificity of 0.58 (58%) (1-specificity = 0.42) is closest to coordinates (0.0;1.0). Considering disease-specific survival, these coordinates correspond to an optimal cut-off for the amount of FOXP3<sup>+</sup> cells/mm<sup>2</sup> at 4.4 cells/mm<sup>2</sup>. (B) Kaplan-Meier disease-specific survival analysis in follicular lymphomas with respect to numbers of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and cut-offs calculated by ROC. (C) Kaplan-Meier disease-specific survival analysis in non-germinal center diffuse large B-cell lymphomas with respect to the number of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and cut-offs calculated by ROC. (D) Kaplan-Meier disease-specific survival analysis in germinal center-like diffuse large B-cell lymphomas with respect to the number of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and cut-offs calculated by ROC. (E) Kaplan-Meier overall survival analysis in classical Hodgkin's lymphomas with respect to the number of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and cut-offs calculated by ROC. (F) Kaplan-Meier failure-free survival analysis in classical Hodgkin's lymphomas with respect to the number of FOXP3<sup>+</sup> cells/mm<sup>2</sup> and cut-offs calculated by ROC. See also Table 3.

**Table 4.** Multivariate analysis for the independent prognostic value of FOXP3<sup>+</sup> cell density considering overall survival, disease-specific survival and failure-free survival in selected lymphoma entities.

Entity	Factor	Relative risk (range)	p value
GC-like DLBCL <sup>DSS</sup>	Age	1.03 (0.99-1.07)	0.134
	Stage	1.30 (0.82-2.06)	0.268
	FOXP3	0.39 (0.14-1.08)	0.079
Non-GC DLBCL <sup>DSS</sup>	Age	1.04 (1.01-1.07)	0.017
	Stage	1.57 (1.08-2.29)	0.019
	FOXP3	2.27 (0.93-5.55)	0.071
cHL, all <sup>OS</sup>	Age	1.06 (1.04-1.09)	<0.0001
	B-symptoms	1.56 (0.67-4.62)	0.302
	Stage	1.10 (0.71-1.69)	0.663
	FOXP3	0.43 (0.16-1.13)	0.087
cHL, mixed cellularity <sup>OS</sup>	Age	1.06 (1.02-1.10)	0.004
	B-symptoms	1.43 (0.24-8.55)	0.695
	Stage	1.54 (0.64-3.71)	0.338
	FOXP3	0.12 (0.02-1.03)	0.054
cHL, all <sup>FFS</sup>	Stage	1.4 (1.01-2.07)	0.047
	FOXP3	0.4 (0.19-0.89)	0.026
cHL, nodular sclerosis <sup>FFS</sup>	Stage	1.6 (1.05-2.59)	0.031
	FOXP3	0.2 (0.06-0.72)	0.013

Factors were selected on the basis of potential statistical significance in univariate models ( $p < 0.1$ ). OS, overall survival; DSS, disease-specific survival; FFS, failure-free survival

analyzing all DLBCL cases collectively.<sup>26</sup> Taken together, our findings and previous observations,<sup>9-11,19-22</sup> point towards the possibility of retained direct or indirect cellular communications between Treg and B-cell lymphoma cells in GC-associated neoplasms. Since we were not able to define any correlation between FOXP3<sup>+</sup> cell numbers and lymphoma cell cycle deregulation, surface protein expression or Epstein-Barr virus, particularly in cHL, we suggest that the ability of FOXP3<sup>+</sup> Treg to directly suppress and even kill B cells,<sup>21,22</sup> to suppress T<sub>H1</sub> and T<sub>H2</sub>-mediated B-cell functions such as survival,<sup>19,21</sup> and to allow the accumulation of large numbers of TIA-1<sup>+</sup> cells,<sup>9,26</sup> could be important in GC-derived lymphomas. Interestingly, the observed trend towards better overall survival in AILT with increased FOXP3<sup>+</sup> cell numbers also points to possible retained cellular communication loops between Treg and GC T<sub>H2</sub>; the neoplastic cells of AILT being regarded as molecularly linked to T<sub>H2</sub>.<sup>41</sup> Contrary to GC-like DLBCL, but similarly to epithelial malignancies, in non-GC DLBCL and Hans'-unclassifiable DLBCL (*data not shown*), increased FOXP3<sup>+</sup> cell numbers correlated with an

adverse clinical outcome (disease-specific and failure-free survival rates) despite the fact that the absolute FOXP3<sup>+</sup> cell numbers were similar in GC-like and non-GC DLBCL. The reason for this phenomenon is unclear, but assuming that non-GC DLBCL are derived from activated B-cells from later developmental stages, the recently observed dysfunctional Treg in another late-developmental stage B-cell neoplasia, multiple myeloma, is intriguing.<sup>42</sup> In such post-GC derived B-cell neoplasms, there might be additional cell communication loops leading to functional down-regulation of Treg. Indeed, the cytokines interleukin-6 and tumor necrosis factor- $\alpha$  are differently distributed among GC and non-GC DLBCL with particularly high levels in non-GC DLBCL.<sup>43</sup> Both interleukin-6<sup>44,45</sup> and tumor necrosis factor- $\alpha$ <sup>46</sup> can inhibit the function of Treg, suggesting that an increased number of Treg, more frequently observed by us in non-GC DLBCL with adverse clinical outcomes, may not reflect their functional activity.

In summary, our standardized TMA-based morphometric approach and ROC-based analysis for determining cut-off levels revealed profound quantitative differences in FOXP3<sup>+</sup> cell distribution among different lymphoma entities, provided further evidence that FOXP3<sup>+</sup> cells probably represent important lymphoma/host microenvironment modulators in distinct lymphoproliferative diseases, and demonstrated that increased FOXP3<sup>+</sup> cell numbers can positively influence survival in GC-derived neoplasms such as FL, GC-like DLBCL and cHL.

## Authorship and Disclosures

AT designed the study, collected cases, constructed the TMA, performed, together with CM, the morphological analysis, performed the statistical analysis and wrote the manuscript; CM performed, together with AT, the morphological analysis and generated the statistical tables; PH performed the immunohistochemical stainings and double-stainings; PW collected cases, constructed TMA, contributed to writing the manuscript, and critically read the manuscript; SAP collected cases, contributed to writing the manuscript, and critically read the manuscript; SD supervised the work, contributed to writing the manuscript, substantially contributed to the discussion of the results, collected cases, and critically read the manuscript.

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