

# Flow cytometric analysis of cerebrospinal fluid identifies patients with occult central nervous system involvement in relapsed pediatric acute lymphoblastic leukemia

Central nervous system (CNS) involvement is associated with a poor prognosis and higher risk of CNS relapse in childhood acute lymphoblastic leukemia (ALL).<sup>1</sup> The conventional method for identifying CNS involvement is by cytopspin of cerebrospinal fluid (CSF) and microscopic examination. This method is challenged by low sensitivity and specificity for rare cells and can give rise to both false-negative and -positive results.<sup>2</sup> Several studies now support that flow cytometry (FCM) is more sensitive in detecting leukemic blasts in CSF.<sup>7,8</sup> FCM identifies leukemic blasts based on aberrant expression of cellular markers and is highly specific in detecting malignant cells.<sup>2,7</sup> At initial diagnosis, CNS involvement by FCM has been shown to be an independent prognostic factor for relapse.<sup>8-11</sup> Reports also show that FCM can be used for monitoring CNS disease during treatment<sup>8,10,12,13</sup> and ongoing clinical studies are investigating whether the clearance of leukemic blasts in the CSF during treatment holds prognostic information, similarly to minimal residual disease (MRD) monitoring in the bone marrow (BM). Several studies have reported CSF FCM results from the time of diagnosis, but only two small studies have examined CNS involvement by FCM at the time of relapse.<sup>13,14</sup> We here present CSF FCM results for 39 patients with relapsed ALL of any site to investigate the diagnostic value of CSF FCM at relapse.

Patients aged 0-17.9 years at initial diagnosis of ALL were enrolled in a prospective CSF FCM study at 17 hospitals in Denmark, Finland, Lithuania, Norway, and Sweden from September 2012 to December 2022. The study was conducted in accordance with the Declaration of Helsinki and approved by the national ethical committees. Written consent was obtained from legal guardians of all participants. CSF samples were collected into Transfix® tubes (Intermedico Ltd., Hellerup, Denmark) at the first lumbar puncture and until treatment day 15 after relapse. Samples were shipped to the National Danish MRD laboratory, Rigshospitalet, Copenhagen, Denmark for FCM analysis as previously described.<sup>10</sup> The samples were analyzed using standardized FCM panels from either the NOPHO2008 protocol (BCP: CD3/CD34/CD19/CD10/CD38/CD20/CD45; T-ALL: CD3/CD4/CD7/CD8/CD45/CD56) or the ALLTogether1 protocol (BCP: CD81/CD3/CD34/CD19/CD10/CD38/CD20/CD45; T-ALL: HLA-DR/CD7/CD3/CD14/CD33/CD8/CD4/CD45/CD56+CD16). The minimal number of aberrant cells required to define CNS positivity was ten BCP or T cells with a leukemia-associated phenotype (LAIP). In difficult cases information about aberrant marker expression by BM blasts was requested to identify the

blasts. Blast count in CSF (blasts/mL) was determined as blasts with a LAIP detected by FCM divided by the volume of CSF minus 200 µL (volume of TransFix per tube). Operators examining FCM or cytopspin samples were blinded to the results from the other method. FCM results were blinded to the treating physician. Statistical analysis was performed with R version 4.3.0.

We collected a total of 63 CSF samples (median volume: 2.15 mL; interquartile range [IQR], 1.8-2.3; median time to FCM analysis: 1 day; IQR, 0-2 days) from 39 patients with ALL at the time of first relapse (Table 1). The cohort included patients with isolated BM relapses (N=14), CNS-involving relapses (N=19) and relapses involving other sites (N=6). Eight patients were diagnosed with T-ALL and 31 patients with BCP-ALL. Three patients were infants (<366 days of age) at initial diagnosis, and two patients were diagnosed with Philadelphia chromosome-positive (Ph<sup>+</sup>) ALL. At initial diagnosis, 32 patients were treated according to NOPHO2008 (EudraCT 2008-003235-20), three patients according to ALLTogether1 (EudraCT 2018-001795-38), two patients according to Interfant-06 (EudraCT 2005-004599-19) and two patients according to EsPhALL2010 (EudraCT 2004-001647-30). At relapse, 29 of 39 patients were treated according to IntReALL2010 (EudraCT 2012-000793-30/2012-000810-12). The remaining ten patients were treated with a combination of chemotherapy, irradiation, hematopoietic stem cell transplantation, Carfilzomib and immunotherapy.

For 24 (61.5%) of the 39 patients, leukemic blasts were detected by FCM in the initial CSF sample at relapse (Table 2). The median blast count for the 24 FCM positive samples was 667 blasts/mL (IQR, 32-66,341 blasts/mL). Nineteen (48.7%) relapses were classified as CNS-involving based on cytopspin results, and all cytopspin-positive patients were also positive by FCM. No relapses were classified as CNS-involving based on neurological symptoms and/or MRI results only. The five relapses that were CNS positive only by FCM included three morphological BM relapses, one relapse in the BM and testis, and one relapse in the BM, mediastinum, and abdomen. The median blast count for CSF samples positive by both FCM and cytopspin was 20,679 blasts/mL (IQR, 112-112,714 blasts/mL) compared to 26 blasts/mL (IQR, 13-77 blasts/mL) for CSF samples positive only by FCM ( $P=0.012$ ).

At primary diagnosis, all patients except six were classified as CNS1 based on cytopspin results (Table 1). For 18 patients, we had CSF FCM data at both primary diagnosis and relapse. Eight (44.4%) patients were CNS positive by FCM at primary diagnosis, but only two patients were also cytopspin positive.

**Table 1.** Clinical characteristics of 39 included patients at diagnosis and relapse.

Patient ID	Age at dx, years	Sex	Immuno-phenotype	WBC at dx, x10 <sup>9</sup> /L	CNS status at dx	Cytogenetic features	CSF FCM at dx, blasts/mL (total mL)	Treatment protocol at dx	Relapse site	Time to relapse, years	CSF FCM at relapse, blasts/mL (sample vol., mL)	Treatment protocol at relapse and outcome
1	12	M	BCP-ALL	6.7	CNS1	-	-	NOPHO2008	BM	3.8	0 (1.8)	IntReALL SR 2010; CR2, 2 <sup>nd</sup> relapse in BM
2	13	F	BCP-ALL	2.7	CNS1	Hypodiploid	-	NOPHO2008	BM	1.7	0 (1.8)	NA; death <2 months after relapse
3	1	M	BCP-ALL	8.5	CNS1	ETV6/RUNX1	-	NOPHO2008	BM	2.7	0 (1.8)	NOPHO2008 blocks + HSCT; CR2
4	9 months	F	BCP-ALL	11.1	CNS1	TCF3/PBX1	0 (2.2)	Interfant-06	BM	3.0	0 (1.8)	IntReALL SR 2010; CR2
5	7	F	BCP-ALL	0.7	CNS1	HeH	-	NOPHO2008	BM	3.8	0 (2.0)	IntReALL SR 2010; CR2
6	12	M	T-ALL	18.6	CNS1	-	-	NOPHO2008	BM	9 months	0 (2.0)	IntReALL SR 2010; death <4 months after relapse
7	4	M	BCP-ALL	68.0	CNS1	-	35 (3.8)	NOPHO2008	BM+testis	2.8	0 (2.0)	IntReALL SR 2010 + HSCT; CR2
8	2	M	BCP-ALL	18.4	CNS1	-	-	NOPHO2008	BM+other site(s)	4.1	0 (2.0)	IntReALL SR 2010; CR2, 2 <sup>nd</sup> relapse in testis
9	8	M	BCP-ALL	388.0	CNS1	-	8 (2.2)	NOPHO2008	Testis	3.8	0 (2.2)	IntReALL SR 2010; CR2, 2 <sup>nd</sup> relapse in BM + CNS
10	5	M	BCP-ALL	6.8	CNS1	ETV6/RUNX1	-	NOPHO2008	BM	6.9	0 (2.3)	IntReALL SR 2010; CR2
11	11	F	BCP-ALL	149.0	CNS1	-	0 (2.8)	A2G-1 pilot	BM	6 months	0 (2.3)	Carfilzomib trial + IntReALL HR induction + inotuzumab + blinatumumab; death <4 months after relapse
12	17	M	BCP-ALL	8.3	CNS1	HeH	0 (2.3)	NOPHO2008	Testis	2.7	0 (2.3)	Irradiation + NOPHO2008 blocks + HSCT; CR2
13	14	F	T-ALL	14.9	CNS1	-	0 (2.8)	NOPHO2008	BM	10 months	0 (2.8)	NA; death <2 months after relapse
14	1	M	BCP-ALL	17.0	CNS1	-	-	NOPHO2008	BM+testis	3.2	13 (1.6)	IntReALL SR 2010; CR2, 2 <sup>nd</sup> relapse in testis
15	4	M	T-ALL	11.6	CNS1	-	-	A2G-1 main protocol	BM+CNS	1.8	18 (2.3)	Isatuximab + topotecan, daunorubicin & trametinib + NOPHO2008 blocks; death <4 months after relapse
16	2	M	BCP-ALL	51.1	CNS1	-	0 (3.8)	NOPHO2008	BM	3.9	26 (1.8)	IntReALL SR 2010; CR2
17	14	M	BCP-ALL	86.0	CNS1	-	0 (2.1)	NOPHO2008	BM+CNS+testis	2.6	29 (1.8)	IntReALL HR 2010; CR2
18	3	F	BCP-ALL	81.1	CNS1	HeH	127 (1.8)	NOPHO2008	CNS	2.7	34 (0.8)	IntReALL SR 2010 + CNS irradiation; CR2, 2 <sup>nd</sup> relapse in CNS
19	2	M	BCP-ALL	45.9	CNS1	-	1 (1.8)	NOPHO2008	BM+CNS	2.1	50 (0.3)	IntReALL SR 2010; CR2

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Patient ID	Age at dx, years	Sex	Immuno-phenotype	WBC at dx, x10 <sup>9</sup> /L	CNS status at dx	Cytogenetic features	CSF FCM at dx, blasts/mL (total mL)	Treatment protocol at dx	Relapse site	Time to relapse, years	CSF FCM at relapse, blasts/mL (sample vol., mL)	Treatment protocol at relapse and outcome
20	9	F	BCP-ALL	47.3	CNS1	ETV6/RUNX1	-	NOPHO2008	CNS	2.2	297 (1.7)	IntReALL SR 2010 + HSCT; CR2
21	11 months	M	BCP-ALL	6.3	CNS1	ETV6/RUNX1, HeH	-	NOPHO2008	BM+CNS	5.8	667 (2.2)	IntReALL SR 2010; CR2
22	4	M	BCP-ALL	69.1	CNS1	-	-	NOPHO2008	BM+CNS	2.9	4,603 (2.3)	IntReALL HR 2010 + blinatumumab + HSCT; CR2
23	12	M	T-ALL	109.3	CNS1	-	7 (1.8)	NOPHO2008	CNS	10 months	12,992 (2.3)	IntReALL HR 2010 + CNS irradiation + HSCT; CR2, 2 <sup>nd</sup> relapse in BM + CNS
24	2	M	BCP-ALL	17.2	CNS1	HeH	-	NOPHO2008	CNS	2.8	28,366 (1.8)	IntReALL SR 2010 + HSCT; CR2
25	2	M	T-ALL	66.2	CNS1	-	1,380 (4.2)	NOPHO2008	BM+CNS	9 months	34,476 (4.8)	IntReALL SR 2010 + HSCT; CR2, 2 <sup>nd</sup> relapse in CNS
26	6	F	BCP-ALL	29.0	CNS1	BCR/ABL1	-	EsPhALL2010	BM+CNS	1.4	49,451 (2.0)	IntReALL SR 2010 + dasatinib + HSCT; CR2
27	8	M	BCP-ALL	1.1	CNS1	-	-	NOPHO2008	CNS	2.0	83,231 (2.0)	IntReALL SR 2010 + HSCT + NOPHO2008 blocks; CR2
28	9	F	BCP-ALL	1.6	CNS1	-	-	NOPHO2008	BM+CNS	3.4	122,541 (1.6)	IntReALL SR 2010; CR2, 2 <sup>nd</sup> relapse in CNS
29	3	M	BCP-ALL	10.3	CNS1	ETV6/RUNX1	-	NOPHO2008	CNS	6.7	326,352 (1.3)	IntReALL SR 2010; CR2
30	5	M	BCP-ALL	156.1	CNS1	-	19 (2.4)	NOPHO2008	BM+CNS	2.7	348,229 (2.3)	IntReALL SR 2010; death <2 months after relapse
31	14	F	BCP-ALL	10.0	CNS1	HeH	0 (2.0)	NOPHO2008	CNS	2.6	395,387 (2.3)	IntReALL SR 2010 + HSCT; CR2
32	2	F	BCP-ALL	40.9	CNS1	HeH	-	NOPHO2008	BM+CNS	2.8	456,125 (2.2)	IntReALL SR 2010; CR2
33	3	M	BCP-ALL	4.8	CNS2	-	-	A2G-1 pilot	BM	1.5	0 (1.8)	Isatuximab + blinatumomab + HSCT; CR2
34	1	M	T-ALL	316.0	CNS2	-	0 (1.8)	NOPHO2008	BM+other site(s)	5.0	10 (2.1)	IntReALL HR 2010; CR2
35	9	M	T-ALL	4.3	CNS2	-	-	NOPHO2008	BM+CNS	1.4	21 (4.3)	Isatuximab + NOPHO2008 blocks + HSCT; CR2
36	15	M	BCP-ALL	3.3	CNS3	ETV6/RUNX1	0 (1.9)	NOPHO2008	BM	2.5	0 (1.8)	IntReALL SR 2010; CR2, 2 <sup>nd</sup> relapse in BM
37	14	M	BCP-ALL	220.0	CNS3	BCR/ABL1	10,727 (2.2)	EsPhALL2010	CNS	1.7	100 (NA)	IntReALL HR 2010 + dasatinib + HSCT; CR2
38	2	M	T-ALL	141.0	CNS3	-	1,724 (2.2)	NOPHO2008	BM	7.2	121 (0.8)	Carfilzomib trial + HSCT; CR2
39	2 months	M	BCP-ALL	759.0	NA	KMT2A-r	-	Interfant-06	BM	7 months	77 (2.3)	6MP + CART; CR2

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Patients are listed according to site of relapse and flow cytometry (FCM) status at relapse. Cerebrospinal fluid (CSF) FCM results are shown as blasts/mL of CSF and with volume of analyzed CSF in parentheses. Age and time from the primary diagnosis to relapse is shown in months if <1 year. dx: diagnosis; vol: volume; WBC: white blood cell count; CNS: central nervous system; CSF: cerebrospinal fluid; M: male; F: female; BCP-ALL: B-cell precursor acute lymphoblastic leukemia; T-ALL: T-cell acute lymphoblastic leukemia; HeH: high hyperdiploid; A2G: ALLTo-gether; BM: bone marrow; NA: not available; SR: standard risk; HR: high risk; CR2: second complete remission; HSCT: hematopoietic stem cell transplantation.

At relapse, 13 of 18 patients were positive by FCM. For the six patients FCM positive at both initial diagnosis and relapse, there was no significant correlation between blast levels ( $rS=-0.3$ ;  $P=0.68$ ) (*Online Supplementary Figure S1*). We received one or more CSF samples during the first 2 weeks of treatment from 12 of 24 FCM positive patients at relapse (Figure 1). All samples were still FCM positive at day 4 (N=5/5), 80% (N=8/10) were positive at day 8, and 85.7% (N=6/7) were positive at day 15. In comparison, only 42% (N=5/12) of the patients had a follow-up sample positive by cytopspin. Among the patients remaining positive by FCM at day 15 were two Ph<sup>+</sup> patients and two high hyperdiploid patients. Large blast count variation was seen between patients, and fluctuation in the blast levels were observed, since we did not receive samples from all patients at all time points (day 0: 28,366 blasts/mL; IQR, 2,635-66,341; day 4: 6,900 blasts/mL; IQR: 11-11,474; day 8: 36 blasts/mL; IQR, 23-270; day 15: 84 blasts/mL; IQR, 42-91) (Figure 1). Overall, the median blast count did however decrease from day 0 to day 15.

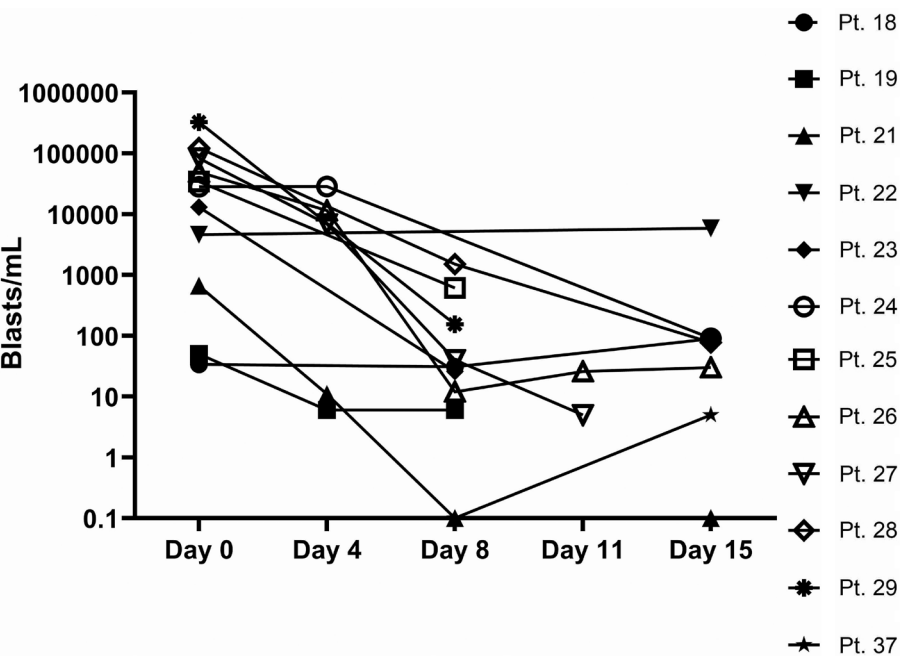
In the present study, we showed that 13% of the included relapses were classified as CNS positive only by CSF FCM and not by cytopspin. The median blast level for CSF samples with blasts on both cytopspin and FCM was about 1,000-fold higher than samples only positive by FCM. The median blast level in CSF determined by FCM was 25-fold higher at relapse than at initial diagnosis in our previously published study.<sup>10</sup> This shows that CSF FCM identifies a subset of patients with occult CNS disease at relapse, despite the high general blast load in the CNS at relapse. This is supported by a previous CSF FCM study that analyzed samples from 13 children with isolated BM relapse, and at the first lumbar puncture 54% of these patients had blasts in their CSF by FCM.<sup>13</sup> For CSF samples collected during treatment from relapse patients who were FCM positive at the first lumbar puncture, 80-90% of CSF samples remained positive throughout the first 15 days of treatment. The CSF is thus not cleared as rapidly of blasts at relapse as at initial diagnosis, where less than 10% of the samples remained positive at day 15.<sup>10</sup> This could imply that the persistent leukemic cells at relapse are a selected subpopulation, or that they reside in a protective CNS niche. Cytopspin samples collected after the initial lumbar puncture were only positive in about half of the patients, which is consistent with the significant reduction in blast levels during treatment. Even though our results and a previous study<sup>13</sup> demonstrate higher sensitivity of FCM in detecting blasts in CSF during relapse treatment, the prognostic impact of this remains undetermined. The current study covers the largest published cohort of

pediatric ALL patients with CSF flow data from the time of relapse. A strength of the study is that FCM was performed in a MRD flow laboratory with staff very experienced in analyzing CSF samples. The drawbacks are that the cytopspin samples were not centrally reviewed, and that follow-up samples for CSF FCM only were available in 50% of the patients with FCM-positive results at relapse. In addition, the total number of patients was too small to examine the

**Table 2.** Central nervous system classification of 39 patients by flow cytometry and cytopspin at first relapse.

Cases by FCM	Cases by cytopspin		
	CNS neg, N (%)	CNS pos, N (%)	Total, N (%)
CNS neg	15 (38.5)	0 (0)	15 (38.5)
CNS pos	5 (12.8)	19 (48.7)	24 (61.5)
Total	20 (51.3)	19 (48.7)	39 (100)

Central nervous system (CNS) positivity by flow cytometry (FCM) is defined as  $\geq 10$  blasts with a leukemia-associated phenotype. CNS positivity by cytopspin corresponds to CNS2/CNS3. neg: negative; pos: positive.



**Figure 1. Clearance of leukemic blasts in cerebrospinal fluid at relapse during therapy.** The plot shows the number of blasts/mL determined by cerebrospinal fluid (CSF) flow cytometry (FCM) for positive patients (Pt.) with available FCM data (12 of 24) from the first 15 days of therapy after relapse. Each line designates 1 patient. To include the time point, where all blasts were cleared, data points with the value zero were shown as 0.1 on the plot. The data point at day 15 not connected with a line to a day 0 sample, designates Pt. 37 with missing data on CSF sample volume on day 0.

prognostic value of low-level CNS involvement detected by FCM at relapse. Larger prospective studies powered to assess the prognostic effect of CSF FCM positivity at initial relapse and during treatment are therefore needed. Follow-up samples should be collected for all FCM-positive patients, and the slow clearance of blasts in this study show that sampling should be continued beyond day 15 of treatment. To maximize sensitivity of CSF FCM, a minimum of 1-2 mL CSF should be collected directly into TransFix tubes, and FCM should be performed by national MRD laboratories to reduce time from sampling to analysis (optimally less than 48 hours). Especially the ability to monitor blasts clearance in the CSF by FCM during treatment could be a promising tool for guiding the intensity of CNS-directed therapy at relapse, enabling a reduction in neurotoxic treatment in low-risk patients and intensified treatment in high-risk patients.

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### Disclosures

No conflicts of interest to disclose.

### Contributions

KS, HVM, and ML designed the study. All authors contributed to sample collection. MT and ML coordinated the study. MT and HVM analyzed the data. MT, HVM and KS interpreted the data and wrote the first draft of the manuscript. All authors critically reviewed the manuscript and approved the final draft for submission.

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### Data-sharing statement

Original data can be made available upon request by contacting the corresponding author.

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