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**Dexamethasone added to induction and post-remission therapy in older patients with newly diagnosed acute myeloid leukemia: a multicenter, phase II trial (DEXAML-02)**

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**Running head:** Dexamethasone in AML

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**Trial registration:** ClinicalTrialsRegistry.eu (Eudra-CT 2017-004860-36) and ClinicalTrials.gov (NCT03609060).

### **Contributions**

CR, SB and EB contributed to the study conception and design. SB, PP, RG, YD, YH, OB, MC, MH, AB, MB, EG, AS, SC, GRG, VD, LS, MPGH, CE, LV, CH, CS NV, AP and CR treated patients and participated in clinical data collection and assembly. CR, SB, FV, IL, LL, ED and EB analysed the data. IL reviewed all cytogenetic results. LL and ED performed next-generation sequencing. FV carried out flow cytometry analyses. EB conducted statistical analyses. CR wrote the first draft of the manuscript. CR and EB wrote the manuscript. All authors had full access to all the data in the study, contributed to writing the manuscript and provided final approval of the submitted version.

## **Disclosures**

SB declares a consulting or advisory role with Abbvie, Astellas, BMS-Celgene, Jazz Pharmaceuticals as well as Servier and received travel grants from Abbvie and Pfizer. CR declares a consulting or advisory role with Abbvie, Amgen, Astellas, BMS, Boehringer, Jazz Pharmaceuticals, J&J as well as Servier, received research funding from Abbvie, Amgen, Astellas, BMS, Iqvia and Jazz Pharmaceuticals, and support for attending meetings and/or travel from Abbvie, Novartis and Servier. PP declares a consulting or advisory role with Abbvie, BMS, as well as Servier, and received research funding from Astellas and Jazz Pharmaceuticals. NV declares a consulting or advisory role with Abbvie, Amgen, BMS, Boehringer, Janssen, Jazz Pharmaceuticals, Novartis as well as Servier, and received research funding from Novartis and Jazz Pharmaceuticals. AP declares a consulting or advisory role with Astellas, BMS, Servier, Abbvie, Gilead, Jazz Pharmaceuticals, Novartis, Pfizer, received research funding from Astellas, BMS, Roche, Servier and support for attending meetings and/or travel from Servier, Abbvie. All other authors declare no competing interests.

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## **Data-sharing statement**

Requests for sharing deidentified data should be directed to the corresponding author.

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Letter to the Editor,

The standard treatment for patients aged over 60 with newly diagnosed acute myeloid leukemia (AML) who are in good overall condition remains intensive chemotherapy.<sup>1</sup> With the exception of one study showing an event-free survival (EFS) benefit after addition of gemtuzumab ozogamycin (GO) to induction chemotherapy and another one demonstrating the impact of CPX-351 in therapy-related or secondary AML, there has been no recent improvement in outcome for this population.<sup>2,3</sup> The FILO group completed a phase 3 study showing that the addition of lomustine to intensive chemotherapy significantly increased CR rate, reduced the incidence of relapse and improved 2-year EFS.<sup>4</sup>

Recent retrospective studies showed that the addition of dexamethasone to intensive chemotherapy was significantly associated with lower early deaths and better outcome patients with hyperleukocytosis.<sup>5,6</sup> Moreover, preclinical studies demonstrated that specific oncogenic alterations or therapeutic stress (cytarabine, FLT3 inhibitors) are associated with up-regulation of the inflammatory gene response and expression of the glucocorticoid receptor which induce sensitisation to glucocorticoids in AML models.<sup>7</sup> Therefore, dexamethasone, used as a chemo-sensitizer, may improve treatment response and outcome regardless of leukocytosis. With this rationale, the DEXAML-02 trial evaluated the addition of dexamethasone to induction and post-remission chemotherapy in older AML patients.

This multicentre, phase 2, single-arm trial included patients aged 61 years or older, with newly diagnosed AML according to 2016 WHO classification, favorable or intermediate cytogenetic risk, Eastern Cooperative Oncology Group (ECOG) performance status of 0-2 and hematopoietic cell transplantation-comorbidity index <3 (except history of cancer). AML arising from MDS, MPN or CMML were not included. This trial is registered at ClinicalTrialsRegistry.eu (Eudra-CT-2017-004860-36) and ClinicalTrials.gov (NCT03609060) and was approved by an Ethics Committee (CPP-IDF1-2018-ND29-cat.1) and the French National Agency for Medicines and Health Products Safety (MEDAECNAT-2018-03-00022). All patients provided written informed consent.

Induction chemotherapy was 8 mg/m<sup>2</sup> idarubicin on days (d) 1-5, 100 mg/m<sup>2</sup> cytarabine d1-7, 200 mg/m<sup>2</sup> lomustine d1 and 10 mg dexamethasone intravenously every 12 hours d1-3. Patients with no response after the induction cycle were off-study treatment. Patients with CR or CR with incomplete hematological recovery (CRi) were assigned to consolidation therapy consisting of 6 mini-consolidation courses every 30-45 days with 8 mg/m<sup>2</sup> idarubicin d1, 50 mg/m<sup>2</sup> cytarabine subcutaneously every 12 hours d1-5 and 20 mg dexamethasone intravenously d1. Patients with core-binding factor AML could receive 2-3 cycles of 1 cytarabine 1-1.5 g/m<sup>2</sup>/12 hours d1-3 (with the same dose of dexamethasone). Allogeneic haematopoietic stem-cell transplantation (HSCT) was recommended in patients with intermediate or adverse risk according to 2017 European LeukemiaNet (ELN) classification. The addition of midostaurin was authorised in patients with *FLT3* mutations. The primary endpoint was EFS defined according to ELN recommendations. Data analysis were performed using Stata Statistical Software (Release 17.0. Stata Corporation, College Station, Texas, USA).

120 patients were enrolled between August 2018 and February 2020. Six patients were excluded due to exclusion criteria (three with adverse karyotype, two with haematopoietic cell transplantation-comorbidity index  $\geq 3$  and one with central nervous system involvement) (Supplementary Figure 1). Characteristics of the 114 patients are shown in Table 1. Next-generation sequencing of 91 myeloid panel genes was centrally performed in 111 patients. The genetic risk according to 2022 ELN classification was favorable in 33 patients (31%), intermediate in 31 patients (29%) and adverse in 44 patients (40%).

During the induction phase, two patients prematurely discontinued dexamethasone. 23 patients with *FLT3* mutation (70%) received midostaurin. 104 patients received anti-fungal prophylaxis including 87 with an azole agent. Anti-viral and anti-pneumocystis jiroveci prophylaxes were used in 97 and 47 patients, respectively. The most common grade 3-4 adverse events were infection in 57 patients (50%), mucositis in 20 (18%) and hyperglycemia in 12 patients (11%) (Supplementary Table 1). Bacterial and fungal infections were observed in 52 and five patients, respectively. The median number of days between day 1 and an absolute neutrophil count  $\geq 0.5 \times 10^9$  cells per L was 26 days

(IQR, 24-30) whereas median duration with platelet counts lower than  $10 \times 10^9$  cells per L was 10.5 days (IQR, 1.5-19). During post-remission therapy, the frequency of grade 3-4 infections or other adverse events was low (<15%) and stable over the six chemotherapy cycles.

As a marker of dexamethasone activity, CRP levels were measured at inclusion and day 8 of induction chemotherapy. Median CRP level were 17 mg/L (IQR, 5-53) and 12 mg/L (IQR, 4-27) at inclusion and day 8, respectively. 95 patients (83%) achieved CR or CRi by the end of induction (Table 2). Four patients (4%) died at day 30 and nine (8%) at day 60. 23 patients (20%) with CR/CRi were allografted after a median duration of 154 days from induction (IQR, 128-188) and after a median number of 2 consolidation cycles (IQR, 2-3). All but one patient maintained CR at time of transplant. Donor source was matched-related in four subjects, matched-unrelated in 12 patients and haplo-identical in seven patients. Other CR/CRi patients who were not transplanted in first CR/CRi received a median number of four consolidation cycles (IQR, 0-6).

After a median follow-up of 32 months (IQR, 28-35), EFS at two years was 46% (95% CI 36-54). Median EFS was 19.7 months (IQR, 6-not reached) in the whole population, not reached (IQR, 8.6-not reached) in the 2022 ELN favorable-risk group, 20.4 months (IQR, 6.6-not reached) in the intermediate group and 12.4 months (IQR, 1.8-not reached) in the adverse group (Figure 1).

In patients who experienced CR/CRi, RFS at two years was 52% (95% CI 42-62). Median RFS was 26.7 months (IQR, 9-not reached) in the whole population, not reached (IQR, 7-not reached) in the 2022 ELN favorable-risk group, 20.1 months (IQR, 7-not reached) in the intermediate group and 22.3 months (IQR, 9-not reached) in the adverse group. 48 patients (42%) received a second line therapy with intensive chemotherapy in 13 patients, hypomethylating agents in 23 patients or small molecule inhibitors including FLT3 inhibitors in seven patients or IDH inhibitors in 10 patients.

OS at two years was 63% (95% CI 54-71). Median OS was neither reached (IQR, 12-not reached) in the whole population nor in the 2022 ELN favorable (IQR, 24-not reached) or intermediate (IQR, 11-not reached) risk groups, and 27.5 months (IQR, 9-not reached) in the adverse group.

Since dexamethasone displayed anti-leukemic activity in samples with *NPM1* mutations,<sup>5</sup> we performed sensitivity analyses in the 43 *NPM1* mutated patients. 41 patients (95.3%) achieved CR or CRi rate by the end of induction chemotherapy and one patient died at day 30. Of the 30 CR or CRi patients who were evaluated locally using qPCR for measurable residual disease (MRD) monitoring after induction chemotherapy, nine had negative MRD and 14 had low level (<2%) MRD. Median EFS, RFS and OS were not reached. EFS at two years was 56% (95% CI 40-69). In CR/CRi patients, RFS at two years was 56% (95% CI 39-69). OS at two years was 74% (95% CI 59-85) (Supplementary Figure 2).

The DEXAML-02 trial is one of the first studies to evaluate the role of an anti-inflammatory approach combined with intensive chemotherapy in older fit patients with AML.<sup>8</sup> Results showed that this regimen is safe and associated with promising anti-leukemic activity.

The risk of severe sepsis and invasive fungal infections is of concern when using glucocorticoids in patients with neutropenia.<sup>9</sup> However, the safety profile of the three-day course of dexamethasone at the beginning of induction chemotherapy was acceptable especially regarding the risk of infection.

With 2-year EFS and OS at 46% and 63% respectively, the outcome of patients included in the DEXAML-02 study compares favorably with other studies. In the HOVON-SAKK trial evaluating high-dose daunorubicin, 2-EFS and OS rates in patients with intermediate cytogenetic risk were 21% and 34%, respectively.<sup>10</sup> The ALFA-0701 trial evaluating GO in 50-70 year old patients (median age, 62 years) demonstrated 2-year EFS and OS rates of 47% and 65% in the GO arm in patients with favorable or intermediate cytogenetic risk.<sup>2</sup> In the German inter-group trial comparing different chemotherapy strategies versus a common standard arm in patients aged over 60 (78% favorable or intermediate cytogenetic risk), 2-year EFS and OS stood at 20 and 30%.<sup>11</sup>

Patients with *NPM1* mutations may benefit from the addition of dexamethasone (95% CR/CRi and 74% 2-year OS). Overexpression of inflammatory gene signatures in patients with *NPM1* mutation has been revealed by transcriptomic analyses.<sup>5,12</sup>



Integration of myelodysplasia-related gene mutations into the new 2022 ELN risk classification has meant that a significant proportion of patients with intermediate cytogenetic risk have switched to the adverse group.<sup>1</sup> Our result showed that the 2022 ELN classification poorly discriminated intermediate and adverse risk groups in patients selected based on cytogenetics only.<sup>13</sup>

The DEXAML-02 trial had some limitations such as the limited number of participants and the single arm trial design. We also acknowledge that lomustine in the induction chemotherapy backbone is not widely used. A randomised trial is required to confirm whether dexamethasone may improve outcome in this patient population. Nevertheless, this study updates the results of intensive chemotherapy in older patients with a high CR rate, an early death rate <5% and a median survival not reached.

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**Table 1: Characteristics of the AML patients included in DEXAML-02**

	<b>DEXAML-02</b>
	114
<b>Age (years)</b>	
Median	70
IQR	66;73
Min;Max	61;80
<70	54 (47%)
>=70	60 (53%)
<b>Sex, n (%)</b>	
Male	72 (63%)
Female	42 (37%)
<b>ECOG performance status, n (%)</b>	
0	46 (40%)
1	59 (52%)
2	9 (8%)
<b>HCT-Comorbidity index</b>	
Median	0
IQR	0; 1
Min;Max	0; 4
<b>AML status, n (%)</b>	
de novo	108 (95%)
therapy related	6 (5%)
<b>WBC (x 10<sup>9</sup>/L)</b>	
Median	4.99
IQR	2;11
Min;Max	0.6; 137
<b>Bone marrow blasts (%)</b>	
Median	55%
IQR	35;83
Min;Max	6; 98
<b>Cytogenetic risk, n (%)</b>	
favorable	5 (4%)
intermediate	108 (96%)
<b>2022 ELN risk classification, n (%)</b>	
favorable	33 (31%)
intermediate	31 (29%)
adverse	44 (40%)
<b>Mutations, n (%)</b>	
<i>NPM1</i>	43 (38%)
<i>FLT3-ITD</i>	23 (20%)
<i>FLT3-TKD</i>	10 (9%)
<i>CEBPA bZIP</i>	5 (4%)
<i>RUNX1</i>	23 (21%)
<i>ASXL1</i>	23 (21%)
<i>BCOR</i>	6 (5%)
<i>EZH2</i>	5 (5%)
<i>SF3B1</i>	3 (3%)
<i>SRSF2</i>	27 (24%)
<i>STAG2</i>	18 (16%)
<i>U2AF1</i>	7 (6%)
<i>ZRSR2</i>	3 (3%)
<i>TP53</i>	2 (2%)

IQR=Inter-quartile Range. Min, Max=minimum, maximum.

**Table 2: Response to induction and outcomes**

	<b>DEXAML-02</b>
	N=114
<b>Response to induction chemotherapy, n (%)</b>	
CR or CRi	95 (83%)
Refractory disease	14 (12%)
Day-30 death	4 (4%)
Day-60 death	9 (8%)
<b>Outcomes</b>	
Median event-free survival, months (IQR)	19.7 (6-NR)
2-year event-free survival (%)	46% (95% CI 36-54)
Median relapse-free survival, months (IQR)	26.7 (9-NR)
2-year relapse-free survival (%)	52% (95% CI 42-62)
Median overall survival, months (IQR)	NR (12-NR)
2-year overall survival (%)	63% (95% CI 54-71)
<b>Outcomes per 2022 ELN risk</b>	
<b>Favorable</b>	<b>N=33</b>
CR or CRi, n (%)	31 (94%)
Median event-free survival, months (IQR)	NR (9-NR)
2-year event free survival (%)	61% (95% CI 42-75)
Median relapse-free survival, months (IQR)	NR (14-NR)
2-year relapse-free survival (%)	61% (95% CI 42-76)
Median overall survival, months (IQR)	NR (24-NR)
2-year overall survival (%)	76% (95% CI 57-87)
<b>Intermediate</b>	<b>N=31</b>
CR or CRi, n (%)	28 (90%)
Median event-free survival, months (IQR)	20.4 (7-NR)
2-year event free survival (%)	45% (95% CI 27-61)
Median relapse-free survival, months (IQR)	20.1 (7-NR)
2-year relapse-free survival (%)	50% (95% CI 30-66)
Median overall survival, months (IQR)	NR (11-NR)
2-year overall survival (%)	58% (95% CI 39-73)
<b>Adverse</b>	<b>N=44</b>
CR or CRi, n (%)	32 (73%)
Median event-free survival, months (IQR)	12.4 (2-NR)
2-year event free survival (%)	36% (95% CI 23-50)
Median relapse-free survival, months (IQR)	22.3 (9-NR)
2-year relapse-free survival (%)	47% (95% CI 29-63)
Median overall survival, months (IQR)	27.5 (9-NR)

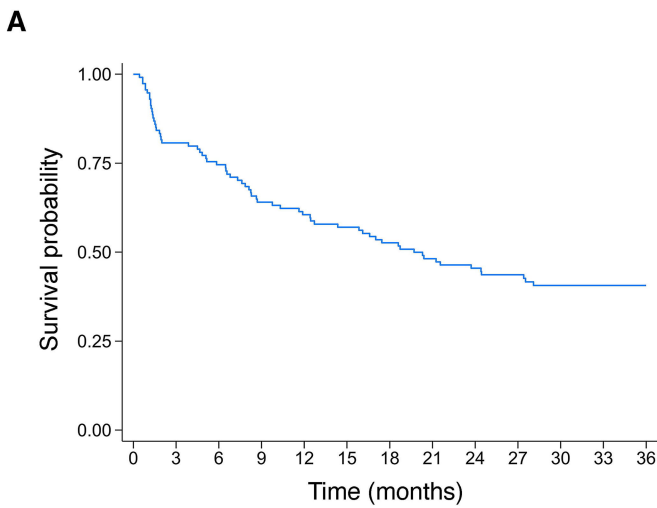
2-year overall survival (%)	57% (95% CI 41-70)
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CR=complete remission. CRi=complete remission with incomplete hematological recovery. NR=not reached. IQR=inter-quartile range. CI=confidence interval.

## Figure legends

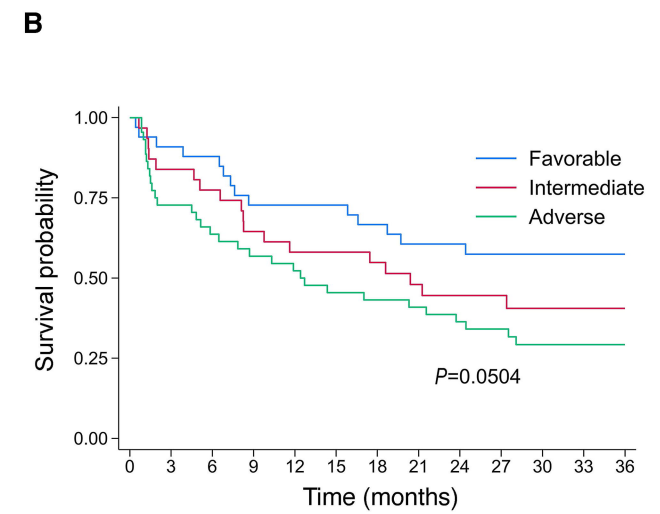
### **Figure 1: Event-free survival, relapse-free survival and overall survival.**

A. Event-free survival in the whole population. B. Event-free survival according to the 2022 ELN classification. C. Relapse-free survival in the whole population. D. Relapse-free survival according to the 2022 ELN classification. E. Overall survival in the whole population. F. Overall survival according to the 2022 ELN classification.



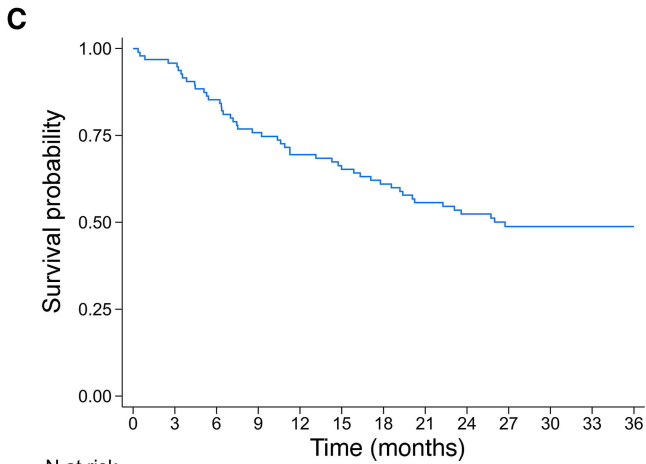
N at risk

114	92	85	73	69	65	60	54	49	45	35	21	11
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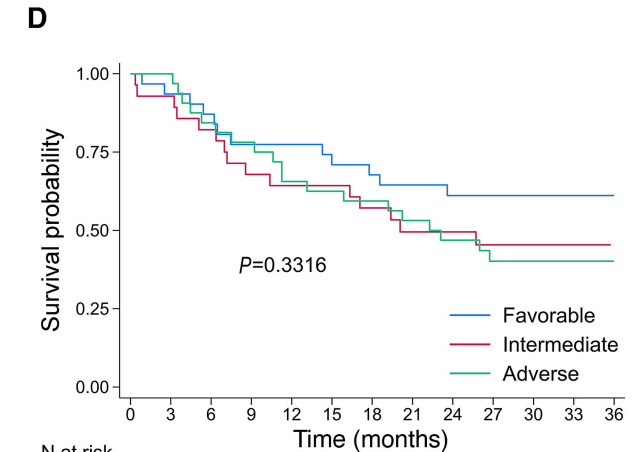
N at risk

Favorable	33	30	29	24	24	24	22	20	19	18	17	6	4
Intermediate	31	26	24	20	18	18	17	14	13	12	7	7	2
Adverse	44	32	28	25	23	20	19	18	16	14	10	7	5



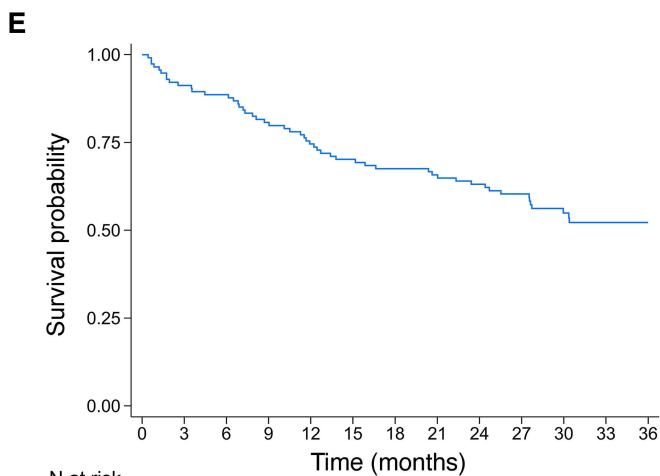
N at risk

95	91	81	72	66	62	57	52	46	38	32	16	6
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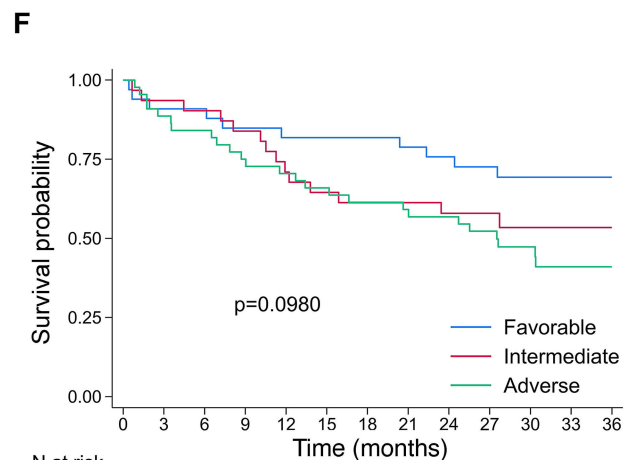
N at risk

Favorable	31	29	27	24	24	22	21	20	18	18	14	5	2
Intermediate	28	26	23	19	18	18	15	13	13	8	7	4	0
Adverse	32	32	27	25	21	20	19	17	14	11	10	6	4



N at risk

114	104	101	92	85	80	77	74	69	61	42	24	13
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N at risk

Favorable	33	30	30	28	27	27	27	26	24	22	18	6	4
Intermediate	31	29	28	26	22	20	19	18	17	16	8	8	2
Adverse	44	39	37	33	31	29	27	26	25	21	15	9	7

## Supplementary Information

Dexamethasone added to induction and post-remission therapy in older patients with newly diagnosed acute myeloid leukemia: a multicenter, phase II trial (DEXAML-02). *Sarah Bertoli et al.*

**Supplementary table 1: Hematologic toxicity and adverse events during induction chemotherapy\***

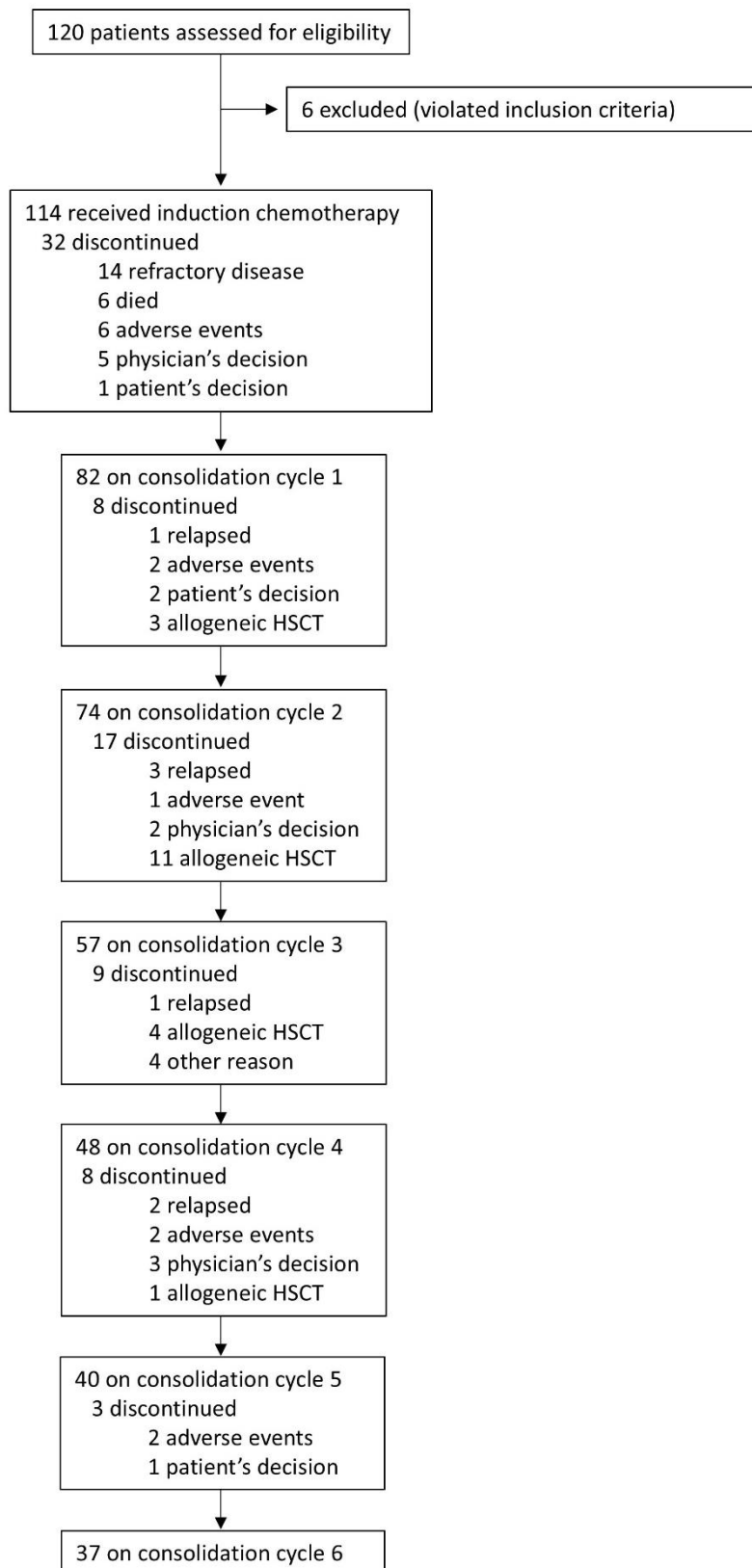
	<b>DEXAML-02</b>
	N=114
<b>Number of days with platelets &lt; 10 x 10<sup>9</sup>/L</b>	
Median	10.5
IQR	1.50; 19.00
<b>Number of days between day 1 of induction and day when ANC ≥ 0.5 x 10<sup>9</sup>/L</b>	
Median	26
IQR	24; 30
<b>Number of RBC transfusions during induction</b>	
Median	9
IQR	6; 13
<b>Number of platelets transfusions during induction</b>	
Median	9.5
IQR	7; 15
<b>Number of days with fever ≥ 38 degrees C</b>	
Median	6
IQR	3; 11
<b>Number of days with antibiotics</b>	
Median	23
IQR	18; 29
<b>Infections, n (%)</b>	
Documented infection	65 (57%)
Grade 3-4	57 (50%)
Septic shock	3 (3%)
<b>Grade 3-4 cutaneous, n (%)</b>	6 (5%)
<b>Grade 3-4 mucositis, n (%)</b>	20 (18%)
<b>Grade 3-4 haemorrhage, n (%)</b>	5 (4%)
<b>Grade 3-4 nausea or diarrhea or vomiting, n (%)</b>	10 (9%)
<b>Grade 3-4 pain, n (%)</b>	4 (4%)
<b>Grade 3-4 constipation, n (%)</b>	2 (2%)
<b>Grade 3-4 pulmonary events, n (%)</b>	8 (7%)
<b>Grade 3-4 arrhythmia, n (%)</b>	2 (2%)
<b>Grade 3-4 other cardiac toxicity, n (%)</b>	7 (6%)
<b>Grade 3-4 central nervous system, n (%)</b>	3 (3%)
<b>Grade 3-4 peripheral nervous system, n (%)</b>	1 (1%)
<b>Grade 3-4 alkaline phosphatases increased, n (%)</b>	4 (4%)
<b>Grade 3-4 ASAT increased, n (%)</b>	4 (4%)
<b>Grade 3-4 ALAT increased, n (%)</b>	5 (4%)
<b>Grade 3-4 bilirubin increased, n (%)</b>	7 (6%)
<b>Grade 3-4 creatinine increased, n (%)</b>	1 (1%)
<b>Grade 3-4 hyperglycemia, n (%)</b>	12 (11%)
<b>Grade 3-4 lipase increased, n (%)</b>	1 (1%)
<b>Grade 3-4 amylase increased, n (%)</b>	1 (1%)

N=number. ANC=absolute neutrophil count. IQR=inter-quartile range. RBC=red blood cell. C=Celsius. NA=not applicable.

\*Investigators were requested to monitor for and report adverse events as defined by the National Cancer Institute Common Terminology Criteria for Adverse Events (version 4.0). Safety reports were monitored by the sponsor.

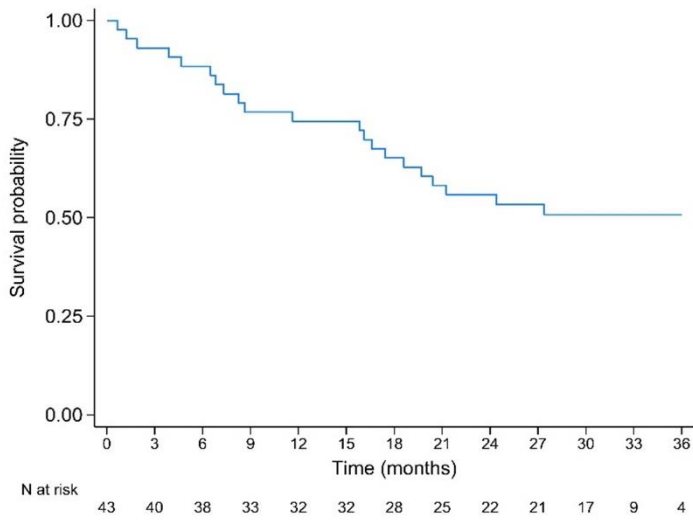


## Supplementary figure 1: Consort diagram

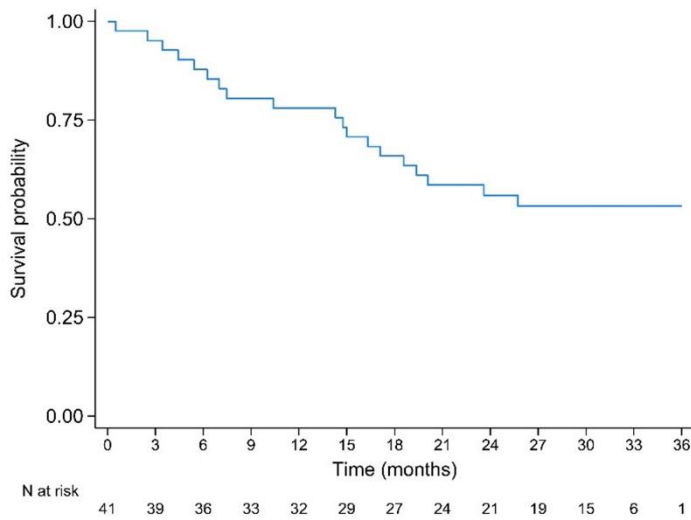


**Supplementary figure 2: Event-free survival, relapse-free survival and overall survival in patients with *NPM1* mutations. A. Event-free survival. B. Relapse-free survival. C. Overall survival.**

**A**



**B**



**C**

