# Novel classification system and high-risk categories of pediatric acute myeloid leukemia

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### **Abstract**

The prognosis of pediatric acute myeloid leukemia (AML) remains poor compared with pediatric acute lymphoblastic leukemia (ALL); accurate diagnosis and treatment strategies based on the genomic background are urgently needed. Recent advances in sequencing technologies have identified novel pediatric AML subtypes, including *BCL11B* structural variants and *UBTF* tandem duplications (*UBTF*-TD), associated with poor prognosis. In contrast, these novel subtypes do not fit into the diagnostic systems for AML of the 5th edition WHO classification or International Consensus Classifications (ICC) released in 2022. In this review, we describe the current state of pediatric AML classification in the context of a new classification framework based on the findings of updated genomic profiling. Molecular categories in the new classification system are associated with unique transcriptional, mutational, and clinical characteristics, which can be leveraged for predicting clinical outcomes and developing molecular-target therapies based on the initiating driver alterations. We also highlight four high-risk subtypes of pediatric AML, namely *CBFA2T3::GLIS2*, *BCL11B*, *UBTF*-TD, and ETS family fusions, focusing on their disease mechanisms, clinical associations, and possible therapeutic strategies to overcome the dismal clinical outcomes associated with these alterations.

### Introduction

Acute myeloid leukemia (AML) is a disease characterized by uncontrolled growth and differentiation block of hematopoietic cells of myeloid lineage. Compared with adult AML, which commonly develops from the somatic accumulation of single nucleotide variants (e.g., DNMT3A, IDH1-2, and myelodysplasia-related mutations), often via clonal hematopoiesis or progression from myelodysplastic neoplasm (MDS), pediatric AML has a unique genomic background characterized by frequent chromosomal translocations.2 Traditionally, karyotyping techniques such as G-banding and fluorescence in situ hybridization (FISH) have been utilized in classifying AML. Core binding factor (CBF) leukemia with t(8;21) (encoding RUNX1::RUNX1T1) or inv(16) (encoding CBF-B::MYH11) are similarly found both in adults and pediatrics, whereas translocations of chromosome 11 involving KMT2A (also known as MLL) are enriched in pediatric AML. Additionally, polymerase chain reaction (PCR)-based and

FISH studies revealed gene rearrangements resulting from

cryptic karyotype abnormalities such as NUP98::NSD1,3 which are enriched in pediatric patients and associated with poor prognosis. Recent progress in analytical pipelines and sequencing technologies, including new methodologies like Hi-C, discovered pediatric AML subtypes with complex structural variants involving BCL11B4,5 or tandem duplication of UBTF (UBTF-TD).6,7 These molecular alterations have been underestimated even in the era of next-generation sequencing. In 2022, updates to the 5th World Health Organization classification (WHO5th) recognized KMT2A and NUP98-rearranged AML as distinct disease entities due to their unique clinical and biological features.8 However, many pediatric-enriched subtypes do not fit in the current diagnostic schema and fall into broad categories of "Acute myeloid leukemia with other defined genetic alterations" or "Acute myeloid leukemia, defined by differentiation".9 Also, the International Consensus Classification (ICC),10 an alternative classification system proposed in 2022, included AML with KMT2A::MLLT3 and other KMT2A rearrangements as distinct entities, whereas

AML with *NUP98* rearrangements and other subtypes enriched in childhood AML remain categorized as "AML with other rare recurring translocations" or "AML not otherwise specified (NOS)".

From a clinical standpoint, improvements in outcomes for children with AML remain inferior, with only a 70% 5-year overall survival (OS) rate from diagnosis, lagging behind the 95% 5-year OS for pediatric acute lymphoblastic leukemia (ALL).11-13 This is partly because many pediatric-specific AML subtypes are refractory to conventional chemotherapy. Accurate and timely diagnoses that can risk-stratify or nominate targeted therapies based on the genetic background are required to optimize patient management and outcomes.14 European LeukemiaNet (ELN) recommendations based on evidence from clinical trials and expert panels have led to a consensus for adult AML treatment.<sup>15</sup> whereas pediatric AML lacks a similar consensus over risk stratification, and various strategies are currently implemented for pediatric AML according to study groups. Given the changing paradigm of classifications of pediatric AML due to newly characterized subtypes, it is critical for both clinicians and researchers to understand current classification limitations and to provide comprehensive and robust clinical molecular diagnostics for making informed treatment decisions. In this review, we first describe the current and developing classifications of pediatric AML based on the recent advances in pediatric AML genetics. We compare risk-stratification strategies currently used in clinical trials with molecular category-based strategies, which will be a basis for further improvement of patient treatment. We also highlight key genetically defined highrisk subtypes of pediatric AML to better understand them and treat them based on biology.

### New classification framework

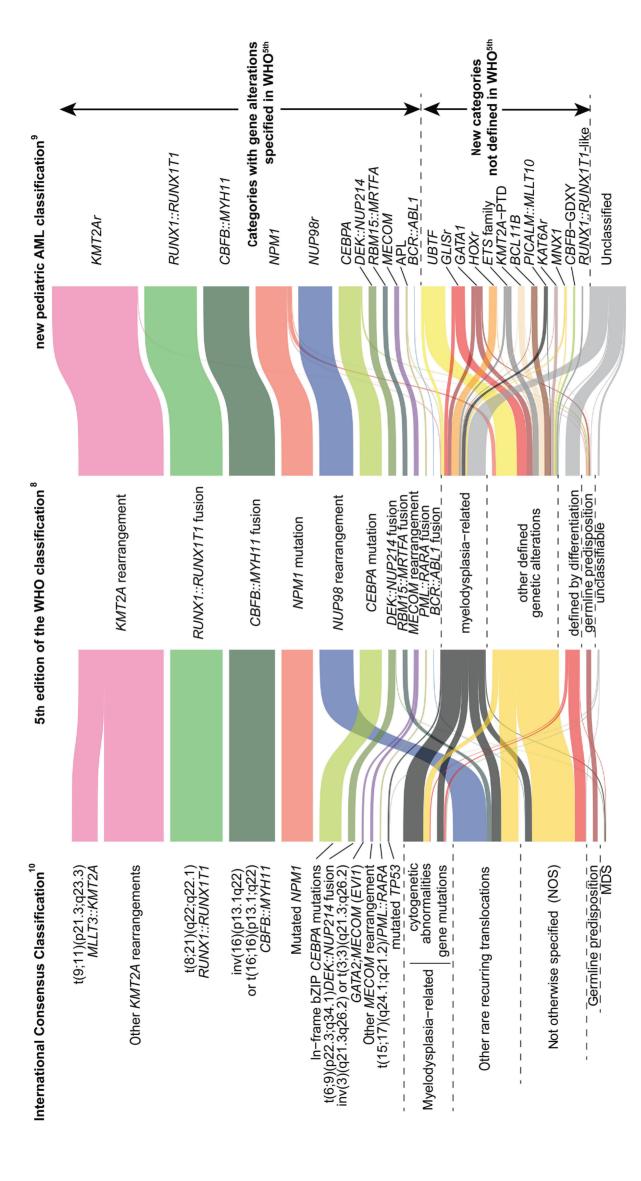
# **Current classifications of pediatric acute myeloid leukemia**

Pediatric and adult AML are currently classified together in both the ICC and WHO<sup>5th</sup> classifications released in 2022<sup>8,10</sup> despite their distinct genetic landscapes. Although the terminologies are slightly different, both the ICC and WHO<sup>5th</sup> divide AML into umbrella categories defined by recurrent genetic abnormalities or myelodysplasia-related genetic changes along with phenotypically-defined categories of "AML, defined by differentiation" (WHO<sup>5th</sup>) or "AML not otherwise specified" (ICC). Both ICC and WHO<sup>5th</sup> prioritize the presence of recurrent driving genetic alterations for classification while incorporating myelodysplasia-related gene mutations or cytogenetic changes, but not morphologic dysplasia, into the definition of myelodysplasia-related changes. Although the new MDS/AML category in ICC acknowledges the continuum between MDS and AML with

blasts ranging from 10% to 19%, the category does not apply to pediatric patients <18 years old.10

In addition to the major categories previously defined in WHO4th (e.g., RUNX1::RUNX1T1, NPM1), the AML categories with recurrent genetic abnormalities in both classifications now include AML with KMT2A rearrangements other than KMT2A::MLLT3, variant MECOM rearrangements, variant RARA fusions, and a list of specified rare recurrent alterations. While RBM15::MRTFA fusion and NUP98 rearrangements are categorized as distinct entities in WHO5th, ICC classifies them as part of the entity "AML with other rare recurring translocations" under the umbrella category with recurrent genetic abnormalities. The blast percentage requirement is lowered to 10% for all recurrent genetic abnormalities in the umbrella category except for BCR::ABL1 fusion in ICC, which still requires at least 20% blasts. In WHO5th, a diagnosis of AML with defining genetic abnormalities can essentially be rendered with any blast count; but the requirement of 20% blasts remains for AML with BCR::ABL1 fusion, CEBPA mutation, and AML with rare defined genetic abnormalities. While many pediatric AML fall under the category defined by specific genetic alterations, a significant number of pediatric AML demonstrate rare recurring or novel alterations not meeting these definitions. In WHO5th released in 2022,8 the broad entity "AML with other defined genetic alterations" allowed for the inclusion of AML with ≥20% blasts and initiating alterations including newer entities if recurrent and not overlapping with existing molecularly defined categories, such as UBTF-TD or CBFB-GDXY.16 In contrast, the version of WHO5th currently available online17 defines the entity as AML with ≥20% blasts and CBFA2T3::G-LIS2, KAT6A::CREBBP, FUS::ERG, MNX1::ETV6, or NPM1::MLF1, presumably leaving other new entities as "AML, defined by differentiation". In ICC, AML with 12 specific but rare translocations, including the 5 fusions described above that are specified in WHO5th, as well as NUP98 rearrangements and RBM15::MRTFA fusion, are categorized as "AML with other rare recurring translocations" with a blast percentage requirement of 10% under the umbrella category "AML with recurrent genetic abnormalities". New entities without specified defining alterations are categorized as "AML, NOS", collectively demonstrating challenging situations over appropriately classifying pediatric AML according to these systems largely based on adult studies.

Compared with adult AML, a much smaller proportion of pediatric AML is categorized as AML with myelodysplasia-related changes. A study that classified an adult AML cohort (N=746) using the updated WHO and ICC systems showed that the majority of adult AML cases could be classified by somatic mutations of myelodysplasia-related genes or *NPM1*, followed by *CEBPA* mutations, *MECOM* rearrangement or *PML::RARA* fusions.<sup>18</sup> Whereas nearly 95.0% of adult AML could be defined by either specific gene alterations (67.1%) or as AML-MR (27.8%) in the WHO classification, only 79.2% of pediatric AML are defined by specific gene alteration



sifications or categories of each patient are connected by ribbons, with the colors of ribbons representing WHO5th® (mid-left) and molecular categories (mid-right) Figure 1. Comparisons of the International Consensus Classification. (Left) The International Consensus Classification (ICC), (middle) the 5th edition of the World Health Organization Classification of Haematolymphoid Tumours (WHO5th), and (right) a new classification system of pediatric acute myeloid leukemia (AML). Clasand the width representing the numbers of patients in the reference study cohort<sup>®</sup> (total 887 patients). Adapted from Umeda e*t al.*<sup>®</sup> MDS; myelodysplastic syndrome; APL; acute promyelocytic leukemia; PTD; partial tandem duplication.

(68.5%) or AML-MR (10.7%), respectively (Figure 1), leaving 15.8% defined by other recurrent somatic alterations, emphasizing the biological differences between pediatric and adult AML.<sup>9</sup> Not only are MR changes uncommon in pediatric AML, but when they do occur, they overlap with other defining alterations. For example, myelodysplasia-related cytogenetic changes (WHO<sup>5th</sup> and ICC) or *TP53* mutations (ICC) are frequently observed in AML with *PICALM::MLLT10.*<sup>9,19</sup> The inclusion of trisomy 8 as an MDS-defining alteration in ICC is a further complication for pediatric AML as this cytogenetic alteration can be recurrent in *UBTF-TD*, *FUS::ERG*, and *HOX* gene rearrangements with AMKL phenotypes. In a classification system designed for pediatric AML, these alterations should be prioritized over trisomy 8.

### New molecular classification of pediatric acute myeloid leukemia

Given these gaps in the current classifications of pediatric AML, there has been a critical need for a classification framework based on the unique genetic and biological background of pediatric AML. Using comprehensive sequencing data, 887 unique pediatric AML samples were classified into 23 molecular categories based on mutually exclusive genetic alterations associated with unique expression profiles9 (Figure 1, right). These molecular categories include recurrent alterations not previously included in classification systems, such as AML with UBTF-TD,7 PICALM::MLLT10,19 or BCL11B,4,5 and new subtypes associated with favorable outcomes such as AML with CBFB-GDXY mutations.16 Using this detailed genomic profiling, the classification system covered 91.4% of pediatric AML cases by molecularly defined subtypes with unique transcriptional patterns and co-operating mutations, compared with 68.5% by WHO5th (Figure 1, mid-right).

It is notable that some of these defining alterations, namely KMT2A and NUP98 rearrangements, PICALM::MLLT10, and BCL11B structural variations, can be found in leukemias of other lineages, such as mixed phenotype acute leukemia (MPAL), as well as early T-cell precursor ALL (ETP-ALL), or even change lineages during therapy. Whether we should categorize these cases together as part of a disease continuum based on genetics or according to clinical diagnosis using immunophenotyping should be considered in future classifications, as implicated for BCL11B in WHO5th. Another characteristic of this pediatric-focused classification is the inclusion of functionally redundant alterations with similar transcriptional profiles in a category, such as the ETS family (FUS::ERG and EWSR1::FLI1) or the GLIS family (CBFA2T3::GLIS2 and CBFA2T3::GLIS3). This approach allows for categorizing pediatric AML based on the background mechanism of leukemogenesis, which can have implications for molecular-targeted therapies aimed at specific categories. Many of the remaining pediatric AML cases had structural variations leading to in-frame fusion events (e.g., MLLT10 fusions not with KMT2A or PICALM or RUNX1::USP42)<sup>20.21</sup> that likely drive AML, but that are not recurrent enough to warrant designation as a distinct molecular category without interrogating additional datasets. A subset of uncategorized pediatric AML cases also harbored somatic mutations seen in adult AML, such as *DNMT3A* or *TET2*.8 However, these mutations were not associated with consistent expression profiles and often co-occurred with category-defining molecular alterations when present in pediatric AML.

# Molecular categories associated with molecular dependency

Although these molecular categories are defined by unique driver alterations, some molecular categories also have similar transcriptional profiles and patterns of co-operating mutations that may suggest common mechanisms.9 Notably, categories characterized by HOXA cluster gene expression (KMT2A-rearranged leukemias) or by HOXA/B expression (UBTF-TD, NPM1, NUP98::NSD1) are shown to be dependent on the KMT2A/menin interaction for the development and maintenance of leukemia in vitro and in vivo. 22-26 Clinical trials evaluating the efficacy of various menin inhibitors for KMT2A-rearranged and NPM1-mutated AML are underway,27 and recently a patient with a UBTF-TD myeloid tumor was treated with a menin inhibitor.28 It is intriguing to investigate the menin dependencies in other categories with HOX gene expression, namely DEK::NUP214 and KAT6A-rearranged AML, through in vitro and in vivo studies to translate these molecular categories into clinical practice. The clinical impact may be significant, as nearly 50% of pediatric AML can be assigned to molecular categories characterized by HOX gene deregulation, including many high-risk categories.

# Current risk-stratification strategies for pediatric acute myeloid leukemia

The basis of current risk stratifications for pediatric AML includes high- or low-risk initiating and subtype-defining gene alterations such as high-risk KMT2A rearrangements (e.g., KMT2A::MLLT4) or CBF AML, whereas many pediatric-specific and novel driver alterations are not yet included in the stratification systems summarized in a recent review.<sup>29</sup> This includes state-of-the-art and detailed strategy incorporating various genetic and chromosomal alterations in the recent COG AAML1831 trial, a randomized phase III study of CPX-351 in comparison with standard daunorubicin/cytarabine with dexrazoxane for de novo pediatric AML.30 They also include co-operating somatic alterations (e.g., FLT3 or KIT mutations) or chromosomal changes (monosomy 7, del(5q)) associated with poor outcomes. These genetic features are often combined with clinical responses represented by minimal/measurable residual disease (MRD) status at the end of induction to guide subsequent therapies, including allogeneic hematopoietic stem cell transplant (allo-HSCT) in first complete remission (CR1). Notably, initiating driver

Table 1. Comparison of risk-stratification systems.

Risk	ELN for adult AML	COG AAML1831	Molecular category
Low- Favorable	t(8;21)(q22;q22.1)/ RUNX1::RUNX1T1 inv(16)(p13.1q22) or t(16;16) (p13.1;q22)/CBFB::MYH11 Mutated NPM1 without FLT3-ITD bZIP in-frame mutated CEBPA	CBF-AML without MRD*@EOI1>0.05%, <i>KIT</i> exon17 mutations, or other HR factors Mutated <i>NPM1/CEBPA</i> -bZip without MRD*@EOI1>0.05% or other HR factors	RUNX1::RUNX1T1, CBFB::MYH11, NPM1, CEBPA, RUNX1::RUNX1T1-like, CBFB-GDXY, MNX1, DEK::NUP214, and Low-risk KMT2Ar (non-MLLT3, MLLT10, MLLT4, MLLT1) fusions
Intermediate	Mutated NPM1 with FLT3-ITD Wild-type NPM1 with FLT3-ITD t(9;11)(p21.3;q23.3)/ MLLT3::KMT2A Cytogenetic and/or molecular abnormalities not classified as favorable or adverse	-	BCL11B, KMT2A-PTD, GATA1, ETS family, RBM15::MRTFA or no defining alteration
High- Unfavorable	t(6;9)(p23;q34.1)/DEK::NUP214 t(v;11q23.3)/KMT2A-rearranged t(9;22)(q34.1;q11.2)/BCR::ABL1 t(8;16)(p11;p13)/ KAT6A::CREBBP inv(3)(q21.3q26.2) or t(3;3) (q21.3;q26.2)/GATA2, MECOM(EVI1) t(3q26.2;v)/MECOM(EVI1)- rearranged -5 or del(5q); -7; -17/abn(17p) Complex karyotype, monosomal karyotype Mutated ASXL1, BCOR, EZH2, RUNX1, SF3B1, SRSF2, STAG2, U2AF1, or ZRSR2 Mutated TP53	FLT3-ITD allelic ratio > 0.1 with no NPM1/CEBPA-bZip mutation FLT3-ITD allelic ratio > 0.1 with Mutated NPM1/CEBPA-bZip and MRD@EOI1 ≥ 0.05%  MRD@EOI1 ≥ 0.05%  Mutated non-ITD FLT3 and MRD@EOI1 ≥ 0.05%  RAM phenotype  inv(3)/t(3;3): RPN1::MECOM, t(3;21): RUNX1::MECOM, t(3;5): NPM1::MLF1,  t(6;9): DEK::NUP214, t(8;16): KAT6A::CREBBP (≥90 days old) t(16;21)(p11;q22): FUS::ERG, inv(16)(p13q24): CBFA2T3::GLIS2  High-risk KMT2Ar, t(4;11): KMT2A::AFF1, t(6;11): KMT2A::AFDN, t(10;11): KMT2A::MLLT10 t(10;11): KMT2A::ABI1, t(11;19): KMT2A::MLLT1  11p15-r: any NUP98 fusion, 12p13-r: any ETV6 fusion, 12p deletion: ETV6 loss  -5/del(5q): EGR1 loss, Monosomy 7, 10p12.3-r: any MLLT10 fusion No favorable/unfavorable abnormalities with MRD@EOI1 ≥ 0.05%	KAT6Ar, NUP98r, HOXr, MECOM, UBTF, GLISr, PICALM::MLLT10, and High-risk KMT2Ar (MLLT3, MLLT10, MLLT4, MLLT1) fusions

ELN: The European LeukemiaNet; AML: acute myeloid leukemia; ITD: internal tandem duplication; HR: high-risk; MRD: measurable/minimal residual disease; EOI1: end of induction 1; PTD: partial tandem duplication.

alterations are often associated with specific co-operating mutations (e.g., *FLT3*-ITD mutations with *NUP98::NSD1* and *UBTF*, or monosomy 7 with *MECOM* rearrangement) or differential treatment responses. With these systems, conflicting situations may arise where favorable and unfavorable factors co-exist, such as *FLT3*-ITD-positive or MRD-positive cases in subtypes with molecular alterations associated with favorable outcomes, like *CBF* AML or *NPM1*-mutated leukemia. Risk assignment in these situations can vary depending on the study groups. For example, CBF AML cases with *FLT3*-ITD allelic ratio >0.1 could be stratified as high-risk in the COG AAML1831<sup>30</sup> and NOPHO-DBH AML 2012<sup>31</sup> studies, whereas they are categorized as standard or intermediate risks in MyeChild 01 and JPLSG AML-20.<sup>32</sup>

# Risk-stratification strategies based on the novel classification framework

Considering these complexities, a simple risk-stratification framework based on the updated molecular categories of pediatric AML was proposed. Each of the above-mentioned

molecular categories was classified into high-, intermediate-, low-risk categories based on the clinical outcomes, whereas KMT2Ar was further divided into low- or highrisk classes based on fusion partners<sup>9,33</sup> (Table 1). The ELN risk-stratification for adult AML and the COG AAML1831 risk-stratification strategies are also shown for comparison. Combined only with MRD positivity, patients can be grouped into 6 strata, whose predictive value was comparable or superior to various risk stratifications that included conventional risk factors of co-operating alterations (e.g., monosomy 7) that can be found in subclones or that are highly associated with specific molecular categories. Highrisk categories were shown to be candidates for allo-HSCT in CR1 independent of MRD status, whereas allo-HSCT for low-risk categories in CR1 could be overtreatment and negatively affect the outcome.9 This framework may require further updates to include newly identified molecular subtypes or heterogeneous treatment responses within one category, as has been shown in KMT2A-rearranged AML, and to adapt to the impact of emerging targeted therapies

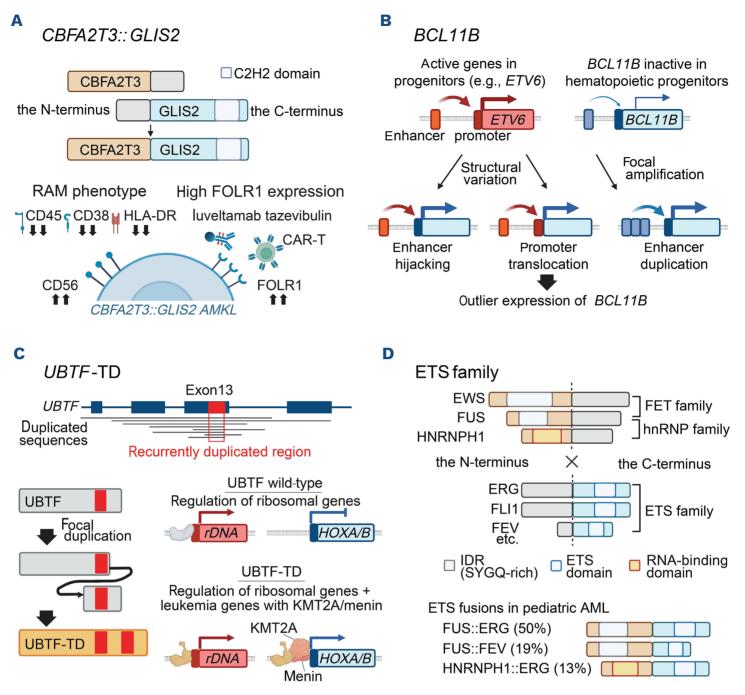


Figure 2. Disease mechanisms of high-risk subtypes of pediatric acute myeloid leukemia. (A) Schematics of CBFA2T3::GLIS2 proteins (top) and characteristics of surface marker expression of acute megakaryocytic leukemia (AMKL) with CBFA2T3::GLIS2 fusion and targeted therapies (bottom). (B) Disease mechanisms of the BCL11B subtype (enhancer hijacking, promoter translocation, or enhancer duplication) that lead to outlier expressions of BCL11B. (C) Duplicated genetic regions in UBTF tandem duplications (UBTF-TD, top), and resulting proteins that regulate ribosomal DNA (rDNA) and leukemic gene expression, represented by HOXA/B cluster genes (bottom). Recurrently duplicated regions are highlighted in red. (D) Schematic illustrations of fusion proteins of the N-terminal FET or hnRNP family proteins and the C-terminal ETS family proteins retaining ETS domains (top) and representative ETS family fusion proteins in pediatric acute myeloid leukemia (AML). CAR-T cell: chimeric antigen receptor T cell; IDR: intrinsically disordered region. Images created with BioRender.com.

such as venetoclax, 2<sup>nd</sup> generation FLT3 inhibitors, and menin inhibitors.

#### **Molecular-targeting therapies**

In the COG AAML1031 study (clinicaltrials.gov 01371981), which incorporated the multi-kinase inhibitor sorafenib for patients with high-allelic burden *FLT3*-ITD, *FLT3*-ITD<sup>+</sup> patients showed comparable outcomes with *FLT3*-ITD<sup>-</sup> patients across the cohort.<sup>9,11</sup> The St. Jude AML08 study<sup>12</sup> (clinicaltrials. gov 00703820), which also included sorafenib for *FLT3*-ITD<sup>+</sup> cases, recapitulated results from the AAML1031 study in that the outcomes of *FLT3*-ITD<sup>+</sup> patients are comparable to *FLT3*-ITD<sup>-</sup> patients. Given the impact of sorafenib in the

AAML1031 study and the broad use of selective FLT3-ITD inhibitors (quizartinib and midostaurin) for untreated adult AML patients with *FLT3*-ITD,<sup>34,35</sup> updates of risk-stratification strategies should be considered, along with the inclusion of FLT3-ITD-targeted therapy for untreated pediatric AML. A similar situation can be expected with menin inhibitors. Existing clinical data showing the efficacy of menin inhibitors are limited to relapsed/refractory *NPM1* or *KMT2A*-rearranged AML.<sup>36</sup> A phase I clinical trial addressing the safety and efficacy of revumenib in combination with conventional 7+3 plus midostaurin for *NPM1* AML with *FLT3*-ITD or tyrosine kinase domain (TKD) mutation is set to begin (clinicaltrials. gov 06313437). Although this clinical trial is intended for adult

patients with *NPM1*, there is hope that future clinical trials will explore menin inhibition in untreated pediatric AML either with genetic events known to respond (e.g., *KMT2A*, *NUP98* rearrangements or *UBTF-TD*) or driven by expression profiles (e.g., *HOXA/B* deregulation) to assess if menin inhibition can alter the clinical course of these high-risk populations.

# High-risk subtypes of pediatric acute myeloid leukemia

Advances in the understanding of the molecular and functional consequences of drivers common in high-risk pediatric AML subtypes are expected to alter treatment strategies and improve the overall outcomes of pediatric AML. Classic high-risk categories shared with adult AML (e.g., *MECOM* rearrangements) are discussed elsewhere, while new or pediatric-specific categories have been underappreciated. The following highlights clinical and biological aspects of key high-risk molecular categories and ongoing efforts to develop treatments to overcome the dismal outcomes for patients with these alterations.

#### CBFA2T3::GLIS2

The CBFA2T3::GLIS2 fusion gene, resulting from a cryptic inversion of chromosome 16, inv(16)(p13g24), had been unrecognized until its discovery in approximately 30% of non-Down syndrome acute megakaryoblastic leukemia (AMKL, French-American-British Classification; FAB M7) pediatric patients.<sup>37</sup> The N-terminal CBFA2T3 (also known as ETO2) forms a fusion oncoprotein with the C-terminal GLIS2 or GLIS3, retaining a C2H2 zinc finger domain shared within the family that regulates oncogenic gene expression (Figure 2A). 9,38,39 CBFA2T3::GLIS2 fusions are highly enriched in AMKL patients <3 years old and are strongly associated with the "RAM" immunophenotype, characterized by bright CD56 expression and dim/absent expression of CD45, CD38, and HLA-DR,40,41 which is included in a recent clinical trial as an independent high-risk factor (clinicaltrials.gov 04293562). Clinical outcomes of this subtype are overall dismal, with high rates of MRD at the end of the first induction therapy (EOI1) and only approximately 15% long-term survival rates in multiple clinical studies.<sup>2,11,42</sup> Several approaches have been adopted to date to overcome the refractoriness of the disease. CBFA2T3::GLIS2 is now categorized as high-risk in various clinical trials and allo-HSCT in CR1 is universally considered (e.g., MyeChild01: clinicaltrials.gov 02724163; JPLSG AML-20: jRCTs041210015; COG AAML1831: clinicaltrials. gov 04293562; AML16: clinicaltrials.gov 03164057), despite a lack of direct evidence that allo-HSCT improves outcomes.<sup>43</sup> Other approaches based on the biology of this entity are to target FOLR1 (folate receptor alpha), which is specifically expressed on the cell surface of leukemia cells with CB-FA2T3::GLIS2, using drug-conjugated antibodies (e.g., luveltamab tazevibulin44) or chimeric antigen receptor (CAR) T

cells.<sup>45</sup> These treatments demonstrated promising efficacy in preclinical studies using patient-derived xenograft (PDX) mouse models. Lastly, drugs targeting the BCL families, such as navitoclax (a broad BCL-2, BCL-xL, and BCL-W inhibitor) or DT2216 (a selective BCL-xL degrader) are expected to be effective for this subtype.<sup>46,47</sup> Meanwhile, a selective BCL-2 inhibitor commonly used in current AML therapy, venetoclax, may be less effective for this subtype according to *in vitro* assays,<sup>46,47</sup> indicating a selective dependency of AML with *CBFA2T3::GLIS2* on BCL-xL.

#### Structural variations deregulating BCL11B

BCL11B is a zinc-finger protein involved in T-cell development.<sup>48</sup> Its role in mature T-cell malignancies has long been acknowledged in various contexts, including the translocation of regulatory elements to T-cell oncogenic genes leading to aberrant expression of *TLX3/NKX2-5* by t(5;14)(q35;q32.2)<sup>49</sup> and recurrent somatic mutations or loss of *BCL11B* resulting in haploinsufficiency of its function as a tumor suppressor.<sup>50</sup> In contrast, chromosomal translocations involving the *BCL11B* locus (14q32), such as t(6;14)(q25;q32) or t(2;14)(q22;q32), were found in AML<sup>51,52</sup> or mixed phenotype acute leukemia<sup>53</sup> with increased expression of intact *BCL11B*, suggesting BCL11B plays distinct roles in the development of leukemias of different developmental states and cell lineages.

Recent sequencing studies using whole-genome sequencing (WGS) or assays for 3D genomic structure have revealed that genomic rearrangements involving 14q32 result in translocations of active hematopoietic promoters or enhancers, most commonly involving ARID1B, CCDC26, or ETV6, to the proximity of the BCL11B gene<sup>4,5</sup> (Figure 2B). These alterations were found in a series of acute leukemias, including AML (typically FAB M0), mixed phenotype acute leukemia (MPAL), as well as early T-cell precursor ALL (ETP-ALL), suggesting a continuum of these diseases with BCL11B alterations. Additionally, focal amplifications of enhancer elements of BCL11B have been found in leukemias with similar expression profiles. These hijacked regulatory elements led to outlier high expression of BCL11B from the affected allele, resulting in leukemias with unique transcriptional profiles that are observed in both adult and pediatric cohorts. These leukemias often harbor internal tandem duplications of FLT3 (FLT3-ITD) and, less frequently, mutations in WT1, RUNX1, and DNMT3A.4,5 Diagnosis of this entity can be challenging because conventional karyotyping will not capture the full spectrum of 14q32 alterations, and translocation or focal amplification of enhancers may likewise not be detected by standard fusion calling or by using panel sequencing strategies.

Clinical associations of this category are limited to studies involving single cohorts. Whereas adult T-ALL cases in this category showed relatively favorable outcomes with OS of 9.9 years,<sup>5</sup> pediatric AML cases exhibited high MRD after induction I and a low OS rate (4-year OS <60%) compared with other subtypes.<sup>9</sup> Given the nature of this entity found

in leukemias of various lineages, AML in this category could benefit from ALL/AML combined regimens as reported in MPAL;<sup>54</sup> further accumulation of outcome data associated with molecular categories and treatment regimens is required. Another consideration is the use of FLT3 inhibitors given the high frequency of *FLT3*-ITD or D835Y mutations (80%) and high expression of the *FLT3* gene,<sup>5</sup> which suggests its involvement in leukemogenesis and cellular identity.

#### **UBTF tandem duplications**

UBTF is a transcription factor that regulates ribosomal RNA expression by recruiting polymerase I (PolI) to ribosomal DNA. Recently, our group and others reported recurrent tandem duplications in exon 13 of UBTF in approximately 10% of relapsed pediatric AML samples without other defining alterations<sup>7,55</sup> (Figure 2C). The high frequencies of this subtype, despite a scarcity of previous reports, indicate that standard analytical pipelines may not efficiently identify UBTF tandem duplications (UBTF-TD), possibly due to heterogeneity of the duplications.7 Updated pipelines and studies using PCR-based screening identified UBTF-TD in about 4% of newly diagnosed pediatric AML and 3% of adult AML aged 18-60 years.<sup>7,56,57</sup> Similar alterations have also been reported in high-grade pediatric MDS lacking known germline predispositions or monosomy 7, suggesting that UBTF-TD is a driver alteration across a spectrum of myeloid tumors. 58,59 Also, rare but recurrent UBTF-TD in exon 9 in pediatric AML samples with similar expression profiles have been described.58 These data highlight the fact that accurate diagnosis of this subtype will require an unbiased approach with RNA or whole genome sequencing and careful inspection of sequencing data, whereas many commonly used commercial or academic clinical sequencing panels currently lack coverage for UBTF. PCR-based screening of exon 13 in UBTF could be an alternative approach with the limitation that this strategy could underestimate larger duplications extending beyond exon 13 or the rare exon 9 duplications.

UBTF-TD AML is prevalent in adolescent or young adult patients who exhibit AML with normal karyotypes or trisomy 8. UBTF-TD is mutually exclusive with other category-defining driver alterations, suggesting UBTF-TD is an initiating event, while it frequently co-occurs with WT1 or FLT3-ITD mutations. UBTF-TD itself is an independent risk factor for high MRD positivity at end of induction 1 (EOI1) and low survival rates both in pediatric (44% 5-year OS). Due to the high rate of FLT3-ITD and MRD positivity at EOI1, a subset of UBTF-TD cases underwent allo-HSCT in CR1 in clinical trials. While allo-HSCT status at CR1 was associated with prolonged event-free or relapse-free survival, its benefit for OS, and whether salvage HSCT can rescue relapsed patients without HSCT at CR1, requires additional study.

Another strategy could involve molecular targeted therapies. Using experimental models with cord blood CD34<sup>+</sup> cells and

PDX, the UBTF-TD protein was found to co-localize with and depend on KMT2A/menin complex to activate leukemic genes.<sup>26</sup> The menin inhibitor SNDX-5613 (revumenib) can suppress tumor growth both *in vitro* and *in vivo*. These preclinical studies have allowed for patients with *UBTF*-TD AML to be treated with menin inhibitors<sup>28</sup> and for *UBTF*-TD status to be an enrollment criterion for a phase I clinical trial of revumenib, azacytidine, and venetoclax in relapsed/refractory pediatric AML (clinicaltrials.gov 06177067).

#### **ETS family fusions**

FUS::ERG, resulting from t(16;21)(p11;q22), is a rare but well-recognized alteration associated with poor outcomes. 61,62 The N-terminal FUS (FET family) and the C-terminal ERG (ETS family) form fusion oncoproteins that activate downstream genes<sup>63</sup> (Figure 2D). While FUS::ERG is the most common fusion in this AML family, recent studies have shown fusion oncoproteins of another FET family, EWS encoded by EWSR1, or structurally similar HNRNPH1 and other ETS families such as FLI1 or ELF5 in transcriptionally similar pediatric AML, indicating the shared biological features among this entity. 9,64 It is also notable that among Ewing sarcoma cases, the EWSR1::FLI1 fusion gene is found in 80-85% and EWSR1::ERG in 5-10% (and rarely FUS::ERG),65 suggesting a potential biological relationship between AML in this category and Ewing sarcoma with respect to gene regulation. Clinical outcomes of pediatric AML with FUS::ERG were shown to be dismal with 4-year event-free survival of 51% and 4-year OS of 68%,62 while the clinical benefit of allo-HSCT in CR1 for FUS::ERG patients still needs larger cohorts to assess. Cases in this category of alterations other than FUS::ERG may also have aggressive clinical courses and poor outcomes in previous studies. Another study assessing the outcomes of pediatric AML with ETS fusions also showed unfavorable outcomes.66 However, this study included ETV6 rearrangements, which typically result in out-of-frame fusion events leading to loss of the ETS domain unlike FUS::ERG and other ETS family fusions; thus fusions other than FUS::ERG need further evaluation based on accurate diagnosis.

### **Discussion**

Our understanding of the genetic background of pediatric AML has been rapidly broadening, in large part due to the progress of sequencing technologies and analytical pipelines, highlighting fundamental differences between pediatric and adult AML genetics.<sup>2</sup> However, this situation also poses challenges at various levels in investigating and treating pediatric AML.

First, many newly identified pediatric AML subtypes require unbiased diagnostic sequencing or need bioinformatic expertise for accurate diagnosis from RNA sequencing or WGS data.<sup>4,5,7,37</sup> To translate information on AML subtypes into clinical decisions, all the steps from sampling to reporting the genomic alterations need to be completed by the end of induction therapies.<sup>14</sup> However, each institute, area, or country has different access to clinical sequencing technologies and approaches, hampering treatments based on genetic status. Updates in clinical targeted sequencing panels reflecting newly identified molecular subtypes like UBTF-TD would more broadly benefit patients in routine clinical treatment outside academic institutes or clinical trials. Although rare, DNA-based sequencing panels will likely be unable to consistently detect structural variations that lead to aberrant expression through enhancer hijacking, such as those involving BCL11B<sup>4,5</sup> or MNX1.<sup>67</sup> While not yet universally available, transcriptome sequencing approaches can likely predict these events since they are associated with outlier-high expression of the involved genes and unique global expression signatures.68

Second, these high-risk subtypes lack sufficient outcome data to formulate data-driven treatment strategies since they have only recently been recognized by sequencing approaches. It is a commonly accepted idea that allo-HSCT at CR1 is intended for these high-risk subtypes in current clinical trials,<sup>29</sup> whereas the benefits of allo-HSCT at CR1 for all high-risk subtypes without positive MRD are still under debate with various outcome data being reported in the literature. 69 Also, a benefit of allo-HSCT for each subtype will need to be re-assessed with new genetic profiling and current clinical standards, including broadened choices of haploidentical donors using post-transplant cyclophosphamide (PTCy)<sup>70</sup> and improved supportive care. In addition to the impact on survival, the impact of allo-HSCT on quality of life (QOL) of graft-versus-host disease (GvHD), immunosuppression, and possible infertility<sup>71</sup> must be considered.

Lastly, targeted therapies based on new and evolving highrisk genomic subtypes are required, while recognizing that continued division of AML into unique categories will result in fewer patients in each one, especially newer entities. For example, 60% of pediatric AML cases consist of *KMT2A* or *NUP98* rearrangements, *RUNX1::RUNX1T1*, *CBFB::MYH11*, and *NPM1* cases, and the remaining 40% are accounted for by around 20 other molecular categories.<sup>9</sup> Despite various new treatments targeting co-operating partners (menin<sup>29</sup> or DOT1L<sup>72</sup>), signaling dependencies (*FLT3* or KIT mutations<sup>73</sup>), molecular dependencies (the BCL2 family<sup>46,47</sup>), and immunotherapies (antibodies<sup>44</sup> and CAR-T cells<sup>45</sup> targeting FOLR1)

showing promising results in preclinical tests or phase I clinical trials, the scarcity of each subtype complicates the execution of clinical trials aimed at newly identified high-risk subtypes. Also, with the relative rarity of pediatric AML, with approximately 800 cases annually in the United States, there is a critical need for an international collaborative effort to identify patients eligible for clinical trials to efficiently test various treatments. Such collaborations are exemplified in the PedAL/EuPAL project74 and the Leukemia & Lymphoma Society and Children's Oncology Group's APAL2020SC Pediatric Screening Trial (clinicaltrials.gov 04726241),75 including the ITCC-101/APAL2020D (clinicaltrials.gov 05183035)<sup>76</sup> and the ITCC-101/APAL 2020K (clinicaltrials.gov 06376162) subtrials. These efforts will be crucial in designing, recruiting patients, analyzing data, and ultimately pushing these promising therapies toward becoming new clinical standards. Importantly, these efforts also need to include state-of-theart sequencing strategies to appropriately identify the full range of genomic alterations to maximize the potential. We remain hopeful that, with sustained international co-operation, we can overcome these challenges and significantly improve outcomes for children with high-risk pediatric AML.

#### **Disclosures**

MU reports honorarium from AstraZeneca Japan. SEK reports consulting fees from Servier and Jazz Pharmaceuticals. JMK reports honorarium from AstraZeneca Japan. Yl has no conflicts of interest to disclose.

#### **Contributions**

MU and JMK conceptualized the entire study. MU created the figures. All authors wrote and revised the manuscript.

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