

# Predictors and implications of renal injury after CD19 chimeric antigen receptor T-cell therapy

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### **Predictors and implications of renal injury after CD19 chimeric antigen receptor T-cell therapy**

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All summary statistics are included in the paper. Data are available upon request form the corresponding author and approval of the IRB.

### **AUTHOR CONTRIBUTIONS**

AB, VG, IJ and RS designed the study concept, had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. AB, VG, JF, SD, IJ and RS contributed to the acquisition, analysis or interpretation of the data. AB, AG and RS drafted the manuscript. AB, RS, AG, JF and SD handled the statistical analysis. IJ and RS were involved insupervision. All authors critically reviewed the manuscript.

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Dr. Alexander Boardman has received compensation for participating in consulting activities with Bristol Myers Squibb. Dr. Michael Scordo served as a paid consultant for McKinsey & Company, Angiocrine Bioscience, Inc., and Omeros Corporation; received research funding from Angiocrine Bioscience, Inc., Omeros Corporation, and Amgen, Inc.; served on ad hoc advisory boards for Kite – A Gilead Company; and received honoraria from i3Health, Medscape, CancerNetwork for CMErelated activity and honoraria from IDEOlogy. Dr. Sergio Giralt receives research funding from Miltenyi Biotec, Takeda Pharmaceutical Co., Celgene Corp., Amgen Inc., Sanofi, Johnson and Johnson, Inc., Actinium Pharmaceuticals, Inc., and is on the Advisory Boards for: Kite Pharmaceuticals, Inc., Celgene Corp., Sanofi, Novartis, Johnson and Johnson, Inc., Amgen Inc., Takeda Pharmaceutical Co., Jazz Pharmaceuticals, Inc., Actinium Pharmaceuticals, Inc. Dr. M. Lia

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#### **ABSTRACT**

Chimeric Antigen Receptor (CAR) T cells targeting CD19 induce durable remissions in patients with relapsed or refractory non-Hodgkin lymphoma (NHL), but many patients experience treatmentrelated toxicity. Cytokine release syndrome and immune effector cell-associated neurologic syndrome are extensively characterized. However, limited data exist on the burden, predictors, and implications of acute kidney injury (AKI) after CAR T cell therapy.

On initial screening of the FDA adverse event reporting system, we identified a disproportionately high rate of renal adverse events among nearly 6,000 CAR T adverse event reports, suggesting it is clinically important in this patient population. In a subsequent single-center analysis of 399 NHL patients treated with CD19 CAR T cells, we found a substantial burden of AKI after CAR T infusion (10% and 5% of any grade and grade ≥2 AKI) with pre-renal causes being predominant (72%). Evolution to chronic kidney disease was rare, however, 3 patients required hemodialysis. Importantly, patients experiencing cytokine release syndrome and/or neurotoxicity as well as those with low serum albumin and high inflammatory cytokines, including IL-6 and TNF-alpha, were more likely to develop AKI. While pre-CAR T renal dysfunction was not associated with adverse outcomes, patients developing post-CAR T AKI had lower overall survival compared to their counterparts.

Our findings indicate that renal dysfunction is a common toxicity of CAR T cell therapy with meaningful prognostic impact. Notably, the link between systemic inflammation and renal dysfunction, suggests that readily available biomarkers may inform on renal injury risk after CAR T cell therapy.

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#### **INTRODUCTION**

Chimeric Antigen Receptor (CAR) T cells targeting CD19 induce durable remissions in patients with relapsed or refractory non-Hodgkin lymphoma (NHL), including large B cell lymphoma (LBCL) and mantle cell lymphoma (MCL).<sup>1-15</sup> Following a series of pivotal phase 1-2 multicenter clinical trials, four CD19 CAR T cell products, axicabtagene ciloleucel (axi-cel), tisagenlecleucel (tisa-cel), lisocabtagene maraleucel (liso-cel), and brexucabtagene autoleucel (brexu-cel), received FDA approval for NHL in the third-line setting or later,<sup> $1-10$ </sup> paving the way for follow-up studies in the second-line.<sup>11-14</sup> Three phase 3 randomized controlled trials separately compared CD19 CAR T cell therapy to prior standard of care high dose chemotherapy with autologous stem cell transplant (auto-HCT) in LBCL patients with relapsed or refractory disease less than 12 months after completion of first-line chemoimmunotherapy.<sup>11-13,15</sup> Among these, the ZUMA-7 and TRANSFORM studies demonstrated improved median event-free survival in the CAR T cell arm relative to auto-HCT, leading to FDA approval of axi-cel and liso-cel in this setting.<sup>16-17</sup> Indications for CAR T cell therapy in lymphoma were further extended after the phase 2 PILOT study showed impressive efficacy of liso-cel in LBCL patients with significant medical comorbidities including chronic kidney disease (CKD), leading to its expanded approval as second-line therapy for transplant-ineligible patients.<sup>14</sup>

Despite these major therapeutic advances, many patients experience treatment-related toxicity.<sup>1-14</sup> Cytokine release syndrome (CRS), immune effector cell-associated neurologic syndrome (ICANS), and immune effector cell-associated hematologic toxicity are extensively characterized.<sup>18-21</sup> However, limited data exist on the burden, predictors, and implications of acute kidney injury (AKI) after CAR T cell therapy. Among the seminal trials investigating the use of CD19 CAR T cell therapy in lymphoma patients who relapse after two or more lines of therapy, only the TRANSCEND study extended eligibility to patients with CKD grade  $3.<sup>2-5</sup>$  Thus, our understanding of

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lymphoma outcomes and toxicities in patients with pre-existing kidney disease is otherwise limited to small retrospective studies. $22-24$ 

Here we describe a global pharmacovigilance analysis of nearly 6,000 CD19 CAR T cell recipients in addition to the largest single retrospective analysis to date of 399 NHL patients with normal and reduced baseline renal function who received CD19 CAR T cell therapy at our institution. We evaluated AKI incidence, etiologies, and clinical consequences in addition to CAR T cell-related outcomes and toxicities. Additionally, we analyzed pre-lymphodepletion, pre-infusion, and postinfusion laboratory values to determine predictors of AKI within 100 days after CAR T cell infusion.

#### **METHODS**

#### **FAERS analysis**

To further assess the association between CD19 CAR T cell therapy and AKI we queried the FDA adverse event reporting system (FAERS), a global post-marketing surveillance program, which includes voluntary and mandatory safety reports submitted by healthcare professionals, consumers, and manufacturers. The database was screened for reports of commercial CD19 CAR T cell products (axi-cel, tisa-cel, liso-cel, and brexu-cel) as the primary etiology of a given AE between January 1, 2017, and September 30, 2022. Patients under 18 years old were excluded. We applied an algorithm to eliminate suspected duplicate reports of the same drug-AE pair with different case numbers by screening for identical values in four key fields: age, sex, event date, and country of occurrence. AKI outcome definition included the "Preferred Terms" in the "Acute renal failure" Standardized MedDRA Query (version 25.1) with manual validation by the authors.<sup>25</sup>

We performed a disproportionality analysis to compare the reporting of AKI events following CD19 CAR T cell therapy with all other oncological patients from the database. The age-and-sexadjusted reporting odds ratio (adj.ROR) and the lower bound of the information component 95% credibility interval  $(IC<sub>025</sub>)$  were used to detect significant disproportionate reporting. A lower bound

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of the adj. ROR 95% confidence interval (CI) greater than one and a positive  $IC<sub>025</sub>$  value were used as thresholds for signal detection. To mitigate indication and reporting biases, we conducted sensitivity analyses using different comparator groups, including the full database, hematooncological patients, and patients with lymphoid malignancies. <sup>26-27</sup>

### **MSK cohort population**

We performed a single-center real-world retrospective study to analyze baseline and dynamic changes in renal function in adult patients with relapsed or refractory NHL treated with CD19 CAR T cells at Memorial Sloan Kettering Cancer Center (MSK) between April 28, 2016 and December 31, 2023. Axi-cel, tisa-cel, liso-cel, and brexu-cel were given mostly as standard therapy. Additionally, some patients received liso-cel under the TRANSCEND NHL 001 study (NCT02631044). Our cohort did not include patients on hemodialysis or renal transplant recipients. Patient data were entered and stored in a REDCap database.<sup>28</sup> This research was approved by the Institutional Review Board committee and conducted in accordance with the Declaration of Helsinki.

#### **Definitions**

Estimated glomerular filtration rate (eGFR) was calculated via the 2021 Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) Creatinine Equation<sup>29</sup> and was based on serum creatinine just prior to lymphodepletion. Chronic kidney disease grading was tiered by the Kidney Disease Improving Global Outcomes (KDIGO) definitions. AKI was defined by serum creatinine between day 0 and day 100 using KDIGO criteria (supplementary methods). For AKI assessment, disease relapse or progression, anti-cancer treatment after CAR T cells, and death were considered competing events. EMR charts of all cases of AKI were individually reviewed by a board-certified nephrologist to adjudicate each cause of AKI. Renal recovery was defined as a return in creatinine to baseline range within 90 days. Otherwise, patients were considered as having progressed to

CKD. CRS and ICANS were graded according to ASTCT guidelines.<sup>30</sup> Detailed statistical methods and formulas are described in supplementary methods.

#### **RESULTS**

#### **Global pharmacovigilance analysis**

To evaluate the extent to which renal injury impacts patients with lymphoid malignancies who are treated with CD19 CAR T cell therapy, we interrogated the FDA adverse event reporting system (FAERS) database containing more than 7,000,000 cases. 5,912 patients received CD19 CAR T cells, including 3,526 axi-cel, 1,780 tisa-cel, 178 liso-cel, and 428 brexu-cel (**Table 1**; **Figure 1A**). CD19 CAR T cell recipients were a median (IQR) age of 62 (51-69). The main indications for treatment were NHL (n=4,127 [88%]) and ALL (n=536 [11%]). Reports mostly originated from the Americas (74%).

We identified 211 (3.6%) reports of AKI for which CD19 CAR T cell therapy was considered the primary etiology. AKI cases were mostly reported in NHL patients (n=179 [91%]). Age, sex, event year, CD19 CAR T type, and indication did not differ significantly between AKI and other CD19 CAR T cell safety events. Concurrent CRS was reported more frequently by patients who developed AKI relative to those who did not (n=136 [64%] vs. n=2,838 [50%], respectively, p<0.001). AKI events occurred at a median time of 2.5 (1-8) days post-infusion. Patients who developed AKI following treatment had a higher reported fatality rate compared to other CD19 CAR T safety events (n=104 [49%] vs. n=1,250 [23%], p<0.001) (**Table 1**).

Importantly, AKI reporting was significantly higher in patients treated with CD19 CAR T cells compared to all other cancer patients in the FAERS database (adj.ROR= 1.72 [1.34–2.20],  $IC_{0.25}$ = 0.19). To mitigate indication and reporting biases, we conducted sensitivity analyses by using different comparator groups. The association between CD19 CAR T cell treatment and increased reporting of AKI remained significant when compared to the entire FAERS database (adj.ROR=

2.40 [1.87–3.07], IC<sub>025</sub>=0.47), patients with hematologic malignancies (adj.ROR= 1.44 [1.20–1.73],  $IC<sub>025</sub>=0.27$ ), and patients with lymphoid malignancies (adj.ROR= 1.39 [1.16–1.67],  $IC<sub>025</sub>=0.24$ ) (**Figure 1B**).

Overall, this pharmacovigilance analysis highlights AKI as a frequently reported adverse event among patients receiving CD19 CAR T cell therapy. However, limitations inherent to pharmacovigilance data prevent a precise determination of true incidence and risk factors of AKI.

### **MSK cohort baseline characteristics**

To gain insight into the prevalence, etiology, and implications of AKI, we studied 399 patients (**Table 2**) diagnosed with NHL (84% LBCL, 11% MCL, and 5% non-LBCL) who underwent CAR T cell therapy at our institution. Among these patients, the median age was 66 years and CAR T cell products included 46% axi-cel, 20% tisa-cel, 29% liso-cel, and 5% brexu-cel.

Before lymphodepleting chemotherapy, typically initiated five days prior to CAR T cell infusion, patients exhibited a median eGFR of 94 mL/min (IQR: 75-101). Most patients (55%) had a normal eGFR (≥90 mL/min; grade 0-1 CKD), followed by 35% with grade 2 CKD (eGFR 60-89 mL/min) and 10% with grade 3 CKD (eGFR 30-59 mL/min). Older age was associated with baseline CKD grade 2-3 relative to normal eGFR (p < 0.001). Pre-CAR T cell Karnofsky Performance Scale, number of pre-apheresis treatment lines, and prior hematopoietic stem cell transplantation did not significantly differ across CKD groups (**Table 2**).

Given that suboptimal lymphodepletion chemotherapy dosing has been associated with differences in outcomes and toxicities in CD19 CAR T cell-treated patients.<sup>31-32</sup> we calculated total fludarabine dosing. Patients with decreased renal function received lower doses of fludarabine ( $p = < 0.001$ ), ranging from a median total dose of 72 mg/m<sup>2</sup> (IQR 60-76) in stage 3 CKD to 88 mg/m<sup>2</sup> (IQR 80-91) in patients with normal eGFR. We also used an established pharmacokinetic (PK) model to measure fludarabine exposure, using total fludarabine dose and serum creatinine, age, and weight on the first day of lymphodepletion.<sup>32-33</sup> Among 330 patients (143 axi-cel, 18 brexu-cel, 101 liso-cel,

68 tisa-cel) who received fludarabine as part of lymphodepletion, exposure by area under the curve (AUC) was higher on average in patients with lower eGFR (p value inevaluable since eGFR is a variable in the PK calculation model; **Table 2**).

#### **MSK cohort clinical and toxicity outcomes**

At a median follow-up time of 24 months, patients in the cohort experienced a median progressionfree survival (PFS) of 12 months (95% CI, 8.6 - 18 months) and a median overall (OS) of 36 months (95% CI, 23 months – not reached) (**Figure S1**). CAR T cell-related adverse effects occurred at a similar frequency to previously published seminal clinical trials. <sup>1-14</sup> Grade 0-1 CRS occurred in 243 (61%) patients versus 156 (39%) who experienced grade ≥2 CRS. The median duration of CRS was 5 days, and 192 (48%) patients required Tocilizumab infusion. Grade 0-1 ICANS occurred in 322 (81%) patients relative to 77 (19%) with grade ≥2 symptoms. The median duration of ICANS was 5 days, and 150 (38%) patients required steroids. Overall, 62 (16%) patients were admitted to the ICU after CAR T cell infusion. Significant hematologic toxicity was also observed in the cohort with 287 (74%) patients experiencing severe neutropenia (absolute neutrophil count < 500) with a median duration of 10 days and 95 (25%) patients with severe thrombocytopenia (platelets < 20,000) with a median duration of 21 days (**Table S1**).

In a cause-specific Cox regression model, surrogates for disease burden, $34$  including elevated prelymphodepletion lactate dehydrogenase (LDH) and the need for systemic bridging therapy (treatment given between apheresis and infusion), were associated with OS and PFS. Prelymphodepletion eGFR, however, was not associated with OS, PFS, CRS grade ≥2, ICANS grade ≥2, or neutropenia (**Table S2, Table S3, Table S4, Table S5, Table S6**). Thus, baseline renal function was not significantly associated with efficacy or toxicity endpoints.

### **Clinical burden of AKI after CD19 CAR T cell therapy**

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Between CAR T cell infusion (day 0) and day 100, excluding patients who experienced disease relapse and/or received additional anti-cancer treatment, the cumulative incidence of any AKI and grade ≥2 AKI were 10% (39 patients) and 4.8% (19 patients), respectively (**Figure 2A** and **2B**). The median time to any AKI was 9 days, whereas the median time to grade 2+ AKI was 7 days. The trajectory of creatinine and eGFR for all patients over 12 months following CAR T cell infusion is depicted (**Figure S2A** and **S2B**).

Of the 39 patients who experienced an AKI, 28 (71.8%) were attributed to pre-renal factors, including 14 cases that occurred concurrently with CRS. Eight (20.5%) AKI events were considered intra-renal etiology, including 5 cases occurring with CRS-induced hemodynamic compromise, 1 case occurring with TLS, and 2 cases associated with acute tubular necrosis. Three (7.7%) cases of AKI were linked to hydronephrosis (**Figure 2C**). Continuous renal replacement therapy (CRRT) was initiated in 3 patients for AKI due to hemodynamic instability stemming from CRS. Three patients (7.7%) died of CRS-induced shock in the ICU and 20 (51.2%) patients died from complications of lymphoma progression after resolution of AKI. Other causes of death included progression of lung cancer (2.6%), COVID and a pulmonary embolism (2.6%), acute myocardial infarction (2.6%), and unknown (5.1%). Eight (20.5%) patients are alive as of August 2024. Among evaluable AKI patients, 1 patient progressed to CKD with serum creatinine remaining over 1.5-fold higher than baseline at the end of follow-up.

The potential contribution of medications to renal injury was also considered. Among the 39 patients who experienced AKI, 31 (79.5%) received potentially nephrotoxic drugs within 1 week prior to onset of AKI (**Table S7**). Furosemide administration within 7 days of AKI onset was observed in 12 (30.8%) patients of which 9 AKI events were pre-renal etiology, 5 of which occurred in the setting of CRS. The most frequent exposure was vancomycin in 19 patients (48.7%), though serum troughs exceeded the maximum therapeutic range (20 mg/L) in only 6 patients (serum concentrations of 25, 45, 21.4, 24.6, 21.6, 40 mg/L), among which two patients had post-renal AKI

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cause and three patients had concurrent CRS, including one patient who experienced resolution of AKI with fluid resuscitation. Among the 10 patients (25.6%) who received vancomycin concurrently with piperacillin-tazobactam, a combination that has been associated with increased risk of renal injury,<sup>35</sup> only 1 patient experienced an intra-renal AKI event, suggesting that antibiotic exposure was not a significant cause of renal injury in our cohort.

#### **Risk factors for AKI**

We first evaluated biomarkers predictive of renal injury prior to administration of lymphodepleting chemotherapy, occurring about 5 days prior to CAR T cell infusion. Clinical characteristics of eventfree AKI versus non-AKI patients are shown (**Table S8**). In a univariable cause-specific Cox regression, baseline lower hemoglobin and albumin levels and higher log transformed ferritin, CRP, and log transformed LDH levels were associated with developing any AKI (**Table 3**). Incidence of AKI stratified by high versus low pre-lymphodepletion laboratory values, including LDH, albumin, ferritin, and hemoglobin is shown (**Figure 3A-D**). Importantly, no association was detected between baseline eGFR and developing renal injury, consistent with a prior study.<sup>24</sup> Furthermore, neither the use of systemic bridging therapy nor specifically bridging platinum therapy were significantly associated with developing any AKI. In a multivariable model, using stepwise regression of significant covariates, serum albumin level prior to lymphodepletion remained a significant risk factor for AKI (HR 0.15 [95% CI 0.07 – 0.32], p < 0.001; **Table 3**).

Since fludarabine-based conditioning regimens were previously shown to be a risk factor for AKI after allo-HCT,<sup>36</sup> we used the fludarabine PK model to further investigate the potential impact of disparate fludarabine exposures across patients in our cohort. Fludarabine exposure by AUC, either as a continuous or categorical variable (high 18-25 versus low 0-18 mgh/L), was not significantly associated with developing any grade AKI in a cause specific regression model (**Table 3**).

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Since systemic inflammation has been linked to adverse CAR T cell outcomes,<sup>37</sup> we evaluated biomarkers of inflammation just prior to CAR T cell infusion (day 0). At this timepoint, higher serum CRP, TNF-alpha, and log-transformed IL-6 and IL-10 were significantly associated with developing AKI. Serum log transformed IL-6 (HR 1.74 [95% CI 1.18 – 2.56],  $p = 0.008$ ) and TNF-alpha (HR 2.23 [95% CI 1.54 – 3.23],  $p = <0.001$  on day 0 remained significant in a multivariable model with stepwise addition of inflammatory biomarker covariates (**Table 4**). Thus, elevations of pre-infusion cytokines IL-6 and TNF-alpha are significant risk factors for AKI.

Since inflammatory markers are dynamic, we modeled them longitudinally between days 0 to 30 using GEE models adjusting for time from CAR T infusion. When modeling markers individually, while adjusting for time, age, and costimulatory domain, elevations in log-transformed serum ferritin, CRP, TNF-alpha, and IL-10, but not LDH, were individually associated with decreased eGFR on average (**Figure 4A**). Serum log-transformed TNF-alpha remained significant on multivariate analysis (estimate -6.98 [95% CI -10.29 - -3.67], p < 0.001; **Figure 4B**). Trends in postinfusion eGFR stratified by categorized TNF-alpha serum levels over time is shown (**Figure S3**).

We also considered the potential impact of infectious complications on renal injury between Day 0 and Day 100. Among patients who did not experience a disease-related event, bacteremia occurred in 6 (15%) patients prior to the AKI event relative to 18 (7.3%) patients without AKI. As a time-dependent covariate, bacteremia was significantly associated with AKI (HR 2.78 [95% CI 1.07  $-7.23$ ], p = 0.036. Viral infections were also associated with AKI (20.5% versus 14%; HR 4.52 [95% CI 1.93 – 10.6], p < 0.001; **Table S9**).

Finally, since systemic inflammation, CRS, and ICANS are potentially linked, $37$  we studied the impact of CRS and ICANS, as time-dependent covariates, on AKI. Any grade CRS (HR 2.59 [95% CI 1.13 – 5.97], p = 0.025) and any grade ICANS (HR 3.07 [95% CI 1.53 – 6.16], p = 0.002) were significantly associated with AKI. Tocilizumab administration was also associated with increased

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AKI risk (HR 4.05 [95% CI 1.94 – 8.46],  $p = < 0.001$ ). Overall, our findings suggest that systemic inflammation is a prominent risk factor for AKI in patients receiving CAR T cell therapy.

#### **Implications of AKI**

To compare disease-related outcomes of patients in the cohort, we calculated PFS and OS in patients who developed AKI compared to those who did not. Univariable Cox regression analysis using AKI as a time-dependent covariate demonstrated that patients who developed any AKI through day 100 experienced significantly lower PFS (HR 2.63 [95% CI 1.79-3.87]; P < 0.001) and OS (HR 3.36 [95% CI 2.25-5.00]; P < 0.001). Among patients who developed grade ≥2 AKI, there was also significantly lower PFS (HR 3.14 [95% CI 1.89-5.24]; P < 0.001) and OS (HR 4.18 [95% CI 2.49-7.02];  $P < 0.001$ ). To further investigate this difference, we analyzed AKI as a timedependent covariate in a cox regression model for neutropenia and did not find a significant association (**Table S6**). Thus, lymphoma patients who experience renal injury after CD19 CAR T cell therapy have inferior survival, which is not driven by neutropenia.

### **DISCUSSION**

Renal injury, while recognized as a complication of cancer treatments, remains underexplored in the context of CAR T cell therapy, a transformative approach in oncology. Addressing this gap, our study harnesses both global and institutional data sources to shed light on kidney dysfunction following CAR T cell therapy. Through a detailed pharmacovigilance analysis of nearly 6,000 adverse event reports from the FDA's database for patients treated with CAR T, we uncovered a disproportionately high incidence of AKI in comparison to other treatments. Our examination of a cohort of 399 lymphoma patients, the largest group studied to date, revealed that AKI is a prevalent issue, affecting 10% of patients. Significantly, our analysis pinpointed cytokines associated with systemic inflammation as key contributors to the development of AKI. The observation that patients with post-infusion renal injury faced reduced overall survival highlights the importance of preemptive strategies to mitigate this risk, emphasizing the importance of understanding and addressing renal toxicity in the era of CAR T cell therapy.

Consistent with our previously published retrospective series of 46 adult patients with NHL treated with CD19 CAR T cells,  $22$  we find that most AKI events after CAR T cell infusion are grade 1 and that most patients recover from these events within 3 months. Median time to any AKI was 9 days in our cohort, relative to 2.5 days in our pharmacovigilance analysis, likely reflecting heterogenous AKI definitions in the FAERS database. In contrast to a recently published analysis.<sup>24</sup> we include LBCL patients treated with liso-cel, which is crucial due to the expanded use of this product in patients with baseline renal dysfunction. Our large and diverse patient cohort includes patients with other lymphoma subtypes including MCL, which historically necessitates aggressive upfront platinum-containing chemotherapy regimens followed by auto-HCT in a subset of patients. The study shows that baseline renal dysfunction leading into CAR T cell therapy is not associated with differences in survival or toxicity outcomes, though this may reflect the small number of patients with stage 3 CKD in our cohort.

Our analysis demonstrates that readily available biomarkers reflecting physiological reserve, disease burden, and systemic inflammation may inform on renal injury risk. Intriguingly, we are the first to determine that hypoalbuminemia is strongly associated with risk of AKI after CAR T cell therapy. Low serum albumin is frequently seen in patients with critical illness and is considered a negative acute phase reactant.<sup>38</sup> In the setting of elevated serum cytokines, increased albumin clearance due to higher capillary permeability and decreased albumin production at the transcriptional level can occur.<sup>38</sup> Thus, baseline systemic inflammation as reflected by decreased albumin and increased CRP, ferritin, TNF-alpha, and/or IL-6 may predispose to increased renal toxicity after CAR T cell infusion. Our observation that post-infusion serum TNF-alpha levels, but not LDH levels, are associated with AKI suggests that inflammatory cytokines, rather than the direct impact of tumor lysis, are important in developing nephrotoxicity. Our finding that TLS was

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observed in only 1 patient is consistent with this. We also find that patients who develop any grade CRS or ICANS, including those experiencing or being treated for high grade toxicity, are at increased risk for AKI. Therefore, inflammation prior to and after CAR T cell infusion are important predictors of renal injury. Bacteremia occurred in 15% of patients prior to AKI, though nearly half of AKI events occurred in the setting of CRS, suggesting stronger contribution of CAR T cells relative to sepsis-induced cytokine release.

Weaknesses of the study include the retrospective nature of the analysis and inability to profile all medication exposures across the cohort. Since drug-induced renal injury typically occurs just prior to AKI, broad comparison of nephrotoxin exposures between AKI and non-AKI patients is likely to enrich for non-culprit drugs that may not be temporally linked to renal injury. Furthermore, non-AKI patients lack a clear reference point for this comparison. Administration of nephrotoxic drugs within 7 days prior to AKI was observed in patients, though pre-renal causes were the most frequent mechanism of injury in this group, suggesting a contribution of transient hypoperfusion rather than drug-induced pathogenesis. Additionally, PK modeling was used to address the potential impact of fludarabine exposure differences across patients in the cohort, and we did not find an association with AKI.

The association between renal injury and subsequent mortality suggests that AKI is a clinically and prognostically significant complication that is worthy of prospective analysis in future cellular therapy trials. Our finding that patients with baseline renal dysfunction have similar disease outcome and toxicity supports broadening CAR T cell patient eligibility to include patients with lower baseline eGFR. In fact, one group recently published on the successful and safe administration of lymphodepleting chemotherapy and CD19 CAR T cells in lymphoma patients with pre-existing ESRD.<sup>39</sup> With the recent approval of liso-cel in transplant-ineligible patients, CAR T cell therapy is now being considered feasible in patients with significant medical comorbidities.<sup>14</sup>

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We anticipate that a growing number of patients with CKD will undergo CAR T cell therapy, therefore, our understanding of therapy-associated risks in this population is crucial.

Significant challenges remain in predicting and preventing CAR T cell therapy-associated toxicities, yet our study supports the use of readily measured and potentially clinically actionable inflammatory biomarkers to inform on renal injury risk after CAR T cell infusion. The use of steroids has been shown to decrease serum cytokines in older patients prior to the initiation of chemotherapy,<sup>40</sup> thus patients with baseline hypoalbuminemia and elevated serum cytokine levels may benefit from additional therapy prior to CAR T cell infusion to optimize renal injury risk. Although risk mitigation strategies will require further validation, we advocate for adequate hydration and appropriate avoidance of nephrotoxic agents as able in CAR T cell recipients, especially those with AKI risk factors.

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## **TABLES**

### **Table 1: Characteristics of CD19 CAR T reports in the FDA adverse event reporting system by acute kidney injury reporting status**





<sup>1</sup> Among CD19 CAR T recipients from the Americas, 4,095 were from the United States (AKI, n =143; non-AKI, n=3,952).<br><sup>2</sup> Only agase reported before September 20, 2022, were included. <sup>3</sup> Denotes that the educate overtive Only cases reported before September 30, 2022, were included. <sup>3</sup>Denotes that the adverse event was the cause of inpatient admission or, if it occurred during hospitalization, was the cause of delayed discharge. All values are n/N (%) unless otherwise indicated. P values were calculated with the Bonferroni correction for multiple comparisons.

Abbreviations: AKI = acute kidney injury; CAR T = chimeric antigen receptor T cells; Axi-cel = axicabtagene ciloleucel; Tisa-cel = tisagenlecleucel; Brexu-cel = brexucabtagene autoleucel; Liso-cel = lisocabtagene maraleucel; NHL = non-Hodgkin lymphoma; ALL = Acute lymphoblastic leukemia; IQR = Interquartile range

## **Table 2: MSK cohort patient characteristics by baseline renal function**





 $1$ Median (IQR); n (%)

<sup>2</sup>Kruskal-Wallis rank sum test; Pearson's Chi-squared test; Fisher's exact test

Abbreviations: CAR T = chimeric antigen receptor T cell; KPS = Karnofsky Performance Scale; NHL = non-Hodgkin lymphoma; LBCL = large B cell lymphoma; tFL = transformed follicular lymphoma; GCB = germinal center B-cell; Axi-cel = axicabtagene ciloleucel; Tisa-cel = tisagenlecleucel; Brexu-cel = brexucabtagene autoleucel; Liso-cel = lisocabtagene maraleucel;  $Flu/Cy = fludarabine$  and cyclophosphamide; auto-HCT = autologous stem cell transplant; allo-HCT = allogeneic stem cell transplant; CR = complete response; PR = partial response; SD = stable disease; PD = progressive disease; AUC = area under the curve (for drug exposure over time); pre-LD = pre-lymphodepletion; LDH = lactate dehydrogenase; CRP = C reactive protein. N/A (not applicable) indicates that the we are unable to obtain p value since eGFR is in the PK calculation model.



## **Table 3: Cox-regression analysis for pre-lymphodepletion predictors of acute kidney injury**

<sup>1</sup> LDH and Ferritin were log transformed

 $2$  HR = Hazard Ratio, CI = Confidence Interval

Abbreviations: CAR T = chimeric antigen receptor T cell; Axi-cel = axicabtagene ciloleucel; Tisa-cel = tisagenlecleucel; Brexu-cel = brexucabtagene autoleucel; Liso-cel = lisocabtagene maraleucel; Flu/Cy = fludarabine and cyclophosphamide; pre-LD = pre-lymphodepletion; Hgb = hemoglobin; LDH = lactate dehydrogenase; CRP = C reactive protein; AUC = area under the curve (for drug exposure over time); prelymphodepletion indicates lab value obtained prior to initiating lymphodepleting chemotherapy, in most cases 5 days prior to CAR T cell infusion.



### **Table 4: Cox-regression analysis for pre-infusion predictors of acute kidney injury**

<sup>1</sup> LDH, Ferritin, TNF-alpha, and IL6 were log-transformed

 $2$  HR = Hazard Ratio, CI = Confidence Interval

Abbreviations: Hgb = hemoglobin; LDH = lactate dehydrogenase; CRP = C reactive protein; pre-infusion indicates day 0 lab value just prior to CAR T cell infusion.

### **FIGURE LEGENDS**

**Figure 1:** Study flowchart and disproportionality analysis of CAR T-related adverse events. (A) Flowchart of the FDA adverse event reporting system (FAERS) data retrieval process. Reports without a defined primary suspected drug for a given AE and suspected duplicated reports were excluded. (B) Disproportionality analysis of CD19 CAR T-related acute kidney injury (AKI) events compared to the full FAERS database, cancer patients, hemato-oncological patients, and patients with lymphoid malignancies. A positive lower bound of the information component 95% credibility interval (IC<sub>025</sub>> 0) and a lower limit of the ROR 95% confidence interval above 1 are the conventional thresholds for significant signal detection.

Abbreviations: AKI = acute kidney injury; CAR-T= chimeric antigen receptor T cells; CI = confidence interval; FAERS = FDA adverse event reporting system; IC = information component; NHL= non-Hodgkin lymphoma; adj.ROR = age-and sex-adjusted reporting odds ratio.

**Figure 2**: Cumulative incidence and etiologies of acute kidney injury. The cumulative incidence of AKI at any grade (A) and grade ≥2 AKI (B) up to Day 100 are shown. Shaded regions correspond to 95% confidence intervals. Disease relapse or progression, change in treatment, and death were considered competing events. Causes of AKI in the MSK cohort are displayed according to category as adjudicated by a nephrologist (C). Cases of CRS and TLS that occurred during the time of AKI are also listed.

Abbreviations:  $AKI = acute$  kidney injury;  $CART =$  chimeric antigen receptor  $T$  cell;  $CRS =$  cytokine release syndrome; TLS = tumor lysis syndrome

**Figure 3**: Incidence of any acute kidney injury by pre-lymphodepletion laboratory values. Cumulative incidence of AKI was stratified according to pre-lymphodepletion LDH (A) above the upper limit of normal 250 U/L (grey) versus below 250 U/L (orange), albumin (B) above the upper limit of normal 3.9 g/L (grey) versus  $\leq$  3.9 g/L (orange), log-transformed ferritin (C) above the upper limit of normal 415 ng/mL (grey) versus ≤ 415 ng/mL (orange), and hemoglobin above 10.4 g/dL (grey) are compared to patients with hemoglobin  $\leq 10.4$  g/dL (orange). Shaded regions represent 95% confidence intervals.

Abbreviations: AKI = acute kidney injury; CAR T = chimeric antigen receptor T cell; U/L = units per liter;  $q/L =$ grams per liter;  $ng/mL = nanograms$  per milliliter;  $g/dL = grams$  per deciliter

**Figure 4**: GEE model for longitudinal association of post-infusion predictors of eGFR. All generalized estimating equation (GEE) models are adjusted for age at infusion, costimulatory domain of the CAR product, and the time from CAR T infusion using a linear and quadratic term. Associations of various biomarkers on eGFR (log-transformed) were analyzed from the time of CAR T cell infusion to day +30. Single biomarker per model (A) and multivariable biomarker (B) analyses of the indicated laboratory parameters are shown. Ferritin, CRP, TNF-alpha, LDH, IL-10, and IL-6 were log-transformed.

### Figure 1







At-risk 399 373 359 324 308 303 290 279 270 253 236

At-risk 399 380 368 334 320 315 304 290 282 265 248



 $\%$ 

 $\circ$ 



Days Post CAR T At-risk 157 110 103 242 232 228 214 205 202 197 193 







175 159 147 126

116 112 105

Figure 4

 $\mathbf{A}$ 

# **Single Biomarker Per Model**



# **Multivariable Biomarker Model**

 $\mathbf B$ 



#### **Supplementary Methods, Tables and Figures**

**KDIGO criteria for AKI:** Grade 1, 1.5- to <2-fold above baseline; grade 2, 2- to <3-fold above baseline; grade 3, 3-fold above baseline. Urine output decrease, though part of KDIGO criteria, was not included in our study.

**Statistical analysis**: Descriptive statistics, such as median and interquartile range (IQR) for continuous variables and percentages for categorical variables, are indicated in each table. Fisher's exact test or  $x^2$  test was used to evaluate the association between categorical variables. The Wilcoxon rank-sum test or Kruskal-Wallis test was used to assess differences in a continuous variable between or among patient groups. Univariable and multivariable logistic regression and Cox regression models were constructed to evaluate associations with outcomes. Generalized estimating equations (GEE) examined the longitudinal association between inflammatory biomarkers and eGFR over the first 30 days post-CAR T cell infusion. Certain biomarkers, along with eGFR, were log-transformed based on the observed skewness in these variables over time. GEE models estimated the individual association of each biomarker while only adjusting for time post-CAR T infusion, the costimulatory domain of the CAR product, and age at infusion. Based on these results, a multivariable model was constructed and included factors that were significant at the 0.05 level. In all models, time was modeled using both a linear and quadratic term. All tests were two-sided with a significance level of p-value<0.05. Data processing and statistical analyses were performed in R statistical software.

**Formulas**: For chemotherapy dose analysis, body surface area (BSA) was calculated by the DuBois method: BSA (m<sup>2</sup>) = Weight (kg)<sup>0.425</sup> × Height (cm)<sup>0.725</sup> × 0.007184.

## **Table S1: CAR T cell therapy complications**



 $1n$  (%); Median [Range]

## **Table S2: Predictors of Overall Survival**



1HR = Hazard Ratio, CI = Confidence Interval

## **Table S3: Predictors of Progression Free Survival**



1HR = Hazard Ratio, CI = Confidence Interval

## **Table S4: Predictors of CRS Grade ≥2**



 $1$ OR = Odds Ratio, CI = Confidence Interval

## **Table S5: Predictors of ICANS Grade ≥2**



 $10R =$  Odds Ratio, CI = Confidence Interval

## **Table S6: Predictors of Neutropenia**



1Patients with neutropenia before CAR T infusion were removed from the analysis

 $P<sup>2</sup>HR =$  Hazard Ratio, CI = Confidence Interval

<sup>3</sup>Time-dependent covariate: outcome is neutropenia

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## **Table S7: Etiology and Nephrotoxic Drug Exposure Among Patients Experiencing AKI**



Abbreviations: CRS = cytokine release syndrome; TLS = tumor lysis syndrome

## **Table S8: Baseline Clinical Characteristics of Event-Free AKI versus non-AKI Patients**





 $1$ Median (IQR); n  $(\%)$ 

<sup>2</sup>Event-free indicates patients who did not experience disease relapse, change of cancer treatment, or death

Abbreviations: CAR T = chimeric antigen receptor T cell; KPS = Karnofsky Performance Scale; NHL = non-Hodgkin lymphoma; LBCL = large B cell lymphoma; tFL = transformed follicular lymphoma; GCB = germinal center B-cell; Axi-cel = axicabtagene ciloleucel; Tisa-cel = tisagenlecleucel; Brexucel = brexucabtagene autoleucel; Liso-cel = lisocabtagene maraleucel; Flu/Cy = fludarabine and cyclophosphamide; auto-HCT = autologous stem cell transplant; allo-HCT = allogeneic stem cell transplant; CR = complete response; PR = partial response; SD = stable disease; PD = progressive disease; AUC = area under the curve (for drug exposure over time); pre-LD = pre-lymphodepletion; LDH = lactate dehydrogenase; CRP = C reactive protein.



## **Table S9: Infectious Complications in Event Free AKI versus non-AKI Patients**

<sup>1</sup>Time-dependent Covariates; only includes infections post CAR T cell infusion between Day 0 and Day 100.

 ${}^{2}$ HR = Hazard Ratio, CI = Confidence Interval



Figure S1: Overall clinical outcomes of the MSK cohort. (A) Progression free survival (PFS) and (B) overall survival (OS) is shown in patients across the MSK cohort. Shaded areas indicate the 95% confidence interval.



**Figure S2:** Trajectory of renal function across all patients. Serum creatinine (A) and estimated glomerular filtration rate (eGFR; B) are plotted over 360 days relative to the time of lymphodepletion. Mean values (black lines) along with 95% confidence bands were estimated using local polynomial smoothing.



**Figure S3**: Trajectory of patient estimated glomerular filtration rate (eGFR) by TNF-alpha levels. Median eGFR curves are shown in the first 30 days after CAR T cell infusion, stratified by serum TNF-alpha levels: <10 pg/mL (orange), 10-16 pg/mL (green), and >16 pg/mL (blue).