

# Leukemic stem cells and therapy resistance in acute myeloid leukemia

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**Received:** September 11, 2022.

**Accepted:** November 9, 2022.

<https://doi.org/10.3324/haematol.2022.280800>

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## Abstract

A major obstacle in the treatment of acute myeloid leukemia (AML) is refractory disease or relapse after achieving remission. The latter arises from a few therapy-resistant cells within minimal residual disease (MRD). Resistant cells with long-term self-renewal capacity that drive clonal outgrowth are referred to as leukemic stem cells (LSC). The cancer stem cell concept considers LSC as relapse-initiating cells residing at the top of each genetically defined AML subclone forming epigenetically controlled downstream hierarchies. LSC display significant phenotypic and epigenetic plasticity, particularly in response to therapy stress, which results in various mechanisms mediating treatment resistance. Given the inherent chemotherapy resistance of LSC, targeted strategies must be incorporated into first-line regimens to prevent LSC-mediated AML relapse. The combination of venetoclax and azacitidine is a promising current strategy for the treatment of AML LSC. Nevertheless, the selection of patients who would benefit either from standard chemotherapy or venetoclax + azacitidine treatment in first-line therapy has yet to be established and the mechanisms of resistance still need to be discovered and overcome. Clinical trials are currently underway that investigate LSC susceptibility to first-line therapies. The era of single-cell multi-omics has begun to uncover the complex clonal and cellular architectures and associated biological networks. This should lead to a better understanding of the highly heterogeneous AML at the inter- and intra-patient level and identify resistance mechanisms by longitudinal analysis of patients' samples. This review discusses LSC biology and associated resistance mechanisms, potential therapeutic LSC vulnerabilities and current clinical trial activities.

## Introduction

Acute myeloid leukemia (AML) is a heterogeneous disease with a complex cytogenetic and molecular landscape.<sup>1</sup> Following conventional induction chemotherapy, patients are assigned to risk-adapted post-remission consolidative therapies.<sup>2</sup> Although the majority of AML patients respond to induction chemotherapy, refractory disease is common and relapse is a major challenge.<sup>2</sup> Currently, risk stratification is based on cytogenetic diagnostics for recurrent structural genomic abnormalities and targeted sequencing-based diagnostics for recurrent gene mutations.<sup>3</sup> However, the origin of relapse has been traced back to therapy-resistant leukemia cells referred to as minimal residual disease (MRD), containing leukemic stem cells (LSC).<sup>4</sup> The cancer stem cell concept attributes the origin of relapse to these therapy-resistant leukemia cells exhibiting specific gene expression signatures related to stemness properties.<sup>5</sup> The early detection of these drug-

tolerant persister cells enables allocation of patients to salvage therapies or enrollment in clinical trials prior to overt AML relapse (Figure 1A).

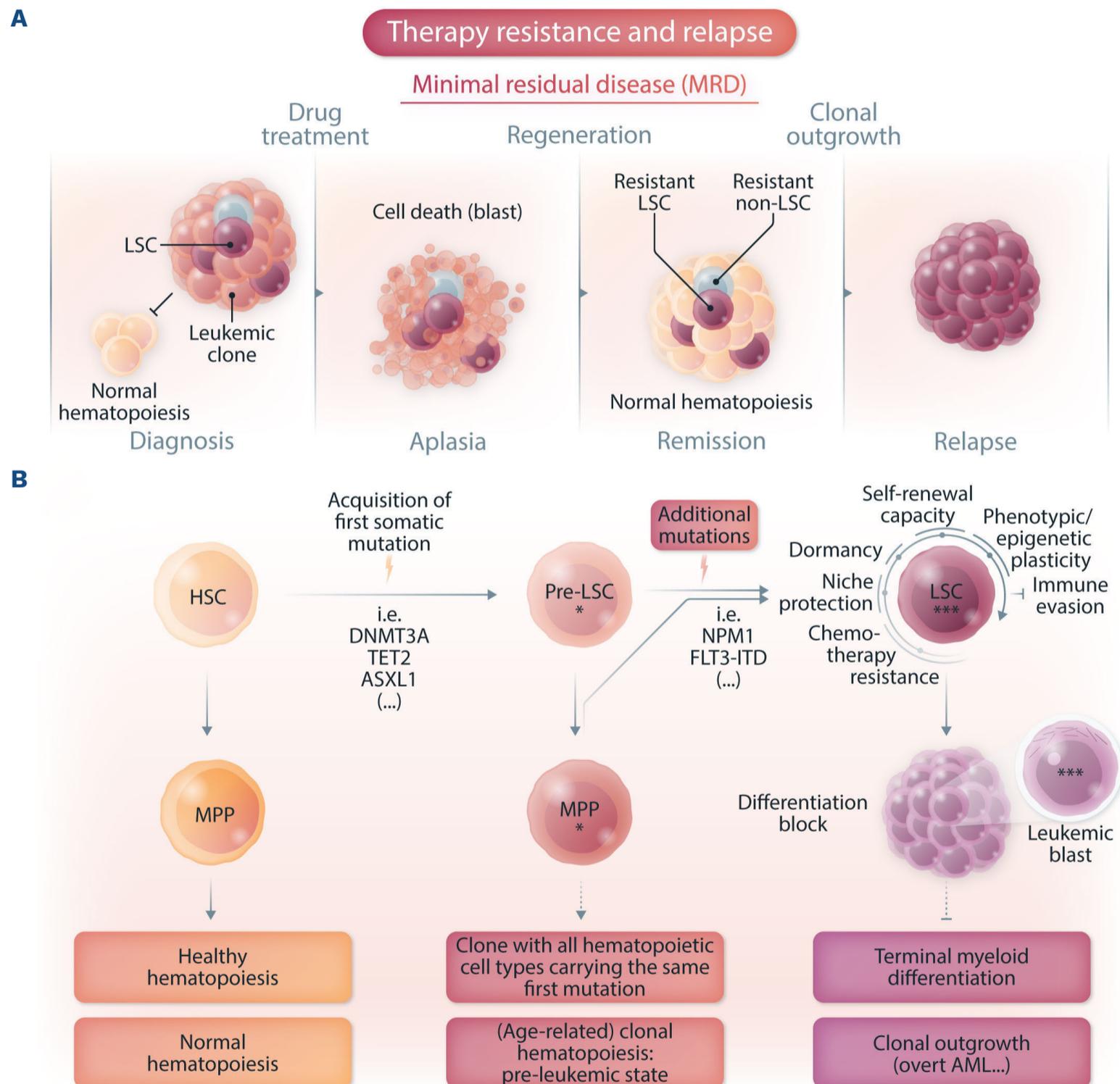
The combination of venetoclax and the hypomethylating agent azacitidine has become the standard of care for patients with newly diagnosed AML  $\geq 75$  years of age or those who have comorbidities that preclude the use of standard intensive chemotherapy, as a phase III clinical trial demonstrated durable remissions.<sup>6</sup> Furthermore, there is a role for venetoclax + azacitidine in refractory/relapsed AML patients to induce remission prior to allogeneic stem cell transplantation and in newly diagnosed patients in whom intensive chemotherapy is not justifiable (given leukemic organ infiltration or a serious infectious complication in neutropenia).<sup>7</sup>

Since MRD assessment is routinely performed either by cytogenetics, targeted sequencing detecting specific molecular alterations present at diagnosis, such as NPM1 mutations, or by multiparameter flow cytometry, AML

evolution trajectories and dynamic properties of therapy-resistant AML cells have not so far been captured. The era of single-cell multi-omics provides precious insights into clonal dynamics and enables tracing of distinct subclones and the detection of therapy-resistant leukemia cells during the course of AML therapy. Here, we discuss LSC biology and emphasize resistance mechanisms and therapeutic vulnerabilities thereby highlighting a role for single-cell multi-omics to detect MRD, to uncover clonal dynamics of AML and to identify new therapeutic targets aiming to prevent the re-emergence of AML.

## Leukemic stem cells, clonal evolution dynamics and tumor heterogeneity

Mature blood and immune cells exhibit tremendous diversity in cell morphology and function. The majority of these cells are derived from multipotent hematopoietic stem cells (HSC), at the top of the hierarchy within the hematopoietic organization.<sup>8</sup> The hallmark of these HSC is their capacity to self-renew maintaining the resident HSC population and generating various progenitors that proliferate and differentiate into mature blood cells and



**Figure 1. Evolution and relapse of acute myeloid leukemia.** (A) Illustration of minimal residual disease (MRD) and leukemic stem cell (LSC)-mediated therapy resistance in acute myeloid leukemia (AML). Drug-tolerant persister cells, persisting over treatment and fueling relapse, are illustrated (LSC and non-LSC). (B) Scheme of AML evolution illustrating normal hematopoiesis, clonal hematopoiesis (an age-dependent pre-leukemic state) and clonal outgrowth (overt AML). Pre-LSC, in contrast to LSC, maintain their differentiation ability capable of giving rise to mature blood and immune cells. These mutation-bearing progenitors favor an inflammatory environment, thereby contributing to cardiovascular disease and probably also to clonal expansion. Additional mutations in pre-LSC or mutated multipotent progenitor cells then result in LSC fueling clonal outgrowth. HSC: hematopoietic stem cell, MPP: multipotent progenitor cell, pre-LSC: pre-leukemic stem cell.

immune cells. By contrast, committed progenitors have limited and steadily decreasing self-renewal capacity, are exposed to lineage fate and are exhausted within a certain time.<sup>9</sup> At steady state, most HSC are inactive or in a long-term quiescent, but reversible G<sub>0</sub> phase of the cell cycle to maintain their long-term function, a state termed dormancy.<sup>10,11</sup> Classically, HSC have been considered as a discrete homogeneous population. However, more recent studies showed significant HSC heterogeneity including early lineage priming and the presence of lineage-biased HSC within the HSC compartment.<sup>8,12,13</sup> HSC reside in a highly specialized, hypoxic bone marrow microenvironment referred to as a niche. The niche concept was proposed in 1978 and is now viewed as a complex network that provides molecular mechanisms and physical interactions that are essential for HSC localization, maintenance and differentiation.<sup>14,15</sup>

The sequential acquisition of somatic mutations contributing to subsequent clonal evolution constitutes a basic principle in cancer biology.<sup>16</sup> This sequence was introduced in studies investigating mutations across different stages of colorectal cancer establishing that genetic alterations cause phenotypic manifestations.<sup>17</sup> Since the acquisition of somatic mutations in HSC results in a mutated progeny that is endowed with a Darwinian fitness advantage, these cells are empowered to clonal expansion and will dominate the site in which they originate.<sup>16</sup> Additional mutations have the potential to strengthen the growth advantage, resulting in different subclones contributing to independent phylogenetic lineage trees within a tumor reminiscent of a branching evolution.<sup>16</sup> Thus, many different subclones are conceivable alongside the dominant clone at diagnosis and these do not contribute significantly to the tumor bulk population. This view shows clearly that tumors are not a collection of homogeneous cells with equal capacity for proliferation but rather a heterogeneous assembly consisting of differently functioning cells working together to maintain tumor growth as a pathophysiological organ.<sup>16</sup>

The LSC phenotype and its plasticity are shaped by distinct mechanisms including gene mutations, epigenetic modifications that result in specific gene expression programs and the metabolic states that shape a patient's unique leukemic cell heterogeneity. Complexity is further enhanced through the crosstalk between leukemic cells and non-tumor elements, referred to as the tumor microenvironment.<sup>18</sup>

## Leukemic stem cells re-initiate leukemia

Stem cells can be functionally identified by testing self-renewal in clonal serial *in vivo* repopulation assays.<sup>16</sup> AML is a prime example in which the capacity to self-renew is

tested in xenotransplantation assays where LSC engraft in immune-deficient recipient mice giving rise to leukemia.<sup>19</sup> This was first achieved 30 years ago, when it was possible to engraft normal human hematopoietic cells and leukemic cells in mice.<sup>20-22</sup> Engraftment and the potential to initiate leukemia was restricted to the flow-sorted CD34<sup>+</sup>CD38<sup>-</sup> fraction, establishing that AML is organized as a hierarchy with CD34<sup>+</sup>CD38<sup>-</sup> leukemia-initiating cells sitting at its top.<sup>21,23</sup> The ability of xenografts to capture even rare relapse-relevant LSC enables comprehensive investigations of therapy resistance and therapeutic approaches. The origin of leukemic cells in relapse samples can be traced back by using specific mutations as lineage tracking marks. Therefore, cells within the diagnostic and relapse samples sharing the same mutational profile will most likely originate from the same founder LSC. Individual variant allele frequencies can then be used to follow the evolution of LSC clones from diagnosis to relapse.<sup>24</sup> Leukemic cells capable of engrafting in NSG mice have been demonstrated to be transiently quiescent in the G<sub>0</sub> phase of the cell cycle. Following serial transplantation, a rare quiescent long-term leukemia-initiating cell population with extensive self-renewal capacity and an extremely low proliferation rate was identified.<sup>25</sup> These data suggest that only LSC subsets drive relapse. Studies with paired diagnostic/relapse samples provide evidence that relapse arises from re-emergence or clonal evolution of a pre-existing and chemotherapy-resistant clone generated before treatment.<sup>26-28</sup> Thus, a role for LSC in AML relapse has been demonstrated by combining sequencing of purified AML subpopulations and xenotransplantation assays from paired diagnostic/relapse samples identifying the presence of genetically diverse LSC at diagnosis and two distinct patterns of relapse based on the cell type from which relapse originates.<sup>28</sup> In the relapse origin-primitive (RO<sub>p</sub>) group, a rare population of cells with an HSC-like phenotype already present at diagnosis generates bulk blasts that exhibit extensive myeloid differentiation. By contrast, in the relapse origin-committed group (RO<sub>c</sub>), relapse originates from cells with an immunophenotype of a more committed progenitor. In both groups of patients relapse is linked to stem cell properties, manifested either as a primitive LSC population giving rise to relapse or as stemness transcriptional programs that are retained in the more differentiated bulk population.<sup>28</sup> These findings have considerable implications for cancer biology as well as for how AML should be monitored and treated. The identification of distinct relapse patterns emphasizes that improved methods (including single-cell multi-omics as discussed below) tracking the complex evolutionary history of AML within individual patients are inevitable in the design of further clinical trials as an attempt to overcome LSC-mediated therapy resistance and relapse. Furthermore, the shared functional and

transcriptional stemness properties that underlie both cellular origins of relapse emphasize the importance of integrating new therapeutic approaches targeting stemness properties to prevent AML relapse (Table 1).

## Clonal hematopoiesis: an age-related pre-leukemic state

LSC can give rise to leukemic blasts that carry leukemia-related mutations and are characterized by a differentiation block. By contrast, pre-leukemic stem cells (pre-LSC) harbor recurrent pre-leukemic variants and maintain differentiation and maturation abilities capable of giving rise to mature functional progenitor cells bearing the same variants.<sup>29</sup>

Clonal hematopoiesis (CH), also called CHIP (clonal hematopoiesis of indeterminate potential), is an age-related condition defined as the presence of myeloid malignancy-associated somatic driver mutations in the peripheral blood without diagnostic criteria for hematologic malignancies.<sup>30,31</sup> CH is associated with an increased risk of leukemia and increased mortality largely mediated by cardiovascular disease.<sup>32,33</sup> The latter is considered to be caused by a hyperinflammatory phenotype mediated by monocytes and macrophages bearing CH mutations that show increased production of pro-inflammatory cytokines, such as interleukin-1 $\beta$  and interleukin-6, in mice.<sup>34,35</sup> DNA methyltransferase 3A (*DNMT3A*) mutations are the most common driver of this state and most variants exhibit reduced protein stability correlating with strengthened clonal expansion and AML development.<sup>36</sup> The tet methylcytosine dioxygenase 2 (*TET2*) gene has a functionally opposite effect on DNA methylation and is also recurrently mutated in myeloid malignancies and CH.<sup>37-39</sup> These CH mutations confer a selection advantage to the mutated cell resulting in clonal expansion.<sup>40</sup> Thus, *DNMT3A* mutation-bearing HSC in AML remission samples, without coincident *NPM1* mutations present in AML blasts, have a competitive multilineage repopulation advantage over non-mutated HSC in xenografts, thereby establishing their identity as pre-leukemic HSC.<sup>29</sup> These early mutations in pre-LSC precede leukemic transformation and define a pre-leukemic state capable of generating the entire hematopoietic hierarchy (Figure 1B). AML can evolve from such a clonally expanded pre-LSC pool detected in remission samples, indicating that pre-LSC survive chemotherapy and might serve as a reservoir for clonal evolution leading to recurrent disease.<sup>29</sup> This was shown by performing deep targeted sequencing of commonly mutated leukemia genes, which revealed that *DNMT3A* mutations occur in an ancestral cell that gives rise to both T cells and the dominant AML clone present at diagnosis.<sup>29</sup> Xenograft repopulation assays then demonstrated that pheno-

typically defined *DNMT3A*-mutated HSC were functional pre-LSC endowed with a competitive repopulation advantage.<sup>29</sup> These data also showed that mutations in healthy HSC or at least multi-potent progenitors can serve as the cell-of-origin for myeloid leukemias in humans.

Thus, the accumulation of mutated mature blood cells arising from CH clones/pre-LSC can have an impact on atherosclerosis via monocytes/macrophages but also contribute to clonal expansion and in some rare cases give rise to frank leukemia.<sup>37</sup> Within individuals with CH, those with a high risk of developing AML can be identified in predictive models, thereby distinguishing between benign CH and the pre-leukemic state.<sup>41</sup>

In contrast, other leukemias are considered to be related to specific translocations that can be detected in these cases. AML with chromosomal rearrangements *inv(16)(p13q22)* or *t(16;16)(p13;q22)* – collectively referred to as *inv(16)* – and *t(8;21)(q22;q22)* are classified as core-binding factor (CBF) leukemias and result in the oncogenic fusion proteins CBF-*MYH11* and *RUNX1-RUNX1T1* (*AML1/ETO*), respectively.<sup>42</sup> Unique translocations are likely not sufficient to drive leukemogenesis alone and additional mutational events are needed for leukemic evolution and relapse.<sup>42-44</sup> However, in those AML cases, pre-LSC/LSC might not evolve from a pre-existent CH clone but structural variations may spontaneously occur in stem and progenitor cells or after exposure to genotoxic agents including chemotherapy.

The mechanisms of clonal fitness in CH constitute a field of highly competitive research and there is evidence that a pro-inflammatory phenotype contributes to cardiovascular disease. This suggests that clonal expansion is also strengthened through inflammatory pathways; although this needs to be explored further, recent data demonstrate that an enhanced inflammatory response in *TET2*-mutated mice correlates with progression of myeloid neoplasms.<sup>45,46</sup>

## Leukemic stem cell vulnerabilities and mechanisms driving drug-resistance

### Chemotherapy resistance

LSC are considered to harbor inherent resistance to anti-proliferative therapies. This is linked to their capacity to acquire transient quiescence, dormancy and senescence states and thought to be mediated by several mechanisms including resistance to DNA damage.<sup>47-49</sup> Furthermore, it has been suggested that *DNMT3A* mutations in pre-LSC drive AML chemoresistance.<sup>50,51</sup> However, this view was challenged by a recent study demonstrating in a patient-derived xenograft model that resistant AML cells were neither enriched in immature quiescent cells nor in LSC after treat-

**Table 1.** Selection of clinical trials, registered with clinicaltrials.gov, which include strategies targeting leukemic stem cell vulnerabilities in patients with newly diagnosed or relapsed/refractory acute myeloid leukemia.

LSC target	Drug/intervention	Condition			Phase	Status (as of October 2022)	ClinicalTrials.gov identifier
		Diagnosis	First-line	Relapsed/refractory			
BET	FT-1101/azacitidine	AML/other neoplasms		X	I	Completed	NCT02543879
	GSK525762	Neoplasms		X	II	Completed	NCT01943851
	INCB054329	Solid tumors/hematologic malignancy		X	I/II	Terminated	NCT02431260
	ABBV-744	AML		X	I	Terminated	NCT03360006
	ABBV-075 (mivebresib)/venetoclax	Neoplasms		X	I	Completed	NCT02391480
	CPI-0610/ruxolitinib	AML/ other neoplasms		X	I/II	Recruiting	NCT02158858
	MK-8628 (birabresib)	AML/DLBCL		X	I	Terminated	NCT02698189
	RO6870810	AML/MDS		X	I	Completed	NCT02308761
FLT3	Quizartinib/CPX-351	AML		X	II	Terminated	NCT04209725
	Gilteritinib/iadademstat (LSD1 inhibitor)	AML		X	I	Not yet recruiting	NCT05546580
	Midostaurin/CPX-351/busulfan/melphalan/fludarabine/subsequent allogeneic stem cell transplant	AML	X		I/II	Recruiting	NCT04982354
	Gilteritinib/CPX-351	AML		X	I	Recruiting	NCT05024552
	Gilteritinib/midostaurin	AML/MDS-EB2	X		III	Recruiting	NCT04027309
	Gilteritinib/midostaurin/daunorubicin/cytarabine	AML	X		II	Recruiting	NCT03836209
	Quizartinib/chemotherapy/placebo	AML	X		III	Active, not recruiting	NCT02668653
	Midostaurin/gemtuzumab ozogamicin/daunorubicin/cytarabine	AML	X		I	Recruiting	NCT03900949
	Gilteritinib/venetoclax/ASTX727 (decitabine and cedazuridine)	AML/MDS	X		I/II	Recruiting	NCT05010122
	Gilteritinib/venetoclax/azacitidine	AML/CMML/MDS/MPN		X		I/II	Recruiting
Gilteritinib/venetoclax/azacitidine	AML	X		I/II	Not yet recruiting	NCT05520567	
PARP1	Veliparib/temozolomide	AML/other neoplasms		X	I	Active, not recruiting	NCT01139970
	Veliparib/carboplatin/topotecan	AML/other neoplasms	X	X	II	Active, not recruiting	NCT03289910
	Talazoparib/gemtuzumab ozogamicin	AML		X	I/II	Recruiting	NCT04207190
	BMN 673	AML/other neoplasms	X	X	I	Completed	NCT01399840
	Talazoparib/allogeneic NK cell transfer	AML		X	I/II	Not yet recruiting	NCT05319249

Continued on following page.

LSC target	Drug/intervention	Condition			Phase	Status (as of October 2022)	ClinicalTrials.gov identifier
		Diagnosis	First-line	Relapsed/refractory			
BCL-2/metabolic state	Venetoclax/intensive chemotherapy	AML	X		I/III	Not yet recruiting	NCT05356169
	Venetoclax/azacitidine	AML	X		II	Recruiting	NCT03573024
	Venetoclax/gilteritinib (FLT3 inhibitor)	AML		X	I	Completed	NCT03625505
	Venetoclax/azacitidine/placebo	AML	X		III	Active, not recruiting	NCT02993523
	Venetoclax/S64315 (MCL-1 inhibitor)	AML		X	I	Recruiting	NCT03672695
	Venetoclax/gilteritinib (FLT3 inhibitor)/azacitidine	AML	X		I/II	Not yet recruiting	NCT05520567
	Venetoclax/ASTX727 (decitabine and cedazuridine)	AML	X	X	II	Recruiting	NCT04746235
	Venetoclax/azacitidine/intensive chemotherapy	AML	X		II	Recruiting	NCT04801797
	Venetoclax/intensive chemotherapy	AML	X		II	Recruiting	NCT03455504
	Venetoclax/decitabine/quizartinib (FLT3 inhibitor)	AML/MDS	X	X	I/II	Recruiting	NCT03661307
	Venetoclax/azacitidine/cytarabine/daunorubicin	AML	X		II	Not yet recruiting	NCT05554393
	Venetoclax/intensive chemotherapy	AML/MDS-EB2	X		III	Recruiting	NCT04628026
	Venetoclax/FLAG-Ida	AML/MDS	X	X	I/II	Recruiting	NCT03214562
	Venetoclax/azacitidine/cytarabine/daunorubicin/liposome-encapsulated daunorubicin-cytarabine	AML	X		II	Not yet recruiting	NCT05554406
	Venetoclax/decitabine/cytarabine/idarubicin	AML	X		III	Recruiting	NCT05177731
	Venetoclax/fludarabine/busulfan/azacitidine/decitabine and cedazuridine/allogeneic stem cell transplant	AML/MDS/MDS-MPN/CMML	X		I	Recruiting	NCT03613532
Venetoclax/azacitidine	AML	X		II	Recruiting	NCT04062266	
DHODH	BAY2402234	Leukemia		X	I	Terminated	NCT03404726
	JNJ-74856665/venetoclax/azacitidine	AML		X	I	Active, not recruiting	NCT04609826
	PTC299 (emvobodostat)	AML		X	I	Terminated	NCT03761069
IDH1/2	Ivosidenib/enasidenib	AML/MDS-EB2	X		III	Recruiting	NCT03839771
	Ivosidenib/enasidenib/venetoclax/azacitidine	AML	X		II	Not yet recruiting	NCT05401097
	LY3410738 (IDH inhibitor)/venetoclax/azacitidine	AML/CMML/MDS/MPN	X	X	I	Recruiting	NCT04603001
	Ivosidenib/liposome-encapsulated daunorubicin-cytarabine	AML/MDS/MPN	X	X	II	Recruiting	NCT04493164
	Ivosidenib/venetoclax	AML/other neoplasms	X	X	I/II	Recruiting	NCT03471260
	Ivosidenib/azacitidine	AML	X		III	Active, not recruiting	NCT03173248

LSC: leukemic stem cell; AML: acute myeloid leukemia; BET: bromodomain and extra-terminal motif; AML: acute myeloid leukemia; DLBCL: diffuse large B-cell lymphoma; MDS: myelodysplastic syndrome; FLT3: FMS-like tyrosine kinase 3; LSD1: lysine-specific histone demethylase; MDS-EB2: myelodysplastic syndrome with excess blasts-2; CMML: chronic myelomonocytic leukemia; MPN: myeloproliferative neoplasm; PARP1: poly-ADP-ribose polymerase 1; NK: natural killer; Bcl-2: B-cell lymphoma 2 protein; FLAG-Ida: fludarabine, cytarabine, granulocyte colony-stimulating factor and idarubicin; DHODH: dihydroorotate dehydrogenase; IDH1/2: isocitrate dehydrogenase 1/2.

ment with cytarabine, thereby showing that cytarabine similarly depleted quiescent G<sub>0</sub> AML cells and proliferating cells (blasts).<sup>52</sup> Another study revealed a unique and transient molecular state of leukemia-regenerating cells responsible for re-outgrowth of leukemia distinct from therapy-naïve LSC.<sup>53</sup> Furthermore, recent research identified a senescence-like resilience phenotype conferred with superior engraftment potential through which AML cells can survive and repopulate leukemia.<sup>49</sup> The authors demonstrated that this transient phenotype of AML cells occurs regardless of their stem cell status and that these cells give rise to relapsed AML with increased stem cell potential.<sup>49</sup> Together, these data show that the LSC landscape is shaped by chemotherapy, indicating transient LSC stages with dynamic therapy resistance properties during the course of AML therapy. Hence, targeting distinct LSC states is difficult as they are subject to plasticity, likely patient-specific, and there might be specific situations in which LSC undergo phenotypic plasticity, which may also affect cell surface marker expression. These stages are expected to be dynamic, transient and likely reversible. Thus, targeting LSC by surface markers would still have an impact on eliminating the LSC clone.

MYC is an essential transcription factor regulating metabolic properties including the balance between dormancy and proliferation of stem cells comprising HSC.<sup>11,54,55</sup> Recently it has been shown that a distantly located MYC enhancer cluster (BENC) controls these properties and its activity in human LSC is linked to chemosensitivity.<sup>56</sup>

The chemotherapy-resistant LSC phenotype is shaped by different mechanisms including recurrent genotypes, epigenetic modifications and resulting gene expression programs and also the metabolic state, which are not mutually exclusive. Efforts to identify differentially expressed surface markers distinguishing LSC and HSC in AML patients have spawned specific surface markers enriched in the LSC compartment, including CD34,<sup>21</sup> CD123,<sup>57</sup> CLEC12A (CLL-1),<sup>58</sup> GPR56,<sup>59</sup> CD44,<sup>60</sup> CD47,<sup>61</sup> and CD96.<sup>62</sup>

HSC reside in a highly specialized bone marrow environment referred to as a niche. In these hypoxic niches HIF1 $\alpha$  regulates quiescence by HIF1 $\alpha$ -dependent gene expression including CXCR4, which is also upregulated on the membrane of LSC.<sup>63</sup> There is evidence that LSC within their niches may be protected from chemotherapy.<sup>48</sup> The area of niche-related potential therapeutic targets and LSC niche-mediated drug resistance mechanisms is not discussed here and is reviewed elsewhere.<sup>64</sup>

### Leukemic stem cell gene signatures and therapeutic targets

Differential gene expression analyses identified altered gene expression programs in LSC that predict clinical parameters including overall survival.<sup>65,66</sup> These programs are regulated by the chromatin state (accessibility for tran-

scription factors), epigenetic mechanisms contributing to transcriptional output and LSC plasticity via activation or repression of gene expression.

Gene expression analysis of functionally defined LSC revealed that these cells harbor a transcriptional profile related to HSC and that stemness-related gene expression programs are highly predictive of response to standard AML therapy.<sup>65,66</sup> A subset of genes within this transcriptional program of stemness (17-gene signature) yielded a LSC17 score that can serve as a predictor of clinical parameters.<sup>66</sup> Another study proposed an RNA-sequencing-based risk stratification model capable of recovering all relevant chromosomal translocations and inversions.<sup>67</sup>

A recent study investigating HSC-derived AML marked by high expression of the oncogenic transcription factor EVI1 showed that p53 protein expression is influenced in an EVI1-dependent manner.<sup>68</sup> The authors demonstrated that the cell-of-origin of leukemia initiation dictates therapeutic sensitivity to inhibitors of LSD1, a histone demethylase implicated in DNA damage responses and in p53 pathways, and that drug resistance could be overcome in HSC-derived leukemias by combining LSD1 inhibition with venetoclax.<sup>68</sup>

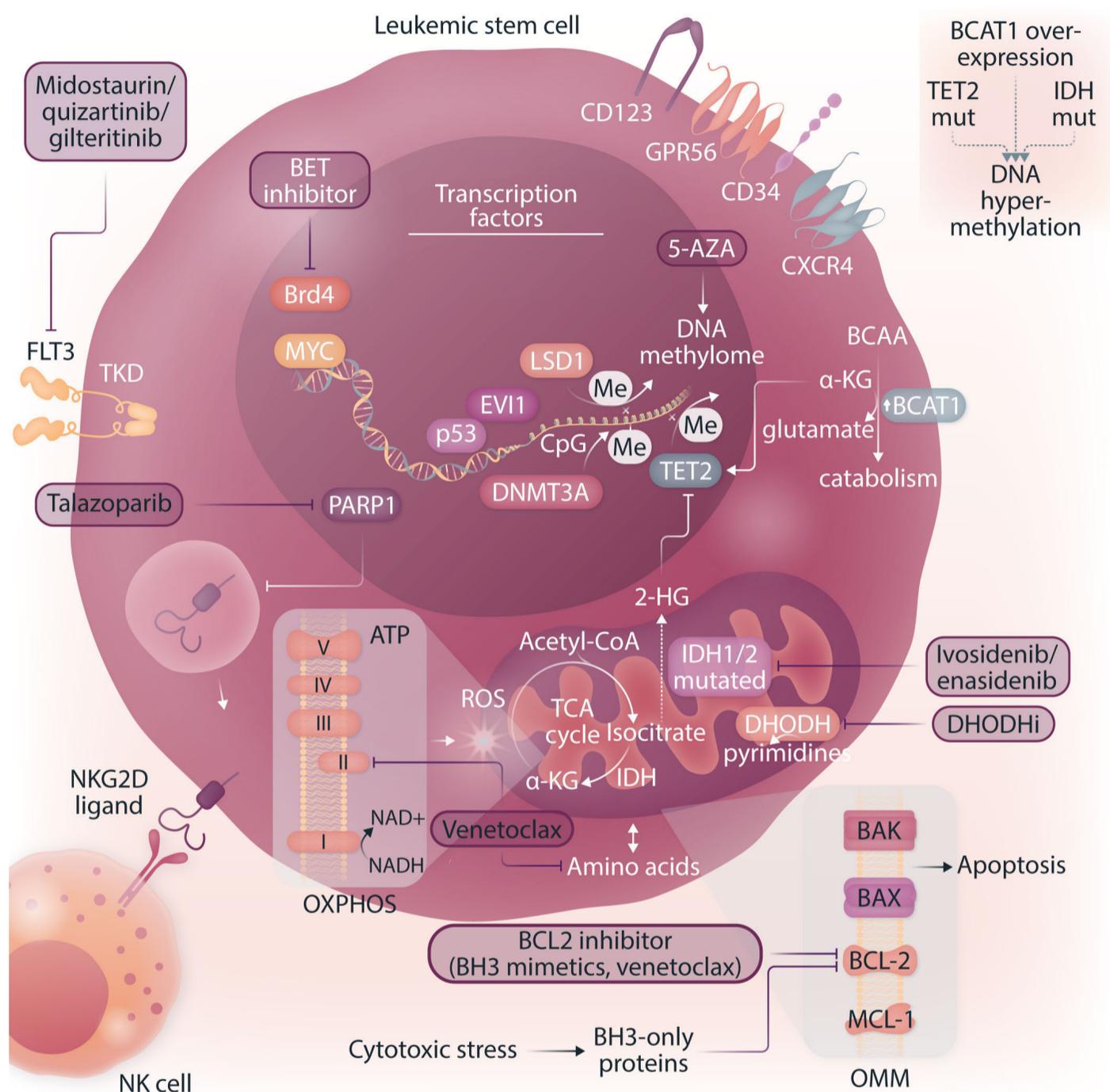
Bromodomain and extra-terminal motif (BET) proteins that modify MYC expression and Brd4, a BET family protein, represent another potential new target for AML therapy. However, BET inhibitor resistance emerges from LSC, is related to transcriptional plasticity and a role for the Wnt pathway has been described.<sup>69,70</sup> Interestingly, LSD1 inhibition re-sensitizes AML cells that are resistant to BET inhibition.<sup>71</sup>

Approaches using preclinical models, including drugs targeting the epigenetic and metabolic state or a specific immunophenotype, exhibit the potential to eradicate relapse-relevant LSC. For example, it was shown that inhibition of miR-126, a microRNA controlling the PI3K-Akt-mTOR pathway, attenuates LSC activity.<sup>72</sup> Furthermore, a recent study developed a combinatorial approach linking the LSC concept to immune evasion.<sup>73</sup> AML cells that express natural killer group 2D ligands (NKG2DL) are cleared by natural killer (NK) cells, whereas NKG2DL-negative LSC escape killing by NK cells (Figure 2). Poly-ADP-ribose polymerase 1 (PARP1) is an enzyme involved in several cellular processes, such as DNA repair and gene regulation, which uses NAD<sup>+</sup> to transfer ADP-ribose to other proteins. PARP1 represses NKG2DL expression and pharmacological inhibition of PARP1 (by talazoparib) induces NKG2DL re-expression on the LSC surface, rendering these cells amenable to NK cell control *in vivo*.<sup>73</sup> This concept is being translated into the latest clinical research; upcoming trials will have to prove the clinical efficacy of PARP1 inhibition with subsequent transfer of alloreactive NK cells (clinicaltrials.gov identifier NCT05319249) (Table 1).

**Targeting the metabolic state**

While HSC adapt their metabolic program depending on their state of activation, LSC are considered to be rather metabolically inflexible, uniquely reliant on mitochondrial oxidative phosphorylation (OXPHOS) for ATP production despite the necessity to retain low levels of reactive

oxygen species.<sup>74,75</sup> Due to the decreased glycolytic activity of LSC, one of the three metabolic fuels for the mitochondrial tricarboxylic acid cycle is unavailable and LSC must rely on amino acids and/or fatty acids to fuel OXPHOS.<sup>75,76</sup> By contrast, quiescent HSC rely on anaerobic glycolysis metabolizing pyruvate to lactate in their low-oxygen en-



**Figure 2. Leukemic stem cell vulnerabilities and targeted therapeutic approaches.** The figure illustrates a leukemic stem cell (LSC) and highlights phenotypic characteristics, vulnerabilities and potential therapeutic approaches. LSC are considered metabolically inflexible and uniquely reliant on amino acids and fatty acids to fuel oxidative phosphorylation. BCL-2 and MCL-1 are anti-apoptotic members of the BCL-2 family residing in the outer mitochondrial membrane (OMM). BAX and BAK are pore-forming proteins and the BH3-only proteins are pro-apoptotic. All BCL-2 family proteins interact to maintain the integrity of the OMM. Upon cellular stress, the cell is committed to induce apoptosis via upregulation of the pro-apoptotic BH3-only proteins and downregulation of BCL-2/MCL-1. A shift in the BCL-2 family interactome releases the effector proteins BAX/BAK and promotes homo-oligomerization to form cytotoxic pores in the OMM.<sup>80</sup> Hence, BCL-2 inhibitors (called BH3-mimetics) induce apoptosis. Dihydroorotate dehydrogenase localized at the outer layer of the inner mitochondrial membrane is crucial for *de novo* pyrimidine synthesis thereby providing substrates for nucleic acid synthesis. DNMT3A and TET2 have opposite effects on DNA methylation. DNMT3A catalyzes *de novo* methylation of cytosine residues (CpG dinucleotide), while TET2 catalyzes the conversion of 5-methylcytosine to 5-hydroxymethylcytosine, the initial step of DNA demethylation. FLT3: FMS-like tyrosine kinase 3; TKD: tyrosine kinase domain; Brd4: member of the BET (bromodomain and extra-terminal motif) family; MYC/p53/EVI1: transcription factors; LSD1: lysine-specific histone demethylase; PARP1: poly-ADP-ribose polymerase 1, me: methylation; DNMT3A: DNA methyltransferase 3A; TET2: tet methylcytosine dioxygenase 2; BCAA: branched-chain amino acids, BCAT1: BCAA transaminase 1; α-KG: alpha-ketoglutarate; 2-HG: 2-hydroxyglutarate; ROS: reactive oxygen species; OXPHOS: oxidative phosphorylation; TCA: tricarboxylic acid cycle; DHODHi: dihydroorotate dehydrogenase inhibitors; 5-AZA: 5-azacitidine; NK cell: natural killer cell; NKG2D ligand: natural killer group 2D ligand; IDH: isocitrate dehydrogenase.

environment (hypoxic niche) reserving OXPHOS to meet increased energy requirements during expansion and differentiation.<sup>75,77</sup> This unique reliance has drawn attention to the pharmacological inhibition of OXPHOS in LSC.<sup>74,76,78,79</sup> BCL-2 and MCL-1 are anti-apoptotic members of the BCL-2 family present in the outer mitochondrial membrane. Upon cellular stress, the cell is committed to induce apoptosis via upregulation of the pro-apoptotic BH3-only proteins and downregulation of BCL-2/MCL-1. This results in a release of the BAX and BAK proteins, forming cytotoxic pores in the outer mitochondrial membrane.<sup>80</sup> *De novo* LSC seem to be dependent on OXPHOS fueled by amino acids and thus rely on amino acid metabolism to provide substrates for the tricarboxylic acid cycle.<sup>76</sup> The combination of BCL-2 inhibition (by venetoclax) and the hypomethylating agent azacitidine significantly decreases OXPHOS through amino acid depletion and ETC complex II inhibition thereby selectively targeting LSC.<sup>78,80</sup> Interestingly, in relapsed/refractory AML LSC exhibit metabolic plasticity allowing for compensation via upregulation of fatty acid metabolism, becoming resistant to BCL-2 inhibition, and can be re-sensitized to azacitidine/venetoclax by targeting fatty acid transport.<sup>76,81</sup> The  $\beta$ -oxidation of fatty acids results in acetyl-CoA producing NADH and FADH<sub>2</sub> and fueling OXPHOS to generate ATP. The targeting of fatty acid oxidation and thereby its role in fueling OXPHOS is an exciting new direction in overcoming LSC-mediated therapy resistance in AML.<sup>75</sup>

In phenotypically monocytic AML, resistance has been mechanistically linked to a distinct transcriptomic profile and a physiological switch from BCL-2 to an MCL-1-mediated pro-survival program. This leads to a loss of BCL-2 expression and dependency and thus mediates insensitivity of such monocytic blasts to venetoclax; it remains unclear whether LSC from these more differentiated AML also behave similarly.<sup>82</sup> MCL-1 inhibitors are currently in clinical evaluation and combination therapies could be an efficient approach with side effects that remain manageable.<sup>80,82</sup> Finally, recent data indicate that acquired BAX mutations represent another mechanism of adaptive resistance to venetoclax-based AML therapy.<sup>83</sup>

Maintenance of low levels of reactive oxygen species as well as mitochondrial function are required for LSC stemness.<sup>75</sup> LSC use different mechanisms to avoid oxidative stress and maintain low reactive oxygen species levels, including juxtaposition to hypoxic niches,<sup>84,85</sup> activation of FOXO transcription factors,<sup>86</sup> generation of more glutathione and the removal of damaged mitochondria via mitophagy.<sup>87</sup> These highly reactive byproducts of aerobic metabolism contribute to stem cell aging, force cells out of quiescence and compromise their ability to maintain the LSC population.<sup>75</sup>

Another role in the interplay of LSC metabolic function and therapeutic resistance has emerged for branched-

chain amino acids (BCAA) produced by BCAA transaminase 1 (BCAT1). BCAT1 is overexpressed in a subset of LSC resulting in a survival advantage by depleting  $\alpha$ -ketoglutarate, a critical co-factor for TET2, thus mimicking the effects of IDH and TET2 mutations.<sup>88</sup> Since amino acid metabolism is crucial for ATP production in LSC, BCAA metabolism constitutes a potential pharmacological target to compromise LSC function selectively (Figure 2).

Dihydroorotate dehydrogenase (DHODH) is an enzyme localized in the inner mitochondrial membrane which catalyzes the fourth step of *de novo* pyrimidine synthesis. The inhibition of DHODH reduced leukemic burden and decreased levels of leukemia-initiating cells highlighting that pyrimidine synthesis constitutes another metabolic vulnerability.<sup>89</sup> Blunting glutamine metabolism and pyrimidine synthesis has been shown to inhibit residual leukemia-initiating cells and such treatment schemes improved survival in leukemia mouse models and patient-derived xenografts.<sup>90</sup> Recent data show that the novel DHODH inhibitor AG636 leads to inhibition of the protein translation machinery and confirm that LSC are dependent on *de novo* pyrimidine synthesis.<sup>91</sup> Interestingly, by performing a CRISPR-Cas9 knockout screen using a focused library of epigenetic regulators, CDK5 was identified as a sensitizer to DHODH inhibition, thereby raising the possibility of simultaneously targeting different mitochondrial processes.

### Resistance to targeted therapies for acute myeloid leukemia

While venetoclax targets a distinct metabolic state, other targeted therapeutic approaches inhibit specific oncogenic proteins such as mutant FLT3 or IDH1/2.<sup>75</sup> RAS mutations are common mechanisms of resistance to FLT3- and IDH-inhibitors and also to BCL-2-inhibitor-based therapies.<sup>2</sup>

One of the most commonly mutated genes in AML is *FLT3*, which encodes a receptor tyrosine kinase. The most common type of *FLT3* mutation is an internal tandem duplication (*FLT3*-ITD), consisting of an in-frame amino acid insertion in the juxtamembrane domain of the receptor, which results in constitutive kinase activity.<sup>92</sup> While there is also a role for *FLT3*-tyrosine kinase domain (*FLT3*-TKD) mutations, in particular, are associated with increased risk of relapse and inferior survival which is influenced by both co-mutations and the ratio of *FLT3*-ITD to wildtype *FLT3* alleles.<sup>2,93</sup> Three FLT3 inhibitors (midostaurin, quizartinib and gilteritinib) have been demonstrated to improve overall survival compared with conventional chemotherapy (for gilteritinib, 9.3 months vs. 5.6 months) in randomized phase III trials.<sup>94-96</sup> However, secondary mutations of the *FLT3* gene frequently lead to therapy resistance.<sup>2</sup> Next-generation sequencing studies using primary cells from AML patients have established that *FLT3*-ITD mutations occur relatively late in leukemogenesis.<sup>97</sup> In contrast, competitive transplantation experiments in mice indicated

that the mutated FLT3 is expressed on HSC.<sup>98</sup> However, another study using single-cell mRNA-sequencing found essentially the opposite.<sup>92,99</sup> A recent study shed new light on the subclonal architecture of FLT3-ITD-mutant AML providing evidence that FLT3-ITD mutations may also occur early in leukemic precursor cells and that CD99 may serve as a therapeutic target.<sup>100</sup> Furthermore, the combination of single-cell RNA-sequencing and genotyping from bone marrow samples of 16 AML patients demonstrated that FLT3-ITD-mutated cells were enriched in the cell populations with undifferentiated HSC/progenitor-like cell signatures, suggesting that FLT3-ITD confers a strong differentiation block.<sup>101</sup> The expression of FLT3-ITD in the MUTZ-3AML cell line and examination of resultant cellular phenotypes by flow cytometry demonstrated that FLT3 expression increased the percent of primitive CD34<sup>+</sup> MUTZ-3 cells and that this effect was most pronounced with the FLT3-ITD construct.<sup>101</sup> These results help to understand how FLT3-ITD mutations may be associated with HSC and progenitor-like cells. Although the role of FLT3 inhibitors in the clinic is emerging, with demonstration of improved outcomes, their effect on eliminating LSC remains enigmatic.

IDH1 and IDH2 catalyze the oxidative decarboxylation of isocitrate to produce  $\alpha$ -ketoglutarate (Figure 2). Mutant IDH1/2 acquire neomorphic catalytic activity and produce 2-hydroxyglutarate,<sup>102</sup> which competitively inhibits  $\alpha$ -ketoglutarate-dependent enzymes such as TET2.<sup>103</sup> TET2 is an epigenetic regulator mediating active DNA demethylation.<sup>39</sup> Consequently, IDH1/2 and TET2 mutations result in a state of genomic hypermethylation.<sup>104</sup> There is a strong rationale for combining IDH1/2 inhibitors with hypomethylating agents and also for the combination with BCL-2 inhibitors (venetoclax), as the accumulation of 2-hydroxyglutarate caused by IDH1/2 mutations mimics an oxygen-depriving state, thereby decreasing the mitochondrial threshold for induction of apoptosis.<sup>105</sup> Oral inhibitors of both mutant IDH1 (ivosidenib) and IDH2 (enasidenib) have shown efficacy in patients with the corresponding mutations.<sup>106,107</sup> The combination of ivosidenib + azacitidine has shown superiority compared to azacitidine alone in patients with newly diagnosed IDH1-mutated AML, who were ineligible for intensive induction chemotherapy (clinicaltrials.gov identifier NCT03173248) (Table 1)<sup>108</sup> and the combination of ivosidenib + venetoclax in IDH-mutated patients is currently being tested in a clinical trial (clinicaltrials.gov identifier NCT03471260) (Table 1). In a recent study, genomic analyses of longitudinally collected AML samples indicated that stemness is a major driver of primary IDH inhibitor resistance.<sup>109</sup> Since IDH inhibitors induce differentiation of leukemic blasts, this seems mechanistically plausible. However, the mechanisms driving stemness in IDH-mutant AML and the role of LSC in this regard remains poorly understood.

## Methodological improvements and their significance for translational research in acute myeloid leukemia

Although LSC remain difficult to isolate because of their scarcity, their pronounced similarity to healthy HSC and their phenotypic plasticity, novel technologies now allow the identification of complex heterogeneous cell mixtures at single-cell resolution. Moreover, more sophisticated multi-omics single-cell approaches are now available to capture surface proteins next to the transcriptomes (CITEseq; cellular indexing of transcriptomes and epitopes by sequencing),<sup>110,111</sup> chromatin accessibility (ATAC-seq; assay for transposase-accessible chromatin with sequencing) and importantly can also integrate mutational profiling (single nucleotide variations and structural variants)<sup>112</sup> and/or tracking of clonal dynamics based on mitochondrial marker mutations (TARGET-seq,<sup>113</sup> GoT [genotyping of transcriptomes]<sup>114</sup> and MutaSeq<sup>115</sup>). Although it is becoming increasingly evident that dynamic changes in metabolism play critical roles in LSC function and treatment resistance, approaches based on mass spectrometry of bulk samples and metabolic flux analysis both require large numbers of cells. These are often not available from patients, in particular if smaller subpopulations such as LSC need to be analyzed and thus the development of better, high-resolution, single-cell technologies is much wanted in this area. Further technical advances, each with its inherent merits and limitations, will pave the way towards a more comprehensive understanding of clonal dynamics and the distinct (transient) single-cell states responding to AML therapy driving the continued AML evolution. The integration of single-cell genotyping adds an additional layer of information, thus allowing the capture of even rare clones and the comparison of the networks active in various (pre-)leukemic subclones and wildtype cells within the same patient. This technical progress also offers new opportunities to analyze rare CH clones in the pre-leukemic state and to capture and characterize residual, therapy-resilient relapse-initiating leukemia cells including LSC present in patients' MRD.

## Conclusion

AML is a highly heterogeneous disease characterized by a complex network of genetically distinct subclones arising in a branching evolution alongside the predominant clone. The cells within each genetically identical subclone show their own clone-specific molecular features and develop a specific non-genetically driven hierarchy of cellular differentiation. There is overwhelming evidence that cancer stem cells and stemness properties are clinically relevant,

in particular for AML. In this review we have discussed that overcoming therapy resistance in AML requires not only eradication of bulk tumor cells but also the capture and efficient targeting of therapy-resistant leukemia cells, including LSC. The presence of genetically diverse LSC at diagnosis highlights a major limitation of therapies that target only the specific properties of the dominant clone. The identification of specific patterns of AML relapse demonstrated that these will require different therapies given their distinct stem cell biology.<sup>28</sup> Since LSC harbor inherent resistance mechanisms including phenotypic plasticity, dormancy and senescence, conventional chemotherapy is increasingly being added to or replaced by targeted therapeutic strategies to act specifically on LSC properties. Given the chemotherapy resistance of LSC, targeted strategies need to be integrated into first-line regimens to prevent LSC-mediated AML relapse. Venetoclax + azacitidine is a promising approach which is currently reserved for relapsed/refractory patients and newly diagnosed patients of older age or with comorbidities. This combination targets at least some LSC, but the molecular basis of treatment refractoriness and resistance still needs to be better explored and overcome in this setting as well. Nevertheless, BH3 mimetics are among the most promising strategies to treat AML, including LSC. Importantly, with the success of venetoclax + azacitidine and availability of this combination for first-line therapy, the selection of patients who would benefit from either standard chemotherapy or upfront venetoclax + azacitidine treatment is a challenge. Biomarkers need to be developed to stratify patients and clinical trials to monitor LSC-targeting efficacy in AML first-line regimens need to be implemented and used to study, understand and overcome LSC-mediated therapy resistance. Furthermore, new methods of disease monitoring have to be established to track LSC subclones and improve future clinical trials. Despite impressive rates of response to venetoclax + azacitidine, the combination is not curative since LSC exhibit molecular and metabolic plasticity becoming resistant to BCL-2 inhibition (i.e. high expression of MCL-1 or BCL-xL). Importantly, while venetoclax + azacitidine efficiently targets at least some LSC, it may not target all of them in both intra- and inter-patient settings, and the surviving LSC are the drivers of relapse. Thus, many different clinical trials investigating com-

binatorial therapeutic approaches to target LSC vulnerabilities and thereby attempt to eradicate relapse-initiating cells through different mechanisms are currently being explored in clinical settings (Table 1).

The era of single-cell multi-omics provides unprecedented opportunities to characterize relapse-initiating cell populations and allows tracking of individual clonal architectures and underlying biological networks. These technical innovations may offer new ways to trace the drivers of relapse, including LSC, within upcoming clinical trials and to identify and target therapy-resistant cells with resilience phenotypes that repopulate leukemia. Many of the cited methods have recently been applied to address basic and translational research questions and offered novel, critical insights into AML biology. However, it seems very difficult that these can be included in clinical routine diagnostics, at least at present, as they are not easily scalable. Nevertheless, these methods will be crucial to characterize the few relapse-initiating cells and to translate the understanding of LSC biology into novel therapeutic strategies for AML therapy. Results obtained from these newer, low-throughput and expensive technologies need to be translated into scalable tools that can, after clinical validation, be implemented in routine clinical practice. Such tools need to fulfill clinical standards regarding specificity, feasibility and cost-efficiency and have to be validated in larger cohorts of patients. Overall, there is increasing evidence for patient-specific approaches that address individual therapeutic vulnerabilities. An inevitable strategy to prevent AML recurrence and improve clinical outcome in the future is the integration of LSC-targeting agents into first-line treatments which may lead to a decrease in relapse frequency and an increase of cure rates of AML patients.

### Disclosures

*No conflicts of interest to disclose.*

### Contributions

*PS and AT wrote and discussed the manuscript.*

### Funding

*PS is funded by a fellowship of the DKFZ Clinician Scientist Program, supported by the Dieter Morszeck Foundation.*

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