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Haematologica 2020 [Epub ahead of print]

Citation: Mathilde Filser, Bernard Aral, Fabrice Airaud, Aurélie Chauveau, Aisha Bruce, Yann Polfrit, Anne Thiebaut, Martin Gauthier, Cédric Le Maréchal, Eric Lippert, Stéphane Béziau, Céline Garrec, Betty Gardie, and François Girodon. Low incidence of EPOR mutations in idiopathic erythrocytosis. Haematologica. 2020; 105:xxx

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Low incidence of *EPOR* mutations in idiopathic erythrocytosis

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**Disclosures:** none
Contributions: MF and FG wrote the manuscript; AB, YP, AT, MG provided samples; BA, AC, FA, EL, CLM and CG performed analyses; SB and BG revised the manuscript.

Key Words: Erythropoietin Receptor, Erythrocytosis, Polycythemia, Next Generation Sequencing

Since the discovery of JAK2 mutations, the etiological diagnosis of erythrocytosis has been greatly facilitated: when positive, the diagnosis of polycythemia vera (PV) is highly probable; when negative, it is necessary to focus on secondary causes, particularly hypoxia due to cardiorespiratory diseases or tumor-related causes. However, the cause of erythrocytosis commonly remains unknown even after extensive investigation, which leads to the diagnosis of idiopathic erythrocytosis, or primary familial and congenital polycythemia. We report here the low incidence of mutation (1.1%) in the erythropoietin receptor (EPOR) gene in a large series of 270 consecutive unrelated cases with primary familial and congenital polycythemia. Three mutations in the EPOR gene were observed, two of which have already been reported as pathogenic (Pro381Glnfs*2 and Ser407*). The third mutation is a new variant (Ser432Alafs*21) that was discovered in one patient from New Caledonia. Interestingly, a different French laboratory found the same variant in three other patients from New Caledonia without known family ties.

Erythrocytosis and polycythemia are common terms referring to the increase of hematocrit (Ht) and hemoglobin (Hb) concentrations. However, to ensure a diagnosis of true erythrocytosis, certain criteria must be met. Testing must reveal a red cell mass (RCM) measurement > 125%\(^1\) or a Ht value > 56% in women or > 60% in men.

Primary erythrocytosis is caused by an intrinsic abnormal proliferation of the erythroid compartment. The most common is polycythemia vera (PV), the diagnosis of which is well
standardized according to the 2016 WHO criteria\(^2\), which includes the JAK2 mutations found in 98% of cases. Erythrocytosis can also result from an acquired abnormality that stimulates erythropoietin (EPO) secretion, resulting in secondary polycythemias. Increased secretion of EPO can arise from hypoxia pathway activation, often observed in heart or lung diseases, and also from ectopic secretion of EPO by tumors. Congenital secondary erythrocytosis result, among others, from hemoglobin with high oxygen affinity due to alpha, beta or gamma globin mutations, or from a very rare mutation in the bisphosphoglycerate mutase (BPGM) coding gene that causes 2,3-BPG levels to decrease\(^3\). Yet many cases of polycythemia remain idiopathic because the most common causes are not identified. Since the advent of molecular biology and next-generation sequencing (NGS), the genes involved in the regulation of the hypoxia sensing pathway (\(PHD2/EGLN1, HIF2A/EPAS1, VHL, EPO\)) or in proliferation and differentiation of erythroid progenitors [\(JAK2\) or the EPO receptor gene (\(EPOR\))] are more easily identified and their pathological pathways can be clarified. As recently reviewed, new mutations in the HIF/EPO pathway have been reported in the last few years, underscoring the rapid evolution of current knowledge on the causes of erythrocytosis\(^4\). For instance, we recently demonstrated that exon skipping and cryptic exon retention were new \(VHL\) alterations and revealed a novel complex splicing regulation of the \(VHL\) gene\(^5\).

Over the past 4 years, 270 patients (229 males, 41 females) with idiopathic erythrocytosis have been tested using a dedicated NGS panel of genes involved (i) in the regulation of the hypoxia pathway [\(PDH1\) (\(EGLN2\)), \(PDH2\) (\(EGLN1\)), \(PDH3\) (\(EGLN3\)), \(HIF-1A\), \(HIF-2A\) (\(EPAS1\)), \(HIF-3A\), \(VHL\), \(VHLL\)], (ii) in proliferation and differentiation of erythroid progenitors [\(EPO\), \(EPOR\), \(JAK2\), \(LNK(SH2B3)\), \(CBL\)], or (iii) in mature cell function [bisphosphoglyceratemutase (\(BPGM\))]. In order to restrict our analysis to truly idiopathic polycythemia, patients were selected according to clinical and biological criteria: increased RCM > 125% (measured using a chromium 51 method) or Ht value > 56% in women or > 60% in men were necessary for the confirmation of absolute erythrocytosis. Each patient underwent testing for blood electrolytes, serum EPO, \(JAK2\) mutations (on exon 14 and exon
12), functional respiratory tests, abdominal ultrasound scan, arterial and venous blood gas (in search of a P50< 24 mmHg that could be indicative of Hb with high oxygen affinity) in order to eliminate classical secondary causes. In particular, the measurement of venous P50 is a rapid, low-cost test that can orientate further screening towards an inexpensive sequencing technique for the $HBB$, $HBA1$ and $HBA2$ genes$^{6,7}$.

Finally, because the detection of variants in the genes with high similarity of sequences such as $HBA1$ and $HBA2$ is challenging using NGS, we decided not to include $HBB$, $HBA1$ and $HBA2$ genes in our NGS panel$^8$.

Patients were included in the NGS program if their results showed no abnormalities in the criteria cited above. Using the NGS panel, variants considered as pathogen were observed in 62 (23%) patients, mainly in the genes involved in the regulation of the hypoxia pathway. Concerning the $EPOR$ gene, only three different mutations all in exon 8, were identified in three of the 270 patients that were tested (table 1).

The first anomaly, a frameshift mutation (c.1142_1143delCC, p.Pro381Glnfs*2), was found in patient #1, a 52-year-old woman. A family history of probable erythrocytosis in her father and brother was reported, but further information was not available.

The second $EPOR$ mutation, a nonsense mutation (c.1220C>A, p.Ser407*), was found in patient #2, a 14-year-old girl, who had been diagnosed with idiopathic erythrocytosis at the age of 13. Her hemoglobin level was 172g/L when the NGS was performed. She also had a family history of idiopathic erythrocytosis (mother and sister), but the cause was unknown.

These two $EPOR$ mutations have already been described$^{9,10}$ and are characterized as pathogenic.

The third mutation is a new frameshift mutation (c.1293del, p.Ser432Alafs*21) that was found in patient #3, a 31-year-old male native of New Caledonia and now living in continental France. This result was confirmed by Sanger sequencing performed both on DNA extracted from an additional blood sample and from hair follicles in order to ensure the mutation was constitutional. He had been diagnosed with isolated idiopathic erythrocytosis at
the age of 20. At the time of analysis, he was not showing any sign of splenomegaly nor any other medical condition. Due to his relocation in Europe and estrangement from his family, no data were available regarding possible family history of erythrocytosis.

Since this mutation had not been previously reported in the literature or in databases, we enquired as to whether colleagues involved in *EPOR* sequencing had found a similar variant. Interestingly, this *EPOR* variant had indeed been discovered by another French laboratory. Three patients were identified: an 8 year-old boy (patient #4) had been referred for isolated erythrocytosis in a context of a family history of idiopathic erythrocytosis in his mother, maternal aunt and uncle, and maternal grandfather who all presented isolated erythrocytosis that had not been previously investigated (figure 1). The same laboratory had also found this mutation in a 48-year-old female with isolated erythrocytosis and a 54-year-old female, also presenting with isolated erythrocytosis. For the second case (48 y-o female), investigation of her family history revealed that her niece also carried the mutation. Both cases originated from New Caledonia, but further investigation found no relation between their families. However, the fact that the same, rare variant was identified independently in four patients from New Caledonia strongly supports a founder effect.

Since the discovery of the link between mutations in the gene encoding *EPOR* and erythrocytosis\(^\text{11}\), many variants have been described. All are localized in exon 8, which encodes the distal region of the EPO receptor\(^\text{12}\). Most of the mutations cause a truncation in the intracellular domain of the receptor. It has now been established that this shortening leads to a loss of internalization and downregulation of the receptor, mostly due to the impairment of negative regulators binding to *EPOR*. Indeed, SHP1, which is a major inhibitor, binds to the residue p.Tyr454, and another inhibitor, SOCS3, to p.Tyr426, p.Tyr454, and p.Tyr456, all of which are lost in the various truncations described thus far. This results in an excessive activation of the receptor, showing hypersensitivity to EPO, combined with an increased number of receptors at the membrane, eventually leading to erythrocytosis\(^\text{13-15}\). Nonetheless, recent studies have shown that the precise mechanisms leading to primary erythrocytosis vary.
depending on the type of the mutation\textsuperscript{16}, explaining the wide heterogeneity and complexity of this condition. Indeed, the mutant generates a new C-terminal cytoplasmic part that could induce the EPOR signaling pathway as it was demonstrated in a recent study\textsuperscript{16}.

The new variant described here (c.1293del, p.Ser432Alafs*21) is localized in a hot spot area where many pathogenic mutations have previously been reported\textsuperscript{13,16–18}. Due to its localization and the resulting truncation, it is expected to cause loss of the major binding sites of negative regulators, thus explaining the observed phenotype. The discovery of the same variant in three other families, for a total of 4 different families all coming from New Caledonia, with a history of erythrocytosis strengthens the hypothesis of a functional impact of the variant.

Regarding complications, only patient #1 had a vascular complication as myocardial infarction and transient ischemic attack. On the contrary, neither hemorrhage nor progression to hematological malignancies was noted in patients with \textit{EPOR} mutations (Table 2).

In the whole cohort of patients with erythrocytosis, EPO values ranged from 0.6 to 140 IU/L, with the vast majority of cases (> 90\%) having a serum EPO in the normal range (4.3–29 UI/L). Off note, patients with an \textit{EPOR} mutation all had low serum EPO, which is a classic feature of \textit{EPOR} mutations.

In conclusion, our study confirms that mutations in \textit{EPO-R} are relatively rare among patients with idiopathic erythrocytosis. However, patients with low serum EPO levels and/or a family history of erythrocytosis should be checked for this anomaly. We also describe a novel mutation with a founder effect in New Caledonia.
This study was supported by grants from the ANR (PRTS 2015 "GenRED"); the labex GR-Ex, reference ANR-11-LABX-0051.

References


Table 1. Hematological and genetic data of the probands with EPOR mutations. RBC= Red Blood Cells; MCV= Mean Corpuscular Volume; F= Female, M= Male

<table>
<thead>
<tr>
<th>Case</th>
<th>Age</th>
<th>Sex</th>
<th>RBC (x10^12/L)</th>
<th>Hemoglobin (Hb, g/L)</th>
<th>Hematocrit (Hct, %)</th>
<th>MCV (fL)</th>
<th>EPO (UI/L)</th>
<th>Mutation cDNA</th>
<th>Mutation protein</th>
<th>Family History</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>52</td>
<td>F</td>
<td>5.23</td>
<td>172</td>
<td>50%</td>
<td></td>
<td></td>
<td>c.1142_1143delCC</td>
<td>p.Pro381Glnfs*2</td>
<td>Yes (father and brother)</td>
</tr>
<tr>
<td>2</td>
<td>14</td>
<td>F</td>
<td>7.1</td>
<td>200</td>
<td>86</td>
<td>&lt;1.0</td>
<td></td>
<td>c.1220C&gt;A</td>
<td>p.Ser407*</td>
<td>Yes (mother and sister)</td>
</tr>
<tr>
<td>3</td>
<td>31</td>
<td>M</td>
<td>6.44</td>
<td>216</td>
<td>61.3</td>
<td>&lt;10.5</td>
<td></td>
<td>c.1293del</td>
<td>p.Ser432Alafs*21</td>
<td>Yes (unknown)</td>
</tr>
<tr>
<td>4</td>
<td>8</td>
<td>M</td>
<td>7.3</td>
<td>197</td>
<td>57.5</td>
<td>78</td>
<td>&lt;0.6</td>
<td>c.1293del</td>
<td>p.Ser432Alafs*21</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Table 2. Complications and treatment of the probands with EPOR mutations.

<table>
<thead>
<tr>
<th>Case</th>
<th>Vascular complication</th>
<th>Phlebotomy</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes (myocardial infarction and transient ischemic attack)</td>
<td>Yes (Twice/year)</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>3</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>4</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

Figure 1. Pedigree of patient # 4. The propositus is indicated by the arrow. The black circles and squares represent people in the family with erythrocytosis.