ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Andreas
2. Surname (Last Name) Günther
3. Date 07-November-2014
4. Are you the corresponding author? Yes ✔ No
5. Manuscript Title Everolimus (RAD001) Activity in Relapsed and/or Refractory Multiple Myeloma: A Phase I Study
6. Manuscript Identifying Number (if you know it) HAEMATOL/2014/116269

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes ✔ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest? Yes ✔ No

If yes, please fill out the appropriate information below.

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<th>Name of Entity</th>
<th>Grant?</th>
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</table>

Günther
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No

Section 5. Relationships not covered above
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Günther reports grants, personal fees and non-financial support from Novartis, during the conduct of the study; personal fees from Novartis, personal fees from Celgene, outside the submitted work.

Evaluation and Feedback
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Philipp
2. Surname (Last Name)  Baumann
3. Date  06-November-2014
4. Are you the corresponding author?  Yes  No  ✔

Corresponding Author’s Name
Andreas Guenther

5. Manuscript Title
Everolimus (RAD001) Activity in Relapsed and/or Refractory Multiple Myeloma: A Phase I Study

6. Manuscript Identifying Number (if you know it)
HAEMATOL/2014/116269

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

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Dr. Baumann has nothing to disclose.

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<tr>
<td>Renate</td>
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4. Are you the corresponding author?  
   - Yes  
   - No  
   ✔ No

5. Manuscript Title  
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Dr. Burger has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. **Given Name (First Name)**
   Christian
2. **Surname (Last Name)**
   Kellner
3. **Date**
   06-November-2014
4. **Are you the corresponding author?**
   - Yes
   - No
   ✔

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<td>Andreas Günther</td>
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5. **Manuscript Title**
   Everolimus (RAD001) Activity in Relapsed and/or Refractory Multiple Myeloma: A Phase I Study

6. **Manuscript Identifying Number (if you know it)**
   HAEMATOL/2014/116269

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- Are there any relevant conflicts of interest?  
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  - No
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

- Yes
  ✔
- No
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Dr. Kellner has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) Wolfram
2. Surname (Last Name) Klapper
3. Date 06-November-2014
4. Are you the corresponding author? Yes ☐ No ☑
   Corresponding Author’s Name Andreas Günther

5. Manuscript Title Everolimus (RAD001) Activity in Relapsed and/or Refractory Multiple Myeloma: A Phase I Study
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
[ ] Yes  
[ ] No

**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

[ ] Yes, the following relationships/conditions/circumstances are present (explain below):

[ ] No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

**Section 6. Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Klapper reports grants from Hoffman La Roche, grants from Takeda/Millenium, grants from Novartis, other from Janssen, other from Celgene, other from Dako, outside the submitted work.

**Evaluation and Feedback**

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The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. **Identifying information.**

2. **The work under consideration for publication.**

   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party – that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. **Relevant financial activities outside the submitted work.**

   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

   Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. **Intellectual Property.**

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**Definitions.**

- **Entity:** government agency, foundation, commercial sponsor, academic institution, etc.
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- **Other:** Anything not covered under the previous three boxes
- **Pending:** The patent has been filed but not issued
- **Issued:** The patent has been issued by the agency
- **Licensed:** The patent has been licensed to an entity, whether earning royalties or not
- **Royalties:** Funds are coming in to you or your institution due to your patent
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

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<tr>
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<td>Schmidmaier</td>
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4. Are you the corresponding author?  
☐ Yes  ☑ No  

Corresponding Author’s Name  
Andreas Guenther

5. Manuscript Title  
Everolimus (RAD001) Activity in Relapsed and/or Refractory Multiple

6. Manuscript Identifying Number (if you know it)  
HAEMATOL/2014/116269

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
☐ Yes  ☑ No

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.  
If yes, please fill out the appropriate information below.

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☐ Yes  ✔ No

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Dr. Schmidmaier reports non-financial support from Celgene, personal fees from Roche, personal fees and non-financial support from AMGEN, non-financial support from Alexion, non-financial support from Lilly, outside the submitted work.

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gramatzki
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Section 1. Identifying Information
1. Given Name (First Name) Martin
2. Surname (Last Name) Gramatzki
3. Date 06-November-2014
4. Are you the corresponding author? ☑ Yes ☐ No
Corresponding Author's Name Andreas Guenther
5. Manuscript Title Everolimus (RAD001) Activity in Relapsed and/or Refractory Multiple Myeloma: A Phase I Study
6. Manuscript Identifying Number (if you know it) 2014/116269

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Are there any relevant conflicts of interest? ☑ Yes ☐ No
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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Gramatzki
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Dr. Gramatzki reports grants and personal fees from Novartis Pharma, during the conduct of the study.

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