ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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- **Royalties:** Funds are coming in to you or your institution due to your patent
Section 1. Identifying Information

1. Given Name (First Name)  Carol
2. Surname (Last Name)  Moreno
3. Date  29-October-2014
4. Are you the corresponding author?  Yes  No
   Corresponding Author’s Name  Emili Montserrat
5. Manuscript Title
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
6. Manuscript Identifying Number (if you know it)
   HAEMATOL/2014/118158

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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No

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Are there any relevant conflicts of interest?  Yes  No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No
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Dr. Moreno has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  MARCO
2. Surname (Last Name)  MONTILLO
3. Date  29-October-2014

4. Are you the corresponding author?  No

Corresponding Author’s Name
Emili Montserrat

5. Manuscript Title
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  No

MONTILLO
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. MONTILLO reports personal fees from GSK, outside the submitted work.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name) PANAGIOTIS
2. Surname (Last Name) PANAGIOTIDIS
3. Date 30-October-2014

4. Are you the corresponding author? ☑ No

Corresponding Author’s Name Emili Montserrat

5. Manuscript Title
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Dr. PANAGIOTIDIS has nothing to disclose.

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<th>1. Given Name (First Name)</th>
<th>Maria</th>
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<tr>
<td>2. Surname (Last Name)</td>
<td>Dimou</td>
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<td>3. Date</td>
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| 5. Manuscript Title       | Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC) |
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes ✔ No
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Section 1. Identifying Information

1. Given Name (First Name) Adrian
2. Surname (Last Name) Bloor
3. Date 30-October-2014
4. Are you the corresponding author? ☑ No
5. Manuscript Title Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
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Are there any relevant conflicts of interest? ☑ Yes ☐ No

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Dr. Bloor reports personal fees from GSK, personal fees from European Research Initiative on CLL, during the conduct of the study; personal fees from GSK, outside the submitted work.

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- **Royalties:** Funds are coming in to you or your institution due to your patent
**ICMJE Form for Disclosure of Potential Conflicts of Interest**

### Section 1. Identifying Information

1. Given Name (First Name)  
   Jehan  
2. Surname (Last Name)  
   Dupuis  
3. Date  
   29-October-2014  
4. Are you the corresponding author?  
   ✔ Yes  
   No  
   Corresponding Author’s Name  
   Emili Montserrat  
5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)  
6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/118158

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
   ✔ Yes  
   No  
If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Dr. Dupuis reports grants from GlaxoSmithKline, during the conduct of the study.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Anna
2. Surname (Last Name)  Schuh
3. Date  11-November-2014
4. Are you the corresponding author?  ✔ No

Corresponding Author's Name

Emili Montserrat

5. Manuscript Title
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

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Are there any relevant conflicts of interest?  ✔ Yes  No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? □ Yes  ✔ No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Stefan

2. Surname (Last Name)  
   Norin

3. Date  
   10-November-2014

4. Are you the corresponding author?  
   Yes ☐  No ✔

   Corresponding Author’s Name  
   Emili Montserrat

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)"

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Christian H.
2. Surname (Last Name) Geisler
3. Date 30-October-2014

4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author’s Name Emili Montserrat

5. Manuscript Title Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Peter

2. Surname (Last Name)  
   Hillmen

3. Date  
   13-November-2014

4. Are you the corresponding author?  
   Yes  
   ✔  
   No

   Corresponding Author's Name  
   Emili Montserrat

5. Manuscript Title  
   Treatment of Poor-Prognosis Chronic Lymphocytic Leukemia with Ofatumumab in Daily Practice: An European Research Initiative (ERIC)

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   Yes  
   ✔  
   No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Grant?</th>
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<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
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<td>✔</td>
<td></td>
<td>✔</td>
<td>Support for a U Clinical Trial</td>
</tr>
</tbody>
</table>

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   Yes  
   ✔  
   No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Hillmen reports grants and personal fees from Glaxo Smith Kline, outside the submitted work;

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

<table>
<thead>
<tr>
<th>1. Given Name (First Name)</th>
<th>Michael</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Surname (Last Name)</td>
<td>Doubek</td>
</tr>
<tr>
<td>3. Date</td>
<td>29-October-2014</td>
</tr>
<tr>
<td>4. Are you the corresponding author?</td>
<td>Yes ✔ No</td>
</tr>
</tbody>
</table>

**Corresponding Author’s Name**
Emili Montserrat

**Manuscript Title**
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

**Manuscript Identifying Number (if you know it)**
HAEMATOL/2014/118158

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? | Yes ✔ No |

## Section 3. Relevant financial activities outside the submitted work.

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? | Yes ✔ No |
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Doubek has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
   Marek

2. Surname (Last Name)
   Trneny

3. Date
   18-November-2014

4. Are you the corresponding author?
   ☐ Yes   ✔ No

   Corresponding Author’s Name
   Emili Montserrat

5. Manuscript Title
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)
   HAEMATOL/2014/118158

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<td>GlaxoSmithKline</td>
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<td>✔</td>
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?   ☐ Yes   ✔ No
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Dr. Trneny reports personal fees from GlaxoSmithKline, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)  
   Petra

2. Surname (Last Name)  
   Obrtlikova

3. Date  
   31-October-2014

4. Are you the corresponding author?  
   ✔ No

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/118158

### Corresponding Author’s Name
   Emili Montserrat

### Section 2. The Work Under Consideration for Publication

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✔ Yes  
No

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✔ No
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Dr. Obrtlikova reports other from Roche, other from Janssen-Cilag, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Luca
2. Surname (Last Name) Laurenti
3. Date 30-October-2014
4. Are you the corresponding author? ☑ No

Corresponding Author’s Name
Emili Montserrat

5. Manuscript Title
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

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Dr. Laurenti has nothing to disclose.

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- **Royalties:** Funds are coming in to you or your institution due to your patent
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

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<th>1. Given Name (First Name)</th>
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<tr>
<td>2. Surname (Last Name)</td>
<td>Stilgenbauer</td>
</tr>
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<td>3. Date</td>
<td>29-October-2014</td>
</tr>
<tr>
<td>4. Are you the corresponding author?</td>
<td>Yes ✔ No</td>
</tr>
<tr>
<td>5. Manuscript Title</td>
<td>Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)</td>
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<td>6. Manuscript Identifying Number (if you know it)</td>
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## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
Yes ✔ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
Yes ✔ No

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
Yes ✔ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Stilgenbauer reports grants and personal fees from GSK, during the conduct of the study.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Section 1. Identifying Information

1. Given Name (First Name) Lukas
2. Surname (Last Name) Smolej
3. Date 31-October-2014
4. Are you the corresponding author? ☐ Yes  ✔ No
   Corresponding Author’s Name Emili Montserrat
5. Manuscript Title Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest?  ☐ Yes  ✔ No

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Are there any relevant conflicts of interest?  ✔ Yes  ☐ No
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Smolej reports personal fees from Roche, personal fees from GlaxoSmithKline, personal fees from Janssen, personal fees from Gilead, outside the submitted work;

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Paolo
2. Surname (Last Name) Ghia
3. Date 29-October-2014
4. Are you the corresponding author? Yes ☑ No
5. Manuscript Title Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
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Are there any relevant conflicts of interest? Yes ☑ No

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Dr. Ghia reports grants from GSK, grants and personal fees from Roche, personal fees from Pharmacyclics, personal fees from Janssen, personal fees from GILEAD, personal fees from MedImmune, personal fees from AbbVie, outside the submitted work; .

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1. Given Name (First Name) Florence
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Dr. Cymbalista reports personal fees and non-financial support from Janssen, non-financial support from Roche, personal fees from Gilead, personal fees and non-financial support from GSK, personal fees from Mundipharma, outside the submitted work; .

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<tr>
<th>Personal Fees</th>
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<th>Non-Financial Support</th>
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<td>Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.</td>
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<tr>
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</table>
ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Ulrich
2. Surname (Last Name)  
   Jaeger
3. Date  
   29-October-2014
4. Are you the corresponding author?  
   ✔ No
5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/118158

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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
✔ No

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✔ Yes  
No
If yes, please fill out the appropriate information below.

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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
✔ No
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Dr. Jaeger reports personal fees from GSK, grants from GSK, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Kostas

2. Surname (Last Name)  
   Stamatopoulos

3. Date  
   29-October-2014

4. Are you the corresponding author?  
   Yes  ✔

   Corresponding Author’s Name  
   Emili Montserrat

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/118158

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Are there any relevant conflicts of interest?  
   Yes  ✔
   No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Are there any relevant conflicts of interest?  
   Yes  No  ✔

Stamatopoulos
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

☐ Yes  ☑ No

Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Stamatopoulos reports grants from Glaxo SmithKline, grants from Roche SA, grants and personal fees from Janssen Pharmaceuticals, grants and personal fees from Novartis, during the conduct of the study.

Evaluation and Feedback
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<td>Stavroyianni</td>
<td>29-October-2014</td>
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4. Are you the corresponding author? [ ] Yes [x] No

**Corresponding Author’s Name**

Emili Montserrat

5. Manuscript Title

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6. Manuscript Identifying Number (if you know it)

HAEMATOL/2014/118158

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Stavroyianni has nothing to disclose.

Evaluation and Feedback

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Stavroyianni
ICMJE Form for Disclosure of Potential Conflicts of Interest

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   PATRICK

2. Surname (Last Name)  
   CARRINGTON

3. Date  
   31-October-2014

4. Are you the corresponding author?  
   ✔ Yes  
   ✓ No

Corresponding Author’s Name  
EMILI MONTSERRAT

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

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<td>☐</td>
<td>☐</td>
<td>Fee for completing data collection forms</td>
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Hamadi

2. Surname (Last Name)  
   ZOUABI

3. Date  
   11-October-2014

4. Are you the corresponding author?  
   Yes ✔

Corresponding Author’s Name
   Emili Montserrat

5. Manuscript Title
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

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   Yes ✔

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   Yes ✔

ZOUABI
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. ZOUABI has nothing to disclose.

Evaluation and Feedback

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- **Royalties:** Funds are coming in to you or your institution due to your patent
Section 1. Identifying Information

1. Given Name (First Name)  
   véréonique

2. Surname (Last Name)  
   leblond

3. Date  
   31-October-2014

4. Are you the corresponding author?  
   Yes [ ] No [X]

   Corresponding Author’s Name  
   EMILI MONTSERRAT

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from t

6. Manuscript Identifying Number (if you know it)  
   MS ID#: HAEMATOL/2014/118158

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Yes [ ] No [X]

Are there any relevant conflicts of interest?  
Yes [ ] No [X]

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Yes [ ] No [X]

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Speaker bureau Roche, Janssen, Mundipharma, Gilead
Advisory board: Janssen, Roche, Gilead,

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   JUAN CARLOS

2. Surname (Last Name)  
   GOMEZ

3. Date  
   31-October-2014

4. Are you the corresponding author?  
   ✔ No

Corresponding Author’s Name  
EMILI MONTSERRAT

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/118158

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Dr. GOMEZ has nothing to disclose.

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RUBIO
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  MARTIN
2. Surname (Last Name)  RUBIO
3. Date  31-October-2014
4. Are you the corresponding author?  No
5. Manuscript Title  Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
6. Manuscript Identifying Number (if you know it)  HAEMATOL/2014/118158

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Are there any relevant conflicts of interest?  No

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Dr. RUBIO has nothing to disclose.

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Marasca
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)  
   Roberto

2. Surname (Last Name)  
   Marasca

3. Date  
   30-October-2014

4. Are you the corresponding author?  
   No

5. Manuscript Title  
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/118158

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1. Given Name (First Name)  Gerardo
2. Surname (Last Name)  Musuraca
3. Date  30-October-2014
4. Are you the corresponding author?  No
5. Manuscript Title  Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

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**Pending:** The patent has been filed but not issued

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**Royalties:** Funds are coming in to you or your institution due to your patent
## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Identifying Information

1. **Given Name (First Name)**
   - Luigi
2. **Surname (Last Name)**
   - Rigacci
3. **Date**
   - 29-October-2014
4. **Are you the corresponding author?**
   - Yes
5. **Manuscript Title**
   - Ofatumumabin poor-prognosis..........
6. **Manuscript Identifying Number (if you know it)**
   - HAEMATOL/2014/118158

### The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
- [ ] Yes  
- [x] No

### Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
- [ ] Yes  
- [x] No

### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- [ ] Yes  
- [x] No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Rigacci has nothing to disclose.

Evaluation and Feedback

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

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<th>Farina</th>
<th>29-October-2014</th>
</tr>
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<td>1. Given Name (First Name)</td>
<td>Lucia</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Surname (Last Name)</td>
<td>Farina</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Date</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Are you the corresponding author?</td>
<td>Yes</td>
<td>No</td>
<td>Emili Montserrat</td>
</tr>
</tbody>
</table>

5. Manuscript Title
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)
HAEMATOL/2014/118158

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No
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Dr. Farina has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
Rossella

2. Surname (Last Name)  
Paolini

3. Date  
17-November-2014

4. Are you the corresponding author?  
☐ Yes  ✔ No

Corresponding Author’s Name  
Emili Montserrat

5. Manuscript Title  
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)  
HAEMATOL/2014/118158

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Are there any relevant conflicts of interest?  
☐ Yes  ✔ No

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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Dr. Paolini has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Sarka

2. Surname (Last Name)  
Pospisilova

3. Date  
29-October-2014

4. Are you the corresponding author?  
[ ] Yes  [ ] No  
Corresponding Author’s Name  
Emili Montserrat

5. Manuscript Title  
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Dr. Pospisilova has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Eva
2. Surname (Last Name) Kimby
3. Date 29-October-2014
4. Are you the corresponding author? ☑ No

Corresponding Author’s Name Emili Montserrat

5. Manuscript Title Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)
6. Manuscript Identifying Number (if you know it) HAEMATOL/2014/118158

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If yes, please fill out the appropriate information below.

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<th>Non-Financial Support?</th>
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<td>Research Support</td>
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<td>☑ Yes</td>
<td>☑ Yes</td>
<td>☑ Yes</td>
<td>Consultation fees</td>
<td></td>
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ Yes ☑ No
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Dr. Kimby reports grants from Roche, Pfizer, personal fees from Celgene, Pharmacyclics, Gilead, cti, Jansen, Teva, Roche, Mundipharma, Jansen, outside the submitted work.

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   For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.


   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

   **Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

   **Grant:** A grant from an entity, generally (but not always) paid to your organization

   **Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

   **Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

   **Other:** Anything not covered under the previous three boxes

   **Pending:** The patent has been filed but not issued

   **Issued:** The patent has been issued by the agency

   **Licensed:** The patent has been licensed to an entity, whether earning royalties or not

   **Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Colm

2. Surname (Last Name) 
   Bradley

3. Date 
   29-October-2014

4. Are you the corresponding author? 
   ✔ No

5. Manuscript Title 
   Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it) 
   HAEMATOL/2014/118158

Corresponding Author’s Name 
   Emili Montserrat

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? 

Are there any relevant conflicts of interest? 
   ✔ No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest? 
   ✔ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 
   ✔ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☒ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Bradley has nothing to disclose.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. **Identifying information.**
2. **The work under consideration for publication.**
   
   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. **Relevant financial activities outside the submitted work.**

   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Emili
2. Surname (Last Name)  Montserrat
3. Date  29-October-2014
4. Are you the corresponding author?  ✔ Yes  ☐ No

5. Manuscript Title
Ofatumumab in Poor-prognosis Chronic Lymphocytic Leukemia: A Phase 4, Non-interventional, Observational Study from the European Research Initiative on CLL (ERIC)

6. Manuscript Identifying Number (if you know it)
HAEMATOL/2014/118158

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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  ✔ No

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Dr. Montserrat has nothing to disclose.

Evaluation and Feedback
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