ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Section 1. Identifying Information

1. Given Name (First Name)  
   Robert

2. Surname (Last Name)  
   Chen

3. Date  
   16-December-2014

4. Are you the corresponding author?  
   Yes ☑ No

5. Manuscript Title  
   A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/117473

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Are there any relevant conflicts of interest?  
   Yes ☑ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>Merck Inc</td>
<td>☑</td>
<td></td>
<td></td>
<td></td>
<td>The trial was funded by Merck</td>
</tr>
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</table>

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
   Yes ☑ No

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<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
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<td>☑</td>
<td>☑</td>
<td></td>
<td></td>
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<td>Millennium Inc.</td>
<td></td>
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<td></td>
<td>Velcade for Mantle Cell</td>
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Chen
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<tr>
<td>Genetech</td>
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<td>✔️</td>
<td></td>
<td></td>
<td>Science Program - MBOC talk</td>
</tr>
</tbody>
</table>

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes ☐ No ✔️

**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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**Section 6. Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Chen reports grants from Merck Inc, during the conduct of the study; grants and personal fees from Seattle Genetics, personal fees from Millennium Inc., personal fees from Genetech, outside the submitted work.

**Evaluation and Feedback**

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**Section 1. Identifying Information**

1. Given Name (First Name)  
   Paul

2. Surname (Last Name)  
   Frankel

3. Date  
   17-December-2014

4. Are you the corresponding author?  
   [ ] Yes  
   ✔ No

   Corresponding Author’s Name  
   Robert Chen

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Dr. Frankel has nothing to disclose.

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Popplewell 1
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<table>
<thead>
<tr>
<th>1. Given Name (First Name)</th>
<th>Leslie</th>
</tr>
</thead>
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<tr>
<td>2. Surname (Last Name)</td>
<td>Popplewell</td>
</tr>
<tr>
<td>3. Date</td>
<td>16-December-2014</td>
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<td>4. Are you the corresponding author?</td>
<td>☑ No</td>
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<td>5. Manuscript Title</td>
<td>A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)</td>
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<td>6. Manuscript Identifying Number (if you know it)</td>
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### Corresponding Author's Name

Robert Chen

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<td>Siddiqi</td>
<td>16-December-2014</td>
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4. Are you the corresponding author? [ ] Yes   [✓] No

Corresponding Author’s Name
Robert Chen

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Siddiqi
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<th>Nora</th>
</tr>
</thead>
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<tr>
<td>2. Surname (Last Name)</td>
<td>Ruel</td>
</tr>
<tr>
<td>3. Date</td>
<td>16-December-2014</td>
</tr>
<tr>
<td>4. Are you the corresponding author?</td>
<td>Yes [x] No</td>
</tr>
<tr>
<td>Corresponding Author’s Name</td>
<td>Robert Chen</td>
</tr>
</tbody>
</table>

5. Manuscript Title
   A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)

6. Manuscript Identifying Number (if you know it)
   HAEMATOL/2014/117473

**Section 2. The Work Under Consideration for Publication**

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? [x] No

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Are there any relevant conflicts of interest? [x] No

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? [x] No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Ruel has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)  
   Arnold

2. Surname (Last Name)  
   Rotter

3. Date  
   19-December-2014

4. Are you the corresponding author?  
   ✔ No

   Corresponding Author’s Name  
   Robert Chen

5. Manuscript Title  
   A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/117473

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Are there any relevant conflicts of interest?  
   ✔ No

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Are there any relevant conflicts of interest?  
   ✔ No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   ✔ No
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Dr. Rotter has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Sandra
2. Surname (Last Name) Thomas
3. Date 16-December-2014
4. Are you the corresponding author? ☐ Yes ☑ No
   Corresponding Author’s Name Robert Chen
5. Manuscript Title
   A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)
6. Manuscript Identifying Number (if you know it)
   HAEMATOL/2014/117473

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Are there any relevant conflicts of interest? ☐ Yes ☑ No

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Dr. Thomas has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Michelle

2. Surname (Last Name)  
Mott

3. Date  
17-December-2014

4. Are you the corresponding author?  
☐ Yes  ☑ No

Corresponding Author’s Name  
Robert Chen

5. Manuscript Title  
A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)

6. Manuscript Identifying Number (if you know it)  
HAEMATOL/2014/117473

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Are there any relevant conflicts of interest?  
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☐ Yes  ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

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Section 6. Disclosure Statement

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Dr. Mott has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information
1. Given Name (First Name)  Nitya
2. Surname (Last Name)     Nathwani
3. Date                     17-December-2014
4. Are you the corresponding author? Yes  No ✔
   Corresponding Author’s Name
   Robert Chen
5. Manuscript Title
   A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)
6. Manuscript Identifying Number (if you know it)
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Dr. Nathwani has nothing to disclose.

Evaluation and Feedback

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<td>Htut</td>
<td>16-December-2014</td>
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4. Are you the corresponding author?  
- [ ] Yes  
- [x] No  

#### Corresponding Author’s Name
Robert Chen

5. Manuscript Title  
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Are there any relevant conflicts of interest?  
- [ ] Yes  
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No Conflict of Interest.

Evaluation and Feedback

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   For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. **Intellectual Property.**

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

**Definitions.**

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- **Pending:** the patent has been filed but not issued
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- **Licensed:** the patent has been licensed to an entity, whether earning royalties or not
- **Royalties:** funds are coming in to you or your institution due to your patent

Nademane
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Auayporn

2. Surname (Last Name)  
   Nademanee

3. Date  
   17-December-2014

4. Are you the corresponding author?  
   Yes  ☑  No

   Corresponding Author’s Name  
   Robert Chen, M.D.

5. Manuscript Title  
   A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)

6. Manuscript Identifying Number (if you know it)  
   HAEMATOL/2014/117473

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  ☑ Yes  ☑ No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

If yes, please fill out the appropriate information below.

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<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
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<th>Comments</th>
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☑ Yes  ☑ No
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Dr. Nademanee reports grants from Seattle Genetic Inc., other from Celgene, grants from Janssen Pharmaceutical, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  Stephen
2. Surname (Last Name)  Forman
3. Date  17-December-2104
4. Are you the corresponding author?  Yes  No
5. Manuscript Title  A Phase II Study of vorinostat and rituximab for treatment of indolent non-Hodgkin lymphoma (newly diagnosed and relapsed/refractory)
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Are there any relevant conflicts of interest?  Yes  No

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Dr. Forman has nothing to disclose.

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**Section 1. Identifying Information**

1. Given Name (First Name)  
Mark

2. Surname (Last Name)  
Kirschbaum

3. Date  
17-December-2014

4. Are you the corresponding author?  
☑ No

Corresponding Author’s Name  
Robert Chen

5. Manuscript Title  
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☑ No

Kirschbaum
ICMJE Form for Disclosure of Potential Conflicts of Interest

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