ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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### Section 1. Identifying Information

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<tr>
<td>Carol</td>
<td>O'Hear</td>
<td>20-October-2014</td>
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4. Are you the corresponding author? [ ] Yes [ ] No

<table>
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<tr>
<td>Terrence Geiger</td>
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5. Manuscript Title
Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia

6. Manuscript Identifying Number (if you know it)
HAEMATOL/2014/112748

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Joshua
2. Surname (Last Name)  Heiber
3. Date  20-October-2014
4. Are you the corresponding author?  Yes  ☑ No

Corresponding Author’s Name  Terrence Geiger

5. Manuscript Title  Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia
6. Manuscript Identifying Number (if you know it)  HAEMATOL/2014/112748

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

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Dr. Heiber has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  Ingo
2. Surname (Last Name)    Schubert
3. Date                 22-October-2014
4. Are you the corresponding author?   ☑ No
5. Manuscript Title
   Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia
6. Manuscript Identifying Number (if you know it)
   HAEMATOL/2014/112748

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Section 1. Identifying Information

1. Given Name (First Name)       Georg
2. Surname (Last Name)           Fey
3. Date                           21-October-2014
4. Are you the corresponding author?   ☑ Yes   ☐ No
   Corresponding Author’s Name
   Terrence L Geiger, MD PhD
5. Manuscript Title
   Anti-CD33 chimeric antigen receptor targeting of acute myeloid leukemia
6. Manuscript Identifying Number (if you know it)
   HAEMATOL/2014/112748

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<td>Protects sequence of CD33-specific scFv antibody fragment used in this study</td>
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The University of Erlangen, Germany, has filed a first patent application (WO 2007/014743 A8) claiming protection for the sequence of the CD33-specific scFv antibody-fragment, upon which the derivative used here is based, and a second application (WO 2011/070109) claiming protection for the use of this fragment in antibody-derived therapeutic agents designed for the treatment of AML. Dr. Fey is a co-inventor on these applications.

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1. Given Name (First Name) Terrence
2. Surname (Last Name) Geiger
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