ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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1. **Identifying information.**

2. **The work under consideration for publication.**

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4. **Intellectual Property.**

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Fabio

2. Surname (Last Name)  
   Da Roit

3. Date  
   14-July-2014

4. Are you the corresponding author?  
   ☑ No

   Corresponding Author’s Name  
   Golay Josee

5. Manuscript Title  
   Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies

6. Manuscript Identifying Number (if you know it)  
   Haematol/2014/107011

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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  

Are there any relevant conflicts of interest?  
   ☑ No

**Section 3. Relevant financial activities outside the submitted work.**

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Dr. Da Roit has nothing to disclose.

Evaluation and Feedback

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Da Roit
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Section 1. Identifying Information

1. Given Name (First Name)  
   Patrick

2. Surname (Last Name)  
   Engelberts

3. Date  
   14-July-2014

4. Are you the corresponding author?  
   Yes ☑

Corresponding Author’s Name  
   Golay Josee

5. Manuscript Title  
   Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies

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Are there any relevant conflicts of interest?  
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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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Dr. Engelberts is a Genmab employee. Genmab has licensed ofatumumab to GSK. Ofatumumab is marketed as Arzerra. In 2014, Arzerra was acquired by Novartis for use in oncology indications.

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Section 1. Identifying Information

1. Given Name (First Name) Ronald
2. Surname (Last Name) Taylor
3. Date 14-July-2104
4. Are you the corresponding author? ☐ Yes ✔ No
5. Manuscript Title
Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies
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If yes, please fill out the appropriate information below.

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<tr>
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Dr. Taylor reports grants from Genmab, outside the submitted work.

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Section 1. Identifying Information

1. Given Name (First Name)  Esther
2. Surname (Last Name)  Breij
3. Date  14-July-2014
4. Are you the corresponding author?  Yes ✔  No

5. Manuscript Title
   Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies

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Section 1. Identifying Information

1. Given Name (First Name) Giuseppe
2. Surname (Last Name) Gritti
3. Date 14-July-2014
4. Are you the corresponding author? ☑ No
5. Manuscript Title Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies
6. Manuscript Identifying Number (if you know it) Haematol/2014/107011

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Pending: The patent has been filed but not issued

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Alessandro

2. Surname (Last Name)  
   Rambaldi

3. Date  
   14-July-2014

4. Are you the corresponding author?  
   ✔ Yes  
   No

   Corresponding Author’s Name  
   Golay Josee

5. Manuscript Title  
   Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies

6. Manuscript Identifying Number (if you know it)  
   Haematol/2014/107011

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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<tr>
<td>Roche</td>
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<td>✔️</td>
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<td>Honoraria for consultancy. Roche developed CD20 antibody Rituximab</td>
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   No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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Dr. Rambaldi reports personal fees from Roche, during the conduct of the study.

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### Section 1. Identifying Information

1. Given Name (First Name)  
Martino

2. Surname (Last Name)  
Introna

3. Date  
14-July-2014

4. Are you the corresponding author?  
☑ No

5. Manuscript Title  
Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies

6. Manuscript Identifying Number (if you know it)  
Haematol/2014/107011

### Section 2. The Work Under Consideration for Publication

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Dr. Introna has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Paul

2. Surname (Last Name)  
   Parren

3. Date  
   15-July-2014

4. Are you the corresponding author?  
   ✔ Yes  
   No

   Corresponding Author’s Name  
   Golay Josee

5. Manuscript Title  
   Ibrutinib interferes with the cell-mediated anti-tumour activities of therapeutic CD20 antibodies

6. Manuscript Identifying Number (if you know it)  
   Haematol/2014/107011

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Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

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<td>Genmab</td>
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<td>Employee</td>
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<td>Ofatumumab</td>
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<td>GSK</td>
<td>Dr. Parren is a named inventor on patents and patent applications owned by Genmab relating to ofatumumab. Ofatumumab is licensed and developed by GSK.</td>
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Dr. Parren is a Genmab employee. Genmab has licensed ofatumumab to GSK. Ofatumumab is marketed as Arzerra. In 2014, Arzerra was acquired by Novartis for use in oncology indications.

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Dr. Parren reports other from Genmab, during the conduct of the study; in addition, Dr. Parren has a patent Ofatumumab licensed to GSK and Dr. Parren is a Genmab employee. Genmab has licensed ofatumumab to GSK. Ofatumumab is marketed as Arzerra. In 2014, Arzerra was acquired by Novartis for use in oncology indications.
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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)  
   Frank  

2. Surname (Last Name)  
   Beurskens  

3. Date  
   14-July-2014  

4. Are you the corresponding author?  
   ✔ Yes  
   ✔ No  

   Corresponding Author’s Name  
   Golay Josee  

5. Manuscript Title  
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Are there any relevant conflicts of interest?  
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Section 1. Identifying Information

1. Given Name (First Name)  Josee
2. Surname (Last Name)  Golay
3. Date  14-July-2014
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</tr>
</thead>
<tbody>
<tr>
<td>Genmab, Utrecht, The Netherlands</td>
<td>✔</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Genmab has developed Ofatumumab CD20 antibody</td>
</tr>
<tr>
<td>Roche Glycart, Schlieren, Switzerland</td>
<td>✔</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Roche Glycart has developed Obinutuzumab CD20 antibody</td>
</tr>
</tbody>
</table>

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  ☐ Yes  ✔ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☐ Yes  ✔ No
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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☒ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Golay reports grants from Genmab, Utrecht, The Netherlands, grants from Roche Glycart, Schlieren, Switzerland, during the conduct of the study.

Evaluation and Feedback

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