

Prognostic impact of white blood cell count in intermediate risk acute myeloid leukemia: relevance of mutated *NPM1* and *FLT3-ITD*

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ABSTRACT

Background

High white blood cell count at presentation is an unfavorable prognostic factor for treatment outcome in intermediate cytogenetic risk acute myeloid leukemia. Since the impact of white blood cell count on outcome of subgroups defined by the molecular markers *NPMc*⁺ and *FLT3*-internal tandem duplication (ITD) is unknown, we addressed this issue.

Design and Methods

We studied the effect of white blood cell count on outcome in a clinically and molecularly well-defined cohort of 525 patients with acute myeloid leukemia using these molecular markers. In addition, since an increased white blood cell count has been associated with an increased *FLT3*-ITD/*FLT3* (wild-type) ratio, we investigated whether the effect of white blood cell count on outcome could be explained by the *FLT3*-ITD/*FLT3* ratio.

Results

This analysis revealed that white blood cell count had no impact on outcome in patients with the genotypic combinations '*NPMc*⁺ without *FLT3*-ITD' and '*NPM1* wild-type with or without *FLT3*-ITD'. In contrast, white blood cell count had a significant impact on complete remission rate ($P=0.034$), event-free survival ($P=0.009$) and overall survival ($P<0.001$) in patients with the genotypic combination '*NPMc*⁺ with *FLT3*-ITD'. A *FLT3*-ITD/*FLT3* ratio greater than 1 was also associated with a reduced complete remission rate ($P=0.066$) and significantly reduced event-free survival ($P=0.001$) and overall survival ($P=0.001$) in patients with the genotypic combination '*NPMc*⁺ with *FLT3*-ITD'. Multivariable analysis revealed that white blood cell count and *FLT3*-ITD/*FLT3* ratio were independent prognostic indicators for outcome in the subgroup with the genotypic combination '*NPMc*⁺ with *FLT3*-ITD'.

Conclusions

Our results demonstrate that both high white blood cell count and *FLT3*-ITD/*FLT3* ratio are prognostic factors in patients with acute myeloid leukemia with the genotypic combination '*NPMc*⁺ with *FLT3*-ITD'.

Key words: acute myeloid leukemia, prognosis, white blood cell count, *NPM1*, *FLT3*-ITD.

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The online version of this article has a Supplementary Appendix.

Introduction

Several prognostic factors related to patients' and disease characteristics have been described for acute myeloid leukemia (AML).^{1,2} The karyotype at diagnosis is a powerful prognostic factor for treatment outcome in patients with AML.³⁻⁷ Various recurrent somatically acquired molecular abnormalities have been identified during the last years.⁸ Of these molecular abnormalities, mutations in nucleophosmin (*NPM1*) and internal tandem duplications of the *fms*-related tyrosine kinase 3 gene (*FLT3*-ITD) have strong prognostic impact.

Mutations in *NPM1* are the most frequently observed molecular abnormalities, present in about 50% of AML cases, and are associated with a favorable outcome.⁹⁻¹³ AML with mutated *NPM1* (*NPMc*⁺) shows distinctive biological and clinical features, and is, therefore, a provisional entity in the World Health Organization (WHO) 2008 classification of leukemias. *FLT3*-ITD is another frequent molecular abnormality and can be observed in about 20-25% of patients with AML.¹⁴⁻¹⁸ Clinically, AML patients harboring *FLT3*-ITD frequently have high white blood cell (WBC) counts at presentation.¹⁴⁻¹⁸ The presence of *FLT3*-ITD is generally considered as an unfavorable prognostic factor.¹⁴⁻¹⁹ In particular, those cases with a *FLT3*-ITD mutation/*FLT3* wild-type ratio (hereafter referred to as the *FLT3*-ITD/*FLT3* ratio) above the median value have a dismal prognosis.¹⁷⁻¹⁹ A high *FLT3*-ITD/*FLT3* ratio points to the absence of the *FLT3* wild-type allele.

Mutations in *NPM1* and *FLT3*-ITD are frequently associated. Approximately 40% of patients with *NPM1* mutations also carry *FLT3*-ITD.⁹ Various studies have shown that the genotypic combination '*NPMc*⁺ without *FLT3*-ITD' represents a subgroup with favorable prognosis.⁹⁻¹³ Nevertheless, the beneficial impact of *NPMc*⁺ on prognosis was seen in patients with as well as those without *FLT3*-ITD and it appeared that both mutations in *NPM1* and *FLT3*-ITD were significant independent predictors of outcome.¹⁹

Besides cytogenetic and molecular abnormalities, classically, a high WBC count at presentation is considered to be an independent prognostic factor for poor outcome in both adults and children with AML.^{1,20-23} The effect of WBC count at diagnosis is most apparent in AML with favorable cytogenetic risk abnormalities, such as t(8;21) and t(15;17).²⁴⁻²⁵ However, the prognostic effect of WBC count is also present in AML patients with intermediate cytogenetic risk abnormalities.²⁰⁻²³ Multivariable analysis has shown that both WBC count and the genotypic subgroup *NPMc*⁺ without *FLT3*-ITD are independent predictors of outcome.¹¹ Nevertheless, the effect of WBC count at diagnosis on outcome of patients within the four subgroups defined by the molecular markers *NPMc*⁺ and *FLT3*-ITD (within the intermediate cytogenetic risk group) is unknown.

The aim of the present survey was to investigate the prognostic impact of WBC count at diagnosis on outcome within AML subgroups defined by *NPMc*⁺ and *FLT3*-ITD or the *FLT3*-ITD/*FLT3* ratio. Therefore, within a clinically and molecularly well characterized cohort of 525 patients with *de novo* AML, we compared treatment outcome among patients divided into three groups on the basis of their WBC counts: less than 20×10⁹/L, 20 to 100×10⁹/L, and above 100×10⁹/L.

Design and Methods

Patients

The study cohort consisted of 525 consecutive adult patients with AML who were treated according to the sequential HOVON/SAKK AML-04, -04A, -29, -32, -42, -43 protocols (available at <http://www.hovon.nl>) and for whom molecular data on *NPMc*⁺ and *FLT3*-ITD status were available.²⁶⁻²⁹ All patients in this study were newly diagnosed with AML and the diagnosis was established according to WHO criteria. Cell specimens were collected at the time of diagnosis. All patients provided written informed consent in accordance with the Declaration of Helsinki, and the study was approved by all participating institutional review boards. Patients were divided into cytogenetic risk groups (favorable, intermediate, or unfavorable) in accordance with HOVON/SAKK criteria (Table 1). Cytogenetic risk was defined as favorable in patients with t(8;21)(q22;q22), inv(16)(p13.1;q22), or t(16;16)(p13.1;q22) and t(15;17), and unfavorable in patients with complex cytogenetic abnormalities (i.e. three or more distinct clonal abnormalities), -7, -5, del 5q or del 7q, abnormalities of the long arm of chromosome 3 (abn 3q), t(6;9)(q23;q34), t(9;22)(q34;q11), or abnormalities of the long arm of chromosome 11 (abn 11q23). All other cytogenetic abnormalities and AML without cytogenetic abnormalities were considered to indicate an intermediate cytogenetic risk. The median overall survival of the whole cohort was 16.3 months, and the median follow-up of survivors was 61.4 months.

Guided by thresholds of WBC counts which are often clinically used for risk stratification, the 525 patients were divided into three groups: those with a WBC count below 20×10⁹/L (n=221), those with a WBC count between 20 and 100×10⁹/L (n=205) and those with a WBC above 100×10⁹/L (n=99). In the current HOVON/SAKK AML study (HOVON102) the following classifications are also used; patients with t(8;21) and WBC greater than 20×10⁹/L are considered at intermediate risk (instead of favorable risk) and patients with a normal karyotype (or only loss of sex chromosomes) and a WBC greater than 100×10⁹/L are considered at unfavorable risk (instead of intermediate risk).

Within our cohort 82 patients had the molecular combination *NPMc*⁺ with *FLT3*-ITD. Six patients of this subgroup had unclassified cytogenetics, and one patient had 5(q)-7(q) cytogenetics. Therefore, 75 patients with both confirmed intermediate-risk cytogenetics and the genotypic markers *NPMc*⁺ with *FLT3*-ITD were studied.

FLT3-ITD/*FLT3*-wildtype ratio

Amplification of the *FLT3*-ITD mutations was performed using primers 11F and 11R, as described by Nakao *et al.*³⁰ Ratios were determined after agarose gel electrophoresis of the quantitative polymerase chain reaction products.

Statistical analyses

Statistical analyses were performed with SPSS software, release 16.0. Actuarial probabilities of overall survival (with death due to any cause) as well as event-free survival (with failure in case of no complete remission or relapse or death) were estimated according to the Kaplan–Meier method. For quantitative parameters overall differences between the cohorts were evaluated using an F-test (or Student's-t test in the case of two groups) for normally distributed variables or a Kruskal-Wallis test (or Mann-Whitney-U test in the case of two groups) for variables with a skewed distribution. For qualitative parameters, overall group differences were evaluated using a χ^2 test. Cox regression analysis was applied to determine the association of WBC and overall and event-free survivals with

adjustment for possible confounding factors such as age at diagnosis, cytogenetic risk profile (i.e. favorable, intermediate or unfavorable), *FLT3*-ITD, *NPMc*⁺ and the transcription factor *CCAAT/enhancer binding protein α* (*CEBPA*). All tests were two-tailed, and a *P* value of less than 0.05 was considered statistically significant.

Results

Patients

The clinicopathological, demographic, and molecular data of the 525 patients with AML in the study cohort are presented in Table 1. Guided by thresholds of WBC counts which are often clinically used for risk stratification, the 525 patients were divided into three groups: those with a WBC count below $20 \times 10^9/L$ ($n=221$), those with a WBC count between 20 and $100 \times 10^9/L$ ($n=205$) and those with a WBC above $100 \times 10^9/L$ ($n=99$). The frequency of patients with a WBC count greater than $100 \times 10^9/L$

(often designated as hyperleukocytosis) appeared to be higher in the group of AML patients with intermediate cytogenetic risk abnormalities (21%) than in the group with unfavorable cytogenetic risk abnormalities (9%). Within this study cohort, 49 of 143 (34%) patients with *FLT3*-ITD and 45 of 159 (28%) patients with *NPMc*⁺ presented with hyperleukocytosis (WBC $>100 \times 10^9/L$) at diagnosis.

Impact of white blood cell count on complete remission rate, event-free survival and overall survival

When considering all 525 patients, hyperleukocytosis was significantly associated with a lower complete remission rate (67% versus 84%; $P<0.001$), shorter event-free survival (median 6.8 months versus 11.6 months; $P=0.001$) and shorter overall survival (median 8.9 months versus 17.2 months; $P=0.002$) (*Online Supplementary Figure S1A,B*). Furthermore, within the subgroup of patients with cytogenetically intermediate-risk AML, hyperleukocytosis was

Table 1. Patients' characteristics.

| Characteristics | All patients | WBC $<20 \times 10^9/L$ | WBC $20-100 \times 10^9/L$ | WBC $>100 \times 10^9/L$ |
|---|--------------|-------------------------|----------------------------|--------------------------|
| N. of patients | 525 | 221 | 205 | 99 |
| Median age, years (range) | 47 (15-77) | 46 (16-76) | 48 (15-77) | 46 (16-74) |
| Median white blood cell count $\times 10^9/L$ (range) | 26 (0.3-510) | 5.3 (0.3-19.7) | 43 (20-99.5) | 135 (101.6-510) |
| Median blast percentage (range) | 65 (1-99) | 58 (1-99) | 67 (2-99) | 79 (3-97) |
| Median platelet count $\times 10^9/L$ (range) | 56 (3-998) | 62 (3-998) | 54 (5-931) | 51 (13-687) |
| Cytogenetics | | | | |
| <i>Favorable</i> | 89 (17%) | 37 (17%) | 38 (19%) | 14 (14%) |
| t(8;21) | 34 | 22 | 11 | 1 |
| t(15;17) | 20 | 11 | 8 | 1 |
| inv16 | 35 | 4 | 19 | 12 |
| <i>Intermediate</i> | 331 (63%) | 129 (58%) | 133 (65%) | 69 (70%) |
| normal karyotype | 218 | 74 | 91 | 53 |
| + 8 | 25 | 13 | 10 | 2 |
| -9q | 7 | 3 | 4 | 0 |
| other | 81 | 39 | 28 | 14 |
| <i>Unfavorable</i> | 85 (16%) | 50 (23%) | 27 (13%) | 8 (8%) |
| 11q23 | 11 | 3 | 5 | 3 |
| complex | 20 | 13 | 5 | 2 |
| -5(q)-7(q) | 42 | 29 | 10 | 3 |
| other | 12 | 5 | 7 | 0 |
| <i>Not available</i> | 20 (4%) | 5 (2%) | 7 (3%) | 8 (8%) |
| Molecular genotype | | | | |
| <i>NPMc</i> ⁺ without <i>FLT3</i> -ITD | 77 (15%) | 25 (11%) | 38 (18%) | 14 (14%) |
| <i>NPMc</i> ⁺ with <i>FLT3</i> -ITD | 82 (15%) | 17 (8%) | 34 (17%) | 31 (31%) |
| <i>NPM1</i> wild type without <i>FLT3</i> -ITD | 305 (58%) | 164 (74%) | 105 (51%) | 36 (37%) |
| <i>NPM1</i> wild type with <i>FLT3</i> -ITD | 61 (12%) | 15 (7%) | 28 (14%) | 18 (18%) |
| <i>CEBPA</i> wild type versus mutant [§] | 486/38 | 203/17 | 190/15 | 93/6 |
| Allogeneic stem cell transplantation | 140# | 64 | 57 | 19 |
| Autologous stem cell transplantation | 68 | 22 | 33 | 13 |
| Cycles to complete remission, n (%) | | | | |
| 1 | 297 (57%) | 126 (57%) | 124 (61%) | 47 (48%) |
| 2 | 111 (21%) | 52 (24%) | 44 (21%) | 15 (15%) |
| 3 | 8 (2%) | 3 (1%) | 2 (1%) | 3 (3%) |
| >3 | 5 (1%) | 0 (0%) | 4 (2%) | 1 (1%) |
| no complete remission | 104 (20%) | 40 (18%) | 31 (15%) | 33 (33%) |
| Relapse, n (%) | 202 (39%) | 81 (40%) | 84 (41%) | 37 (37%) |
| Death, n (%) | 316 (60%) | 124 (56%) | 123 (60%) | 69 (70%) |

NPM1: nucleophosmin 1; *FLT3*: *fms*-related tyrosine kinase 3; *ITD*: internal tandem duplication; *NPMc*⁺, mutated nucleophosmin 1; *CEBPA*, *CCAAT/enhancer binding protein α*; Cytogenetic risk group classification (favorable, intermediate, and unfavorable) is described in the Design and Methods section. [§]*CEBPA* status is unknown for one patient. # indicates 12/140 patients who underwent allogeneic stem cell transplantation after non-meloablative conditioning.

significantly associated with a lower complete remission rate ($P < 0.001$), shorter event-free survival (median 7.3 months *versus* 13.2 months; $P = 0.009$) and shorter overall survival (median 9.2 months *versus* 19.1 months; $P = 0.001$) (Online Supplementary Figure S1C,D). As expected, in the subgroup of patients with favorable cytogenetic risk, a WBC count below $20 \times 10^9/L$ appeared to be significantly associated with a higher complete remission rate ($P = 0.024$), improved event-free survival (median 77.2 months *versus* 9.7 months) and improved overall survival (median 85.5 months *versus* 28.9 months; $P = 0.001$) (Online Supplementary Figure S1E,F). Finally, in the subgroup of patients with cytogenetically unfavorable risk AML, WBC count did not affect the complete remission rate ($P = 0.593$), event-free survival ($P = 0.717$) or overall survival ($P = 0.672$) (Online Supplementary Figure S1G,H).

Prognostic value of white blood cell count in the context of additional known risk factors for the whole group of patients with acute myeloid leukemia

The impact of hyperleukocytosis on event-free and overall survival in all 525 patients with AML was confirmed in univariate analysis. Age at diagnosis, *NPMc*⁺, *FLT3-ITD*, mutated *CEBPA* and cytogenetic risk group (*i.e.* unfavorable, intermediate and favorable risk) also correlated with event-free and overall survival (*data not shown*). When we subsequently considered these variables in a multivariable analysis, hyperleukocytosis maintained its independent prognostic value for both event-free survival (HR: 1.56, 95% CI: 1.16-2.11; $P = 0.004$) and overall survival (HR: 1.67, 95% CI: 1.21-2.30; $P = 0.002$) (Table 2).

Prognostic value of white blood cell count in the context of the molecular markers *NPMc*⁺ and *FLT3-ITD* within the intermediate cytogenetic risk group

The impact of WBC count on complete remission rate, event-free survival and overall survival was evident in the intermediate cytogenetic risk group, which contained 63% of the patients of this study cohort. Multivariate analysis established that hyperleukocytosis, *NPMc*⁺ and *FLT3-ITD* were independent predictors for event-free survival as well as overall survival (WBC count $> 100 \times 10^9/L$: HR: 1.39, 95% CI: 1.01-1.92, $P = 0.042$ for event-free survival; HR: 1.59, 95% CI: 1.14-2.21, $P = 0.006$ for overall survival; *FLT3-ITD*: HR: 1.58, 95% CI: 1.18-2.13, $P = 0.002$ for event-free survival; HR: 1.80, 95% CI: 1.32-2.45, $P < 0.001$ for overall survival; *NPMc*⁺: HR: 0.61, 95% CI: 0.46-0.82, $P = 0.001$ for event-free survival; HR: 0.59, 95% CI: 0.43-0.80, $P = 0.001$ for overall survival). Furthermore, there was a significant positive interaction between *NPMc*⁺/*FLT3-ITD* and WBC in the multivariable model both for event-free and overall survival ($P = 0.018$ and $P = 0.002$, respectively).

Since we aimed to investigate the impact of WBC count on outcome within the four subgroups defined by the molecular markers *NPMc*⁺ and *FLT3-ITD* (within the intermediate cytogenetic risk group), we subsequently focused on these subgroups. In the most favorable genotypic subgroup, '*NPMc*⁺ without *FLT3-ITD*', WBC count did not significantly affect complete remission rate ($P = 0.451$), event-free survival ($P = 0.932$) or overall survival ($P = 0.400$) (Figure 1A,B). Furthermore, also when WBC count was analyzed as a continuous variable, no significant association was found between WBC count and event-free and overall survival in the subgroup '*NPMc*⁺ without *FLT3-*

Table 2. Multivariable analysis of WBC count as a prognostic marker for event-free survival and overall survival in all 525 patients.

| Variable | Event-free survival HR (95% CI) | P | Overall survival HR (95% CI) | P |
|---|------------------------------------|--------|---------------------------------|--------|
| WBC $20-100 \times 10^9/L$ [‡] | 1.09 (0.85-1.39) | 0.493 | 1.17 (0.90-1.53) | 0.233 |
| WBC $> 100 \times 10^9/L$ [‡] | 1.56 (1.16-2.11) | 0.004 | 1.67 (1.21-2.30) | 0.002 |
| Intermediate* | 1.73 (1.22-2.46) | 0.002 | 2.18 (1.46-3.26) | <0.001 |
| Poor* | 3.26 (2.22-4.78) | <0.001 | 4.06 (2.64-6.25) | <0.001 |
| Age, decades | 1.08 (1.00-1.17) | 0.070 | 1.14 (1.05-1.24) | 0.003 |
| <i>FLT3-ITD</i> [‡] | 1.55 (1.19-2.01) | 0.001 | 1.72 (1.31-2.27) | <0.001 |
| <i>NPMc</i> [‡] | 0.61 (0.46-0.80) | <0.001 | 0.58 (0.43-0.77) | <0.001 |
| <i>CEBPA</i> mutation [‡] | 0.57 (0.36-0.89) | 0.015 | 0.50 (0.30-0.83) | 0.007 |

HR: hazard ratio; CI: confidence interval; intermediate refers to intermediate cytogenetic risk and poor refers to poor cytogenetic risk as defined in the Design and Methods section; WBC: white blood cell count; *FLT3*: *fms*-related tyrosine kinase 3; *ITD*: internal tandem duplication; *NPMc*⁺, mutated nucleophosmin 1; *CEBPA*, CCAAT/enhancer binding protein α . [‡]WBC $20-100 \times 10^9/L$ versus WBC $< 20 \times 10^9/L$. [‡]WBC $> 100 \times 10^9/L$ versus WBC $< 20 \times 10^9/L$. *Cytogenetic risk versus favorable cytogenetic risk. [‡]*FLT3-ITD* versus no *FLT3-ITD*. [‡]*NPMc*⁺ versus no *NPMc*⁺. [‡]*CEBPA* mutation versus no *CEBPA* mutation.

Table 3. WBC count and *FLT3-ITD/FLT3* ratio in the 75 patients with the genotypic combination '*NPMc*⁺ with *FLT3-ITD*'

| White blood cell count | <i>FLT3</i> ITD / <i>FLT3</i> ratio (n.) | | |
|------------------------|--|----|----|
| | <1 | 1 | >1 |
| < $20 \times 10^9/L$ | 4 | 3 | 9 |
| $20-100 \times 10^9/L$ | 4 | 13 | 16 |
| $> 100 \times 10^9/L$ | 1 | 12 | 13 |

B

| Variable | Event-free survival HR (95% CI) | P | Overall survival HR (95% CI) | P |
|--|------------------------------------|--------|---------------------------------|--------|
| WBC $> 100 \times 10^9/L$ [‡] | 2.29 (1.30-4.03) | 0.004 | 3.30 (1.83-5.97) | <0.001 |
| <i>FLT3-ITD/FLT3</i> [*] | 2.84 (1.59-5.08) | <0.001 | 3.19 (1.73-5.89) | <0.001 |
| Age, decades | 1.13 (1.05-1.65) | 0.017 | 1.35 (1.07-1.70) | 0.011 |

(A) The number of patients for the three WBC groups with regards to *FLT3-ITD/FLT3* ratio. *FLT3*: *fms*-related tyrosine kinase 3; *ITD*: internal tandem duplication. (B) HR hazard ratio; CI, confidence interval, [‡]WBC $> 100 \times 10^9/L$ versus WBC $< 100 \times 10^9/L$, ^{*}*FLT3-ITD/FLT3* ratio > 1 versus *FLT3-ITD/FLT3* ratio ≤ 1 .

ITD' (*data not shown*). Interestingly, however, in the subgroup with the genotypic combination '*NPMc*⁺ with *FLT3-ITD*' WBC count did have a significant impact on complete remission rate ($P = 0.034$), event-free survival ($P = 0.009$) and overall survival ($P < 0.001$) (Figure 1C,D). Within this particular subgroup, it appeared that patients with a WBC count less than $20 \times 10^9/L$ or between $20-100 \times 10^9/L$ had a relatively favorable prognosis with a median overall survival of 21 and 15 months, respectively, and estimated 5-year overall survival rates of 50% and 51%, respectively. In contrast, patients with a WBC greater than $100 \times 10^9/L$ evidently had a poor prognosis with a median overall survival of 7 months and an estimated 5-year overall survival rate of 9%. So, based on WBC count (*i.e.* < 100 versus $> 100 \times 10^9/L$) patients with the genotypic combination '*NPMc*⁺ with *FLT3-ITD*' could be divided into two groups, one with a relatively good prognosis, the other with a very poor prognosis. These results were underscored by univariate Cox regression analyses using WBC count as a continuous variable for event-free survival (HR: 1.006; 95% CI: 1.002-1.010, $P = 0.001$) and for overall survival (HR: 1.009; 95% CI: 1.005-1.013,

$P < 0.001$).

In the two other subgroups 'NPM1 wild-type with FLT3-ITD' and 'NPM1 wild-type without FLT3-ITD', WBC count had no evident impact on complete remission rate, event-free survival or overall survival (Figure 1E-H). In addition, when, analyzing WBC count as a continuous variable, no association between WBC count and event-free survival or overall survival was found in the subgroups with the genotypes 'NPM1 wild-type with FLT3-ITD' and 'NPM1 wild-type without FLT3-ITD' (data not shown). So, when considering the impact of WBC count within the four subgroups defined by the molecular markers NPM1⁺ with FLT3-ITD, WBC count only had an impact on outcome in patients with the genotypic combination 'NPM1⁺ with FLT3-ITD'. Of note, within the subgroup of patients with the genotypic combination 'NPM1⁺ with FLT3-ITD' no difference in age distribution was found

between patients with a WBC count below $20 \times 10^9/L$ and between $20-100 \times 10^9/L$ versus greater than $100 \times 10^9/L$ ($P = 0.412$): median age in years (range); 46 (24-68), 51 (18-77) and 50 (19-68), respectively. CEBPA was not taken into account since only two cases had mutated CEBPA within the subgroup of patients with the genotypic combination 'NPM1⁺ with FLT3-ITD'.

Prognostic value of FLT3-ITD/FLT3-wildtype ratio among patients with acute myeloid leukemia with the genotypic combination 'NPM1⁺ with FLT3-ITD'

It has been shown that the amount of FLT3 signaling is associated with WBC count.¹⁷⁻¹⁹ Patients who have lost both FLT3 alleles have higher WBC counts than patients with both wild-type FLT3 and FLT3-ITD alleles.¹⁷⁻¹⁹ We, therefore, wondered whether the gene dosage of wild-type FLT3 was different in patients with the genotypic

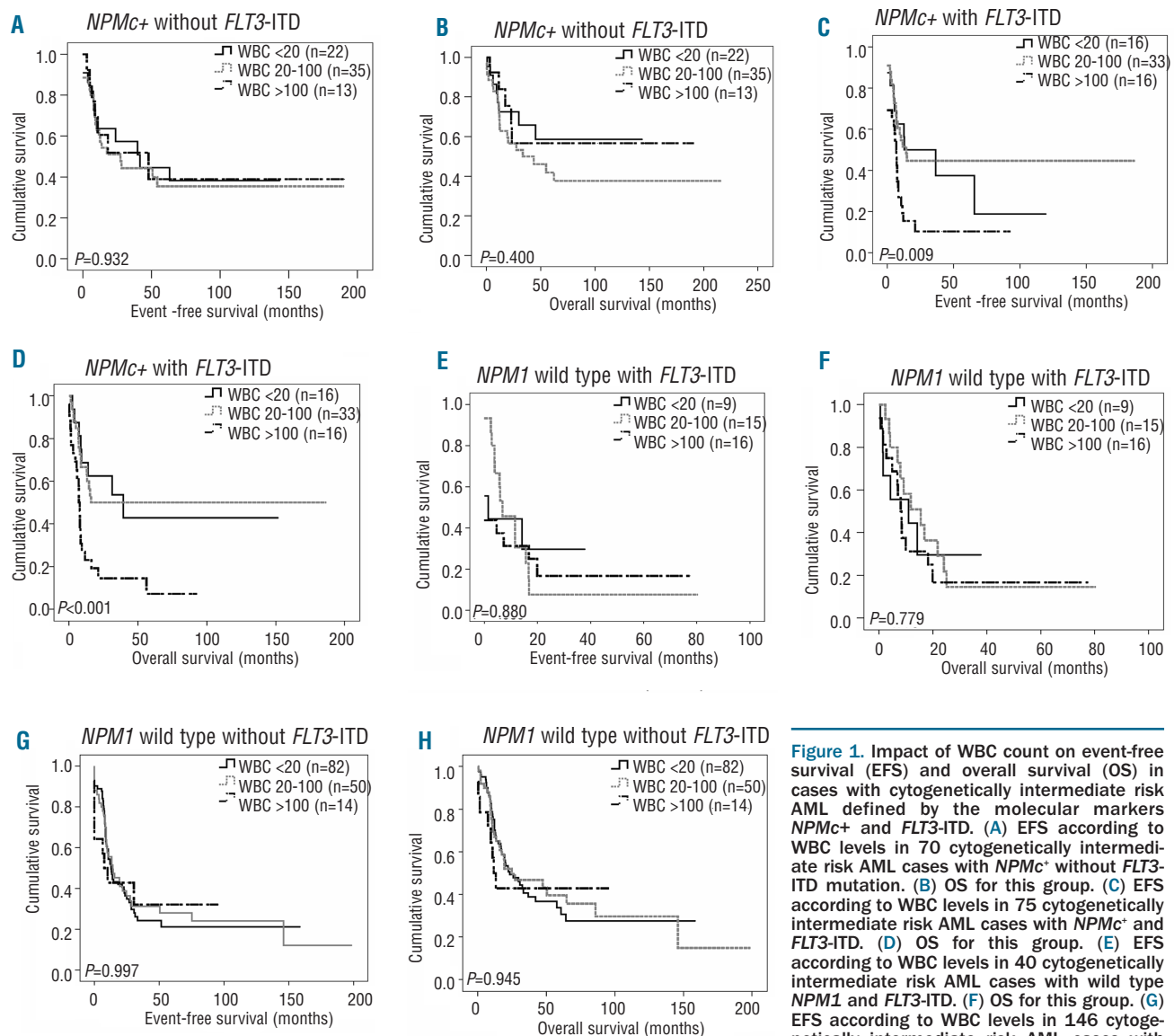


Figure 1. Impact of WBC count on event-free survival (EFS) and overall survival (OS) in cases with cytogenetically intermediate risk AML defined by the molecular markers NPM1⁺ and FLT3-ITD. (A) EFS according to WBC levels in 70 cytogenetically intermediate risk AML cases with NPM1⁺ without FLT3-ITD mutation. (B) OS for this group. (C) EFS according to WBC levels in 75 cytogenetically intermediate risk AML cases with NPM1⁺ and FLT3-ITD. (D) OS for this group. (E) EFS according to WBC levels in 40 cytogenetically intermediate risk AML cases with wild-type NPM1 and FLT3-ITD. (F) OS for this group. (G) EFS according to WBC levels in 146 cytogenetically intermediate risk AML cases with wild-type NPM1 without FLT3-ITD. (H) OS for this group. The P value is given for the overall comparison across all three groups.

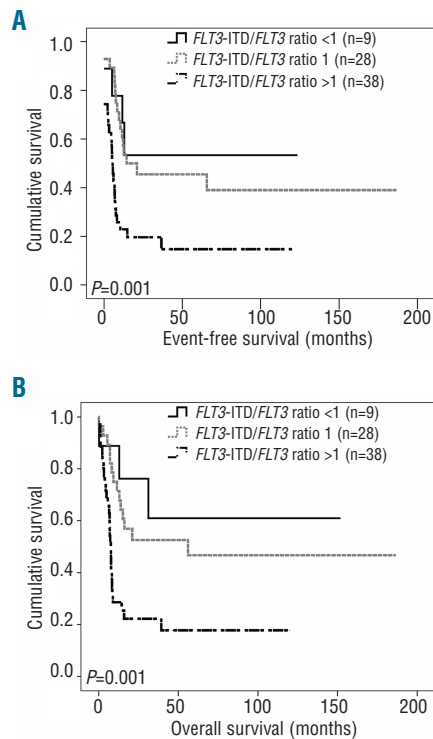


Figure 2. Impact of *FLT3*-ITD/*FLT3* ratio on event-free survival (EFS) and overall survival (OS) in cases with cytogenetically intermediate risk AML with the genotypic combination 'NPMc⁺ with *FLT3*-ITD'. (A) EFS and (B) OS according to *FLT3*-ITD/*FLT3* ratio within 75 cytogenetically intermediate cytogenetic risk AML cases with the genotypic combination NPMc⁺ with *FLT3*-ITD. Ratio <1: n=9; ratio 1: n=28; ratio >1: n = 38. The *P* value is given for the overall comparison across all three groups.

combination 'NPMc⁺ with *FLT3*-ITD' depending on whether they had a high or low WBC count. To address this question, we investigated the impact of the *FLT3*-ITD/*FLT3* ratio on clinical outcomes within this particular subgroup of patients. The *FLT3*-ITD/*FLT3* ratio was known for all 75 patients and was categorized as less than 1, 1, or more than 1. Interestingly, the *FLT3*-ITD/*FLT3* ratio was significantly associated with event-free survival ($P=0.001$) and overall survival ($P=0.001$) within this subgroup of patients (Figure 2). In detail, patients with an *FLT3*-ITD/*FLT3* ratio greater than 1 had a significantly shorter event-free survival (median 5.6 months *versus* 15.2 and 13.0 months for patients with a ratio of 1 and <1, respectively) as well as a significantly shorter overall survival (median 7.7 months *versus* 16.5 and 31.3 months for patients with a ratio of 1 and <1, respectively). Thus, it appears that particularly patients with the genotypic combination 'NPMc⁺ with *FLT3*-ITD' and an *FLT3*-ITD/*FLT3* ratio greater than 1 had a poor outcome.

So far, our data indicate that the group of patients with intermediate cytogenetic risk AML with the genotypic combination 'NPMc⁺ with *FLT3*-ITD' can be further dissected, with the subgroup of patients with hyperleukocytosis or *FLT3* ITD/*FLT3* ratio greater than 1 having an unfavorable prognosis. However, interestingly, not all patients with hyperleukocytosis had an *FLT3*-ITD/*FLT3* ratio greater than 1 and vice versa (Table 3A). To investigate whether a *FLT3*-ITD/*FLT3* ratio greater than 1 and

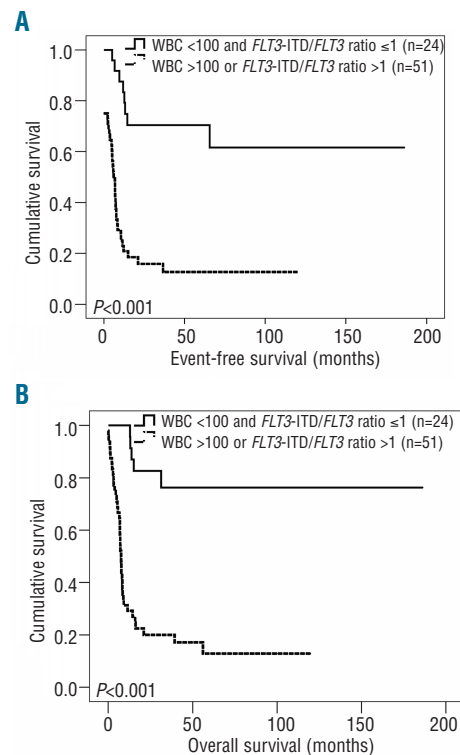


Figure 3. Combined effect of WBC count and *FLT3*-ITD/*FLT3* ratio on event-free survival (EFS) and overall survival (OS) in cases with cytogenetically intermediate risk AML with the genotypic combination 'NPMc⁺ with *FLT3*-ITD'. (A) EFS in patients with WBC count <100×10⁹/L and *FLT3*-ITD/*FLT3* ratio ≤1 (n=24) compared to those with WBC count >100×10⁹/L or *FLT3*-ITD/*FLT3* ratio >1 (n=51) (B) OS in these cases.

hyperleukocytosis are indeed independent prognostic factors for patients with AML with the genotypic combination 'NPMc⁺ with *FLT3*-ITD', a multivariable analysis was performed. This analysis established that *FLT3*-ITD/*FLT3* ratio greater than 1, WBC count greater than 100×10⁹/L and age are independent prognostic factors. In detail, with regards to *FLT3*-ITD/*FLT3* ratio greater than 1, the hazard ratio for event-free survival was 2.84 ($P<0.001$) while that for overall survival was 3.19 ($P<0.001$); for hyperleukocytosis the hazard ratio for event-free survival was 2.29 ($P=0.004$) and for overall survival 3.30 ($P<0.001$), and finally, for age (in decades), the hazard ratio for event-free survival was 2.13 ($P=0.017$) and that for overall survival was 1.35 ($P=0.011$) (Table 3B).

These data prompted us to propose a model in which patients with intermediate cytogenetic risk AML with the genotypic combination 'NPMc⁺ with *FLT3*-ITD' were considered to have an unfavorable prognosis if they had a WBC count greater than 100×10⁹/L or an *FLT3*-ITD/*FLT3* ratio greater than 1. Consequently, patients with a WBC count below 100×10⁹/L and an *FLT3*-ITD/*FLT3* ratio of 1 or less were considered to have a favorable prognosis. Within this model, patients with hyperleukocytosis or an *FLT3*-ITD/*FLT3* ratio greater than 1 (n=51) compared unfavorably with patients with a WBC count below 100×10⁹/L and an *FLT3*-ITD/*FLT3* ratio of 1 or less (n=24), with regards to complete remission rate ($P=0.010$), event-free survival ($P<0.001$) and overall survival ($P<0.001$)

(Figure 3). In detail, patients with a WBC count below $100 \times 10^9/L$ and an *FLT3*-ITD/*FLT3* ratio of 1 or less had median event-free and overall survivals of 24 and 33 months, and estimated 5-year event-free and overall survival rates of 71% and 79%, respectively. In contrast, patients with hyperleukocytosis or an *FLT3*-ITD/*FLT3* ratio greater than 1 had median event-free and overall survivals of 7 and 8 months, and estimated 5-year event-free and overall survival rates of 16% and 18%, respectively. Of note, the median age at diagnosis and the number of patients who had undergone allogeneic stem cell transplantation was not different between these two groups ($P=0.55$ and $P=0.17$, respectively).

Discussion

It is generally accepted, and confirmed in this study, that high WBC count predicts an adverse outcome among AML patients with favorable or intermediate cytogenetic risk.^{1,2,20-23} In the current study, we have focused on the impact of WBC count at diagnosis on outcome among patients with intermediate cytogenetic risk, divided into subgroups according to the presence of the molecular markers *NPMc+* and *FLT3*-ITD. It was found that the WBC count had an impact on complete remission rate, event-free survival and overall survival only among the patients with the genotypic combination '*NPMc+* with *FLT3*-ITD'. Importantly, these results were underscored when using WBC count as a continuous variable. Apparently, the impact of WBC count at diagnosis on treatment outcome is dependent on the molecular genotype of AML blasts since the poor prognostic impact of high WBC count can be bypassed by intrinsic molecular abnormalities of the AML blasts, such as mutated *NPM1*. This is consistent with observations that *NPMc+* AML blasts, independently of *FLT3*-ITD, have good response to chemotherapy *in vivo* and *in vitro*.^{19,31-33}

Analysis of a large cohort of young adult AML patients by the MRC study-group showed that both mutations in *NPM1* and *FLT3*-ITD are significant independent predictors of outcome, implying that the beneficial impact of *NPMc+* on prognosis is also seen in patients with *FLT3*-ITD.¹⁹ Although the prognosis of patients with AML is better in the presence of *NPMc+*, various clinical studies have shown that only patients with the genotypic combination '*NPMc+* without *FLT3*-ITD' have a favorable outcome.⁹⁻¹³ Interestingly, our results show that the subgroup of patients with AML with the genotypic combination '*NPMc+* with *FLT3*-ITD' can be further divided into subgroups with favorable and unfavorable prognosis based on WBC count and *FLT3*-ITD/*FLT3* ratio. It seems that the less favorable clinical course of patients with *NPMc+* AML,

imposed by the presence of *FLT3*-ITD, does not apply for those patients with WBC counts below $100 \times 10^9/L$ and an *FLT3*-ITD/*FLT3* ratio greater than 1. Indeed, the prognosis of patients with the genotypic combination '*NPMc+* with *FLT3*-ITD' and lower WBC counts and *FLT3*-ITD/*FLT3* ratio greater than 1 appeared comparable to that of patients with the genotypic combination '*NPMc+* without *FLT3*-ITD'.

A number of studies suggested that patients with AML harboring *FLT3*-ITD have a worse outcome than patients without *FLT3*-ITD.¹⁴⁻¹⁸ However, the number of mutated alleles, rather than its presence or the insertion site of the ITD, has been shown to affect outcome.^{17-19,34,35} Likewise, in our study we also found that patients with the genotypic combination '*NPMc+* with *FLT3*-ITD' and high levels of the mutant allele (i.e. an *FLT3*-ITD/*FLT3* ratio >1) had significantly worse long-term outcome. It is likely that higher levels of *FLT3*-ITD might trigger pathways involved in chemoresistance more intensively, for example by enhancing DNA repair and salvage of damaged cells.³⁶ Our observations confirm the importance of the *FLT3*-ITD/*FLT3* ratio, representing the *FLT3*-ITD allelic burden, with regards to prognosis and demonstrate that WBC count is not a surrogate marker for the *FLT3*-ITD/*FLT3* ratio, but indeed is an independent prognostic factor.

The importance of the WBC count and *FLT3*-ITD/*FLT3* ratio as prognostic factors in AML patients with the genotypic combination '*NPMc+* with *FLT3*-ITD' needs to be confirmed in further cohorts of patients. However, for the future it will be of interest to study whether patients with the genotypic combination '*NPMc+* with *FLT3*-ITD' and low WBC count and an *FLT3*-ITD/*FLT3* ratio less than 1 can be excluded from consolidation therapy with allogeneic hematopoietic cell transplantation. This would extend the work of Schlenk *et al.*,¹³ who demonstrated that patients with cytogenetically normal karyotype AML bearing the genotypic combination '*NPMc+* without *FLT3*-ITD' had no survival benefit from allogeneic hematopoietic cell transplantation, with an overall survival of 50-60%.

In conclusion, the present study demonstrates that high WBC count and *FLT3*-ITD/*FLT3* ratio are important prognostic factors in patients with AML with the genotypic combination '*NPMc+* with *FLT3*-ITD'.

Authorship and Disclosures

The information provided by the authors about contributions from persons listed as authors and in acknowledgments is available with the full text of this paper at www.haematologica.org.

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